#### HANMI FINANCIAL CORP

Form 4 April 27, 2009

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

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January 31, Expires: 2005

**OMB APPROVAL** 

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Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Stolte William Joseph

2. Issuer Name and Ticker or Trading

Symbol HANMI FINANCIAL CORP Issuer

below)

(Check all applicable)

(Last)

(City)

(First) (Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year)

X\_ Director 10% Owner Officer (give title Other (specify

5. Relationship of Reporting Person(s) to

3660 WILSHIRE BLVD. PH-A

(Street)

(State)

04/22/2009

[HAFC]

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

LOS ANGELES, CA 90010

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 6. Ownership 7. Nature of 2. Transaction Date 2A. Deemed 1.Title of 3. 4. Securities Acquired 5. Amount of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect Beneficially (Instr. 3) Code Beneficial (D) (D) or any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Ownership Indirect (I) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s)

Amount

or (Instr. 3 and 4) (D) Price

Common

Stock Grant 04/22/2009 \$0 D A 15,000 15,000

Code V

(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: HANMI FINANCIAL CORP - Form 4

| Derivative      | Conversion  | (Month/Day/Year) | Execution Date, if | TransactionDerivative |                | Expiration Date  |                 | Underlying Securities |                       |
|-----------------|-------------|------------------|--------------------|-----------------------|----------------|------------------|-----------------|-----------------------|-----------------------|
| Security        | or Exercise |                  | any                | Code                  | Securities     | (Month/Day/Year  | r)              | (Instr. 3 and         | 4)                    |
| (Instr. 3)      | Price of    |                  | (Month/Day/Year)   | (Instr. 8)            | Acquired (A)   |                  |                 |                       |                       |
|                 | Derivative  |                  |                    |                       | or Disposed of | f                |                 |                       |                       |
|                 | Security    |                  |                    |                       | (D)            |                  |                 |                       |                       |
|                 |             |                  |                    |                       | (Instr. 3, 4,  |                  |                 |                       |                       |
|                 |             |                  |                    |                       | and 5)         |                  |                 |                       |                       |
|                 |             |                  |                    |                       |                | Date Exercisable | Expiration Date | Title                 | Amoun<br>or<br>Number |
|                 |             |                  |                    | Code V                | (A) (D)        | )                |                 |                       | of Share              |
| Stock<br>Option | \$ 1.57     | 04/22/2009       |                    | A                     | 20,000         | 04/22/2010(2)    | 04/21/2019      | Common<br>Stock       | 20,00                 |

5. Number of

Date Exercisable and

7. Title and Amount of

### **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other Stolte William Joseph 3660 WILSHIRE BLVD. PH-A X LOS ANGELES, CA 90010

3. Transaction Date 3A. Deemed

# **Signatures**

1. Title of 2.

/s/William Joseph 04/27/2009 Stolte Date

\*\*Signature of Reporting

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A stock bonus award with vesting over five years from the grant date, April 22, 2009.
- (2) 20% of stock options will be vested on each anniversary date for the next five years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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