Edgar Filing: OCEANFIRST FINANCIAL CORP - Form 4

OCEANFIRST FINANCIAL CORP

Form 4

December 02, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

2005

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: Estimated average

Section 16. Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

burden hours per response... 0.5

OMB APPROVAL

obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

12/01/2016

12/01/2016

Stock

Stock

Common

1. Name and Address of Reporting Person * CATANIA ANGELO			2. Issuer Name and Ticker or Trading Symbol OCEANFIRST FINANCIAL CORP [OCFC]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 975 HOOP	(First) (M	⁄Iiddle)	3. Date of (Month/D) 12/01/20	•				_X_ Director Officer (give below)		Owner er (specify	
				ndment, Day/Yea	ate Origina	1		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)										ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any	ned n Date, if Day/Year)	3. Transacti Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	12/01/2016			М	2 250	۸	\$ 22.5	22 200	D (1) (2)		

2,250

1,994

Α

\$ 22.5 23,290

21,296

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

S

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

 $D^{(1)(2)}$

 $D^{(2)}$

Edgar Filing: OCEANFIRST FINANCIAL CORP - Form 4

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 22.17	12/01/2016		M		2,250	02/21/2008	02/21/2017	Common Stock	2,250
Stock Option (Right to Buy)	\$ 16.81						02/20/2009	02/20/2018	Common Stock	2,363
Stock Option (Right to Buy)	\$ 12.28						02/18/2010	02/18/2019	Common Stock	2,363
Stock Option (Right to Buy)	\$ 10						02/17/2011	02/17/2020	Common Stock	3,310
Stock Option (Right to Buy)	\$ 13.87						02/18/2012	02/18/2021	Common Stock	3,500
Stock Option (Right to Buy)	\$ 13.83						02/15/2013	02/15/2022	Common Stock	3,500
Stock Option (Right to Buy)	\$ 14.62						02/15/2014	02/15/2023	Common Stock	3,500

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

CATANIA ANGELO

975 HOOPER AVENUE X

TOMS RIVER, NJ 08753

Signatures

/s/ Steven J. Tsimbinos, Power of Attorney 12/02/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This form reflects increases in beneficial ownership resulting from exempt acquisitions pursuant to Rule 16a-11.
- (2) Total includes shares of restricted Common Stock that have not yet vested.
- (3) Options vest in five equal annual installments beginning on the date first exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3