BAILEY GEOFFREY R

Form 4

November 27, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287 January 31,

0.5

if no longer subject to Section 16.

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per

Expires: 2005

OMB APPROVAL

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response...

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **BAILEY GEOFFREY R**

(First)

(Street)

601 CORPORATE CIRCLE

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

Good Times Restaurants Inc.

Issuer

below)

[GTIM]

(Check all applicable)

(Last)

(Middle)

3. Date of Earliest Transaction

(Instr. 8)

Director 10% Owner Officer (give title Other (specify

(Month/Day/Year)

11/23/2017

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

GOLDEN, CO 80401

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(Month/Day/Year) Execution Date, if

2. Transaction Date 2A. Deemed

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) 5. Amount of Securities Beneficially

Owned

6. Ownership 7. Nature of Form: Direct Indirect Beneficial (D) or Ownership Indirect (I)

(Instr. 4)

D

(A)

Following Reported

Transaction(s) or (Instr. 3 and 4)

11/23/2017 Common

Code V Amount (D) Price M

(Instr. 3, 4 and 5)

441 (1) \$0 7,965 Α

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if

5. TransactionNumber Expiration Date

6. Date Exercisable and

7. Title and Amount of 8. F Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security	any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	8	ear)	(Instr. 3 and 4)		Sec (Ins
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Non Qualified Stock Option	\$ 4.41				11/14/2008	11/14/2018	Common	666	
Non Qualified Stock Option	\$ 3.45				11/06/2009	11/06/2019	Common	666	
Non Qualified Stock Option	\$ 1.56				12/13/2010	12/13/2020	Common	666	
Non Qualified Stock Option	\$ 1.31				12/14/2011	12/14/2021	Common	5,000	
Non Qualified Stock Option	\$ 2.31				01/02/2013	01/02/2023	Common	2,000	
Non Qualified Stock Option	\$ 2.44				09/27/2013	09/27/2023	Common	5,000	
Non Qualified Stock Option	\$ 7.79				(2)	03/13/2025	Common	7,060	
Non Qualified Stock Option	\$ 5.29				<u>(3)</u>	11/23/2025	Common	3,781	
Restricted Stock Unit	\$ 0				<u>(4)</u>	11/23/2018	Common	441	

Restricted \$ 0 \(\frac{(5)}{2019} \) Common 3,733

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

BAILEY GEOFFREY R 601 CORPORATE CIRCLE GOLDEN, CO 80401

Signatures

Geoffrey R. 11/27/2017

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the conversion upon vesting of restricted stock awards into common stock (the "Converted Common Stock"). The reporting person was granted 1,324 restricted stock units on November 23, 2015 of which 1/3 of the shares of the grant vested on November 23, 2016 and an additional 1/3 of the shares vested on November 23, 2017. Such restricted stock units were previously reported in Table II of the shares vested on November 23, 2017. Such restricted stock units were previously reported in Table II of the shares vested on November 23, 2017.
- 2016 and an additional 1/3 of the shares vested on November 23, 2017. Such restricted stock units were previously reported in Table II on a Form 4 filed with the Securities and Exchange Commission on November 25, 2015.
- (2) These Non-Qualified Stock Options vest in three equal annual installments with the first installment vesting March 13, 2016.
- (3) These Non-Qualified Stock Options vest in three equal annual installments with the first installment vesting November 23, 2016.
 - The reporting person was granted 1,324 restricted stock units on November 23, 2015 of which 1/3 of the shares of the grant vested on
- (4) November 23, 2016. Such restricted stock units were previously reported in Table II on a Form 4 filed with the Securities and Exchange Commission.
- The reporting person was granted 5,600 restricted stock units on November 16, 2016 vesting at 1/3 of the shares of the grant vested on (5) November 16, 2017. Such restricted stock units were previously reported in Table II on a Form 4 filed with the Securities and Exchange Commission

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