

UNIVERSAL ELECTRONICS INC  
 Form 4/A  
 February 27, 2014

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Kopaskie Mark S

2. Issuer Name and Ticker or Trading Symbol  
 UNIVERSAL ELECTRONICS INC [UEIC]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)  
 201 E. SANDPOINTE AVENUE, SUITE 800  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 02/25/2014

\_\_\_\_ Director  
 Officer (give title below)  
 \_\_\_\_ 10% Owner  
 \_\_\_\_ Other (specify below)  
 EVP

SANTA ANA, CA 92707-6708

4. If Amendment, Date Original Filed (Month/Day/Year)  
 02/26/2014

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |   |        | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|---|--------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------|
|                                 |                                      |                                                    |                                | Code                                                              | V | Amount |                                                                                               |                                                          |                                   |
| Common Stock                    | 02/25/2014                           | 02/25/2014                                         | M                              |                                                                   |   | 1,467  | A \$ 24.91                                                                                    | 12,443                                                   | D                                 |
| Common Stock                    | 02/25/2014                           | 02/25/2014                                         | S                              |                                                                   |   | 1,467  | D \$ 41.1979                                                                                  | 10,976                                                   | D                                 |
| Common Stock                    | 02/25/2014                           | 02/25/2014                                         | M                              |                                                                   |   | 1,200  | A \$ 29.25                                                                                    | 12,176                                                   | D                                 |
| Common Stock                    | 02/25/2014                           | 02/25/2014                                         | S                              |                                                                   |   | 1,200  | D \$ 41.1979                                                                                  | 10,976                                                   | D                                 |

Edgar Filing: UNIVERSAL ELECTRONICS INC - Form 4/A

|              |            |            |   |        |   |                          |        |   |
|--------------|------------|------------|---|--------|---|--------------------------|--------|---|
| Common Stock | 02/25/2014 | 02/25/2014 | M | 15,866 | A | \$ 20.085                | 26,842 | D |
| Common Stock | 02/25/2014 | 02/25/2014 | S | 15,866 | D | \$<br><u>(1)</u> 41.1979 | 10,976 | D |
| Common Stock | 02/25/2014 | 02/25/2014 | M | 467    | A | \$ 19.245                | 11,443 | D |
| Common Stock | 02/25/2014 | 02/25/2014 | S | 467    | D | \$<br><u>(1)</u> 41.1979 | 10,976 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |              |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------|--------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable                                         | Expiration Date                                             | Title        |
| Employee Stock Option (Rt to Buy)          | \$ <u>24.91</u><br><u>(2)</u>                          | 02/25/2014                           | 02/25/2014                                         | M                              | 1,467                                                                                   | 01/25/2014                                               | 01/25/2020                                                  | Common Stock |
| Employee Stock Option (Rt to Buy)          | \$ <u>29.25</u><br><u>(2)</u>                          | 02/25/2014                           | 02/25/2014                                         | M                              | 1,200                                                                                   | 01/06/2014                                               | 04/06/2021                                                  | Common       |
| Employee Stock Option (Rt to Buy)          | \$ <u>20.085</u><br><u>(2)</u>                         | 02/25/2014                           | 02/25/2014                                         | M                              | 15,866                                                                                  | 05/08/2012                                               | 02/08/2022                                                  | Common       |
| Employee Stock Option (Rt to Buy)          | \$ <u>19.245</u><br><u>(2)</u>                         | 02/25/2014                           | 02/25/2014                                         | M                              | 467                                                                                     | 05/13/2013 <sup>(3)</sup>                                | 02/13/2023 <sup>(3)</sup>                                   | Common       |

## Reporting Owners

| Reporting Owner Name / Address                                                       | Relationships |           |         |       |
|--------------------------------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                                                      | Director      | 10% Owner | Officer | Other |
| Kopaskie Mark S<br>201 E. SANDPOINTE AVENUE<br>SUITE 800<br>SANTA ANA, CA 92707-6708 |               |           | EVP     |       |

## Signatures

/s/Mark S. Kopaskie, by Richard A. Firehammer, Jr., pursuant to Limited Power of Attorney dated February 25, 2008 (attached)

02/27/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Form 4A filed to correct an error in the high and low sale price for this transaction. This is the weighted average of the price traded. The high was \$41.55 and the low was \$40.90.
- (2) Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.
- (3) Form 4A filed to correct an error in the Date Exercisable and Expiration Date fields for this transaction.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.