#### LEPOFSKY ROBERT

Form 4

January 04, 2005

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

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**OMB APPROVAL** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

response...

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* LEPOFSKY ROBERT

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to Issuer

HELIX TECHNOLOGY CORP

[HELX]

(Check all applicable)

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction

(Month/Day/Year)

X\_ Director 10% Owner Officer (give title Other (specify

NINE HAMPSHIRE STREET

12/31/2004

4. If Amendment, Date Original

(Instr. 8)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

MANSFIELD, MA 02048

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(Instr. 4)

(Instr. 4)

Reported (A) or Code V Amount (D) Price

Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if any

5. Number of 4. TransactionDerivative Securities Code Acquired (A) or

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title an Underlyin (Instr. 3 ar

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(Instr. 3)	Price of Derivative		(Month/Day/Year)	(Instr. 8) Disposed of (D) (Instr. 3, 4, and 5)					
	Security			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Non-Qualifie Stock Option (right to buy)	\$ 8.595	12/31/2004		D <u>(1)</u>		20,000	<u>(1)</u>	04/16/2013	Commo Stock
Non-Qualifie Stock Option (right to buy)	\$ 8.595	12/31/2004		A <u>(1)</u>	5,000		<u>(1)</u>	04/16/2013	Commo Stock
Non-Qualifie Stock Option (right to buy)	\$ 19.245	12/31/2004		D <u>(1)</u>		28,000	<u>(1)</u>	04/28/2014	Commo Stock
Non-Qualifie Stock Option (right to buy)	\$ 20.8125	12/31/2004		D <u>(1)</u>		175,000	<u>(1)</u>	05/11/2007	Commo Stock
Non-Qualifie Stock Option (right to buy)	\$ 20.8125	12/31/2004		A(1)	100,000		<u>(1)</u>	05/11/2007	Commo Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Topotong o mar tume production	Director	10% Owner	•	Other		
LEPOFSKY ROBERT						
NINE HAMPSHIRE STREET	X					
MANSFIELD MA 02048						

# **Signatures**

By: Beverly L. Couturier, Attorney-in-Fact For: Robert J. Lepofsky

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Mr. Lepofsky's stock options that were vested as of December 31, 2004, were amended as of that date to extend the period in which those options can be exercised from one month to one year following his retirement as President and Chief Executive Officer on December 31,

01/04/2005

(1) 2004. Options held by Mr. Lepofsky that were not vested as of December 31, 2004, were terminated, including options to purchase 75,000 shares at \$20.8125 per share; 15,000 shares at \$8.595 per share; and 28,000 shares at \$19.24 per share. For reporting purposes only, the extension is described herein as the deemed cancellation of all of Mr. Lepofsky's outstanding stock options as of December 31, 2004, and the re-grant of the portion of such options that were vested as of December 31, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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