National CineMedia, Inc. Form 4

June 03, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type	Responses)							
1. Name and Address of Reporting Person * Marks Clifford E			ool	nd Ticker or Trading  Media, Inc. [NCMI]	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle)		Middle) 3. Da	te of Earliest	Transaction	(Check all applicable)			
C/O NATIONAL CINEMEDIA, INC., 9110 E. NICHOLS AVE., SUITE 200			th/Day/Year) 0/2013		DirectorX Officer (give t below) President of	itle 10% below) Sales & Mark	er (specify	
(Street)			Amendment, l	Date Original	6. Individual or Joint/Group Filing(Check			
			Month/Day/Ye	ear)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
CENTENN	IIAL, CO 80112-3	3405			Person	ore than One Re	porung	
(City)	(State)	(Zip)	Table I - Non	-Derivative Securities Acq	uired, Disposed of,	or Beneficiall	ly Own	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. If Transacti	4. Securities Acquired (A iomr Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially	6. Ownership Form:	7. Nat Indire Benef	

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	05/30/2013		M	2,382	A	\$ 13.14	308,532	D		
Common Stock	05/30/2013		S(1)	27,896	D	\$ 16.9191 (2)	280,636	D		
Common Stock	05/31/2013		M	17,306	A	\$ 13.14	297,942	D		
Common Stock	05/31/2013		S <u>(1)</u>	17,306	D	\$ 16.7592 (3)	280,636	D		

#### Edgar Filing: National CineMedia, Inc. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of on Derivative Securities Acquired (A or Disposed (D) (Instr. 3, 4, and 5)	Expiration I (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee stock option (right to buy)	\$ 13.14	05/30/2013		M	2,382	<u>(4)</u>	01/12/2022	Common Stock	2,382	
Employee stock option (right to buy)	\$ 13.14	05/31/2013		M	17,30	6 <u>(4)</u>	01/12/2022	Common Stock	17,306	

# **Reporting Owners**

\*\*Signature of Reporting Person

Reporting Owner Name / Address	Relationships						
F	Director	10% Owner	Officer	Other			
Marks Clifford E C/O NATIONAL CINEMEDIA, INC. 9110 E. NICHOLS AVE., SUITE 200 CENTENNIAL, CO 80112-3405			President of Sales & Marketing				
Signatures							
/s/ Jennifer A. D'Alessandro, as attorney-in-fact		06/03/2013					

Reporting Owners 2

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 27, 2013.
- The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$16.84 to \$17.12, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.
- The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$16.62 to \$16.89, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.
- (4) The option vests in three equal annual installments beginning on January 12, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.