Edgar Filing: VISX INC - Form 4

VISX INC Form 4 March 05, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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> Filed By Romeo and Dye's Section 16 Filer www.section16.net

1. Name and A Harner, Caro			me and Tic r porated (6. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last) (First) (Middle)				orting	ntification is g Person, voluntary)	Numbe	M	Statement for onth/Day/Year 8/03/03	Other (specify b			
	(Street)						5	If Amendment,	Development 7. Individual or Joint/Group Filing			
Santa Clara, (ate of Original Month/Day/Year)	(Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(Cit	Γ	able	I Non-I	Derivat	ive Se	Securities Acquired, Disposed of, or Beneficially Owned						
1. Title of Security (Instr. 3)	action	Execution Date,	3. Trans action C (Instr. 8 Code	ode	4. Securitie (A) or Disp (Instr. 3, 4 Amount	posed o		5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4)	6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially **FORM 4 (continued)**

(e.g., nuts, calls, warrants, ontions, convertible securities)

(c.g., puts, cans, warrants, options, convertible securities)													
1. Title of	2. Conver-	3. Trans-	3A.	4.	5. Number	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Natu		
Derivative	sion or	action	Deemed	Trans-	of	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indire		
Security	Exercise	Date	Execution	action	Derivative	Date	Underlying	Security	Securities	ship	Benefici		
	Price of		Date,	Code	Securities	(Month/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownersh		
(Instr. 3)	Derivative	(Month/	if any		Acquired	Year)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4)		
		-	(Month/	(Instr.	(A) or				Following	ative			
			-	8)	Disposed				Reported	Security:			
			Year)		of (D)				Transaction(s)	Direct			
									(Instr. 4)	(D)			
									ĺ				

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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						(Instr. 3 & 5)	3, 4						or Indirect		
				Code	V	(A)		Exer-cisable	Expira- tion Date		Amount or Number of Shares			(I) (Instr. 4)	
Stock Option (Right to Buy)	\$8.0300	03/03/03		A		9,376		(1)		Common Stock	9,376		182,457	D	
Stock Option (Right to Buy)	\$8.0300	03/03/03		A		20,624		(1)		Common Stock	20,624		203,081	D	

Explanation of Responses:

(1) Stock option granted under the VISX, Incorporated 2000 Stock Plan, which is a Rule 16b-3 plan. This option is exercisable upon vesting, and 25% of the shares subject to the option vest upon the first anniversary of the grant and 1/48th of the shares subject to the option vest at the end of each full month thereafter for 36 months.

By: /s/ <u>Carol F.H. Harner</u> Carol F.H. Harner 03/05/03 Date

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).