National CineMedia, Inc. Form 3 January 06, 2014

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, r Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

(Print or Type Responses)

Common Stock

owned directly or indirectly.

. Name and Add Rosabal Al	•	ting Person *	2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol National CineMedia, Inc. [NCMI]				
(Last)	(First)	(Middle)	(Month/Day/Year) 12/27/2013		Relationship of Reporting rson(s) to Issuer		5. If Amendment, Date Origina Filed(Month/Day/Year)	
C/O NATION	IAL CINEM	IEDIA.						
NC., 91101				(Chec	ck all applicab	le)		
SUITE 200				D:		Ct .		
	(Street)			DirectionX Office (give title be	Owner	her	6. Individual or Joint/Group Filing(Check Applicable Line)	
CENTENNIA	L, CO 8	30112-3405		EVP, C	OO & Chief T	Tech.	_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - N	on-Deriva	ative Secur	ities Be	eneficially Owned	
.Title of Securit Instr. 4)	у		2. Amount of S Beneficially O (Instr. 4)		3. Ownership Form:	4. Natu Owners		

Direct (D)

(Instr. 5)

D

SEC 1473 (7-02)

Â

or Indirect (I)

 $74,352 \frac{(1)}{2}$ 

Reminder: Report on a separate line for each class of securities beneficially

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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### Edgar Filing: National CineMedia, Inc. - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee stock option (right to buy)	(2)	11/04/2020	Common Stock	75,000	\$ 19.17	D	Â
Employee stock option (right to buy)	(3)	01/13/2021	Common Stock	26,130	\$ 18.37	D	Â
Employee stock option (right to buy)	(4)	01/12/2022	Common Stock	7,742	\$ 13.14	D	Â
Employee stock option (right to buy)	(5)	08/02/2022	Common Stock	5,000	\$ 14.1	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
·k · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other	
Rosabal Alfonso P Jr					
C/O NATIONAL CINEMEDIA, INC.	â	â	EVP, COO & Chief Tech. Officer	â	
9110 E. NICHOLS AVE., SUITE 200	А	Α	A EVP, COO & Chief Tech. Officer		
CENTENNIAL, CO 80112-3405					

### **Signatures**

/s/ Jennifer A. D'Alessandro, as attorney-in-fact

01/06/2014

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes (a) 8,710 shares of restricted stock that will vest upon achievement of specified performance targets at the end of a three-year measuring period ending on December 26, 2013; (b) 11,614 shares of restricted stock that will vest upon achievement of specified
- vest upon achievement of specified performance targets at the end of a two-year measuring period ending on December 26, 2013; (d) 7,500 shares of restricted stock that will vest upon achievement of specified performance targets at the end of a three-year measuring period ending on January 1, 2015; (e) 30,541 shares of restricted stock that will vest upon achievement of specified performance targets at the end of a three-year measuring period ending on December 31, 2015; and (f) 10,180 shares of restricted stock that vest in three equal annual installments beginning on January 16, 2014.

performance targets at the end of a three-year measuring period ending on January 1, 2015; (c) 5,807 shares of restricted stock that will

- (2) The option vests in three equal annual installments beginning on November 4, 2011.
- (3) The option vests in three equal annual installments beginning on January 13, 2012.
- (4) The option vests in three equal annual installments beginning on January 12, 2013.
- (5) The option vests in three equal annual installments beginning on August 2, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2