PATRIOT NATIONAL BANCORP INC Form 3 October 22, 2010 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Carrazza Michael A.	2. Date of Event Requiring Statement (Month/Day/Year)	g 3. Issuer Name and Ticker or Trading Symbol PATRIOT NATIONAL BANCORP INC [PNBK]				
(Last) (First) (Middle)	10/15/2010	4. Relationship of Reporting Person(s) to Issuer (Check all applicable) OfficerOther (give title below) (specify below)		5. If Amendment, Date Original Filed(Month/Day/Year)		
C/O PATRIOT NATIONAL BANCORP, INC., 900 BEDFORD STREET (Street) STAMFORD, CT 06901				Owner 6. Individual or Joint/Group		
(City) (State) (Zip)	Table I - N	Non-Derivat	ive Securiti	es Beneficially Owned		
1.Title of Security (Instr. 4)	2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock	33,600,000	0 (1)	Ι	By PNBK Holdings LLC (2)		
information cor required to resp	each class of securities benefic espond to the collection of tained in this form are not bond unless the form displ OMB control number.	:	EC 1473 (7-02)		
	curities Beneficially Owned (e	.g., puts, calls,	warrants, opt	ions, convertible securities)		

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
			Derivative	Security:	

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Date	Expiration	Title	Amount or	Security	Direct (D)
Exercisable	Date		Number of		or Indirect
			Shares		(I)
					(Instr. 5)

Reporting Owners

Reporting Owner Name / Address		Relationships				
		Director	10% Owner	Officer	Other	
Carrazza Michael A. C/O PATRIOT NATIONAL BANCORP, INC. 900 BEDFORD STREET STAMFORD, CT 06901		ÂX	ÂX	Â	Â	
Signatures						
/s/ Michael A. Carrazza	10/19/2010					
<u>**</u> Signature of Reporting Person	Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquisition of shares by PNBK Holdings LLC ("Holdings").
- Mr. Carrazza is the managing member of PNBK Sponsor LLC, which is the managing member of Holdings; therefore, Mr. Carrazza may (2)be deemed to indirectly beneficially own the shares acquired by Holdings.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.