

YABUKI JEFFERY W

Form 4

March 21, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
YABUKI JEFFERY W

(Last) (First) (Middle)

255 FISERV DRIVE

(Street)

BROOKFIELD, WI 53045

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
FISERV INC [FISV]

3. Date of Earliest Transaction
(Month/Day/Year)
03/19/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

President and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/19/2018		S	Amount (1) (2) 24,000	(A) or (D) D Price \$ 73.27 (3)	727,030 (2)	D
Common Stock	03/19/2018		S	Amount (1) (2) 10,000	(A) or (D) D Price \$ 73.8 (4)	717,030 (2)	D
Common Stock						236,428 (2)	I By Trust (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form**

SEC 1474
(9-02)

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 69.9 <u>(2)</u>							02/21/2019 ⁽⁶⁾	02/21/2028	Common Stock	178,572 <u>(2)</u>
Stock Option (right to buy)	\$ 56.91 <u>(2)</u>							02/22/2018 ⁽⁶⁾	02/22/2027	Common Stock	186,818 <u>(2)</u>
Stock Option (right to buy)	\$ 48.33 <u>(2)</u>							02/19/2017 ⁽⁶⁾	02/19/2026	Common Stock	275,776 <u>(2)</u>
Stock Option (right to buy)	\$ 39.53 <u>(2)</u>							02/18/2016	02/18/2025	Common Stock	412,992 <u>(2)</u>
Stock Option (right to buy)	\$ 28.49 <u>(2)</u>							02/19/2015	02/19/2024	Common Stock	503,140 <u>(2)</u>
Stock Option (right to buy)	\$ 20.18 <u>(2)</u>							02/20/2014	02/20/2023	Common Stock	634,376 <u>(2)</u>
Stock Option (right to buy)	\$ 16.32 <u>(2)</u>							02/22/2013	02/22/2022	Common Stock	482,700 <u>(2)</u>
								02/23/2012	02/23/2021		

Stock	\$ 15.43				Common	658,380
Option	(2)				Stock	(2)
(right to buy)						
Stock						
Option	\$ 11.93		02/24/2011	02/24/2020	Common	777,652
(right to buy)	(2)				Stock	(2)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
YABUKI JEFFERY W 255 FISERV DRIVE BROOKFIELD, WI 53045	X		President and CEO	

Signatures

/s/ Lynn S. McCreary
(attorney-in-fact)

03/21/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale was effected pursuant to a Rule 10b5-1 trading plan which was entered into by the reporting person for estate and financial planning purposes.
- (2) Adjusted to reflect the two-for-one split of Fiserv, Inc. common stock distributed at the close of business on March 19, 2018 to holders of record on March 5, 2018.
- This transaction was executed in multiple trades at prices ranging from \$72.86 to \$73.57 as adjusted to reflect the two-for-one split of Fiserv, Inc. common stock distributed at the close of business on March 19, 2018 to holders of record on March 5, 2018. The price
- (3) reported above reflects the weighted average sale price as adjusted to reflect this stock split. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- This transaction was executed in multiple trades at prices ranging from \$73.62 to \$74.04 as adjusted to reflect the two-for-one split of Fiserv, Inc. common stock distributed at the close of business on March 19, 2018 to holders of record on March 5, 2018. The price
- (4) reported above reflects the weighted average sale price as adjusted to reflect this stock split. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (5) By the Yabuki Family Foundation of which Mr. Yabuki serves as trustee.
- (6) One-third of these options vest on each anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.