Five9, Inc. Form 3 April 03, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

(Last)

 WELCH SCOTT

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

04/03/2014

Five9, Inc. [FIVN]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

EVP, Cloud Operations

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O FIVE9, INC. BISHOP RANCH 8, 4000, Â EXECUTIVE

PARKWAY, SUITE 400

(Street)

Director _X__ Officer (give title below) (specify below)

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

SAN RAMON,, CAÂ 94583

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Title

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date**

(Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security**

4. 5. Conversion or Exercise

Price of

Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Expiration Date Exercisable Date

Amount or Number of

Security: Derivative Security Direct (D)

Edgar Filing: Five9, Inc. - Form 3

Shares or Indirect (I)

(Instr. 5)

Stock Option (right to buy)

(1)

03/06/2024 Common

187,500 \$ 12.24

Â D

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

WELCH SCOTT

C/O FIVE9, INC. BISHOP RANCH 8, 4000 EXECUTIVE PARKWAY, SUITE 400 SAN RAMON, Â CAÂ 94583

Â

Â

EVP, Cloud Operations Â

Signatures

/s/ Frances Dea, attorney-in-fact

04/03/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Option vests with respect to 25% of the shares subject to the option one year after March 3, 2014, and with respect to the remaining 75% of the shares subject to the option in 36 equal monthly installments thereafter.

Â

Remarks:

Exhibit List

Exhibit 24 - Limited Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2