## Edgar Filing: LU KEH SHEW - Form 4

LU KEH SHE Form 4	W											
May 22, 2018	Л								OMB A	APPROVAL		
FORM	<b>4</b> UNITED S	TATES					IGE C	OMMISSION	OMB Number:	3235-0287		
Check this if no longer subject to Section 16. Form 4 or Form 5	STATEM	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,								January 31, 2005 average urs per 0.5		
obligations may continue.Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).1(b).												
(Print or Type Rea	sponses)											
LU KEH SHEW Symbol								5. Relationship of Issuer	nship of Reporting Person(s) to			
(Last)	(First) (M	iddle)	3. Date of E		-	נש		(Check	k all applicab	le)		
4949 HEDGC 200	COXE ROAD, S	UITE	(Month/Day 05/22/201					X Director X Officer (give below) Pres		% Owner her (specify		
	(Street)		4. If Amend		Original			6. Individual or Jo	int/Group Fil	ing(Check		
PLANO, TX	75024		Filed(Month	/Day/Year)				Applicable Line) _X_Form filed by C Form filed by M Person				
(City)	(State) (	Zip)	Table	I - Non-De	rivative S	ecurit	ies Acq	uired, Disposed of	, or Beneficia	ally Owned		
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year)	Execut any		3. Transactio Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose	d of (D)	Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
D'- 1				Code V	Amount		Price	(Instr. 3 and 4)				
Diodes Incorporated Common Stock	05/22/2018			S <u>(1)</u>	6,000	D	\$ 33.95	0	Ι	The Lu Family Foundation		
Diodes Incorporated Common Stock								644,207	D			
Diodes Incorporated Common Stock								46,150 <u>(2)</u>	I	Custodial		

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Diodes Incorporated Common Stock	700,000	Ι	Lu Grandchildren's Trust
Diodes Incorporated Common Stock - Performance Stock Units	125,905	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of	SEC 1474
information contained in this form are not	(9-02)
required to respond unless the form	
displays a currently valid OMB control	
number.	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	on Date 3A. Deemed y/Year) Execution Date, if any (Month/Day/Year)		4. 5. TransactionNumber Code of (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Repo	rting O	wners									
Reporting	Owner Name	e / Address	Relatio	onships							

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
LU KEH SHEW 4949 HEDGCOXE ROAD SUITE 200 PLANO, TX 75024	Х		President & CEO	
Signatures				
Richard D. White as Power of Keh-Shew Lu	05/22/2018			
**Signature of Reporting	Date			

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sold under a 10b5-1 plan.

These shares are held in accounts for the reporting persons minor grandchildren and the reporting person is the custodian of those

(2) accounts. The reporting person disclaims ownership of the shares held in those custodial accounts, and this report is not an admission that the reporting person is the beneficial owner of these shares for purposes of Section 16 or for any other purposes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.