

AUTOZONE INC
Form 4
December 09, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
CROWLEY WILLIAM C

(Last) (First) (Middle)

200 GREENWICH AVENUE

(Street)

GREENWICH, CT 06830

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
AUTOZONE INC [AZO]

3. Date of Earliest Transaction
(Month/Day/Year)
12/07/2011

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	12/07/2011		S	85	D \$ 334.19 (1)	13,348	I See Footnotes (2) (3)
Common Stock, par value \$0.01 per share	12/07/2011		S	29	D \$ 335.06 (4)	13,319	I See Footnotes (2) (3)
Common Stock, par	12/08/2011		S	87	D \$ 331.39	13,232	I See Footnotes

Edgar Filing: AUTOZONE INC - Form 4

value				<u>(5)</u>			<u>(2)</u> <u>(3)</u>
\$0.01 per share							
Common Stock, par value	12/08/2011	S	22	D	\$ 332.52	13,210	See Footnotes
\$0.01 per share					<u>(6)</u>		<u>(2)</u> <u>(3)</u>
Common Stock, par value	12/08/2011	S	33	D	\$ 333.59	13,177	See Footnotes
\$0.01 per share					<u>(7)</u>		<u>(2)</u> <u>(3)</u>
Common Stock, par value	12/08/2011	S	1	D	\$ 334.1	13,176	See Footnotes
\$0.01 per share							<u>(2)</u> <u>(3)</u>
Common Stock, par value	12/09/2011	S	480	D	\$ 330.25	12,696	See Footnotes
\$0.01 per share					<u>(8)</u>		<u>(2)</u> <u>(3)</u>
Common Stock, par value	12/09/2011	S	11	D	\$ 331.17	12,685	See Footnotes
\$0.01 per share					<u>(9)</u>		<u>(2)</u> <u>(3)</u>
Common Stock, par value	12/07/2011	S	112	D	\$ 334.19	7,646	See Footnotes
\$0.01 per share					<u>(1)</u>		<u>(3)</u> <u>(10)</u>
Common Stock, par value	12/07/2011	S	38	D	\$ 335.06	7,608	See Footnotes
\$0.01 per share					<u>(4)</u>		<u>(3)</u> <u>(10)</u>
Common Stock, par value	12/07/2011	S	1	D	\$ 338.07	7,607	See Footnotes
\$0.01 per share					<u>(11)</u>		<u>(3)</u> <u>(10)</u>
Common Stock, par value	12/08/2011	S	56	D	\$ 331.39	7,551	See Footnotes
					<u>(5)</u>		<u>(3)</u> <u>(10)</u>

Edgar Filing: AUTOZONE INC - Form 4

\$0.01 per share									
Common Stock, par value \$0.01 per share	12/08/2011	S	14	D	\$ 332.52 (6)	7,537	I	See Footnotes (3) (10)	
Common Stock, par value \$0.01 per share	12/08/2011	S	22	D	\$ 333.59 (7)	7,515	I	See Footnotes (3) (10)	
Common Stock, par value \$0.01 per share	12/09/2011	S	288	D	\$ 330.25 (8)	7,227	I	See Footnotes (3) (10)	
Common Stock, par value \$0.01 per share	12/09/2011	S	6	D	\$ 331.17 (9)	7,221	I	See Footnotes (3) (10)	
Common Stock, par value \$0.01 per share						690	D (3) (12)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
				Code V	(A) (D)		Title		

Date Exercisable	Expiration Date	Amount or Number of Shares
---------------------	--------------------	--

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
CROWLEY WILLIAM C 200 GREENWICH AVENUE GREENWICH, CT 06830	X

Signatures

/s/ William C.
Crowley

12/09/2011

_____*Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This price represents the approximate weighted average price per share of common stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each, a "Share"), of sales that were executed at prices ranging from \$333.79 to \$334.63 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- (2) These Shares are held by Tynan, LLC, a limited liability company of which William C. Crowley is the manager and a member.
- (3) This Form 4 is filed on behalf of Mr. Crowley. Mr. Crowley is the President and Chief Operating Officer of ESL Investments, Inc. ("Investments") which together with various of its affiliates beneficially owns securities of the Issuer. Mr. Crowley disclaims beneficial ownership of all securities of the Issuer beneficially owned by Investments.
- (4) This price represents the approximate weighted average price per Share, of sales that were executed at prices ranging from \$334.90 to \$335.31 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- (5) This price represents the approximate weighted average price per Share, of sales that were executed at prices ranging from \$331.00 to \$331.99 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- (6) This price represents the approximate weighted average price per Share, of sales that were executed at prices ranging from \$332.00 to \$332.87 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- (7) This price represents the approximate weighted average price per Share, of sales that were executed at prices ranging from \$333.03 to \$334.00 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- (8) This price represents the approximate weighted average price per Share, of sales that were executed at prices ranging from \$330.00 to \$330.99 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- (9) This price represents the approximate weighted average price per Share, of sales that were executed at prices ranging from \$331.00 to \$331.73 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

Edgar Filing: AUTOZONE INC - Form 4

- (10) These Shares are held in a grantor retained annuity trust, of which Mr. Crowley is the trustee.
- This price represents the approximate weighted average price per Share, of sales that were executed at prices ranging from \$338.00 to \$338.11 per Share. The Reporting Persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- (11)
- (12) These Shares are held directly by Mr. Crowley.

Remarks:

Pursuant to Rule 16a-1(a)(2)(ii)(B) under the Securities Exchange Act of 1934, as amended (the "Act"), each of the Reporting

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.