

SYNAPTICS INC
Form 4
January 06, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LEE FRANCIS F

2. Issuer Name and Ticker or Trading Symbol
SYNAPTICS INC [SYNA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
1251 MCKAY DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
01/02/2014

Director 10% Owner
 Officer (give title below) Other (specify below)

SAN JOSE, CA 95131

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	01/02/2014		M		28,475	A	\$ 20.17
Common Stock	01/02/2014		S ⁽¹⁾		2,000	D	\$ 49.94
Common Stock	01/02/2014		S ⁽¹⁾		2,742	D	\$ 50
Common Stock	01/02/2014		S ⁽¹⁾		2,000	D	\$ 50.05
Common Stock	01/02/2014		S ⁽¹⁾		2,000	D	\$ 50.07
	01/02/2014		S ⁽¹⁾		2,100	D	

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Common Stock					\$					
					50.15					
Common Stock	01/02/2014	S ⁽¹⁾	900	D	\$	19,239	D			
					50.16					
Common Stock	01/02/2014	S ⁽¹⁾	2,000	D	\$	17,239	D			
					50.21					
Common Stock	01/02/2014	S ⁽¹⁾	360	D	\$	16,879	D			
					50.22					
Common Stock	01/02/2014	S ⁽¹⁾	1,000	D	\$	15,879	D			
					50.3					
Common Stock	01/02/2014	S ⁽¹⁾	1,258	D	\$	14,621	D			
					50.4					
Common Stock	01/02/2014	S ⁽¹⁾	700	D	\$	13,921	D			
					50.5					
Common Stock	01/02/2014	S ⁽¹⁾	940	D	\$	12,981	D			
					50.51					
Common Stock	01/02/2014	S ⁽¹⁾	10,475	D	\$	2,506	D			
					51.19					
Common Stock						68,487	I		By Trust	
									<u>(2)</u>	
Common Stock						32,422	I		By Trust	
									<u>(3)</u>	
Common Stock						32,422	I		By Trust	
									<u>(4)</u>	
Common Stock						4,000	I		As	
									custodian	
									<u>(5)</u>	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
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	Date Exercisable	Expiration Date	Code	V	(A)	(D)	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 20.17	01/02/2014	M			28,475	Common Stock	28,475
						(6)	01/18/2015	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LEE FRANCIS F 1251 MCKAY DRIVE SAN JOSE, CA 95131		X		

Signatures

Kermit Nolan, as attorney-in-fact
 Date: 01/03/2014
 **Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were sold pursuant to a 10b5-1 Sales Plan dated November 4, 2013.
- (2) The shares are held by EF Lee Family 2012 Irr Trust.
- (3) The shares are held by Francis F. Lee, Trustee of the Francis Lee 2002 Irrevocable Trust.
- (4) The shares are held by Evelyn C. Lee, the reporting person's spouse, Trustee of the Evelyn Lee 2002 Irrevocable Trust.
- (5) The shares are held by the reporting person as custodian for his child.
- (6) 25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 18, 2005 vesting commencement date, and 1/48th of the total number of shares subject to the option vested and became exercisable on the 18th day of each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.