

HCA Holdings, Inc.
Form 4
November 09, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Wyatt Christopher F.

2. Issuer Name and Ticker or Trading Symbol
HCA Holdings, Inc. [HCA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
ONE PARK PLAZA
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/07/2016

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
SVP & Controller

NASHVILLE, TN 37203

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount (A) or (D) Price | | |
| Common Stock | 11/07/2016 | | M | | 1,581 A \$ 17.1143 | 7,558 | D |
| Common Stock | 11/07/2016 | | M | | 392 A \$ 19.1143 | 7,950 | D |
| Common Stock | 11/07/2016 | | M | | 1,179 A \$ 23.1343 | 9,129 | D |
| Common Stock | 11/07/2016 | | S | | 3,152 D \$ 79 | 5,977 | D |
| Common Stock | 11/07/2016 | | G | V | 650 D \$ 0 | 5,327 | D |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Non-Qualified Stock Option (right to buy) | \$ 17.1143 | 11/07/2016 | | M | 788 | <u>(1)</u> 11/17/2020 | Common Stock | 788 |
| Non-Qualified Stock Option (right to buy) | \$ 17.1143 | 11/07/2016 | | M | 793 | <u>(2)</u> 11/17/2020 | Common Stock | 793 |
| Non-Qualified Stock Option (right to buy) | \$ 19.1143 | 11/07/2016 | | M | 392 | 11/17/2012 11/17/2020 | Common Stock | 392 |
| Non-Qualified Stock Option (right to buy) | \$ 23.1343 | 11/07/2016 | | M | 391 | 11/17/2011 11/17/2020 | Common Stock | 391 |
| Non-Qualified Stock Option (right to buy) | \$ 23.1343 | 11/07/2016 | | M | 788 | <u>(3)</u> 11/17/2020 | Common Stock | 788 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Wyatt Christopher F. ONE PARK PLAZA NASHVILLE, TN 37203 | | | SVP & Controller | |

Signatures

/s/ Kevin A. Ball,
Attorney-in-Fact

11/09/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The option vested in equal increments (i) at the end of fiscal year 2012 upon the achievement of certain annual EBITDA performance targets and (ii) upon a change in control (as defined in the 2006 Stock Incentive Plan for Key Employees of HCA Holdings, Inc. and its Affiliates as Amended and Restated) of the Issuer which occurred effective November 1, 2013.
- (1) The option was scheduled to vest in two equal annual installments beginning on November 17, 2013. A change in control of the Issuer occurred effective November 1, 2013, resulting in the accelerated vesting of the option as to the shares that remained unvested.
 - (2) The option vested in two equal installments at the end of fiscal years 2010 and 2011 based upon the achievement of certain annual EBITDA performance targets.
 - (3)

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