#### HOBACK BOYD E

Form 4

November 27, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

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may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **HOBACK BOYD E** Issuer Symbol Good Times Restaurants Inc. (Check all applicable) [GTIM] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) 141 UNION BOULEVARD, #400 11/23/2017 President, CEO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting LAKEWOOD, CO 80228 Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price 4,076 Common 11/23/2017 M \$0 92,972 D (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of		e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Incentive Stock Option	\$ 4.41					11/14/2011	11/14/2018	Common	9,500	
Incentive Stock Option	\$ 3.45					11/06/2012	11/06/2019	Common	4,550	
Incentive Stock Option	\$ 1.56					12/13/2013	12/13/2020	Common	10,647	
Non Qualified Stock Option	\$ 1.31					12/14/2014	12/14/2021	Common	5,000	
Incentive Stock Option	\$ 2.31					01/02/2016	01/02/2023	Common	45,696	
Incentive Stock Option	\$ 2.48					11/21/2016	11/21/2023	Common	44,000	
Incentive Stock Option	\$ 7.79					(2)	03/13/2025	Common	38,511	
Non Qualified Stock Option	\$ 7.79					<u>(3)</u>	03/13/2025	Common	38,511	
Incentive Stock Option	\$ 5.29					<u>(4)</u>	11/23/2025	Common	16,635	
Incentive Stock Option	\$ 3.15					<u>(5)</u>	11/16/2026	Common	29,333	

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Restricted Stock Unit	\$ 0	<u>(6)</u>	11/16/2019	Common	13,034
Restricted Stock Unit	\$ 0	<u>(7)</u>	11/23/2018	Common	4,075

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HOBACK BOYD E 141 UNION BOULEVARD, #400 LAKEWOOD, CO 80228

President, CEO

## **Signatures**

Boyd E. Hoback 11/27/2017

\*\*Signature of Person Date

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents the conversion upon vesting of restricted stock awards into common stock (the "Converted Common Stock"). The reporting person was granted 12,227 restricted stock units on November 23, 2015 of which 1/3 of the shares of the grant vested on November 23, 2016 and an additional 1/3 of the shares vested on November 23, 2017. Such restricted stock units were previously reported in Table II on a Form 4 filed with the Securities and Exchange Commission on November 25, 2015.

- (2) The Incentive Stock Options vest in three equal annual installments with the first installment vesting March 13, 2016.
- (3) These Non-Qualified Stock Options vest in three equal annual installments with the first installment vesting March 13, 2016.
- (4) The Incentive Stock Options vest in three equal annual installments with the first installment vesting November 23, 2016.
- (5) The Incentive Stock Options vest in three equal annual installments with the first installment vesting November 16, 2017.
- The reporting person was granted 19,551 restricted stock units on November 16, 2016 of which 1/3 of the total granted amount vested on November 16, 2017. Such restricted stock units were previously reported in Table II on a Form 4 filed with the Securities and Exchange
- The reporting person was granted 12,227 restricted stock units on November 23, 2015 of which 1/3 of the shares of the grant vested on (7) November 23, 2016 and an additional 1/3 of the shares vested on November 23, 2017. Such restricted stock units were previously reported in Table II on a Form 4 filed with the Securities and Exchange Commission.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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