

FIRST CITIZENS BANCSHARES INC /DE/

Form 4

August 08, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
BRYANT HOPE HOLDING

2. Issuer Name **and** Ticker or Trading
Symbol

**FIRST CITIZENS BANCSHARES
INC /DE/ [FCNCA]**

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

4300 SIX FORKS ROAD

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
08/04/2016

☒ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Vice Chairman

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

RALEIGH, NC 27609

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D) Price			
Class A Common Stock	08/04/2016		S		500	D \$ 259	229,063	I ⁽¹⁾	By Southern BancShares (N.C.), Inc. and subsidiary
Class A Common Stock	08/04/2016		S		2,000	D \$ 258.03 ⁽²⁾	227,063	I ⁽¹⁾	By Southern BancShares (N.C.), Inc. and subsidiary
Class A Common	08/05/2016		S		500	D \$ 259	226,563	I ⁽¹⁾	By Southern BancShares

Stock									(N.C.), Inc. and subsidiary
Class A Common Stock	08/05/2016	S	6,910	D	\$ 260.13 (3)	219,653	I (1)		By Southern BancShares (N.C.), Inc. and subsidiary
Class A Common Stock	08/05/2016	S	1,975	D	\$ 261.33 (4)	217,678	I (1)		By Southern BancShares (N.C.), Inc. and subsidiary
Class A Common Stock	08/05/2016	S	615	D	\$ 262.26 (5)	217,063	I (1)		By Southern BancShares (N.C.), Inc. and subsidiary

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

BRYANT HOPE HOLDING

4300 SIX FORKS ROAD

X

X

Vice Chairman

RALEIGH, NC 27609

Signatures

Hope H. Bryant, By: William R. Lathan, Jr.,
Attorney-in-fact

08/08/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person is a director, officer, manager and/or shareholder of the companies that own these shares, but she disclaims beneficial ownership of the listed shares except to the extent of her pecuniary interest therein, if any, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

(2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$258.00 to \$258.07 inclusive. The reporting person undertakes to provide First Citizens BancShares, Inc., and security holders of First Citizens BancShares, Inc., or the staff of the Securities and Exchange Commission, upon request full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (2) through (5).

(3) The price reported on Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$260.00 to \$260.87, inclusive.

(4) The price reported on Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$261.00 to \$261.94, inclusive.

(5) The price reported on Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$262.01 to \$262.65, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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