ALTRIA GROUP, INC.

Form 4

February 01, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Gifford William F. Jr. Issuer Symbol ALTRIA GROUP, INC. [MO] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title 6601 WEST BROAD STREET 01/30/2017 below) **EVP & Chief Financial Officer** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting RICHMOND, VA 23230 Person

| (City)                               | (State) (Z                              | Zip) Table  | e I - Non-D                             | erivative S  | ecuriti          | ies Acq  | quired, Disposed   | of, or Beneficial   | lly Owned |
|--------------------------------------|---|---|---|--|------------------|--|--|---|-----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |           |
|                                      |   |   | Code V                                  | Amount   | (A)<br>or<br>(D) | Price  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                       |   |           |
| Common<br>Stock                      | 01/30/2017                              |   | A                                       | 18,987<br>(1)  | A                | \$0  | 219,790 (2)  | D   |           |
| Common<br>Stock                      |   |   |   |  |                  |  | 1,747  | I (3)   | DPS       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|                           | 1. Title of Derivative | 2. Conversion                            | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4.<br>Transacti | 5.<br>orNumber | 6. Date Exerc<br>Expiration D |                    | 7. Title                                  |  | 8. Price of Derivative | 9. Nu<br>Deriv  |
|---------------------------|------------------------|--|--------------------------------------|-------------------------------|-----------------|----------------|-------------------------------|--------------------|---|--|------------------------|---|
| Security or (Instr. 3) Pr |                        | or Exercise Price of Derivative Security | (wonth/Day/Teal)                     | (Month/Day/Year)              | Code (Instr. 8) | of             | (Month/Day/Year) ve es d      |                    | Underlying<br>Securities<br>(Instr. 3 and | ying<br>ies                            | Security (Instr. 5)    | Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|                           |                        |  |                                      |                               | Code V          | (A) (D)        | Date<br>Exercisable           | Expiration<br>Date | Title N                                   | Amount<br>or<br>Number<br>of<br>Shares |                        |   |

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Officer 10% Owner Other Director

Gifford William F. Jr. 6601 WEST BROAD STREET RICHMOND, VA 23230

**EVP & Chief** Financial Officer

### **Signatures**

W. Hildebrandt Surgner, Jr. for William F. Gifford, Jr.

02/01/2017 Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units awarded in accordance with the terms of the Issuer's equity compensation plans.
- (2) Includes 96,369 Restricted Stock Units and 31,320 shares of Restricted Stock.
- (3) Shares held in the Altria Deferred Profit-Sharing Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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