Edgar Filing: Intercontinental Exchange, Inc. - Form 4

Intercontinental Exchange, Inc. Form 4 May 18, 2015 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Check this box if no longer subject to Section 16. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Still of the Public Utility Holding Company Act of 1935 or Section 1(b). Check this box if no longer subject to Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Check this box if no longer Still of the Public Utility Holding Company Act of 1935 or Section Still of the Public Utility Holding Company Act of 1940 Still of the Public Utill of the Public Utilit								
(Print or Type Responses)								
1. Name and Address of Reporting Person <u>*</u> McCarthy Callum	Symbol	-			5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle)	Intercontinental 3. Date of Earliest T	C ·	[ICE]	(Chec	k all applicable	;)		
5660 NEW NORTHSIDE DRIVE	(Month/Day/Year) 05/14/2015			X Director Officer (give below)		Owner er (specify		
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 				
ATLANTA, GA 30328 Form filed by More than One Reporting Person								
(City) (State) (Zip)	Table I - Non-I	Derivative Securi	ties Acqu	iired, Disposed of	, or Beneficial	ly Owned		
(Instr. 3) any	tion Date, if Transact Code h/Day/Year) (Instr. 8)	(A) or	or S) H 5) O H T (Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common 05/14/2015 Stock	A	/ Amount (D) $727 (1)$ A	Price \$	5,486 <u>(2)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	sactionNumber Expirate of (Montheted Montheted				itle and bunt of erlying irities ir. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (I	D) Date Exercisabl	Expiration e Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
F	Director	10% Owner	Officer	Other			
McCarthy Callum 5660 NEW NORTHSIDE DRIVE ATLANTA, GA 30328	Х						
Signatures							
/s/ Andrew J. Surdykowski, Attorney-in-fact	05/18/2015						
**Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock units. This award of restricted stock units vests on the one-year anniversary of the award date and may be settled only by delivery of shares of the Issuer's common stock, par value \$0.01 per share.
- (2) The common stock number referred in Table I is an aggregate number and represents 5,759 shares of common stock and 727 restricted stock units of the Issuer. The restricted stock units vest on the one-year anniversary of the grant date which is May 14, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.