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SWISS HELVETIA FUND, INC.

Form N-PX

August 12, 2016

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT
INVESTMENT COMPANY

Investment Company Act file number: 811-05128

The Swiss Helvetia Fund, Inc.
(Exact name of registrant as specified in charter)

875 Third Avenue, 22/nd/ Floor
New York, New York 10022
(Address of principal executive offices) (Zip code)

With copy to:
Mark Hemenetz
Schroders Investment Management North America Inc.
875 Third Avenue, 22/nd/ Floor
New York, New York 10022
(Name and Address of Agent for Service)

Registrant's telephone number, including area code: (800) 730-2932

Date of fiscal year end: December 31

Date of reporting period: July 1, 2015 to June 30, 2016

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 ((S)239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is

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not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. (S) 3507.

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Item 1. Proxy Voting Record

FORM N-PX

ICA File Number: 811-05128
 Registrant Name: Swiss Helvetia Fund, Inc
 Reporting Period: 07/01/2015 - 06/30/2016

Swiss Helvetia Fund, Inc

ACTELION LTD.

Ticker: ATLN Security ID: H0032X135
 Meeting Date: MAY 04, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---|-------------|---------------------------|-----------|
| 1.1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 1.2 | Approve Remuneration Report | Management | For | For |
| 2 | Approve Allocation of Income and Dividends of CHF 1.50 per Share from Capital Contribution Reserves | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4 | Approve CHF 3.2 Million Reduction in Share Capital via Cancellation of Repurchased Shares | Management | For | For |
| 5 | Extend Duration of CHF 6.5 Million Pool of Capital without Preemptive Rights | Management | For | For |
| 6.1a | Reelect Jean-Pierre Garnier as Director | Management | For | For |
| 6.1b | Reelect Jean-Paul Clozel as Director | Management | For | For |
| 6.1c | Reelect Juhani Anttila as Director | Management | For | For |
| 6.1d | Reelect Robert Bertolini as Director | Management | For | For |
| 6.1e | Reelect John J. Greisch as Director | Management | For | For |
| 6.1f | Reelect Peter Gruss as Director | Management | For | For |
| 6.1g | Reelect Michael Jacobi as Director | Management | For | For |
| 6.1h | Reelect Jean Malo as Director | Management | For | For |
| 6.1i | Reelect David Stout as Director | Management | For | For |
| 6.1j | Reelect Herna Verhagen as Director | Management | For | For |
| 6.2 | Reelect Jean-Pierre Garnier as Board Chairman | Management | For | For |
| 6.3a | Appoint Herna Verhagen as Member of the Compensation Committee | Management | For | For |

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| | | | | |
|------|---|------------|-----|---------|
| 6.3b | Appoint Jean-Pierre Garnier as Member of the Compensation Committee | Management | For | For |
| 6.3c | Appoint John Greisch as Member of the Compensation Committee | Management | For | For |
| 7.1 | Approve Remuneration of Directors in the Amount of CHF 2.4 Million | Management | For | For |
| 7.2 | Approve Remuneration of Executive Committee in the Amount of CHF 23 Million | Management | For | For |
| 8 | Designate BDO AG as Independent Proxy | Management | For | For |
| 9 | Ratify Ernst & Young AG as Auditors | Management | For | For |
| 10 | Transact Other Business (Voting) | Management | For | Against |

AIROPACK TECHNOLOGY GROUP AG

Ticker: AIRN Security ID: H0107G108
 Meeting Date: JUN 23, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2 | Approve Treatment of Net Loss | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4.1.1 | Reelect Antoine Kohler as Director | Management | For | For |
| 4.1.2 | Reelect Quint Kelders as Director | Management | For | For |
| 4.1.3 | Reelect Attila Tamer as Director | Management | For | For |
| 4.2.1 | Elect Okko Filius as Director | Management | For | For |
| 4.2.2 | Elect Robert Seminara as Director (Contingent Upon Approval of Item 9) | Management | For | For |
| 4.2.3 | Elect Ralf Ackermann as Director (Contingent Upon Approval of Item 9) | Management | For | For |
| 4.2.4 | Elect Lorenzo Levi as Director (Contingent Upon Approval of Item 9) | Management | For | For |
| 4.3 | Reelect Antoine Kohler as Board Chairman | Management | For | For |
| 4.4.1 | Appoint Antoine Kohler as Member of the Compensation Committee | Management | For | For |
| 4.4.2 | Appoint Okko Filius as Member of the Compensation Committee | Management | For | For |
| 4.4.3 | Appoint Lorenzo Levi as Member of the Compensation Committee (Contingent Upon Approval of Item 9) | Management | For | For |
| 5 | Approve Remuneration of Directors in the Amount of CHF 200,000 | Management | For | Against |
| 6 | Approve Remuneration of Executive Committee in the Amount of CHF 1.5 Million | Management | For | Against |
| 7 | Ratify BDO AG as Auditors | Management | For | For |
| 8 | Designate Daniel Bill as Independent Proxy | Management | For | For |
| 9 | Approve CHF 20.7 Million Share Capital Increase for a Private Placement with Apollo Global Management, LLC | Management | For | For |
| 10 | Approve CHF 9.3 Million Pool of | Management | For | For |

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|----|--|------------|-----|---------|
| | Conditional Capital without Preemptive Rights for Option Rights Granted to Apollo Global Management, LLC | | | |
| 11 | Amend Articles Re: Board Quorum Requirement | Management | For | For |
| 12 | Transact Other Business (Voting) | Management | For | Against |

ARYZTA AG

Ticker: YZA Security ID: H0336B110
 Meeting Date: DEC 08, 2015 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1.1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 1.2 | Approve Remuneration Report | Management | For | For |
| 2.1 | Approve Allocation of Income | Management | For | For |
| 2.2 | Approve Dividends of CHF 0.66 per Share | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | Against |
| 4.1.1 | Reelect Denis Lucey as Director and Chairman | Management | For | Against |
| 4.1.2 | Reelect Charles Adair as Director | Management | For | Against |
| 4.1.3 | Reelect Annette Flynn as Director | Management | For | For |
| 4.1.4 | Reelect Shaun Higgins as Director | Management | For | For |
| 4.1.5 | Reelect Owen Killian as Director | Management | For | Against |
| 4.1.6 | Reelect Andrew Morgan as Director | Management | For | For |
| 4.1.7 | Reelect Wolfgang Werle as Director | Management | For | For |
| 4.1.8 | Elect Dan Flinter as Director | Management | For | Against |
| 4.2.1 | Appoint Charles Adair as Member of the Compensation Committee | Management | For | Against |
| 4.2.2 | Appoint Shaun Higgins as Member of the Compensation Committee | Management | For | For |
| 4.2.3 | Appoint Denis Lucey as Member of the Compensation Committee | Management | For | Against |
| 4.3 | Ratify PricewaterhouseCoopers AG as Auditors | Management | For | For |
| 4.4 | Designate Ines Poeschel as Independent Proxy | Management | For | For |
| 5.1 | Approve Maximum Remuneration of Board Directors in the Amount of CHF 1 Million | Management | For | For |
| 5.2 | Approve Maximum Remuneration of Executive Committee in the Amount of CHF 17.75 Million | Management | For | Against |
| 6 | Approve Creation of CHF 183,621 Pool of Capital without Preemptive Rights | Management | For | Against |
| 7 | Transact Other Business (Voting) | Management | For | Against |

BASILEA PHARMACEUTICA AG

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Ticker: BSLN Security ID: H05131109
 Meeting Date: APR 21, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1a | Accept Financial Statements and Statutory Reports | Management | For | For |
| 1b | Approve Variable Remuneration of Executive Committee in the Amount of CHF 5.46 Million for Fiscal 2015 (Non-Binding) | Management | For | For |
| 2 | Approve Treatment of Net Loss | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4a | Reelect Daniel Lew as Director | Management | For | For |
| 4b | Reelect Martin Nicklasson as Director | Management | For | For |
| 4c | Reelect Thomas Rinderknecht as Director | Management | For | For |
| 4d | Reelect Domenico Scala as Director | Management | For | For |
| 4e | Reelect Steven Skolsky as Director | Management | For | For |
| 4f | Reelect Thomas Werner as Director | Management | For | For |
| 5 | Elect Domenico Scala as Board Chairman | Management | For | For |
| 6a | Appoint Martin Nicklasson as Member of the Compensation Committee | Management | For | For |
| 6b | Appoint Steven Skolsky as Member of the Compensation Committee | Management | For | For |
| 6c | Appoint Thomas Werner as Member of the Compensation Committee | Management | For | For |
| 7a | Approve Maximum Remuneration of Board of Directors in the Amount of CHF 1.4 Million | Management | For | For |
| 7b | Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 3.3 Million | Management | For | For |
| 7c | Approve Variable Remuneration of Executive Committee in the Amount of CHF 5.2 Million | Management | For | For |
| 8 | Designate Caroline Cron as Independent Proxy | Management | For | For |
| 9 | Ratify PricewaterhouseCoopers Ltd as Auditors | Management | For | For |
| 10a | Amend Article 18 Para. 4: Remove Possibility of Granting Stock Options to Members of the Board of Directors | Management | For | For |
| 10b | Approve Creation of CHF 1 Million Pool of Capital without Preemptive Rights | Management | For | For |
| 11 | Transact Other Business (Voting) | Management | For | Against |

BELIMO HOLDING AG

Ticker: BEAN Security ID: H07171103

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Meeting Date: APR 25, 2016 Meeting Type: ANNUAL

Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2 | Approve Allocation of Income and Dividends of CHF 65 per Share | Management | For | For |
| 3 | Approve Remuneration Report | Management | For | For |
| 4 | Approve Discharge of Board and Senior Management | Management | For | For |
| 5.1.1 | Reelect Adrian Altenburger as Director | Management | For | For |
| 5.1.2 | Reelect Patrick Burkhalter as Director | Management | For | For |
| 5.1.3 | Reelect Martin Hess as Director | Management | For | For |
| 5.1.4 | Reelect Hans Peter Wehrli as Director | Management | For | For |
| 5.1.5 | Reelect Martin Zwyssig as Director | Management | For | For |
| 5.2.1 | Reelect Hans Peter Wehrli as Board Chairman | Management | For | For |
| 5.2.2 | Reelect Martin Zwyssig as Deputy Chairman | Management | For | For |
| 5.3.1 | Appoint Martin Hess as Member and Chairman of the Compensation Committee | Management | For | For |
| 5.3.2 | Appoint Adrian Altenburger as Member of the Compensation Committee | Management | For | For |
| 5.3.3 | Appoint Patrick Burkhalter as Member of the Compensation Committee | Management | For | Against |
| 5.4 | Designate Proxy Voting Services GmbH as Independent Proxy | Management | For | For |
| 5.5 | Ratify KPMG AG as Auditors | Management | For | For |
| 6.1 | Approve Fixed Maximum Remuneration of Directors in the Amount of CHF 770,000 | Management | For | For |
| 6.2 | Approve Remuneration of Executive Committee in the Amount of CHF 4.2 Million | Management | For | For |
| 7 | Transact Other Business (Voting) | Management | For | Against |

BUCHER INDUSTRIES AG

Ticker: BUCN Security ID: H10914176

Meeting Date: APR 15, 2016 Meeting Type: ANNUAL

Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2 | Approve Discharge of Board and Senior Management | Management | For | For |
| 3 | Approve Allocation of Income and Dividends of CHF 5.50 per Share | Management | For | For |
| 4.1a | Reelect Ernst Baertschi as Director | Management | For | For |
| 4.1b | Reelect Claude R. Cornaz as Director | Management | For | Against |

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|------|---|------------|-----|---------|
| 4.1c | Reelect Anita Hauser as Director | Management | For | For |
| 4.1d | Reelect Michael Hauser as Director | Management | For | For |
| 4.1e | Reelect Heinrich Spoerry as Director | Management | For | For |
| 4.1f | Reelect Valentin Vogt as Director | Management | For | For |
| 4.2 | Elect Philip Moslmann as Director and Board Chairman | Management | For | For |
| 4.3a | Appoint Claude R. Cornaz as Member of the Compensation Committee | Management | For | Against |
| 4.3b | Appoint Anita Hauser as Member of the Compensation Committee | Management | For | For |
| 4.3c | Appoint Valentin Vogt as Member of the Compensation Committee | Management | For | For |
| 4.4 | Designate Mathe & Partner as Independent Proxy | Management | For | For |
| 4.5 | Ratify PricewaterhouseCoopers AG as Auditors | Management | For | For |
| 5.1 | Approve Variable Remuneration of Executive Committee in the Amount of CHF 2.7 Million | Management | For | For |
| 5.2 | Approve Remuneration Report | Management | For | For |
| 5.3 | Approve Remuneration of Directors in the Amount of CHF 1.2 Million | Management | For | For |
| 5.4 | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 5 Million | Management | For | For |
| 6 | Transact Other Business (Voting) | Management | For | Against |

CEMBRA MONEY BANK AG

Ticker: CMBN Security ID: H3119A101
 Meeting Date: APR 27, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2 | Approve Remuneration Report | Management | For | For |
| 3.1 | Approve Allocation of Income | Management | For | For |
| 3.2 | Approve Dividends of CHF 3.35 per Share from Capital Contribution Reserves | Management | For | For |
| 4 | Approve Discharge of Board and Senior Management | Management | For | For |
| 5.1.1 | Reelect Felix Weber as Director | Management | For | For |
| 5.1.2 | Reelect Peter Athanas as Director | Management | For | For |
| 5.1.3 | Reelect Urs Baumann as Director | Management | For | For |
| 5.1.4 | Reelect Denis Hall as Director | Management | For | For |
| 5.1.5 | Elect Monica Maechler as Director | Management | For | For |
| 5.2.1 | Elect Katrina Machin as Director | Management | For | For |
| 5.2.2 | Elect Ben Tellings as Director | Management | For | For |
| 5.3 | Reelect Felix Weber as Board Chairman | Management | For | For |
| 5.4.1 | Appoint Urs Baumann as Member of the Compensation and Nomination Committee | Management | For | For |

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|-------|---|------------|-----|---------|
| 5.4.2 | Appoint Katrina Machin as Member of the Compensation and Nomination Committee | Management | For | For |
| 5.4.3 | Appoint Ben Tellings as Member of the Compensation and Nomination Committee | Management | For | For |
| 5.5 | Designate Andreas Keller as Independent Proxy | Management | For | For |
| 5.6 | Ratify KPMG AG as Auditors | Management | For | For |
| 6.1 | Amend Articles Re: Tasks of Compensation and Nomination Committee | Management | For | For |
| 6.2 | Amend Articles Re: Prohibition of Competition Agreements in Employment Agreements | Management | For | For |
| 6.3 | Amend Articles Re: Compensation of the Board of Directors | Management | For | For |
| 6.4 | Amend Articles Re: Compensation of the Executive Committee | Management | For | For |
| 6.5 | Approve Possibility to Introduce Employee Stock Purchase Plan | Management | For | For |
| 7.1 | Approve Maximum Remuneration of Directors in the Amount of CHF 1.4 Million | Management | For | For |
| 7.2 | Approve Maximum Fixed and Variable Remuneration of Executive Committee in the Amount of CHF 5.3 Million | Management | For | For |
| 8 | Transact Other Business (Voting) | Management | For | Against |

CREDIT SUISSE GROUP AG

Ticker: CSGN Security ID: H3698D419
 Meeting Date: NOV 19, 2015 Meeting Type: SPECIAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1 | Approve CHF 2.3 Million Share Capital Increase without Preemptive Rights for Private Placement | Management | For | For |
| 2 | Approve Share Capital Increase of Up to CHF 10.4 Million with Preemptive Rights | Management | For | For |
| 3.1 | Additional Voting Instructions - Shareholder Proposals (Voting) | Management | None | Against |
| 3.2 | Additional Voting Instructions - Board of Directors Proposals (Voting) | Management | None | Against |

CREDIT SUISSE GROUP AG

Ticker: CSGN Security ID: H3698D419
 Meeting Date: APR 29, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|----------------------------------|-------------|---------------------------|-----------|
| 1.1 | Receive Financial Statements and | Management | None | None |

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|-------|---|------------|------|---------|
| | Statutory Reports (Non-Voting) | | | |
| 1.2 | Approve Remuneration Report | Management | For | For |
| 1.3 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2 | Approve Discharge of Board and Senior Management | Management | For | For |
| 3.1 | Approve Allocation of Income | Management | For | For |
| 3.2 | Approve Dividends of CHF 0.70 per Share from Capital Contribution Reserves | Management | For | For |
| 4.1 | Approve Maximum Remuneration of Directors in the Amount of CHF 12 Million | Management | For | For |
| 4.2.1 | Approve Short-Term Variable Remuneration of Executive Committee in the Amount of CHF 34.6 Million | Management | For | For |
| 4.2.2 | Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 33 Million | Management | For | For |
| 4.2.3 | Approve Maximum Long-Term Variable Remuneration of Executive Committee in the Amount of CHF 49 Million | Management | For | For |
| 5.1 | Approve Amendment of Pool of Authorized Capital with or without Preemptive Rights for Script Dividends | Management | For | For |
| 5.2 | Approve Amendment of Pool of Authorized Capital with or without Preemptive Rights for Future Acquisitions | Management | For | For |
| 6.1a | Reelect Urs Rohner as Director and Board Chairman | Management | For | Against |
| 6.1b | Reelect Jassim Al Thani as Director | Management | For | For |
| 6.1c | Reelect Iris Bohnet as Director | Management | For | For |
| 6.1d | Reelect Noreen Doyle as Director | Management | For | For |
| 6.1e | Reelect Andreas Koopmann as Director | Management | For | For |
| 6.1f | Reelect Jean Lanier as Director | Management | For | For |
| 6.1g | Reelect Seraina Maag as Director | Management | For | For |
| 6.1h | Reelect Kai Nargolwala as Director | Management | For | For |
| 6.1i | Reelect Severin Schwan as Director | Management | For | For |
| 6.1j | Reelect Richard Thornburgh as Director | Management | For | For |
| 6.1k | Reelect John Tiner as Director | Management | For | For |
| 6.1l | Elect Alexander Gut as Director | Management | For | For |
| 6.1m | Elect Joaquin J. Ribeiro as Director | Management | For | For |
| 6.2a | Appoint Iris Bohnet as Member of the Compensation Committee | Management | For | For |
| 6.2b | Appoint Andreas Koopmann as Member of the Compensation Committee | Management | For | For |
| 6.2c | Appoint Jean Lanier as Member of the Compensation Committee | Management | For | For |
| 6.2d | Appoint Kai Nargolwala as Member of the Compensation Committee | Management | For | For |
| 6.3 | Ratify KPMG AG as Auditors | Management | For | For |
| 6.4 | Ratify BDO AG as Special Auditor | Management | For | For |
| 6.5 | Designate Andreas Keller as Independent Proxy | Management | For | For |
| 7.1 | Transact Other Business: Proposals by Shareholders (Voting) | Management | None | Against |
| 7.2 | Transact Other Business: Proposals by | Management | None | Against |

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the Board of Directors (Voting)

DKSH HOLDING AG

Ticker: DKSH Security ID: H2012M121
 Meeting Date: MAR 30, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2 | Approve Allocation of Income and Dividends of CHF 1.30 per Share | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4.1 | Approve Remuneration of Directors in the Amount of CHF 2.6 Million | Management | For | For |
| 4.2 | Approve Remuneration of Executive Committee in the Amount of CHF 16.5 Million | Management | For | For |
| 5.1.1 | Elect Adrian Keller as Director | Management | For | For |
| 5.1.2 | Elect Rainer-Marc Frey as Director | Management | For | For |
| 5.1.3 | Elect Frank Gulich as Director | Management | For | For |
| 5.1.4 | Elect David Kemenetzky as Director | Management | For | For |
| 5.1.5 | Elect Andreas Keller as Director | Management | For | For |
| 5.1.6 | Elect Robert Peugeot as Director | Management | For | For |
| 5.1.7 | Elect Theo Siegert as Director | Management | For | For |
| 5.1.8 | Elect Hans Tanner as Director | Management | For | For |
| 5.1.9 | Elect Joerg Wolle as Director | Management | For | For |
| 5.2 | Elect Adrian Keller as Board Chairman | Management | For | For |
| 5.3.1 | Appoint Andreas Keller as Member of the Compensation Committee | Management | For | For |
| 5.3.2 | Appoint Frank Gulich as Member of the Compensation Committee | Management | For | For |
| 5.3.3 | Appoint Robert Peugeot as Member of the Compensation Committee | Management | For | For |
| 5.4 | Ratify Ernst & Young AG as Auditors | Management | For | For |
| 5.5 | Designate Ernst Widmer as Independent Proxy | Management | For | For |
| 6 | Transact Other Business (Voting) | Management | For | Against |

DUFREY AG

Ticker: DUFN Security ID: H2082J107
 Meeting Date: APR 28, 2016 Meeting Type: ANNUAL
 Record Date:

Management

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| Proposal No | Proposal | Proposed By | Recommendation | Vote Cast |
|-------------|---|-------------|----------------|-----------|
| 1.1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 1.2 | Approve Remuneration Report | Management | For | For |
| 2 | Approve Allocation of Income | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4.1 | Reelect Juan Carlos Torres Carretero as Director and Board Chairman | Management | For | Against |
| 4.2.1 | Reelect Andres Holzer Neumann as Director | Management | For | For |
| 4.2.2 | Reelect Jorge Born as Director | Management | For | For |
| 4.2.3 | Reelect Xavier Bouton as Director | Management | For | For |
| 4.2.4 | Reelect Julian Diaz Gonzalez as Director | Management | For | Against |
| 4.2.5 | Reelect George Koutsolioutsos as Director | Management | For | For |
| 4.2.6 | Reelect Joaquin Moya-Angeler Cabrera as Director | Management | For | For |
| 4.3.1 | Elect Heekyung Min as Director | Management | For | For |
| 4.3.2 | Elect Claire Chiang as Director | Management | For | For |
| 5.1 | Appoint Jorge Born as Member of the Compensation Committee | Management | For | For |
| 5.2 | Appoint Xavier Bouton as Member of the Compensation Committee | Management | For | For |
| 5.3 | Appoint Heekyung Min as Member of the Compensation Committee | Management | For | For |
| 6 | Ratify Ernst & Young Ltd. as Auditors | Management | For | For |
| 7 | Designate Altenburger Ltd. as Independent Proxy | Management | For | For |
| 8.1 | Approve Maximum Remuneration of Directors in the Amount of CHF 7.7 Million | Management | For | Against |
| 8.2 | Approve Maximum Remuneration of Executive Committee in the Amount of CHF 49 Million | Management | For | Against |
| 9 | Transact Other Business (Voting) | Management | For | Against |

FEINTOOL INTERNATIONAL HOLDING AG

Ticker: FTON Security ID: H24510135

Meeting Date: APR 19, 2016 Meeting Type: ANNUAL

Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2a | Approve Allocation of Income | Management | For | For |
| 2b | Approve Allocation of Dividends of CHF 1.50 per Share | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4a | Approve Remuneration of Directors in the Amount of CHF 1.5 Million | Management | For | Against |
| 4b | Approve Remuneration of Executive | Management | For | For |

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|-----|--|------------|-----|---------|
| | Committee in the Amount of CHF 1.8 Million | | | |
| 5a1 | Reelect Alexander von Witzleben as Director | Management | For | For |
| 5a2 | Reelect Michael Soormann as Director | Management | For | For |
| 5a3 | Reelect Thomas A. Erb as Director | Management | For | For |
| 5a4 | Reelect Wolfgang Feil as Director | Management | For | For |
| 5a5 | Reelect Thomas Muhr as Director | Management | For | For |
| 5a6 | Reelect Kurt E. Stirnemann as Director | Management | For | For |
| 5a7 | Elect Rolf-Dieter Kempis as Director | Management | For | For |
| 5b | Reelect Alexander von Witzleben as Board Chairman | Management | For | For |
| 5c1 | Appoint Alexander von Witzleben as Member of the Compensation and Nomination Committee | Management | For | For |
| 5c2 | Appoint Michael Soormann as Member of the Compensation and Nomination Committee | Management | For | For |
| 5c3 | Appoint Wolfgang Feil as Member of the Compensation and Nomination Committee | Management | For | For |
| 5d | Designate COT Treuhand AG as Independent Proxy | Management | For | For |
| 5e | Ratify KPMG AG as Auditors | Management | For | For |
| 6 | Approve Creation of CHF 6 Million Pool of Capital without Preemptive Rights | Management | For | For |
| 7 | Transact Other Business (Voting) | Management | For | Against |

FORBO HOLDING AG

Ticker: FORN Security ID: H26865214
 Meeting Date: APR 29, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2 | Approve Discharge of Board and Senior Management | Management | For | For |
| 3 | Approve Allocation of Income and Dividends of CHF 17 per Share | Management | For | Against |
| 4 | Approve CHF 19,000 Reduction in Share Capital via Cancellation of Repurchased Shares | Management | For | For |
| 5.1 | Approve Remuneration Report | Management | For | Against |
| 5.2 | Approve Variable Remuneration of Executive Committee in the Amount of CHF 830,000 | Management | For | For |
| 5.3 | Approve Maximum Remuneration of Directors in the Amount of CHF 4 Million | Management | For | For |
| 5.4 | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 2.3 | Management | For | For |

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| | | | | |
|-----|--|------------|-----|---------|
| | Million | | | |
| 6.1 | Reelect This E. Schneider as Director and Chairman | Management | For | For |
| 6.2 | Reelect Peter Altorfer as Director | Management | For | For |
| 6.3 | Reelect Michael Pieper as Director | Management | For | For |
| 6.4 | Reelect Claudia Coninx-Kaczynski as Director | Management | For | For |
| 6.5 | Reelect Reto Mueller as Director | Management | For | For |
| 6.6 | Reelect Vincent Studer as Director | Management | For | For |
| 7.1 | Appoint Peter Altorfer as Member of the Compensation Committee | Management | For | For |
| 7.2 | Appoint Claudia Coninx-Kaczynski as Member of the Compensation Committee | Management | For | For |
| 7.3 | Appoint Michael Pieper as Member of the Compensation Committee | Management | For | For |
| 8 | Ratify KPMG AG as Auditors | Management | For | For |
| 9 | Designate Rene Peyer as Independent Proxy | Management | For | For |
| 10 | Transact Other Business (Voting) | Management | For | Against |

GAM HOLDING LTD.

Ticker: GAM Security ID: H2878E106
 Meeting Date: APR 27, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---|-------------|---------------------------|-----------|
| 1.1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 1.2 | Approve Remuneration Report | Management | For | Against |
| 2 | Approve Allocation of Income and Dividends of CHF 0.65 per Share from Capital Contribution Reserves | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4 | Approve CHF 155,000 Reduction in Share Capital via Cancellation of Repurchased Shares | Management | For | For |
| 5 | Approve Creation of CHF 816,973 Pool of Capital without Preemptive Rights | Management | For | For |
| 6.1 | Reelect Johannes de Gier as Director and Board Chairman | Management | For | For |
| 6.2 | Reelect Diego du Monceau as Director | Management | For | For |
| 6.3 | Reelect Hugh Scott-Barrett as Director | Management | For | For |
| 6.4 | Elect Nancy Mistretta as Director | Management | For | For |
| 6.5 | Elect Ezra S. Field as Director | Management | For | For |
| 6.6 | Elect Benjamin Meuli as Director | Management | For | For |
| 7.1 | Appoint Diego du Monceau as Member of the Compensation Committee | Management | For | For |
| 7.2 | Appoint Nancy Mistretta as Member of the Compensation Committee | Management | For | For |
| 7.3 | Appoint Benjamin Meuli as Member of the Compensation Committee | Management | For | For |
| 8.1 | Approve Remuneration of Directors in the Amount of CHF 3 Million | Management | For | Against |

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| | | | | |
|-----|--|------------|-----|---------|
| 8.2 | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 8 Million | Management | For | Against |
| 8.3 | Approve Variable Remuneration of Executive Committee in the Amount of CHF 15 Million | Management | For | Against |
| 9 | Ratify KPMG AG as Auditors | Management | For | For |
| 10 | Designate Tobias Rohner as Independent Proxy | Management | For | For |
| 11 | Transact Other Business (Voting) | Management | For | Against |

GATEGROUP HOLDING AG

Ticker: GATE Security ID: H30145108
 Meeting Date: APR 14, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1.1 | Accept Annual Report and Consolidated Financial Statements | Management | For | For |
| 1.2 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 1.3 | Approve Remuneration Report | Management | For | Against |
| 2.1 | Approve Allocation of Income | Management | For | For |
| 2.2 | Approve Dividends of CHF 0.30 per Share | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4.1 | Reelect Andreas Schmid as Director and as Board Chairman | Management | For | Against |
| 4.2 | Reelect David Barger as Director | Management | For | For |
| 4.3 | Reelect Remo Brunschwiler as Director | Management | For | For |
| 4.4 | Reelect Gerard van Kesteren as Director | Management | For | For |
| 4.5 | Reelect Frederick Reid as Director | Management | For | For |
| 4.6 | Reelect Julie Southern as Director | Management | For | For |
| 4.7 | Reelect Anthonie Stal as Director | Management | For | For |
| 4.8 | Elect Rudolf Bohli as Director | Shareholder | Against | Against |
| 4.9 | Elect Nils Engel as Director | Shareholder | Against | Against |
| 5.1 | Appoint Julie Southern as Member of the Compensation Committee | Management | For | For |
| 5.2 | Appoint Frederick Reid as Member of the Compensation Committee | Management | For | For |
| 5.3 | Appoint Anthonie Stal as Member of the Compensation Committee | Management | For | For |
| 5.4 | Appoint Nils Engel as Member of the Compensation Committee | Shareholder | Against | Against |
| 6 | Designate Andreas Keller as Independent Proxy | Management | For | For |
| 7 | Ratify Ernst & Young AG as Auditors | Management | For | For |
| 8.1 | Extend Duration of Existing CHF 13.3 Million Pool of Capital without Preemptive Rights | Management | For | For |
| 8.2 | Amend Articles Re: Long-Term Incentives | Management | For | For |
| 9.1 | Approve Remuneration of Directors in the Amount of CHF 1.4 Million | Management | For | For |

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|-----|---|------------|-----|---------|
| 9.2 | Approve Remuneration of Executive Committee in the Amount of CHF 17.8 Million | Management | For | For |
| 10 | Transact Other Business (Voting) | Management | For | Against |

HELVETIA HOLDING AG

Ticker: HELN Security ID: H3701H100

Meeting Date: APR 22, 2016 Meeting Type: ANNUAL

Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2 | Approve Discharge of Board and Senior Management | Management | For | For |
| 3 | Approve Allocation of Income and Dividends of CHF 19.00 per Share | Management | For | For |
| 4.1 | Elect Pierin Vincenz as Director and Board Chairman | Management | For | Against |
| 4.2.1 | Reelect Hans-Juerg Bernet as Director | Management | For | For |
| 4.2.2 | Reelect Jean-Rene Fournier as Director | Management | For | Against |
| 4.2.3 | Reelect Patrik Gisela as Director | Management | For | Against |
| 4.2.4 | Reelect Hans Kuenzle as Director | Management | For | Against |
| 4.2.5 | Reelect Christoph Lechner as Director | Management | For | For |
| 4.2.6 | Reelect Gabriela Payer as Director | Management | For | For |
| 4.2.7 | Reelect Doris Russi Schurter as Director | Management | For | Against |
| 4.2.8 | Reelect Herbert J. Scheidt as Director | Management | For | Against |
| 4.2.9 | Reelect Andreas von Planta as Director | Management | For | For |
| 4.3.1 | Appoint Hans-Juerg Bernet as Member of the Compensation Committee | Management | For | For |
| 4.3.2 | Appoint Gabriela Payer as Member of the Compensation Committee | Management | For | For |
| 4.3.3 | Appoint Doris Russi Schurter as Member of the Compensation Committee | Management | For | Against |
| 4.3.4 | Appoint Andreas von Planta as Member of the Compensation Committee | Management | For | For |
| 5.1 | Approve Fixed Remuneration of Directors in the Amount of CHF 2.74 Million | Management | For | For |
| 5.2 | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 5.06 Million | Management | For | For |
| 5.3 | Approve Variable Remuneration of Directors in the Amount of CHF 392,000 | Management | For | For |
| 5.4 | Approve Variable Remuneration of Executive Committee in the Amount of CHF 3.36 Million | Management | For | For |
| 6 | Designate Schmuki Bachmann Rechtsanwaelte as Independent Proxy | Management | For | For |
| 7 | Ratify KPMG AG as Auditors | Management | For | For |
| 8 | Transact Other Business (Voting) | Management | For | Against |

IMPLENIA AG

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Ticker: IMPN Security ID: H41929102
 Meeting Date: MAR 22, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---|-------------|---------------------------|-----------|
| 1.1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 1.2 | Approve Remuneration Report | Management | For | Against |
| 2 | Approve Allocation of Income and Dividends of CHF 1.90 per Share | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4.1 | Approve Maximum Remuneration of Directors in the Amount of CHF 1.5 Million | Management | For | For |
| 4.2 | Approve Maximum Remuneration of Executive Committee in the Amount of CHF 10 Million | Management | For | Against |
| 5.1a | Reelect Hans-Ulrich Meister as Director and as Chairman of the Board | Management | For | Against |
| 5.1b | Reelect Chantal Emery as Director | Management | For | For |
| 5.1c | Reelect Calvin Grieder as Director | Management | For | For |
| 5.1d | Reelect Henner Mahlstedt as Director | Management | For | For |
| 5.1e | Elect Ines Poeschel as Director | Management | For | For |
| 5.1f | Elect Kyrre Johansen as Director | Management | For | For |
| 5.1g | Elect Laurent Vulliet as Director | Management | For | For |
| 5.2a | Appoint Calvin Grieder as Member of the Compensation Committee | Management | For | For |
| 5.2b | Appoint Ines Poeschel as Member of the Compensation Committee | Management | For | For |
| 5.2c | Appoint Kyrre Johansen as Member of the Compensation Committee | Management | For | For |
| 5.3 | Designate Andreas Keller as Independent Proxy | Management | For | For |
| 5.4 | Ratify PricewaterhouseCoopers AG as Auditors | Management | For | For |
| 6 | Transact Other Business (Voting) | Management | For | Against |

JULIUS BAER GRUPPE AG

Ticker: BAER Security ID: H4414N103
 Meeting Date: APR 13, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---|-------------|---------------------------|-----------|
| 1.1 | Accept Financial Statements and Statutory Reports | Management | For | For |

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|-------|--|------------|-----|---------|
| 1.2 | Approve Remuneration Report | Management | For | For |
| 2 | Approve Allocation of Income and Dividends of CHF 1.10 per Share | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4.1 | Approve Maximum Remuneration of Board of Directors in the Amount of CHF 3.16 Million | Management | For | For |
| 4.2.1 | Approve Variable Cash-Based Remuneration of Executive Committee for Fiscal 2015 in the Amount of CHF 6.2 Million | Management | For | Against |
| 4.2.2 | Approve Variable Share-Based Remuneration of Executive Committee for Fiscal 2016 in the Amount of CHF 5.52 Million | Management | For | Against |
| 4.2.3 | Approve Maximum Fixed Remuneration of Executive Committee for Fiscal 2017 in the Amount of CHF 6.63 Million | Management | For | Against |
| 5.1.1 | Reelect Daniel Sauter as Director | Management | For | For |
| 5.1.2 | Reelect Gilbert Achermann as Director | Management | For | For |
| 5.1.3 | Reelect Andreas Amschwand as Director | Management | For | For |
| 5.1.4 | Reelect Heinrich Baumann as Director | Management | For | For |
| 5.1.5 | Reelect Paul Chow as Director | Management | For | For |
| 5.1.6 | Reelect Claire Giraut as Director | Management | For | For |
| 5.1.7 | Reelect Gareth Penny as Director | Management | For | For |
| 5.1.8 | Reelect Charles Stonehill as Director | Management | For | For |
| 5.2 | Elect Ann Almeida as Director | Management | For | For |
| 5.3 | Elect Daniel Sauter as Board Chairman | Management | For | For |
| 5.4.1 | Appoint Ann Almeida as Member of the Compensation Committee | Management | For | For |
| 5.4.2 | Appoint Gilbert Achermann as Member of the Compensation Committee | Management | For | For |
| 5.4.3 | Appoint Heinrich Baumann as Member of the Compensation Committee | Management | For | For |
| 5.4.4 | Appoint Gareth Penny as Member of the Compensation Committee | Management | For | For |
| 6 | Ratify KPMG AG as Auditors | Management | For | For |
| 7 | Designate Marc Nater as Independent Proxy | Management | For | For |
| 8 | Transact Other Business (Voting) | Management | For | Against |

KUROS BIOSCIENCES LTD.

Ticker: KURN Security ID: H1754L101
 Meeting Date: JUN 16, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |

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| | | | | |
|----|---|------------|-----|---------|
| 2 | Approve Treatment of Net Loss | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4a | Approve Fixed Remuneration of Directors in the Amount of CHF 575,000 | Management | For | For |
| 4b | Approve Allocation of 1.3 Million Options as Variable Remuneration to Directors | Management | For | Against |
| 4c | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 1.85 Million | Management | For | For |
| 4d | Approve Variable Remuneration of Executive Committee in the Amount of CHF 765,000 | Management | For | For |
| 4e | Approve Allocation of 10.82 Million Options as Variable Remuneration to Executive Committee | Management | For | Against |
| 5a | Reelect Christian Itin as Director and Chairman of the Board | Management | For | For |
| 5b | Reelect Didier Cowling as Director | Management | For | Against |
| 5c | Reelect Arnd Kaltofen-Ehrmann as Director | Management | For | For |
| 5d | Reelect Joerg Neermann as Director | Management | For | For |
| 5e | Reelect Gerhard Ries as Director | Management | For | For |
| 5f | Reelect Harry Welten as Director | Management | For | Against |
| 5g | Elect Leanna Caron as Director | Management | For | For |
| 6a | Appoint Arnd Kaltofen-Ehmann as Member of the Compensation Committee | Management | For | For |
| 6b | Appoint Gerhard Ries as Member of the Compensation Committee | Management | For | For |
| 7 | Designate Andreas Keller as Independent Proxy | Management | For | For |
| 8 | Ratify PricewaterhouseCoopers AG as Auditors | Management | For | For |
| 9 | Approve 100:1 Reverse Stock Split and Amend Articles of Association | Management | For | For |
| 10 | Amend Stock Option Plan | Management | For | For |
| 11 | Approve Creation of CHF 2.5 Million Pool of Capital without Preemptive Rights | Management | For | For |
| 12 | Transact Other Business (Voting) | Management | For | For |

LEONTEQ AG

Ticker: LEON Security ID: G3082X113
 Meeting Date: MAR 24, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2.1 | Approve Allocation of Income | Management | For | For |
| 2.2 | Approve Dividends of CHF 1.75 per Share | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |

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| | | | | |
|-----|--|------------|-----|---------|
| 4.1 | Reelect Pierin Vincenz as Director and Board Chairman | Management | For | Against |
| 4.2 | Reelect Joerg Behrens as Director | Management | For | For |
| 4.3 | Reelect Vince Chandler as Director | Management | For | Against |
| 4.4 | Reelect Patrick de Figueiredo as Director | Management | For | Against |
| 4.5 | Reelect Patrik Gisel as Director | Management | For | Against |
| 4.6 | Reelect Hans Isler as Director | Management | For | For |
| 4.7 | Reelect Lukas Ruflin as Director | Management | For | Against |
| 5.1 | Appoint Vince Chandler as Member of the Compensation Committee | Management | For | Against |
| 5.2 | Appoint Pierin Vincenz as Member of the Compensation Committee | Management | For | Against |
| 5.3 | Appoint Lukas Ruflin as Member of the Compensation Committee | Management | For | Against |
| 5.4 | Appoint Hans Isler as Member of the Compensation Committee | Management | For | For |
| 6 | Ratify PricewaterhouseCoopers AG as Auditors | Management | For | For |
| 7 | Designate Proxy Voting Services GmbH as Independent Proxy | Management | For | For |
| 8.1 | Approve Remuneration of Directors in the Amount of CHF 4.1 Million | Management | For | Against |
| 8.2 | Approve Remuneration of Executive Committee in the Amount of CHF 24 Million for Fiscal Year 2017 | Management | For | Against |
| 8.3 | Approve Remuneration Report | Management | For | Against |
| 9 | Transact Other Business (Voting) | Management | For | Against |

LINDT & SPRUENGLI AG

Ticker: LISN Security ID: H49983176

Meeting Date: APR 21, 2016 Meeting Type: ANNUAL

Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---|-------------|---------------------------|--------------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | Did Not Vote |
| 2 | Approve Remuneration Report | Management | For | Did Not Vote |
| 3 | Approve Discharge of Board and Senior Management | Management | For | Did Not Vote |
| 4.1 | Approve Allocation of Income and Dividends of CHF 400 per Registered Share and CHF 40 per Participation Certificate | Management | For | Did Not Vote |
| 4.2 | Approve Dividends from Capital Contribution Reserves of CHF 400 per Registered Share and CHF 40 per Participation Certificate | Management | For | Did Not Vote |
| 5.1 | Reelect Ernst Tanner as Director and Board Chairman | Management | For | Did Not Vote |

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| | | | | |
|-----|---|------------|-----|--------------|
| 5.2 | Reelect Antonio Bulgheroni as Director | Management | For | Did Not Vote |
| 5.3 | Reelect Rudolf Spruengli as Director | Management | For | Did Not Vote |
| 5.4 | Reelect Elisabeth Guertler as Director | Management | For | Did Not Vote |
| 5.5 | Reelect Petra Schadeberg-Herrmann as Director | Management | For | Did Not Vote |
| 5.6 | Elect Thomas Rinderknecht as Director | Management | For | Did Not Vote |
| 6.1 | Appoint Rudolf Spruengli as Member of the Compensation Committee | Management | For | Did Not Vote |
| 6.2 | Appoint Antonio Bulgheroni as Member of the Compensation Committee | Management | For | Did Not Vote |
| 6.3 | Appoint Elisabeth Guertler as Member of the Compensation Committee | Management | For | Did Not Vote |
| 7 | Designate Patrick Schleiffer as Independent Proxy | Management | For | Did Not Vote |
| 8 | Ratify PricewaterhouseCoopers AG as Auditors | Management | For | Did Not Vote |
| 9.1 | Approve Remuneration of Directors in the Amount of CHF 1.1 Million | Management | For | Did Not Vote |
| 9.2 | Approve Remuneration of Executive Committee in the Amount of CHF 28 Million | Management | For | Did Not Vote |
| 10 | Transact Other Business (Voting) | Management | For | Did Not Vote |

LONZA GROUP LTD.

Ticker: LONN Security ID: H50524133
 Meeting Date: APR 22, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2 | Approve Remuneration Report | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4 | Approve Allocation of Income and Dividends of CHF 2.50 per Share | Management | For | For |
| 5.1a | Reelect Patrick Aebischer as Director | Management | For | For |
| 5.1b | Reelect Werner Bauer as Director | Management | For | For |
| 5.1c | Reelect Thomas Ebeling as Director | Management | For | For |
| 5.1d | Reelect Jean-Daniel Gerber as Director | Management | For | For |
| 5.1e | Reelect Barbara Richmond as Director | Management | For | For |
| 5.1f | Reelect Margot Scheltema as Director | Management | For | For |
| 5.1g | Reelect Rolf Soiron as Director | Management | For | For |
| 5.1h | Reelect Juergen Steinemann as Director | Management | For | For |
| 5.1i | Reelect Antonio Trius as Director | Management | For | For |
| 5.2 | Elect Christoph Maeder as Director | Management | For | For |
| 5.3 | Reelect Rolf Soiron as Board Chairman | Management | For | For |
| 5.4a | Appoint Thomas Ebeling as Member of the Nomination and Compensation Committee | Management | For | For |
| 5.4b | Appoint Jean-Daniel Gerber as Member of the Nomination and Compensation Committee | Management | For | For |
| 5.4c | Appoint Christoph Maeder as Member of the Nomination and Compensation Committee | Management | For | For |
| 5.4d | Appoint Juergen Steinemann as Member of | Management | For | For |

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the Nomination and Compensation
Committee

| | | | | |
|-----|---|------------|-----|---------|
| 6 | Ratify KPMG AG as Auditors | Management | For | For |
| 7 | Designate Daniel Pluess as Independent Proxy | Management | For | For |
| 8 | Approve Remuneration of Directors in the Amount of CHF 2.96 Million | Management | For | For |
| 9.1 | Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 4.02 Million | Management | For | For |
| 9.2 | Approve Maximum Short-Term Variable Remuneration of Executive Committee in the Amount of CHF 3.31 Million | Management | For | For |
| 9.3 | Approve Maximum Long-Term Variable Remuneration of Executive Committee in the Amount of CHF 5.67 Million | Management | For | For |
| 10 | Transact Other Business (Voting) | Management | For | Against |

NESTLE SA

Ticker: NESN Security ID: H57312649
Meeting Date: APR 07, 2016 Meeting Type: ANNUAL
Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1.1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 1.2 | Approve Remuneration Report (Non-binding) | Management | For | For |
| 2 | Approve Discharge of Board and Senior Management | Management | For | For |
| 3 | Approve Allocation of Income and Dividends of CHF 2.25 per Share | Management | For | For |
| 4.1a | Reelect Peter Brabeck-Letmathe as Director | Management | For | For |
| 4.1b | Reelect Paul Bulcke as Director | Management | For | For |
| 4.1c | Reelect Andreas Koopmann as Director | Management | For | For |
| 4.1d | Reelect Beat Hess as Director | Management | For | For |
| 4.1e | Reelect Renato Fassbind as Director | Management | For | For |
| 4.1f | Reelect Steven G. Hoch as Director | Management | For | For |
| 4.1g | Reelect Naina Lal Kidwai as Director | Management | For | For |
| 4.1h | Reelect Jean-Pierre Roth as Director | Management | For | For |
| 4.1i | Reelect Ann Veneman as Director | Management | For | For |
| 4.1j | Reelect Henri de Castries as Director | Management | For | For |
| 4.1k | Reelect Eva Cheng as Director | Management | For | For |
| 4.1l | Reelect Ruth K. Oniang'o as Director | Management | For | For |
| 4.1m | Reelect Patrick Aebischer as Director | Management | For | For |
| 4.2 | Reelect Peter Brabeck-Letmathe as Board Chairman | Management | For | For |
| 4.3.1 | Appoint Beat Hess as Member of the Compensation Committee | Management | For | For |

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| 4.3.2 | Appoint Andreas Koopmann as Member of the Compensation Committee | Management | For | For |
| 4.3.3 | Appoint Jean-Pierre Roth as Member of the Compensation Committee | Management | For | For |
| 4.3.4 | Appoint Patrick Aebischer as Member of the Compensation Committee | Management | For | For |
| 4.4 | Ratify KPMG AG as Auditors | Management | For | For |
| 4.5 | Designate Hartmann Dreyer as Independent Proxy | Management | For | For |
| 5.1 | Approve Remuneration of Board of Directors in the Amount of CHF 10.5 Million | Management | For | Against |
| 5.2 | Approve Remuneration of Executive Committee in the Amount of CHF 60 Million | Management | For | For |
| 6 | Approve CHF 7.6 Million Reduction in Share Capital via Cancellation of Repurchased Shares | Management | For | For |
| 7 | Transact Other Business (Voting) | Management | Against | Against |

NOVARTIS AG

Ticker: NOVN Security ID: H5820Q150
 Meeting Date: FEB 23, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2 | Approve Discharge of Board and Senior Management | Management | For | For |
| 3 | Approve Allocation of Income and Dividends of CHF 2.70 per Share | Management | For | For |
| 4 | Approve CHF 24.9 Million Reduction in Share Capital via Cancellation of Repurchased Shares | Management | For | For |
| 5 | Authorize Repurchase of up to CHF 10 Billion in Issued Share Capital | Management | For | For |
| 6.1 | Approve Maximum Remuneration of Board of Directors in the Amount of CHF 8.16 Million | Management | For | For |
| 6.2 | Approve Maximum Remuneration of Executive Committee in the Amount of CHF 93 Million | Management | For | For |
| 6.3 | Approve Remuneration Report (Non-Binding) | Management | For | For |
| 7.1 | Reelect Joerg Reinhardt as Director and Chairman | Management | For | For |
| 7.2 | Reelect Nancy Andrews as Director | Management | For | For |
| 7.3 | Reelect Dimitri Azar as Director | Management | For | For |
| 7.4 | Reelect Srikant Datar as Director | Management | For | For |
| 7.5 | Reelect Ann Fudge as Director | Management | For | For |
| 7.6 | Reelect Pierre Landolt as Director | Management | For | For |
| 7.7 | Reelect Andreas von Planta as Director | Management | For | For |
| 7.8 | Reelect Charles Sawyers as Director | Management | For | For |

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|------|---|------------|-----|-----|
| 7.9 | Reelect Enrico Vanni as Director | Management | For | For |
| 7.10 | Reelect William Winters as Director | Management | For | For |
| 7.11 | Elect Tom Buechner as Director | Management | For | For |
| 7.12 | Elect Elizabeth Doherty as Director | Management | For | For |
| 8.1 | Appoint Srikant Datar as Member of the Compensation Committee | Management | For | For |
| 8.2 | Appoint Ann Fudge as Member of the Compensation Committee | Management | For | For |
| 8.3 | Appoint Enrico Vanni as Member of the Compensation Committee | Management | For | For |
| 8.4 | Appoint William Winters as Member of the Compensation Committee | Management | For | For |
| 9 | Ratify PricewaterhouseCoopers AG as Auditors | Management | For | For |
| 10 | Designate Peter Andreas Zahn as Independent Proxy | Management | For | For |
| 11 | Transact Other Business (Voting) | Management | For | For |

OC OERLIKON CORPORATION AG

Ticker: OERL Security ID: H59187106
 Meeting Date: APR 05, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2.1 | Approve Allocation of Income | Management | For | For |
| 2.2 | Approve Dividends of CHF 0.30 per Share from Capital Contribution Reserves | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4.1.1 | Reelect Michael Suess as Director and Board Chairman | Management | For | For |
| 4.1.2 | Reelect Gerhard Pegam as Director | Management | For | For |
| 4.1.3 | Reelect Hans Ziegler as Director | Management | For | For |
| 4.2.1 | Elect Jean Botti as Director | Management | For | For |
| 4.2.2 | Elect David Metzger as Director | Management | For | Against |
| 4.2.3 | Elect Alexy Moskov as Director | Management | For | Against |
| 5.1.1 | Reappoint Michael Suess as Member of the Human Resources Committee | Management | For | Against |
| 5.1.2 | Reappoint Hans Ziegler as Member of the Human Resources Committee | Management | For | Against |
| 5.2 | Appoint Alexy Moskov as Member of the Human Resources Committee | Management | For | Against |
| 6 | Ratify PricewaterhouseCoopers AG as Auditors | Management | For | For |
| 7 | Designate Proxy Voting Services GmbH as Independent Proxy | Management | For | For |
| 8 | Approve Remuneration of Board of Directors in the Amount of CHF 2.2 Million | Management | For | Against |
| 9 | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 6.9 Million | Management | For | For |

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|----|---|------------|-----|-----|
| 10 | Approve Variable Remuneration of Executive Committee in the Amount of CHF 7.0 Million | Management | For | For |
| 11 | Transact Other Business (Voting) | Management | For | For |

SFS GROUP AG

Ticker: SFSN Security ID: H7482F118
 Meeting Date: APR 20, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2.1 | Approve Additional Remuneration of Board of Directors in the Amount of CHF 104,000 for the Period from January 1, 2016 until the Conclusion of the 2016 AGM | Management | For | Against |
| 2.2 | Approve Maximum Remuneration of Board of Directors in the Amount of CHF 736,000 in Cash and CHF 305,000 in Shares for the Period from the 2016 AGM until the 2017 AGM | Management | For | Against |
| 2.3 | Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 3.32 Million | Management | For | For |
| 2.4 | Approve Maximum Variable Remuneration of Executive Committee in the Amount of CHF 986,000 in Cash and CHF 516,000 in Shares | Management | For | For |
| 2.5 | Approve Remuneration Report | Management | For | Against |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4 | Approve Allocation of Income and Dividends of CHF 1.50 per Share | Management | For | For |
| 5.1a | Reelect Ruedi Huber as Director | Management | For | For |
| 5.1b | Reelect Urs Kaufmann as Director | Management | For | For |
| 5.1c | Reelect Thomas Oetterli as Director | Management | For | For |
| 5.1d | Reelect Heinrich Spoerry as Director and Chairman of the Board | Management | For | For |
| 5.1e | Reelect Karl Stadler as Director | Management | For | For |
| 5.1f | Reelect Joerg Walther as Director | Management | For | For |
| 5.2a | Appoint Urs Kaufmann as Member of the Nomination and Compensation Committee | Management | For | For |
| 5.2b | Appoint Karl Stadler as Member of the Nomination and Compensation Committee | Management | For | Against |
| 5.2c | Appoint Heinrich Spoerry as Member of the Compensation Committee | Management | For | Against |
| 5.3 | Designate buerki bolt nemeth Rechtsanwaelte as Independent Proxy | Management | For | For |

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|-----|--|------------|-----|---------|
| 5.4 | Ratify PricewaterhouseCoopers AG as Auditors | Management | For | For |
| 6 | Transact Other Business (Voting) | Management | For | Against |

SONOVA HOLDING AG

Ticker: SOON Security ID: H8024W106
 Meeting Date: JUN 14, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---|-------------|---------------------------|-----------|
| 1.1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 1.2 | Approve Remuneration Report (Non-Binding) | Management | For | For |
| 2 | Approve Allocation of Income and Dividends of CHF 2.10 per Share | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4.1.1 | Reelect Robert Spoerry as Director and Board Chairman | Management | For | For |
| 4.1.2 | Reelect Beat Hess as Director | Management | For | For |
| 4.1.3 | Reelect Stacy Enxing Seng as Director | Management | For | For |
| 4.1.4 | Reelect Michael Jacobi as Director | Management | For | For |
| 4.1.5 | Reelect Anssi Vanjoki as Director | Management | For | For |
| 4.1.6 | Reelect Roland van der Vis as Director | Management | For | For |
| 4.1.7 | Reelect Jinlong Wang as Director | Management | For | For |
| 4.2 | Elect Lynn Dorsey Bleil as Director | Management | For | For |
| 4.3.1 | Appoint Robert Spoerry as Member of the Compensation Committee | Management | For | For |
| 4.3.2 | Appoint Beat Hess as Member of the Compensation Committee | Management | For | For |
| 4.3.3 | Appoint Stacy Enxing Seng as Member of the Compensation Committee | Management | For | For |
| 4.4 | Ratify PricewaterhouseCoopers AG as Auditors | Management | For | For |
| 4.5 | Designate Andreas Keller as Independent Proxy | Management | For | For |
| 5.1 | Approve Remuneration of Directors in the Amount of CHF 3 Million | Management | For | For |
| 5.2 | Approve Remuneration of Executive Committee in the Amount of CHF 15.4 Million | Management | For | Against |
| 6 | Approve CHF 60,175 Reduction in Share Capital via Cancellation of Shares | Management | For | For |
| 7 | Transact Other Business (Voting) | Management | For | Against |

SUNRISE COMMUNICATIONS GROUP AG

Ticker: SRCG Security ID: H83659104
 Meeting Date: APR 15, 2016 Meeting Type: ANNUAL
 Record Date:

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| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2.1 | Approve Allocation of Income | Management | For | For |
| 2.2 | Approve Dividends of from Capital Contribution Reserves | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4.1.1 | Reelect Lorne Somerville as Director | Management | For | For |
| 4.1.2 | Reelect Peter Schoepfer as Director | Management | For | For |
| 4.1.3 | Reelect Jesper Ovesen as Director | Management | For | For |
| 4.1.4 | Elect Peter Kurer as Director | Management | For | For |
| 4.1.5 | Elect Robin Bienenstock as Director | Management | For | For |
| 4.1.6 | Elect Michael Krammer as Director | Management | For | For |
| 4.1.7 | Elect Christoph Vilanek as Director | Management | For | For |
| 4.1.8 | Elect Joachim Preisig as Director | Management | For | For |
| 4.1.9 | Elect Peter Kurer as Board Chairman | Management | For | For |
| 4.2.1 | Appoint Peter Schoepfer as Member of the Compensation Committee | Management | For | For |
| 4.2.2 | Appoint Lorne Somerville as Member of the Compensation Committee | Management | For | For |
| 4.2.3 | Appoint Peter Kurer as Member of the Compensation Committee | Management | For | For |
| 4.2.4 | Appoint Christoph Vilanek as Member of the Compensation Committee | Management | For | For |
| 4.2.5 | Appoint Peter Schoepfer as Chairman of the Compensation Committee | Management | For | For |
| 5 | Designate Andreas Keller as Independent Proxy | Management | For | For |
| 6 | Ratify Ernst & Young as Auditors | Management | For | For |
| 7.1 | Approve Remuneration Report | Management | For | For |
| 7.2 | Approve Maximum Aggregate Remuneration of Directors in the Amount of CHF 1.5 Million | Management | For | For |
| 7.3 | Approve Maximum Aggregate Remuneration of Executive Committee in the Amount of CHF 11 Million | Management | For | For |
| 8.1 | Approve CHF 350,000 Pool of Authorized Capital without Preemptive Rights for Employee Equity Participation | Management | For | For |
| 8.2 | Amend Articles Re: Deletion of Opting-Out Clause | Management | For | For |
| 9 | Transact Other Business (Voting) | Management | For | Against |

SWATCH GROUP AG

Ticker: UHR Security ID: H83949133
 Meeting Date: MAY 11, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---|-------------|---------------------------|-----------|
| 1.1 | Receive 2015 Annual Report (Non-Voting) | Management | None | None |
| 1.2 | Receive Financial Statements and Statutory Reports (Non-Voting) | Management | None | None |

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|-------|--|------------|------|---------|
| 1.3 | Receive Statutory Auditors' Report (Non-Voting) | Management | None | None |
| 1.4 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2 | Approve Discharge of Board and Senior Management | Management | For | For |
| 3 | Approve Allocation of Income and Dividends of CHF 1.50 per Registered Share and CHF 7.50 per Bearer Shares | Management | For | For |
| 4.1.1 | Approve Fixed Remuneration of Non-Executive Directors in the Amount of CHF 1 Million | Management | For | For |
| 4.1.2 | Approve Fixed Remuneration of Executive Directors in the Amount of CHF 2.6 Million | Management | For | Against |
| 4.2 | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 6.2 Million | Management | For | For |
| 4.3 | Approve Variable Remuneration of Executive Directors in the Amount of CHF 7.6 Million | Management | For | Against |
| 4.4 | Approve Variable Remuneration of Executive Committee in the Amount of CHF 21.9 Million | Management | For | Against |
| 5.1 | Elect Nayla Hayek as Director and Board Chairman | Management | For | Against |
| 5.2 | Elect Ernst Tanner as Director | Management | For | Against |
| 5.3 | Elect Georges N. Hayek as Director | Management | For | Against |
| 5.4 | Elect Claude Nicollier as Director | Management | For | For |
| 5.5 | Elect Jean-Pierre Roth as Director | Management | For | For |
| 5.6 | Elect Daniela Aeschlimann as Director | Management | For | Against |
| 6.1 | Appoint Nayla Hayek as Member of the Compensation Committee | Management | For | Against |
| 6.2 | Appoint Ernst Tanner as Member of the Compensation Committee | Management | For | Against |
| 6.3 | Appoint Georges N. Hayek as Member of the Compensation Committee | Management | For | Against |
| 6.4 | Appoint Claude Nicollier as Member of the Compensation Committee | Management | For | For |
| 6.5 | Appoint Jean-Pierre Roth as Member of the Compensation Committee | Management | For | For |
| 6.6 | Appoint Daniela Aeschlimann as Member of the Compensation Committee | Management | For | Against |
| 7 | Designate Bernhard Lehmann as Independent Proxy | Management | For | For |
| 8 | Ratify PricewaterhouseCoopers Ltd as Auditors | Management | For | For |
| 9 | Transact Other Business (Voting) | Management | For | Against |

SWISS LIFE HOLDING

Ticker: SLHN Security ID: H8404J162
 Meeting Date: APR 26, 2016 Meeting Type: ANNUAL
 Record Date:

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| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---|-------------|---------------------------|-----------|
| 1.1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 1.2 | Approve Remuneration Report (Non-Binding) | Management | For | For |
| 2.1 | Approve Allocation of Income | Management | For | For |
| 2.2 | Approve Dividends of CHF 8.50 per Share from Capital Contribution Reserves | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4.1 | Approve Fixed Remuneration of Directors in the Amount of CHF 3.44 Million | Management | For | For |
| 4.2 | Approve Short-Term Variable Remuneration of Executive Committee in the Amount of CHF 4.15 Million | Management | For | For |
| 4.3 | Approve Maximum Fixed Remuneration and Long-Term Variable Remuneration of Executive Committee in the Amount of CHF 13.7 Million | Management | For | For |
| 5.1 | Reelect Rolf Doerig as Director and Board Chairman | Management | For | For |
| 5.2 | Reelect Wolf Becke as Director | Management | For | For |
| 5.3 | Reelect Gerold Buehrer as Director | Management | For | For |
| 5.4 | Reelect Adrienne Fumagalli as Director | Management | For | For |
| 5.5 | Reelect Ueli Dietiker as Director | Management | For | For |
| 5.6 | Reelect Damir Filipovic as Director | Management | For | For |
| 5.7 | Reelect Frank Keuper as Director | Management | For | For |
| 5.8 | Reelect Henry Peter as Director | Management | For | For |
| 5.9 | Reelect Frank Schnewlin as Director | Management | For | For |
| 5.10 | Reelect Franziska Sauber as Director | Management | For | For |
| 5.11 | Reelect Klaus Tschuetscher as Director | Management | For | For |
| 5.12 | Appoint Henry Peter as Member of the Compensation Committee | Management | For | For |
| 5.13 | Appoint Frank Schnewlin as Member of the Compensation Committee | Management | For | For |
| 5.14 | Appoint Franziska Sauber as Member of the Compensation Committee | Management | For | For |
| 6 | Designate Andreas Zuercher as Independent Proxy | Management | For | For |
| 7 | Ratify PricewaterhouseCoopers AG as Auditors | Management | For | For |
| 8 | Transact Other Business (Voting) | Management | For | Against |

SWISS REINSURANCE (SCHWEIZERISCHE RUECKVERSICHERUNGS)

Ticker: SREN Security ID: H8431B109
 Meeting Date: APR 22, 2016 Meeting Type: ANNUAL
 Record Date:

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| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1.1 | Approve Remuneration Report | Management | For | For |
| 1.2 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2 | Approve Allocation of Income and Dividends of CHF 4.60 per Share | Management | For | For |
| 3 | Approve Variable Short-Term Remuneration of Executive Committee in the Amount of CHF 20.3 Million | Management | For | For |
| 4 | Approve Discharge of Board and Senior Management | Management | For | For |
| 5.1a | Reelect Walter Kielholz as Director and Board Chairman | Management | For | Against |
| 5.1b | Reelect Raymond Ch'ien as Director | Management | For | For |
| 5.1c | Reelect Renato Fassbind as Director | Management | For | For |
| 5.1d | Reelect Mary Francis as Director | Management | For | For |
| 5.1e | Reelect Rajna Gibson Brandon as Director | Management | For | For |
| 5.1f | Reelect Robert Henrikson as Director | Management | For | For |
| 5.1g | Reelect Trevor Manuel as Director | Management | For | For |
| 5.1h | Reelect Carlos Represas as Director | Management | For | For |
| 5.1i | Reelect Philip Ryan as Director | Management | For | For |
| 5.1j | Reelect Susan Wagner as Director | Management | For | For |
| 5.1k | Elect Paul Tucker as Director | Management | For | For |
| 5.2a | Appoint Renato Fassbind as Member of the Compensation Committee | Management | For | For |
| 5.2b | Appoint Robert Henrikson as Member of the Compensation Committee | Management | For | For |
| 5.2c | Appoint Carlos Represas as Member of the Compensation Committee | Management | For | For |
| 5.2d | Appoint Raymond Ch'ien as Member of the Compensation Committee | Management | For | For |
| 5.3 | Designate Proxy Voting Services GmbH as Independent Proxy | Management | For | For |
| 5.4 | Ratify PricewaterhouseCoopers AG as Auditors | Management | For | For |
| 6.1 | Approve Maximum Remuneration of Directors in the Amount of CHF 10.1 Million | Management | For | Against |
| 6.2 | Approve Maximum Fixed and Variable Long-Term Remuneration of Executive Committee in the Amount of CHF 34 Million | Management | For | For |
| 7 | Approve CHF 1.1 Million Reduction in Share Capital via Cancellation of Repurchased Shares | Management | For | For |
| 8 | Authorize Repurchase of up to CHF 1 Billion of Issued Share Capital | Management | For | For |
| 9 | Amend Articles Re: Financial Markets Infrastructure Act | Management | For | For |
| 10 | Transact Other Business (Voting) | Management | For | Against |

SYNGENTA AG

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Ticker: SYNN Security ID: H84140112
 Meeting Date: APR 26, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2 | Approve Remuneration Report (Non-Binding) | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4 | Approve CHF 36,750 Reduction in Share Capital via Cancellation of Treasury Shares | Management | For | For |
| 5.1 | Approve Allocation of Income and Dividends of CHF 11.00 per Share | Management | For | For |
| 5.2 | Approve Allocation of Special Dividend of CHF 5.00 per Share | Management | For | For |
| 6.1 | Reelect Vinita Bali as Director | Management | For | For |
| 6.2 | Reelect Stefan Borgas as Director | Management | For | For |
| 6.3 | Reelect Gunnar Brock as Director | Management | For | For |
| 6.4 | Reelect Michel Demare as Director | Management | For | Against |
| 6.5 | Reelect Eleni Gabre-Madhin as Director | Management | For | For |
| 6.6 | Reelect David Lawrence as Director | Management | For | For |
| 6.7 | Reelect Eveline Saupper as Director | Management | For | For |
| 6.8 | Reelect Juerg Witmer as Director | Management | For | For |
| 7 | Reelect Michel Demare as Board Chairman | Management | For | Against |
| 8.1 | Reelect Eveline Saupper as Member of the Compensation Committee | Management | For | For |
| 8.2 | Reelect Juerg Witmer as Member of the Compensation Committee | Management | For | For |
| 8.3 | Appoint Stefan Borgas as Member of the Compensation Committee | Management | For | For |
| 9 | Approve Remuneration of Directors in the Amount of CHF 4.5 Million | Management | For | For |
| 10 | Approve Remuneration of Executive Committee in the Amount of CHF 41 Million | Management | For | For |
| 11 | Designate Lukas Handschin as Independent Proxy | Management | For | For |
| 12 | Ratify KPMG AG as Auditors | Management | For | For |
| 13 | Transact Other Business (Voting) | Management | For | Against |

TECAN GROUP AG

Ticker: TECN Security ID: H84774167
 Meeting Date: APR 13, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---------------------------------|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and | Management | For | For |

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|------|---|------------|-----|---------|
| | Statutory Reports | | | |
| 2 | Approve Allocation of Income and Dividends of CHF 1.75 per Share | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4.1a | Reelect Heinrich Fischer as Director | Management | For | For |
| 4.1b | Reelect Oliver Fetzler as Director | Management | For | For |
| 4.1c | Reelect Lars Holmqvist as Director | Management | For | For |
| 4.1d | Reelect Karen Huebscher as Director | Management | For | For |
| 4.1e | Reelect Christa Kreuzburg as Director | Management | For | For |
| 4.1f | Reelect Gerard Vaillant as Director | Management | For | For |
| 4.1g | Reelect Rolf Classon as Director | Management | For | For |
| 4.2 | Elect Rolf Classon as Board Chairman | Management | For | For |
| 4.3a | Appoint Oliver Fetzler as Member of the Compensation Committee | Management | For | For |
| 4.3b | Appoint Christa Kreuzburg as Member of the Compensation Committee | Management | For | For |
| 4.3c | Appoint Gerard Vaillant as Member of the Compensation Committee | Management | For | For |
| 4.4 | Ratify Ernst & Young AG as Auditors | Management | For | For |
| 4.5 | Designate Proxy Voting Services GmbH as Independent Proxy | Management | For | For |
| 5.1 | Approve Remuneration Report | Management | For | For |
| 5.2 | Approve Remuneration of Directors in the Amount of CHF 1.45 Million | Management | For | For |
| 5.3 | Approve Remuneration of Executive Committee in the Amount of CHF 18.2 Million | Management | For | For |
| 6 | Extend Duration of CHF 220,000 Pool of Capital without Preemptive Rights | Management | For | For |
| 7 | Transact Other Business (Voting) | Management | For | Against |

UBS GROUP AG

Ticker: UBSG Security ID: H892U1882

Meeting Date: MAY 10, 2016 Meeting Type: ANNUAL

Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---|-------------|---------------------------|-----------|
| 1.1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 1.2 | Approve Remuneration Report | Management | For | Against |
| 2.1 | Approve Allocation of Income and Dividends of 0.60 per Share from Capital Contribution Reserves | Management | For | For |
| 2.2 | Approve Supplementary Dividends of CHF 0.25 per Share from Capital Contribution Reserves | Management | For | For |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4 | Approve Variable Remuneration of | Management | For | Against |

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| | Executive Committee in the Amount of CHF 71.25 Million | | | |
| 5 | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 28.5 Million | Management | For | For |
| 6.1.1 | Reelect Axel Weber as Director and Board Chairman | Management | For | For |
| 6.1.2 | Reelect Michel Demare as Director | Management | For | For |
| 6.1.3 | Reelect David Sidwell as Director | Management | For | For |
| 6.1.4 | Reelect Reto Francioni as Director | Management | For | For |
| 6.1.5 | Reelect Ann Godbehere as Director | Management | For | For |
| 6.1.6 | Reelect William Parrett as Director | Management | For | For |
| 6.1.7 | Reelect Isabelle Romy as Director | Management | For | For |
| 6.1.8 | Reelect Beatrice Weder di Mauro as Director | Management | For | For |
| 6.1.9 | Reelect Joseph Yam as Director | Management | For | For |
| 6.2.1 | Elect Robert Scully as Director | Management | For | For |
| 6.2.2 | Elect Dieter Wemmer as Director | Management | For | For |
| 6.3.1 | Appoint Ann Godbehere as Member of the Compensation Committee | Management | For | For |
| 6.3.2 | Appoint Michel Demare as Member of the Compensation Committee | Management | For | For |
| 6.3.3 | Appoint Reto Francioni as Member of the Compensation Committee | Management | For | For |
| 6.3.4 | Appoint William Parrett as Member of the Compensation Committee | Management | For | For |
| 7 | Approve Maximum Remuneration of Directors in the Amount of CHF 14 Million | Management | For | Against |
| 8.1 | Designate ADB Altorfer Duss & Beilstein AG as Independent Proxy | Management | For | For |
| 8.2 | Ratify Ernst & Young AG as Auditors | Management | For | For |
| 9 | Transact Other Business (Voting) | Management | For | Against |

VALIANT HOLDING AG

Ticker: VATN Security ID: H90203128
Meeting Date: MAY 18, 2016 Meeting Type: ANNUAL
Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|---|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2 | Approve Remuneration Report | Management | For | Against |
| 3 | Approve Discharge of Board and Senior Management | Management | For | For |
| 4 | Approve Allocation of Income and Dividends of CHF 3.60 per Share | Management | For | For |
| 5.1 | Approve Maximum Remuneration of Board of Directors in the Amount of CHF 1.7 Million | Management | For | For |
| 5.2 | Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 2.9 Million | Management | For | For |
| 5.3 | Approve Maximum Variable Remuneration of Executive Committee in the Amount of CHF 1.9 Million | Management | For | For |

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|-------|---|------------|-----|---------|
| 6.1.1 | Reelect Juerg Bucher as Director and Board Chairman | Management | For | For |
| 6.1.2 | Reelect Ivo Furrer as Director | Management | For | For |
| 6.1.3 | Reelect Barbara Artmann as Director | Management | For | For |
| 6.1.4 | Reelect Jean-Baptiste Beuret as Director | Management | For | For |
| 6.1.5 | Reelect Christoph Buehler as Director | Management | For | For |
| 6.1.6 | Reelect Andreas Huber as Director | Management | For | For |
| 6.1.7 | Reelect Franziska von Weissenfluh as Director | Management | For | For |
| 6.2 | Elect Othmar Stoeckli as Director | Management | For | For |
| 7.1 | Appoint Franziska von Weissenfluh as Member of the Compensation Committee | Management | For | For |
| 7.2 | Appoint Juerg Bucher as Member of the Compensation Committee | Management | For | For |
| 7.3 | Appoint Ivo Furrer as Member of the Compensation Committee | Management | For | For |
| 8 | Ratify PricewaterhouseCoopers AG as Auditors | Management | For | For |
| 9 | Designate Fellmann Tschuempferlin Loetscher AG as Independent Proxy | Management | For | For |
| 10 | Transact Other Business (Voting) | Management | For | Against |

VZ HOLDING AG

Ticker: VZN Security ID: H9239A103
 Meeting Date: APR 12, 2016 Meeting Type: ANNUAL
 Record Date:

| Proposal No | Proposal | Proposed By | Management Recommendation | Vote Cast |
|-------------|--|-------------|---------------------------|-----------|
| 1 | Accept Financial Statements and Statutory Reports | Management | For | For |
| 2 | Approve Discharge of Board and Senior Management | Management | For | For |
| 3 | Approve Allocation of Income and Dividends of CHF 4.20 per Share | Management | For | For |
| 4.1.1 | Reelect Fred Kindle as Director | Management | For | For |
| 4.1.2 | Reelect Roland Iff as Director | Management | For | For |
| 4.1.3 | Reelect Albrecht Langhart as Director | Management | For | For |
| 4.1.4 | Reelect Roland Ledergerber as Director | Management | For | For |
| 4.1.5 | Reelect Olivier de Perregaux as Director | Management | For | For |
| 4.2 | Reelect Fred Kindle as Board Chairman | Management | For | For |
| 4.3.1 | Appoint Fred Kindle as Member of the Compensation Committee | Management | For | For |
| 4.3.2 | Appoint Roland Ledergerber as Member of the Compensation Committee | Management | For | For |
| 5 | Designate Andreas Keller as Independent Proxy | Management | For | For |
| 6 | Ratify PricewaterhouseCoopers AG as Auditors | Management | For | For |
| 7.1 | Approve Remuneration of Directors in the Amount of CHF 345,000 | Management | For | For |

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| | | | | |
|-----|--|------------|-----|---------|
| 7.2 | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 4.4 Million | Management | For | For |
| 7.3 | Approve Variable Remuneration of Executive Committee in the Amount of CHF 2.04 Million | Management | For | For |
| 8 | Transact Other Business (Voting) | Management | For | Against |

END NPX REPORT

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

The Swiss Helvetia Fund, Inc.

By: /s/ William P. Sauer

William P. Sauer
Vice President

Date: August 11, 2016