

OWEN JOSEPH J
Form 4
June 02, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
OWEN JOSEPH J

2. Issuer Name **and** Ticker or Trading
Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
140 JOHN JAMES AUDUBON
PARKWAY

3. Date of Earliest Transaction
(Month/Day/Year)
05/31/2011

____ Director ____ 10% Owner
__X__ Officer (give title ____ Other (specify
below) below)
VP - Supply Chain Mgmt

(Street)
AMHERST, NY 14228

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock								10,460 <u>(1)</u>	D
Common Stock	05/31/2011		M		10,000	A	\$ 10	20,460	D
Common Stock	05/31/2011		M		7,500	A	\$ 5.46	27,960	D
Common Stock	05/31/2011		S		500	D	\$ 19.36	27,460	D
Common Stock	05/31/2011		S		1,120	D	\$ 19.37	26,340	D

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Common Stock	05/31/2011	S	276	D	\$ 19.371	26,064	D
Common Stock	05/31/2011	S	424	D	\$ 19.38	25,640	D
Common Stock	05/31/2011	S	300	D	\$ 19.381	25,340	D
Common Stock	05/31/2011	S	500	D	\$ 19.39	24,840	D
Common Stock	05/31/2011	S	900	D	\$ 19.4	23,940	D
Common Stock	05/31/2011	S	100	D	\$ 19.405	23,840	D
Common Stock	05/31/2011	S	800	D	\$ 19.41	23,040	D
Common Stock	05/31/2011	S	100	D	\$ 19.415	22,940	D
Common Stock	05/31/2011	S	500	D	\$ 19.42	22,440	D
Common Stock	05/31/2011	S	100	D	\$ 19.421	22,340	D
Common Stock	05/31/2011	S	200	D	\$ 19.425	22,140	D
Common Stock	05/31/2011	S	1,490	D	\$ 19.43	20,650	D
Common Stock	05/31/2011	S	100	D	\$ 19.435	20,550	D
Common Stock	05/31/2011	S	2,750	D	\$ 19.44	17,800	D
Common Stock	05/31/2011	S	900	D	\$ 19.441	16,900	D
Common Stock	05/31/2011	S	200	D	\$ 19.445	16,700	D
Common Stock	05/31/2011	S	2,841	D	\$ 19.45	13,859	D
Common Stock	05/31/2011	S	1,533	D	\$ 19.46	12,326	D
Common Stock	05/31/2011	S	100	D	\$ 19.461	12,226	D
Common Stock	05/31/2011	S	100	D	\$ 19.4625	12,126	D
	05/31/2011	S	766	D	\$ 19.47	11,360	D

Common
Stock

Common Stock	05/31/2011	S	700	D	\$ 19.49	10,660	D
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Common Stock	05/31/2011	S	200	D	\$ 19.5	10,460	D
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Common Stock						2,120.213 ⁽²⁾	D
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Common Stock						1,327	I	By Spouse
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 10	05/31/2011		M	10,000	08/20/2002	08/19/2011	Common Stock	10,000
Incentive Stock Options (Right to Buy)	\$ 5.46	05/31/2011		M	7,500	05/17/2005	05/16/2014	Common Stock	7,500
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	1,000
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	4,000
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	3,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
OWEN JOSEPH J 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			VP - Supply Chain Mgmt	

Signatures

Joseph J. Owen 06/02/2011

__Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 3,248 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 1,276 shares become fully vested and non-forfeitable 50% for two years beginning 5/18/2012; 584 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/19/2012, and the remaining 1,388 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/17/2012, if reporting person remains an employee of issuer.
- (1) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (2) Originally a 40,500 share stock option, reporting person exercised 10,500 options on 2/13/2006, 2,500 options on 4/11/2006, 5,000 options on 4/20/2006, 2,500 options on 2/14/2011, 10,000 options on 4/1/2011 and 10,000 options on 5/31/2011.
- (3) Originally a 30,000 share stock option, reporting person exercised 7,500 options on 7/28/2005, 7,500 options on 6/11/2007, 7,500 options on 2/08/2008 and 7,500 options on 5/31/2011.
- (4) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (7)

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