Edgar Filing: AVIV REIT, INC. - Form 4

AVIV REIT Form 4										
November FORN Check t if no lor subject Section Form 4 Form 5 obligati may cor <i>See</i> Inst 1(b).	Wa F CHAN Section 1 Public U	RITIES A ashington NGES IN SECUI 16(a) of th Julity Hol nvestmen	N OMB Number: Expires: Estimated burden hou response	urs per						
(Print or Type	Responses)									
1. Name and Address of Reporting Person <u>*</u> Lyman James H			2. Issuer Name and Ticker or Trading Symbol AVIV REIT, INC. [AVIV]				5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) C/O AVIV REIT, INC., 303 W. MADISON, SUITE 2400			3. Date of Earliest Transaction (Month/Day/Year) 11/08/2013				(Check all applicable) <u></u> Director <u></u> 10% Owner <u></u> Officer (give title <u></u> Other (specify below) CFO and Treasurer			
(Street) CHICAGO, IL 60606			4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
(City)	(State)	(Zip)	Tab	le I - Non-J	Derivative	Securities A	Person	of, or Beneficia	llv Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/Da	ed Date, if	3.	4. Securit mAcquired Disposed (Instr. 3, 4	ies (A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
Reminder: Re	port on a separate line	e for each cl	ass of sec	urities bene	Perso inform requir	ns who res nation cont red to resp ays a curre	or indirectly. spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Pr
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Deriv
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Secu

Edgar Filing: AVIV REIT, INC. - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				(Inst		
				Code V	/ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(1)	11/08/2013		D		5,714	(2)	(2)	Common Stock	5,714	\$

Reporting Owners

Reporting Owner Name / Addres	s	Relationships						
	Director	10% Owner	Officer	Other				
Lyman James H C/O AVIV REIT, INC. 303 W. MADISON, SUITE 24 CHICAGO, IL 60606	00		CFO and Treasurer					
Signatures								
/s/ James H.	/18/2012							

11/18/2013 Lyman **Signature of

Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of common stock of Aviv REIT, Inc.

The Restricted Stock Units would have vested in 50% increments on each of December 31, 2014 and December 31, 2015 and would have

vested in full upon a change of control as defined in the Aviv REIT, Inc. 2013 Long-Term Incentive Plan, subject in each case to the (2) holder not incurring a termination through the applicable vesting date. The reporting person incurred a termination on November 8, 2013 and, accordingly, such Restricted Stock Units were forfeited.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.