### Edgar Filing: CHART INDUSTRIES INC - Form 3

#### **CHART INDUSTRIES INC**

Form 3 July 25, 2006

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*

BIEHL MICHAEL F

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

07/25/2006

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

CHART INDUSTRIES INC [GTLS]

ONE INFINITY CORPORATE

CENTRE DRIVE, SUITE 300

(Street)

Person(s) to Issuer

4. Relationship of Reporting

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

#### **GARFIELD** HEIGHTS. OHÂ 44125

(City) (State)

1. Title of Security

(Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned

(Instr. 4)

Ownership Form:

Exec VP, CFO and Treasurer

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Â Common Stock D 24,505

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Title

Derivative Security: Direct (D) Security

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	Date Exercisable	Expiration Date		Amount or Number of Shares	or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy) (1)	(1)	11/23/2015	Common Stock	72,298 (2) \$ 6.41 (2)	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BIEHL MICHAEL F ONE INFINITY CORPORATE CENTRE DRIVE SUITE 300 GARFIELD HEIGHTS, OH 44125	Â	Â	Exec VP, CFO and Treasurer	Â

## **Signatures**

/s/ Arthur C. Hall III as attorney-in-fact for Michael F. Biehl 07/25/2006 under Power of Attorney

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options were granted on November 23, 2005 pursuant to the terms of the Chart Industries Inc. Amended and Restated 2005 Stock Incentive Plan. The options vest annually in equal installments over five years based on continued service.
  - The number of underlying securities is based on a 10.1088-for-one adjustment for the shares underlying options, which will occur immediately prior to the closing of the Company's initial public offering and assumes a pricing at the \$20.00 mid-point of an estimated price range of between \$19.00 and \$21.00. This adjustment ratio, and consequently the number of underlying securities, is subject to
- change upon pricing of the Company's initial public offering. A \$1.00 increase in the offering price to \$21.00 per share would result in an increase of the cumulative adjustment ratio to approximately 10.1301-for-one, and a \$1.00 decrease in the offering price to \$19.00 per share would result in a reduction of the cumulative adjustment ratio to approximately 10.0853-for-one. The exercise price of the derivative security will be adjusted in accordance with the adjustment ratio. The final options (if different than reported herein) will be reflected in future filings made by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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