

CANCERVAX CORP
Form 4
June 16, 2005

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Expires: January 31, 2005
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
AKER HAZEL M

(Last) (First) (Middle)
2110 RUTHERFORD RD.
(Street)

CARLSBAD, CA 92008

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CANCERVAX CORP [CNVX]

3. Date of Earliest Transaction
(Month/Day/Year)
06/14/2005

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Sr. VP, General Counsel

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Code V Amount (D) Price		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 2.82	06/14/2005		A			35,000		06/14/2005 ⁽¹⁾	06/13/2015	Common Stock	35,000
Stock option (right to buy)	\$ 2.82	06/14/2005		A			15,000		07/14/2005 ⁽³⁾	06/13/2015	Common Stock	15,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
AKER HAZEL M 2110 RUTHERFORD RD. CARLSBAD, CA 92008			Sr. VP, General Counsel	

Signatures

/s/ Hazel M. Aker
Attorney-in-fact
06/16/2005

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All shares vest immediately upon disclosure of the final results from the Canvaxin(TM) MMAIT-III Phase 3 clinical trial in patients with Stage III melanoma.
- (2) Not applicable to this transaction.
- (3) 1/48 vest monthly beginning on July 14, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. m">1 NAME OF REPORTING PERSON:

NC Telecom Holding A/S (formerly known as Dangaard Holding A/S)

I.R.S. Identification No. Of Above Person (Entities Only): 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF GROUP (SEE INSTRUCTIONS):

(a) o (b) p 3 SEC USE ONLY: 4 SOURCE OF FUNDS: AF 5 CHECK BOX IF DISCLOSURE OF

LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e) **6** CITIZENSHIP OR PLACE OF ORGANIZATION Denmark **7** SOLE VOTING POWER: NUMBER OF **0** SHARES **8** SHARED VOTING POWER: BENEFICIALLY OWNED BY **0** EACH **9** SOLE DISPOSITIVE POWER: REPORTING PERSON **0** WITH: **10** SHARED DISPOSITIVE POWER: **0** **11** AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON: **0** **12** CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS): **13** PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11): **0%*** **14** TYPE OF REPORTING PERSON CO

* Based on 78,897,226 shares of common stock outstanding as of November 2, 2009 as reported in the Issuer's quarterly report on Form 10-Q filed on November 4, 2009.

CUSIP No. 109473405

NAME OF REPORTING PERSON:

1 Nordic Capital VI Alpha, L.P.

I.R.S. Identification No. of Above Person (Entities Only):

CHECK THE APPROPRIATE BOX IF A MEMBER OF GROUP (SEE INSTRUCTIONS):

2
(a)
(b)

SEC USE ONLY:

3

SOURCE OF FUNDS:

4
OO

CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO
ITEMS 2(d) OR 2(e)

5

CITIZENSHIP OR PLACE OF ORGANIZATION

6
Jersey, Channel Islands

SOLE VOTING POWER:

7

NUMBER OF 0

SHARED VOTING POWER:

8

SHARES BENEFICIALLY OWNED BY 4,139,993

EACH REPORTING PERSON	9	SOLE DISPOSITIVE POWER:	0
WITH:	10	SHARED DISPOSITIVE POWER:	4,139,993

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON:
4,139,993

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS):

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11):
5.2%*

14 TYPE OF REPORTING PERSON

PN

* Based on 78,897,226 shares of common stock outstanding as of November 2, 2009 as reported in the Issuer's quarterly report on Form 10-Q filed on November 4, 2009.

CUSIP No. 109473405

NAME OF REPORTING PERSON:

1 Nordic Capital VI Beta, L.P.

I.R.S. Identification No. of Above Person (Entities Only):

CHECK THE APPROPRIATE BOX IF A MEMBER OF GROUP (SEE INSTRUCTIONS):

2
(a)
(b)

SEC USE ONLY:

3

SOURCE OF FUNDS:

4
OO

CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO
ITEMS 2(d) OR 2(e)

5

CITIZENSHIP OR PLACE OF ORGANIZATION

6
Jersey, Channel Islands

SOLE VOTING POWER:

7

NUMBER OF 0

SHARED VOTING POWER:

8

SHARES BENEFICIALLY OWNED BY 4,863,427

EACH REPORTING PERSON	9	SOLE DISPOSITIVE POWER:	0
WITH:	10	SHARED DISPOSITIVE POWER:	4,863,427

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON:
4,863,427

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS):

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11):
6.2%*

14 TYPE OF REPORTING PERSON
PN

* Based on 78,897,226 shares of common stock outstanding as of November 2, 2009 as reported in the Issuer's quarterly report on Form 10-Q filed on November 4, 2009.

CUSIP No. 109473405

NAME OF REPORTING PERSON:

1 Nordic Wholesale Services Limited

I.R.S. Identification No. Of Above Person (Entities Only):

CHECK THE APPROPRIATE BOX IF A MEMBER OF GROUP (SEE INSTRUCTIONS):

2
(a)
(b)

SEC USE ONLY:

3

SOURCE OF FUNDS:

4
AF

CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO
ITEMS 2(d) OR 2(e)

5

CITIZENSHIP OR PLACE OF ORGANIZATION

6
Jersey, Channel Islands

SOLE VOTING POWER:

7

NUMBER OF 0

SHARED VOTING POWER:

8

SHARES BENEFICIALLY OWNED BY 9,187,164

EACH REPORTING PERSON	9	SOLE DISPOSITIVE POWER:	0
WITH:	10	SHARED DISPOSITIVE POWER:	9,187,164

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON:
9,187,164

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS):

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11):
11.6%*

14 TYPE OF REPORTING PERSON
CO

* Based on 78,897,226 shares of common stock outstanding as of November 2, 2009 as reported in the Issuer's quarterly report on Form 10-Q filed on November 4, 2009.

CUSIP No. 109473405

NAME OF REPORTING PERSON:

1 Nordic Wholesale Services S.a.r.l.

I.R.S. Identification No. Of Above Person (Entities Only):

CHECK THE APPROPRIATE BOX IF A MEMBER OF GROUP (SEE INSTRUCTIONS):

2
(a)
(b)

SEC USE ONLY:

3

SOURCE OF FUNDS:

4
AF

CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO
ITEMS 2(d) OR 2(e)

5

CITIZENSHIP OR PLACE OF ORGANIZATION

6
Luxembourg

SOLE VOTING POWER:

7

NUMBER OF 0

SHARED VOTING POWER:

8

SHARES BENEFICIALLY OWNED BY 0

EACH REPORTING PERSON	9	SOLE DISPOSITIVE POWER:	0
WITH:	10	SHARED DISPOSITIVE POWER:	0

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON:
0

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS):

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11):
0*

14 TYPE OF REPORTING PERSON
CO

* Based on 78,897,226 shares of common stock outstanding as of November 2, 2009 as reported in the Issuer's quarterly report on Form 10-Q filed on November 4, 2009.

CUSIP No. 109473405

NAME OF REPORTING PERSON:

1 Partner Escrow Holding A/S

I.R.S. Identification No. Of Above Person (Entities Only):

CHECK THE APPROPRIATE BOX IF A MEMBER OF GROUP (SEE INSTRUCTIONS):

2
(a)
(b)

SEC USE ONLY:

3

SOURCE OF FUNDS:

4
AF

CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e)

5

CITIZENSHIP OR PLACE OF ORGANIZATION

6
Denmark

SOLE VOTING POWER:

7

NUMBER OF 0

SHARED VOTING POWER:

8

SHARES BENEFICIALLY OWNED BY 9,187,164

EACH REPORTING PERSON	9	SOLE DISPOSITIVE POWER:	0
WITH:	10	SHARED DISPOSITIVE POWER:	9,187,164

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON:
9,187,164

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS):

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11):
11.6%*

14 TYPE OF REPORTING PERSON
CO

* Based on 78,897,226 shares of common stock outstanding as of November 2, 2009 as reported in the Issuer's quarterly report on Form 10-Q filed on November 4, 2009.

This Amendment No. 6 hereby amends and supplements Items 4, 5, 6 and 7 of the statement of beneficial ownership on Schedule 13D (as amended, the Schedule 13D) relating to the shares of common stock, par value \$0.01 per share (the Common Stock), of Brightpoint, Inc., an Indiana corporation (Brightpoint), filed on August 10, 2007 and amended on June 29, 2009, July 22, 2009, July 31, 2009, October 7, 2009 and November 17, 2009 by and on behalf of the Reporting Persons. Unless otherwise indicated herein, terms used but not defined in this Amendment No. 6 have the same meanings ascribed to them in the initial Schedule 13D, as amended.

Item 4. Purpose of Transaction.

The information set forth in Item 4 is hereby amended and supplemented with the following:

On January 11, 2010, Partner Escrow Holding A/S (PEH) agreed to sell to Brightpoint the 9,187,164 shares of Brightpoint Common Stock held by PEH and reported on this Schedule 13D. See Item 6 for a summary of this agreement.

Item 5. Interest in Securities of the Issuer.

The information set forth in Item 5(a) and (b) is hereby amended and supplemented with the following:

Pursuant to the restructuring undertaken by Nordic Wholesale Services S.a.r.l., Nordic Wholesale Services S.a.r.l. assigned its interests in the claim held by it on PEH to Nordic Wholesale Services Limited. Such claim may be settled in cash or in the shares of Brightpoint Common Stock held by PEH. As a result of the agreement by PEH to sell the Brightpoint Common Stock held by it, the claim will be settled in cash. As a result of the assignment, Nordic Wholesale Services S.a.r.l. does not beneficially own any Brightpoint Common Stock.

Item 6. Contracts, Agreements, Understandings or Relationships With Respect to Securities of the Issuer.

The information set forth in Item 6 is hereby amended and supplemented with the following:

On January 11, 2010, Brightpoint and PEH entered into an Agreement of Purchase and Sale (the Purchase Agreement), pursuant to which PEH agreed to sell to Brightpoint the 9,187,164 shares of Brightpoint Common Stock held by PEH for a total purchase price of \$56,960,416.80 in cash, or \$6.20 per share. The Purchase Agreement requires the transaction to close on January 15, 2010.

This description of the Purchase Agreement does not purport to be complete and is qualified in its entirety by reference to the text of such agreement, which is included as Exhibit 10 hereto and incorporated herein by reference.

Item 7. Material to be Filed as Exhibits.

1. Joint Filing Agreement, dated as of October 7, 2009, by and among the Reporting Persons (incorporated by reference to Exhibit 1 to Amendment No. 4 of the Schedule 13D filed by the Reporting Persons on October 7, 2009).
2. Stock Purchase Agreement, dated as of February 19, 2007, by and among Dangaard Holding A/S, a Danish company, Dangaard Telecom A/S, a Danish company and a wholly owned subsidiary of Dangaard Holding A/S, Brightpoint, Inc., an Indiana corporation, and, for purposes of Sections 6.16 and 12.4 only, Nordic Capital Fund VI (consisting of: Nordic Capital VI Alpha, L.P. and Nordic Capital VI Beta, L.P., Jersey limited partnerships acting through their general partner, Nordic Capital VI Limited, a Jersey company, NC VI Limited, a Jersey company, and Nordic Industries Limited, a Jersey company) and First, Second and Third Amendments thereto (incorporated by reference to Annex A to Brightpoint, Inc.'s Definitive Proxy Statement on Schedule 14A filed on June 20, 2007).
3. Shareholder Agreement, dated as of July 31, 2007, by and between Brightpoint, Inc. and Dangaard Holding A/S (incorporated by reference to Exhibit 4.1 to Brightpoint, Inc.'s Current Report on Form 8-K filed on August 2, 2007).
4. Registration Rights Agreement, dated as of July 31, 2007, among Brightpoint, Inc. and Dangaard Holding A/S (incorporated by reference to Exhibit 4.2 to Brightpoint, Inc.'s Current Report on Form 8-K filed on August 2, 2007).
5. Escrow Agreement, dated as of July 31, 2007, by and among Brightpoint, Inc., Dangaard Holding A/S, and American Stock Transfer & Trust Company, as escrow agent (incorporated by reference to Exhibit 10.3 to Brightpoint, Inc.'s Current Report on Form 8-K filed on August 2, 2007).
6. Underwriting Agreement, dated as of July 15, 2009, among Brightpoint, Inc., NC Telecom Holding A/S, and Deutsche Bank Securities Inc. (incorporated by reference to Exhibit 1.1 to Brightpoint, Inc.'s Current Report on Form 8-K filed on July 21, 2009).
7. Settlement Agreement, dated as of October 1, 2009, by and among Brightpoint, Inc., NC Telecom Holding A/S, Nordic Wholesale Services S.a.r.l., the beneficial owner of NC Holding, and Nordic Capital Fund VI (consisting of Nordic Capital VI Alpha, L.P., Nordic Capital VI Beta, L.P., Nordic Capital VI Limited, NC VI Limited and Nordic Industries Limited) (incorporated by reference to Exhibit 7 to Amendment No. 4 of the Schedule 13D filed by the Reporting Persons on October 7, 2009).
8. Assignment Letter, dated as of November 13, 2009, by NC Telecom Holding A/S (incorporated by reference to Exhibit 8 to Amendment No. 5 of the Schedule 13D filed by the Reporting Persons on November 17, 2009).

9. Joinder Agreement, dated as of November 13, 2009, by Partner Escrow Holding A/S (incorporated by reference to Exhibit 9 to Amendment No. 5 of the Schedule 13D filed by the Reporting Persons on November 17, 2009).
10. Agreement of Purchase and Sale, dated as of January 11, 2010, by and among Brightpoint, Inc. and Partner Escrow Holding A/S.*

* Filed herewith.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: January 13, 2010

NORDIC CAPITAL VI LIMITED

By: /s/ Andrew Bennett

Name: Andrew Bennett
Title: Director

NORDIC CAPITAL VI ALPHA, L.P.

By: NORDIC CAPITAL VI LIMITED
Its General Partner

By: /s/ Andrew Bennett

Name: Andrew Bennett
Title: Director

NORDIC CAPITAL VI BETA, L.P.

By: NORDIC CAPITAL VI LIMITED
Its General Partner

By: /s/ Andrew Bennett

Name: Andrew Bennett
Title: Director

NORDIC WHOLESALE SERVICES LIMITED

By: /s/ Andrew Bennett

Name: Andrew Bennett
Title: Director

NORDIC WHOLESALE SERVICES S.A.R.L.

By: /s/ Ingrid Moinet

Name: Ingrid Moinet
Title: Class A Manager

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PARTNER ESCROW HOLDING A/S

By: /s/ Steen Pedersen

Name: Steen Pedersen
Title: Director

NC TELECOM HOLDING A/S

By: /s/ Michael Haaning

Name: Michael Haaning
Title: Director

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