Edgar Filing: Main Street Capital CORP - Form 4

Main Street Capital CORP Form 4 January 03, 2017							
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB							
	Washington, D.C. 20549						
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Check this box if no longer subject to Sector 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Check this box if no longer subject to Sector 16. Form 5 Sector 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							
(Print or Type Responses)							
1. Name and Address of Reporting Person <u></u> JACKSON JOHN EARL	Issuer	Reporting Person(s) to					
(Last) (First) (Middle)	Main Street Capital C 3. Date of Earliest Transac	(Check	all applicable)			
1300 POST OAK BLVD, SUITE 800		X_ Director10% Owner Officer (give titleOther (specify below) below)					
(Street)		6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person					
HOUSTON, TX 77056 Form filed by More than One Reporting Person							
(City) (State) (Zip)	Table I - Non-Deriva	tive Securities Acqu	ired, Disposed of,	or Beneficial	y Owned		
(Instr. 3) any	n Date, if Transactionor D	(A) (A) (A) or	 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) 	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common 12/22/2014	Code V Am			_			
Stock 12/23/2016	$J_{(1)}^{(1)}$ V 33.7	84 A \$ 37.28	3 21,293.501	D			
Common 12/23/2016 Stock	J <u>(1)</u> V 67.5	71 A \$37.36	5 21,361.072	D			
Common 12/23/2016 Stock	J <u>(1)</u> V 8	A \$ 37.331	1,129	Ι	By Wife		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control

Edgar Filing: Main Street Capital CORP - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. 6. Date Exercisable and on Number Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Titl Amou Under Secur (Instr.	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
JACKSON JOHN EARL 1300 POST OAK BLVD SUITE 800 HOUSTON, TX 77056	Х				
Signatures					
/s/ Jason B. Beauvais as Attorn Jackson	ey-in-Fac	t for John E		12/30/201	

**Signature of Reporting Person

12/30/2016 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person acquired these shares under a dividend reinvestment plan, pursuant to a dividend reinvestment transaction exempt from Section 16 under Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.