

VISX INC
Form 4
June 01, 2005

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
HARNER CAROL F H

(Last) (First) (Middle)

3400 CENTRAL EXPRESSWAY

(Street)

SANTA CLARA, CA 95051

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
VISX INC [EYE]

3. Date of Earliest Transaction
(Month/Day/Year)
05/27/2005

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)
Sr. VP, Research & Development

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	05/27/2005		D		1,793	D	<u>11</u> 0

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 30.5	05/27/2005		D		46,347		<u>(2)</u>	02/25/2009	Common Stock	46,347
Stock Option (Right to Buy)	\$ 25.8125	05/27/2005		D		8,998		<u>(3)</u>	02/17/2010	Common Stock	8,998
Stock Option (Right to Buy)	\$ 25.8125	05/27/2005		D		21,002		<u>(4)</u>	03/17/2010	Common Stock	21,002
Stock Option (Right to Buy)	\$ 15.74	05/27/2005		D		5,970		<u>(5)</u>	02/12/2011	Common Stock	5,970
Stock Option (Right to Buy)	\$ 15.74	05/27/2005		D		7,364		<u>(6)</u>	02/12/2011	Common Stock	7,364
Stock Option (Right to Buy)	\$ 15.14	05/27/2005		D		6,121		<u>(7)</u>	02/12/2012	Common Stock	6,121
Stock Option (Right to Buy)	\$ 15.14	05/27/2005		D		11,379		<u>(8)</u>	02/12/2012	Common Stock	11,379
Stock Option (Right to Buy)	\$ 8.03	05/27/2005		D		9,376		<u>(9)</u>	03/03/2013	Common Stock	9,376
Stock Option (Right to Buy)	\$ 8.03	05/27/2005		D		20,624		<u>(10)</u>	03/03/2013	Common Stock	20,624

Stock Option (Right to Buy)	\$ 21.06	05/27/2005	D	5,023	<u>(11)</u>	08/27/2013	Common Stock	5,023
Stock Option (Right to Buy)	\$ 21.06	05/27/2005	D	44,977	<u>(12)</u>	08/27/2013	Common Stock	44,977
Stock Option (Right to Buy)	\$ 19.73	05/27/2005	D	771	<u>(13)</u>	02/11/2014	Common Stock	771
Stock Option (Right to Buy)	\$ 19.73	05/27/2005	D	17,729	<u>(14)</u>	02/11/2014	Common Stock	17,729

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HARNER CAROL F H 3400 CENTRAL EXPRESSWAY SANTA CLARA, CA 95051			Sr. VP, Research & Development	

Signatures

Carol F.H.
Harner

06/01/2005

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposed of pursuant to merger agreement between issuer and Advanced Medical Optics, Inc. in exchange for 989 shares of Advanced Medical Optics, Inc. common stock on the effective date of the merger.
- (2) This option, which provided for vesting at 1/24th per month of the shares subject to the option vest beginning at the grant date of February 25, 1999, was canceled pursuant to the terms of the merger agreement.
- (3) This option, which provided for vesting at 25% of the shares subject to the option vest upon the first anniversary of the grant and 1/48 of the shares subject to the option vest at the end of each full month for 36 months following the initial grant date of February 17, 2000, was assumed by Advanced Medical Optics, Inc. in the merger and replaced with an option to purchase 5,778 shares of Advanced Medical Optics, Inc. common stock for \$40.20 per share.
- (4) This option, which provided for vesting at 25% of the shares subject to the option vest upon the first anniversary of the grant and 1/48 of the shares subject to the option vest at the end of each full month for 36 months following the initial grant date of February 17, 2000, was assumed by Advanced Medical Optics, Inc. in the merger and replaced with an option to purchase 13,488 shares of Advanced Medical Optics, Inc. common stock for \$40.20 per share.
- (5)

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This option, which provided for vesting at 25% of the shares subject to the option vest upon the first anniversary of the grant and 1/48 of the shares subject to the option vest at the end of each full month for 36 months following the initial grant date of February 12, 2001, was assumed by Advanced Medical Optics, Inc. in the merger and replaced with an option to purchase 3,834 shares of Advanced Medical Optics, Inc. common stock for \$24.51 per share.

- (6) This option, which provided for vesting at 25% of the shares subject to the option vest upon the first anniversary of the grant and 1/48 of the shares subject to the option vest at the end of each full month for 36 months following the initial grant date of February 12, 2001, was assumed by Advanced Medical Optics, Inc. in the merger and replaced with an option to purchase 4,729 shares of Advanced Medical Optics, Inc. common stock for \$24.52 per share.

- (7) This option, which provided for vesting at 25% of the shares subject to the option vest upon the first anniversary of the grant and 1/48 of the shares subject to the option vest at the end of each full month for 36 months following the initial grant date of February 12, 2002, was assumed by Advanced Medical Optics, Inc. in the merger and replaced with an option to purchase 3,931 shares of Advanced Medical Optics, Inc. common stock for \$23.58 per share.

- (8) This option, which provided for vesting at 25% of the shares subject to the option vest upon the first anniversary of the grant and 1/48 of the shares subject to the option vest at the end of each full month for 36 months following the initial grant date of February 12, 2002, was assumed by Advanced Medical Optics, Inc. in the merger and replaced with an option to purchase 7,308 shares of Advanced Medical Optics, Inc. common stock for \$23.58 per share.

- (9) This option, which provided for vesting at 25% of the shares subject to the option vest upon the first anniversary of the grant and 1/48 of the shares subject to the option vest at the end of each full month for 36 months following the initial grant date of March 3, 2003, was assumed by Advanced Medical Optics, Inc. in the merger and replaced with an option to purchase 6,021 shares of Advanced Medical Optics, Inc. common stock for \$12.51 per share.

- (10) This option, which provided for vesting at 25% of the shares subject to the option vest upon the first anniversary of the grant and 1/48 of the shares subject to the option vest at the end of each full month for 36 months following the initial grant date of March 3, 2003, was assumed by Advanced Medical Optics, Inc. in the merger and replaced with an option to purchase 13,245 shares of Advanced Medical Optics, Inc. common stock for \$12.51 per share.

- (11) This option, which provided for vesting at 25% of the shares subject to the option vest upon the first anniversary of the grant and 1/48 of the shares subject to the option vest at the end of each full month for 36 months following the initial grant date of August 27, 2003, was assumed by Advanced Medical Optics, Inc. in the merger and replaced with an option to purchase 3,226 shares of Advanced Medical Optics, Inc. common stock for \$32.80 per share.

- (12) This option, which provided for vesting at 25% of the shares subject to the option vest upon the first anniversary of the grant and 1/48 of the shares subject to the option vest at the end of each full month for 36 months following the initial grant date of August 27, 2003, was assumed by Advanced Medical Optics, Inc. in the merger and replaced with an option to purchase 28,886 shares of Advanced Medical Optics, Inc. common stock for \$32.80 per share.

- (13) This option, which provided for vesting at 25% of the shares subject to the option vest upon the first anniversary of the grant and 1/48 of the shares subject to the option vest at the end of each full month for 36 months following the initial grant date of February 11, 2004, was assumed by Advanced Medical Optics, Inc. in the merger and replaced with an option to purchase 495 shares of Advanced Medical Optics, Inc. common stock for \$30.74 per share.

- (14) This option, which provided for vesting at 25% of the shares subject to the option vest upon the first anniversary of the grant and 1/48 of the shares subject to the option vest at the end of each full month for 36 months following the initial grant date of February 11, 2004, was assumed by Advanced Medical Optics, Inc. in the merger and replaced with an option to purchase 11,386 shares of Advanced Medical Optics, Inc. common stock for \$30.73 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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