

WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP  
 Form 3  
 May 24, 2016

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Â Witt Ronald L. (Last) (First) (Middle)  1001 AIR BRAKE AVENUE (Street)  WILMERDING, Â PA Â 15148 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 05/11/2016	3. Issuer Name <b>and</b> Ticker or Trading Symbol WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP [WAB]	4. Relationship of Reporting Person(s) to Issuer  (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) VP Group Executive	5. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person
---	--	---	--	--	---

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock - 401(k)	1,121.36	I	401(k)
Common Stock - Direct	4,832	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
---	---	--	---	---	--

Edgar Filing: WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non Qualified Stock Option	03/01/2020 <sup>(1)</sup>	02/09/2026	Common Stock	1,050	\$ 61.33	D	Â
Non-Qualified Stock Option	03/01/2017 <sup>(2)</sup>	02/12/2023	Common Stock	700	\$ 48.29	D	Â
Non-Qualified Stock Option	03/01/2019 <sup>(3)</sup>	02/10/2025	Common Stock	1,000	\$ 87.03	D	Â
Non-Qualified Stock Options	03/01/2018 <sup>(4)</sup>	02/11/2024	Common Stock	682	\$ 72.82	D	Â
Non-Qualified Stock Options	03/01/2016	02/14/2022	Common Stock	350	\$ 35.2925	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Witt Ronald L. 1001 AIR BRAKE AVENUE WILMERDING, PA 15148	Â	Â	Â VP Group Executive	Â

## Signatures

David L. DeNinno, POA for Ronald L. Witt 05/24/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option becomes exercisable as to 263 shares on each of March 1, 2017, and 2018 and as to 262 shares on each of March 1, 2019 and 2020.
- (2) The option is exercisable as to 350 shares on March 1, 2016 and becomes exercisable for the remaining 350 shares on March 1, 2017.
- (3) The option is exercisable as to 250 shares on March 1, 2016 and becomes exercisable as to 250 shares on each of March 1, 2017, 2018 and 2019.
- (4) The option is exercisable as to 228 shares on March 1, 2016 and becomes exercisable at to 227 shares on each of March 1, 2017 and 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.