## Edgar Filing: NanoString Technologies Inc - Form 4

NanoString Technologies Inc Form 4 January 03, 2014

Check this box if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.       Expires:       200 200 200 200 200 200 200 200 200 200	January 03, 2014										
UNITED STATES AND EXCHAINGE COMMINSTON, OMB 3235-028         Washington, D.C. 20549         OMB 3235-028         STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.         STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.         STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.         STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.         Statement OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.         Statement OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.         Statement Of CHANGES IN BENEFICIAL OWNERSHIP OF Section 17.(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940         Itemated average burden hours per response         State Name and Ticker or Trading Symbol         State Name and Ticker or Trading Symbol         Name and Address of Reporting Person 1         (Print or Type Responses)         1. Name and Address of Reporting Person 2         (Item 16. Individual or Joint/Group Filing(Check All applicable)         (Last)         (Item 2.         (Item 2.         (Item 2.         (Item 2.         (Item 2.	FORM 4									PPROVAL	
if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.       Expires: 200 Estimated average burden hours per response         Form 4 or Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).         (Print or Type Responses)       1. Name and Address of Reporting Person 2 Symbol       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)			STATES					E COMMISSIO	ONID	3235-0287	
1. Name and Address of Reporting Person <sup>*</sup> 2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       5. Relationship of Reporting Person(s) to Issuer         C/O CLARUS VENTURES       3. Date of Earliest Transaction (Month/Day/Year)	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						Estimated burden hou response	urs per			
KURUVILLA FINNY       Symbol       Issuer         NanoString Technologies Inc       (Check all applicable)         (Last)       (First)       (Middle)         3. Date of Earliest Transaction      XDirector         (Month/Day/Year)      Officer (give title)         C/O CLARUS VENTURES       01/01/2014         LLC, 101 MAIN STREET, SUITE       01/01/2014         (Street)       4. If Amendment, Date Original         Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check         Applicable Line)      X_from filed by One Reporting Person         CAMBRIDGE, MA 02142       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         1. Title of       2. Transaction Date 2A. Deemed       3.       4. Securities       5. Amount of       6. Ownership 7. Nature of	(Print or Type Respor	nses)									
C/O CLARUS VENTURES       (Month/Day/Year)      Officer (give titleOther (specify below)         C/O CLARUS VENTURES       01/01/2014				Symbol NanoString Technologies Inc			Issuer				
Filed(Month/Day/Year)       Applicable Line)         _X_ Form filed by One Reporting Person	C/O CLARUS V LLC, 101 MAIN	ENTURES	,	(Month/I	Day/Year)	ransaction		Officer (giv	ve title Oth		
CANIDRIDGE, MA 02142       Person         (City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         1.Title of       2. Transaction Date 2A. Deemed       3.       4. Securities       5. Amount of       6. Ownership       7. Nature of	(5				-			Applicable Line) _X_ Form filed by One Reporting Person			
1.Title of       2. Transaction Date 2A. Deemed       3.       4. Securities       5. Amount of       6. Ownership       7. Nature of	CAMBRIDGE, N	MA 02142							More than One R	eporting	
1 · · · · · · · · · · · · · · · · · · ·	(City) (S	State)	(Zip)	Tab	ole I - Non-I	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned	
(Instr. 3)any (Month/Day/Year)Code (Instr. 8)Disposed of (D) (Instr. 3, 4 and 5)Beneficially Owned(D) or Indirect BeneficialBeneficial Ownership Following(A)(A)(A)(A)(A)(A)(A)(A)	Security (Mont		Execution any	Date, if	Transactio Code	nAcquired Disposed	(A) or of (D) 4 and 5) (A)	Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership	
Code V Amount (D) Price (Instr. 3 and 4)					Code V	Amount		(Instr. 3 and 4)			
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not (9-02)	Reminder: Report on	a separate line	e for each cl	ass of sect	urities benet	Perso	ons who re	spond to the colle			

Information contained in this form are not required to respond unless the form displays a currently valid OMB control

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

number.

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number poof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. I Dei Sec (In:
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 17.24	01/01/2014		А	5,847		<u>(1)</u>	12/31/2023	Common Stock	5,847	

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
KURUVILLA FINNY C/O CLARUS VENTURES LLC 101 MAIN STREET, SUITE 1210 CAMBRIDGE, MA 02142	Х	Х					
Signatures							
/s/ Barbara A. Mery, Attorney-in-fact	01/03/2014						
**Signature of Reporting Person		Date					

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

100% of the shares subject to the Option vest on the one year anniversary of January 1, 2014. The vesting of shares subject to the Option
(1) will accelerate in full upon a "change in control" as defined in the 2013 Equity Incentive Plan. In accordance with the internal policies of Clarus Ventures, the options received by Dr. Kuruvilla must be held for the benefit of Clarus Ventures and its affiliates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.