

QUALCOMM INC/DE

Form 3

May 05, 2015

**FORM 3****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Â STERLING MICHELLE M

(Last)

(First)

(Middle)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

05/04/2015

3. Issuer Name **and** Ticker or Trading Symbol  
QUALCOMM INC/DE [QCOM]4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Executive Vice President

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting  
Person☐ Form filed by More than One  
Reporting Person

5775 MOREHOUSE DR.

(Street)

SAN

DIEGO,Â CAÂ 92121-1714

(City)

(State)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

3,400

D

Â

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative  
Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)Date  
Exercisable

Expiration Date

3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)

Title

Amount or  
Number of4. Conversion  
or Exercise  
Price of  
Derivative  
Security5. Ownership  
Form of  
Derivative  
Security:  
Direct (D)  
or Indirect6. Nature of  
Indirect Beneficial  
Ownership  
(Instr. 5)

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|                           |        |                |                 | Shares      |        | (I)<br>(Instr. 5) |                         |
|---------------------------|--------|----------------|-----------------|-------------|--------|-------------------|-------------------------|
| Phantom Stock Unit<br>(1) | Â (2)  | Â (3)          | Common<br>Stock | 5,263.5518  | \$ 1   | I                 | by Grantor<br>Trust (1) |
| Restricted Stock Unit     | Â (4)  | 05/20/2015(4)  | Common<br>Stock | 1,697.2826  | \$ (5) | D                 | Â                       |
| Restricted Stock Unit     | Â (6)  | 11/20/2015(6)  | Common<br>Stock | 1,703.106   | \$ (5) | D                 | Â                       |
| Restricted Stock Unit     | Â (7)  | 05/20/2016(7)  | Common<br>Stock | 3,171.0184  | \$ (5) | D                 | Â                       |
| Restricted Stock Unit     | Â (8)  | 11/20/2016(8)  | Common<br>Stock | 3,058.5584  | \$ (5) | D                 | Â                       |
| Restricted Stock Unit     | Â (9)  | 05/20/2017(9)  | Common<br>Stock | 4,913.7612  | \$ (5) | D                 | Â                       |
| Restricted Stock Unit     | Â (10) | 11/20/2017(10) | Common<br>Stock | 5,367.7011  | \$ (5) | D                 | Â                       |
| Restricted Stock Unit     | Â (11) | 05/20/2018(11) | Common<br>Stock | 5,535       | \$ (5) | D                 | Â                       |
| Restricted Stock Unit     | Â (12) | 08/20/2018(12) | Common<br>Stock | 26,625.1144 | \$ (5) | D                 | Â                       |

## Reporting Owners

| Reporting Owner Name / Address                                        | Relationships |           |                                  |       |
|-----------------------------------------------------------------------|---------------|-----------|----------------------------------|-------|
|                                                                       | Director      | 10% Owner | Officer                          | Other |
| STERLING MICHELLE M<br>5775 MOREHOUSE DR.<br>SAN DIEGO, CA 92121-1714 | Â             | Â         | Â Executive<br>Vice<br>President | Â     |

## Signatures

By: Noreen E. Burns, Attorney-in-Fact For: Michelle M.  
Sterling

05/04/2015

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The common stock issued under the terms of the Company's Non-Qualified Deferred Compensation Plan, a tax conditioned plan, is exempt under Rule 16b-3. The shares are held in a grantor trust and stock is the only permissible form of distribution under the Plan.
- (2) The rights awarded under the Company's Non-Qualified Deferred Compensation Plan will be eligible for distribution upon termination and vest 100% after 2 years of continuous service with the Company.
- (3) The rights awarded under the Company's Non-Qualified Deferred Compensation Plan will be eligible for distribution upon termination.
- (4) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on May 20, 2013, 2014 and 2015.

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- (5) Each Restricted Stock Unit is the economic equivalent of one share of Qualcomm common stock and is converted into common stock upon vesting.
- (6) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on November 20, 2013, 2014 and 2015.
- (7) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on May 20, 2014, 2015 and 2016.
- (8) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on November 20, 2014, 2015 and 2016.
- (9) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on May 20, 2015, 2016 and 2017.
- (10) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on November 20, 2015, 2016 and 2017.
- (11) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on May 20, 2016, 2017 and 2018.
- (12) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-fourth amounts on August 20, 2015, 2016, 2017 and 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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