

TISCH JAMES S
Form 4
November 22, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
TISCH JAMES S

(Last) (First) (Middle)

GENERAL ELECTRIC
COMPANY, 41 FARNSWORTH
STREET

(Street)

BOSTON, MA 02210

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

GENERAL ELECTRIC CO [GE]

3. Date of Earliest Transaction
(Month/Day/Year)

11/21/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/21/2017		P		250,000	A	\$ 17.82	250,000	I	By Loews Corp. ⁽¹⁾
Common Stock	11/21/2017		P		250,000	A	\$ 17.83	500,000	I	By Loews Corp. ⁽¹⁾
Common Stock	11/21/2017		P		150,000	A	\$ 17.83	650,000	I	By Loews Corp. ⁽¹⁾
Common Stock	11/21/2017		P		150,000	A	\$ 17.84	800,000	I	By Loews Corp. ⁽¹⁾
Common Stock	11/21/2017		P		300,000	A	\$ 17.85	1,100,000	I	By Loews Corp. ⁽¹⁾

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Common Stock	11/21/2017	P	150,000	A	\$ 17.85	1,250,000	I	By Loews Corp. ⁽¹⁾
Common Stock	11/21/2017	P	500,000	A	\$ 17.91	1,750,000	I	By Loews Corp. ⁽¹⁾
Common Stock	11/21/2017	P	200,000	A	\$ 17.94	1,950,000	I	By Loews Corp. ⁽¹⁾
Common Stock	11/21/2017	P	300,000	A	\$ 17.95	2,250,000	I	By Loews Corp. ⁽¹⁾
Common Stock	11/21/2017	P	125,000	A	\$ 17.98	2,375,000	I	By Loews Corp. ⁽¹⁾
Common Stock	11/21/2017	P	250,000	A	\$ 17.98	2,625,000	I	By Loews Corp. ⁽¹⁾
Common Stock	11/21/2017	P	250,000	A	\$ 17.99	2,875,000	I	By Loews Corp. ⁽¹⁾
Common Stock	11/21/2017	P	125,000	A	\$ 17.99	3,000,000	I	By Loews Corp. ⁽¹⁾
Common Stock						540,000	I	By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TISCH JAMES S GENERAL ELECTRIC COMPANY 41 FARNSWORTH STREET BOSTON, MA 02210	X			

Signatures

Brian Sandstrom on behalf of James S. Tisch	11/22/2017
__Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reported shares are owned directly by Loews Corporation, of which the reporting person is an executive, director and shareholder.

- (1) The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest, if any, therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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