Edgar Filing: VISX INC - Form 4

VISX INC Form 4 March 05, 2003

# FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

### **OMB APPROVAL**

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1. Name and A Runkel, Jr., J			me <b>and</b> Tic r <b>porated</b> (			ng Symbol	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) c/o VISX, Inc. 3400 Central	of Reporting Person,					Statement for Ionth/Day/Year 3/03/03						
							Vice President and Secretary	Vice President, General Counsel and Secretary				
						If Amendment,	7. Individual or Joint/Group Filing					
Santa Clara, CA 95051								ate of Original Month/Day/Year)	(Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(Cit	y) (State)	(Zip)	Т	able	I Non-I	<b>Derivat</b>	ive Se	curities Acquired, Di	Disposed of, or Beneficially Owned			
1. Title of Security (Instr. 3)	action Date (Month/ Day/ Year)	Execution Date,	3. Trans action C (Instr. 8 Code	ode	4. Securitie (A) or Disp (Instr. 3, 4 Amount	osed o		5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4)	6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## **FORM 4 (continued)** Table II - Derivative Securities Acquired, Disposed of, or Beneficially

(e.g., puts, calls, warrants, options, convertible securities)

1 T	itle of	2. Conver-	3 Trans-	3Д	4	5 Number	6. Date Exercisable	7. Title and	8 Price of	9. Number of	10.	11. Natu
Der	ivative	sion or	action	Deemed	Trans-	of	and Expiration	Amount of	Derivative	Derivative		of Indire
Seci	urity	Exercise	Date	Execution	action	Derivative	Date	Underlying	Security	Securities	ship	Beneficia
		Price of		Date,	Code	Securities	(Month/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownersh
(Ins	tr. 3)	Derivative	(Month/	if any		Acquired	Year)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4)
			•	(Month/	(Instr.	(A) or				Following	ative	
			Year)	Day/	8)	Disposed				Reported	Security:	
				Year)		of (D)				Transaction(s)	Direct	
										(Instr. 4)	(D)	
		<b>!</b>							I		l	l

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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			Code	(Instr. 3 & 5) (A)	(D)	Exer-cisable	Expira- tion Date		Amount or Number of Shares		or Indirect (I) (Instr. 4)	
Stock Option (Right to Buy)	\$8.0300	03/03/03	A	11,721		(1)		Common Stock	11,721	101,721	D	
Stock Option (Right to Buy)	\$8.0300	03/03/03	A	25,779		(1)		Common Stock	25,779	127,500	D	

Explanation of Responses:

(1) Stock option granted under the VISX, Incorporated 2000 Stock Plan, which is a Rule 16b-3 plan. This option is exercisable upon vesting, and 25% of the shares subject to the option vest upon the first anniversary of the grant and 1/48th of the shares subject to the option vest at the end of each full month thereafter for 36 months.

By: /s/ John F. Runkel, Jr. John F. Runkel, Jr. 03/05/03 Date

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).