

CHART INDUSTRIES INC

Form 3

July 25, 2006

**FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Hoppel James H JR

(Last)

(First)

(Middle)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

07/25/2006

3. Issuer Name **and** Ticker or Trading Symbol  
CHART INDUSTRIES INC [GTLS]4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)ONE INFINITY CORPORATE  
CENTRE DR.,Â SUITE 300

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other  
(give title below) (specify below)

Chief Accounting Officer

6. Individual or Joint/Group  
Filing(Check Applicable Line)☒ Form filed by One Reporting  
Person☐ Form filed by More than One  
Reporting Person

GARFIELD

HEIGHTS,Â OHÂ 44125

(City)

(State)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)Date  
ExercisableExpiration  
Date3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)

Title

Amount or  
Number of  
Shares4. Conversion  
or Exercise  
Price of  
Derivative  
Security5. Ownership  
Form of  
Derivative  
Security:  
Direct (D)  
or Indirect  
(I)6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)

(Instr. 5)

Stock Option (Right to Buy) <sup>(1)</sup>	Â <u>  </u>	11/23/2015	Common Stock	24,099 <sup>(2)</sup>	\$ 6.41 <sup>(2)</sup>	D	Â
Stock Option (Right to Buy) <sup>(3)</sup>	Â <u>  </u>	04/27/2016	Common Stock	3,184 <sup>(2)</sup>	\$ 11.98 <sup>(2)</sup>	D	Â

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer

Other

Hoppel James H JR

ONE INFINITY CORPORATE CENTRE DR.

SUITE 300

GARFIELD HEIGHTS, OH 44125

Â Â Â Chief Accounting Officer Â

## Signatures

/s/ Arthur C. Hall III as attorney-in-fact for James H. Hoppel, Jr. under Power of Attorney

07/25/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) These options were granted on November 23, 2005 pursuant to the terms of the Chart Industries Inc. Amended and Restated 2005 Stock Incentive Plan. The options vest annually in equal installments over five years based on continued service.

- (2) The number of underlying securities is based on a 10.1088-for-one adjustment for the shares underlying options, which will occur immediately prior to the closing of the Company's initial public offering and assumes a pricing at the \$20.00 mid-point of an estimated price range of between \$19.00 and \$21.00. This adjustment ratio, and consequently the number of underlying securities, is subject to change upon pricing of the Company's initial public offering. A \$1.00 increase in the offering price to \$21.00 per share would result in an increase of the cumulative adjustment ratio to approximately 10.1301-for-one, and a \$1.00 decrease in the offering price to \$19.00 per share would result in a reduction of the cumulative adjustment ratio to approximately 10.0853-for-one. The exercise price of the derivative security will be adjusted in accordance with the adjustment ratio. The final options (if different than reported herein) will be reflected in future filings made by the reporting person.

- (3) These options were granted on April 27, 2006 pursuant to the terms of the Chart Industries Inc. Amended and Restated 2005 Stock Incentive Plan. The options vest annually in equal installments over five years based on continued service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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