



Edgar Filing: Square, Inc. - Form 4

Stock (6) (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)	
					V	(A)	(D)	Date Exercisable	Expiration Date		
Class B Common Stock (7)	(7)	08/30/2016		G	V		850,000	(7)	(7)	Class A Common Stock	850,000
Class B Common Stock (7)	(7)	08/30/2016		G	V		850,000	(7)	(7)	Class A Common Stock	850,000
Class B Common Stock (7)	(7)	11/15/2016		C(1)			57,750	(7)	(7)	Class A Common Stock	57,750
Class B Common Stock (7)	(7)	11/15/2016		C(4)			323,750	(7)	(7)	Class A Common Stock	323,750
Class B Common Stock (7)	(7)							(7)	(7)	Class A Common Stock	7,950

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Dorsey Jack 1455 MARKET STREET SUITE 600 SAN FRANCISCO, CA 94103	X	X	President, CEO & Chairman	

## Signatures

/s/ Laura Reis,  
Attorney-in-Fact

11/17/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the conversion of Class B Common Stock into Class A Common Stock held of record by Start Small, LLC.
- (2) The shares are held of record by Start Small, LLC, for which the Reporting Person is the sole member.  
The reported price in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$12.05 to \$12.46 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (3) Represents the conversion of Class B Common Stock into Class A Common Stock held of record by the Jack Dorsey Revocable Trust u/a/d 12/8/10.  
The reported price in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$12.03 to \$12.45 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (4) The shares are held of record by the Jack Dorsey Revocable Trust u/a/d 12/8/10, for which the Reporting Person serves as trustee.  
The reported price in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$12.03 to \$12.45 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (5) Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.
- (6) The shares are held of record by the Jack Dorsey Remainder Trust u/a/d 6/23/10, for which the Reporting Person serves as trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.