BROOKS AUTOMATION INC

Form 4 July 27, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

3235-0287 Number:

Expires:

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * **ALLEN A CLINTON**

2. Issuer Name and Ticker or Trading

Symbol

BROOKS AUTOMATION INC

5. Relationship of Reporting Person(s) to

Issuer

[BRKS]

(Check all applicable)

07/22/2015

(First) (Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

_X__ Director Officer (give title below)

10% Owner Other (specify

C/O BROOKS AUTOMATION, INC., 15 ELIZABETH DRIVE

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

CHELMSFORD, MA 01824

(State)

		Table 1 1 (on Berryalive Securities required, Disposed 61, or Beneficially 6 wheat									
1.Title of	2. Transaction Date	. Transaction Date 2A. Deemed		3.		ties		5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	Transa	ctio	nAcquired	(A) o	r	Securities	Form: Direct	Indirect	
(Instr. 3)	•	any	Code Disposed of (D)		Beneficially	(D) or	Beneficial				
		(Month/Day/Year)	(Instr.	8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership		
							Following	(Instr. 4)	(Instr. 4)		
								Reported			
						(A)		Transaction(s)			
						or		(Instr. 3 and 4)			
			Code	V	Amount	(D)	Price	()			
Common	07/02/2015		C	X 7	20	ъ	\$ 0	57.142	D		
Stock	07/22/2015		G	V	30	D	(1)	57,143	D		
							_				
Common	07/04/0015			T 7	270	Ъ	\$0	56.072	D		
Stock	07/24/2015		G	V	270	D	(2)	56,873	D		
500011							_				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4. T	5.	6. Date Exer		7. Titl		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if		ionNumber	*			Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day	(Year)	Under	, ,	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	Derivative			Securities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	tr. 3 and 4)		Owne
	Security				Acquired						Follo
	Ť				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						(211512
					4, and 5)						
					4, and 3)						
									Amount		
						.	.		or		
						Date Exercisable	Expiration Date	Title Num	Number		
									of		
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

10% Owner Officer Other Director

ALLEN A CLINTON C/O BROOKS AUTOMATION, INC. 15 ELIZABETH DRIVE CHELMSFORD, MA 01824

X

Signatures

/s/ Jason W. Joseph, attorney-in-fact for A. Clinton 07/27/2015 Allen

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person gifted 30 shares of Brooks Automation, Inc. stock to a not-for-profit organization for no consideration.
- (2) The reporting person gifted 270 shares of Brooks Automation, Inc. stock to a not-for-profit organization for no consideration.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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