Edgar Filing: Minetti Carlos - Form 4

| Minetti Carlo | DS | | | | | | | | | | |
|---|--------------------------------------|--------------------|--------------------------------|---|--|------------------------------|-------------|---|---|-----------|--|
| Form 4 | | | | | | | | | | | |
| October 02, 2 | 2018 | | | | | | | | | | |
| FORM | 4 | | GEGUE | | | | | | OMB AF | PROVAL | |
| | UNITED |) STATES | | shington, | | | NGE C | OMMISSION | OMB Number: | 3235-0287 | |
| Subject to Section 16. Form 4 or | | | | GES IN BENEFICIAL OWNERSHIP OF SECURITIES 6(a) of the Securities Exchange Act of 1934 | | | | | Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | |
| obligatior may conti <i>See</i> Instru 1(b). | inue. Section 17 | (a) of the | Public Ut | | ling Con | ipany | Act of | 1935 or Section | 1 | | |
| (Print or Type R | Responses) | | | | | | | | | | |
| Minetti Carlos Symbol | | | | r Name and Ticker or Trading er Financial Services [DFS] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | | f Earliest Transaction | | | | (Check all applicable) | | | |
| 2500 LAKE COOK ROAD 10/01/20 | | | | Day/Year) | | | | Director 10% Owner X_Officer (give title Other (specify below) EVP, Pres Consumer Banking | | | |
| | | | | endment, Date Original onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| RIVERWOO | ODS, IL 60015 | | | | | | | Form filed by M Person | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-E |) erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Year |) Execution any | ned n Date, if Day/Year) | Code (Instr. 8) | 4. Securi on(A) or Di (Instr. 3, Amount | sposed 4 and (A) or | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 10/01/2018 | | | S <u>(1)</u> | 3,750 | D | \$ 77.26 | 130,102.42 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. 6. Date Exe tionNumber Expiration I of (Month/Day) Derivative Securities Acquired (A) or Disposed of (D) | | ate | 7. Titl Amou Under Secur (Instr. | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|--|---------------------|--------------------|--|--|---|--|
| | | | Code V | 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|------------|---------------|----------------|-------|--|--|--|
| | Director | 10% Owner | Officer | | Other | | | |
| Minetti Carlos 2500 LAKE COOK ROAD RIVERWOODS, IL 60015 | | | EVP, Pres Cor | nsumer Banking | | | | |
| Signatures | | | | | | | | |
| /s/ Jeffrey J. Pochowicz as Attor Minetti | os M. | 10/02/2018 | | | | | | |

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on January 26, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date