## Edgar Filing: Montone William T. - Form 4

Montone Will Form 4											
November 08	Л									PPROVAL	
	UNITEDS	TATES	S SECURITIES AND EXCHANGE COMMI Washington, D.C. 20549						OMB Number:	3235-0287	
Check this if no longe subject to Section 16 Form 4 or	s box er STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF 5. SECURITIES								Expires:January 3 200Estimated average burden hours per response0		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type Ro	esponses)										
1. Name and Address of Reporting Person <u>*</u> Montone William T.			2. Issuer Name <b>and</b> Ticker or Trading Symbol BROOKS AUTOMATION INC [BRKS]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 15 ELIZABETH DRIVE			3. Date of Earliest Transaction (Month/Day/Year) 11/06/2012					Director 10% Owner X Officer (give title Other (specify below) below) SVP, Human Resources			
				ndment, Dat th/Day/Year)	-			<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>			
CHELMSFO	RD, MA 01824							Form filed by M Person	More than One Ro	eporting	
(City)	(State) (	Zip)	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	any		emed on Date, if Day/Year)	3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)			Securities I Beneficially ( Owned I Following (	5. Ownership Form: Direct D) or Indirect (I) Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common				Code V	Amount 1,323	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Stock	11/06/2012			D	<u>(1)</u>	D	\$0	146,839	D		
Common Stock	11/06/2012			F	2,433 (2)	D	\$ 7.8	144,406	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
L O	Director	10% Owner	Officer	Other				
Montone William T. 15 ELIZABETH DRIVE CHELMSFORD, MA 01824			SVP, Human Resources					
Signatures								

/s/ Jason W. Joseph, attorney-in-fact for William T. Montone

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

An initial grant of 9,000 shares reported on a Form 4 dated February 8, 2010 contained performance-based vesting. That grant vested on
 (1) November 6, 2012 at 85.3%, or 7,677 shares, based on the achievement of the performance criteria. This transaction represents the forfeiture of the remaining 14.7%, or 1,323 shares, that did not vest

(2) Forfeiture of shares by the reporting person to satisfy the reporting person's obligations with respect to withholding taxes in connection with the vesting on November 6, 2012 of 7,677 shares of restricted stock held by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date

11/08/2012