

STACK DAVID M
Form 4
January 11, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STACK DAVID M

2. Issuer Name and Ticker or Trading Symbol
AMARIN CORP PLC\UK [AMRN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

C/O AMARIN PHARMA, INC., 1430 ROUTE 206

3. Date of Earliest Transaction (Month/Day/Year)
01/10/2019

Director 10% Owner
 Officer (give title below) Other (specify below)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

BEDMINSTER, NJ 07921

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount or Price | | |
| Ordinary Shares ⁽¹⁾ ₍₂₎ | 01/10/2019 | | M | | 5,786 A \$ 2.5 | 5,786 | D |
| Ordinary Shares ⁽¹⁾ ₍₂₎ | 01/10/2019 | | M | | 21,146 A \$ 3.06 | 26,932 | D |
| Ordinary Shares ⁽¹⁾ ₍₂₎ | 01/10/2019 | | S | | 26,932 D \$ 18 | 0 | D |
| Ordinary Shares ⁽¹⁾ ₍₂₎ | 01/10/2019 | | M | | 45,000 A \$ 9.34 | 45,000 | I By David M. Stack |

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| | | | | | | | | | |
|--|------------|--|---|--------|---|---------|--------|---|--------------------------------|
| <u>(2)</u> | | | | | | | | | Family Trust |
| Ordinary Shares <u>(1)</u> <u>(2)</u> | 01/10/2019 | | M | 13,500 | A | \$ 5.58 | 58,500 | I | By David M. Stack Family Trust |
| Ordinary Shares <u>(1)</u> <u>(2)</u> | 01/10/2019 | | M | 28,500 | A | \$ 1.87 | 87,000 | I | By David M. Stack Family Trust |
| Ordinary Shares <u>(1)</u> <u>(2)</u> | 01/10/2019 | | M | 11,572 | A | \$ 2.5 | 98,572 | I | By David M. Stack Family Trust |
| Ordinary Shares <u>(1)</u> <u>(2)</u> | 01/10/2019 | | S | 98,572 | D | \$ 18 | 0 | I | By David M. Stack Family Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. D S (I) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 2.5 | 01/10/2019 | | M | 5,786 | <u>(3)</u> | 07/06/2025 | Ordinary Shares <u>(2)</u> | 5,786 |
| Stock Option (Right to Buy) | \$ 3.06 | 01/10/2019 | | M | 21,146 | <u>(3)</u> | 05/15/2027 | Ordinary Shares <u>(2)</u> | 21,146 |

| | | | | | | | | |
|--------------------------------------|---------|------------|---|--------|------------|------------|-------------------------------|--------|
| Stock Option (Right to Buy) | \$ 9.34 | 01/10/2019 | M | 45,000 | <u>(3)</u> | 12/10/2022 | Ordinary Shares <u>(2)</u> | 45,000 |
| Stock Option (Right to Buy) | \$ 5.58 | 01/10/2019 | M | 13,500 | <u>(3)</u> | 07/09/2023 | Ordinary Shares <u>(2)</u> | 13,500 |
| Stock Option (Right to Buy) | \$ 1.87 | 01/10/2019 | M | 28,500 | <u>(3)</u> | 03/11/2024 | Ordinary Shares <u>(2)</u> | 28,500 |
| Stock Option (Right to Buy) | \$ 2.5 | 01/10/2019 | M | 11,572 | <u>(3)</u> | 07/06/2025 | Ordinary Shares <u>(2)</u> | 11,572 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| STACK DAVID M C/O AMARIN PHARMA, INC. 1430 ROUTE 206 BEDMINSTER, NJ 07921 | X | | | |

Signatures

/s/ Joseph Kennedy, by power of attorney
01/11/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option exercises and sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- (2) The Ordinary Shares may be represented by American Depositary Shares, each of which currently represents one Ordinary Share.
- (3) The stock option granted to the Reporting Person is fully vested as of the date hereof.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.