Edgar Filing: ADVANCED MEDICAL OPTICS INC - Form 3

ADVANCED MEDICAL OPTICS INC

Form 3 June 01, 2005

UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *

À POST DOUGLAS H

ANDREW PLACE

(Last)

(First)

C/O ADVANCED MEDICAL

OPTICS, INC., 1700 E. ST.

(Street)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Statement

(Month/Day/Year)

05/27/2005

ADVANCED MEDICAL OPTICS INC [AVO]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director _X__ Officer

10% Owner

Other (give title below) (specify below) CVP, President Americas

6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SANTA ANA, CAÂ 92705

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

3.

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

or Indirect (I)

(Instr. 5)

Â Common Stock $7,050^{(1)}$ D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion or Exercise

6. Nature of Indirect Ownership Form of

Price of Derivative Security:

Beneficial Ownership

(Instr. 5)

Derivative

Edgar Filing: ADVANCED MEDICAL OPTICS INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	05/27/2005	03/03/2013	Common Stock	6,850 (2)	\$ 12.51	D	Â
Employee Stock Option (Right to Buy)	05/27/2005	03/03/2013	Common Stock	13,220 (2)	\$ 12.51	D	Â
Employee Stock Option (Right to Buy)	05/27/2005	01/02/2011	Common Stock	5,182 (2)	\$ 15.97	D	Â
Employee Stock Option (Right to Buy)	05/27/2005	01/02/2011	Common Stock	35,413 <u>(2)</u>	\$ 15.96	D	Â
Employee Stock Option (Right to Buy)	05/27/2005	02/12/2012	Common Stock	4,723 (2)	\$ 23.58	D	Â
Employee Stock Option (Right to Buy)	05/27/2005	02/12/2012	Common Stock	35,417 <u>(2)</u>	\$ 23.58	D	Â
Employee Stock Option (Right to Buy)	05/27/2005	02/12/2011	Common Stock	12,309 (2)	\$ 24.51	D	Â
Employee Stock Option (Right to Buy)	05/27/2005	02/12/2011	Common Stock	535 (2)	\$ 24.54	D	Â
Employee Stock Option (Right to Buy)	05/27/2005	02/11/2014	Common Stock	46,161 <u>(2)</u>	\$ 30.73	D	Â
Employee Stock Option (Right to Buy)	05/27/2005	02/11/2014	Common Stock	2,007 (2)	\$ 30.73	D	Â
Employee Stock Option (Right to Buy)	05/27/2005	07/23/2013	Common Stock	2,174 (2)	\$ 34.46	D	Â
Employee Stock Option (Right to Buy)	05/27/2005	07/23/2013	Common Stock	94,163 (2)	\$ 34.45	D	Â
Employee Stock Option (Right to Buy)	05/27/2005	02/17/2010	Common Stock	5,250 (2)	\$ 40.2	D	Â
Employee Stock Option (Right to Buy)	05/27/2005	02/17/2010	Common Stock	14,017 (2)	\$ 40.2	D	Â

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
POST DOUGLAS H							
C/O ADVANCED MEDICAL OPTICS, INC. 1700 E. ST. ANDREW PLACE	Â	Â	CVP, President Americas	Â			
SANTA ANA. CA 92705							

Reporting Owners 2

Edgar Filing: ADVANCED MEDICAL OPTICS INC - Form 3

Signatures

Douglas H. Post, by Aimee S. Weisner, Attorney-in-Fact

05/31/2005

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Received in exchange for an aggregate of 12,773 shares of VISX, Incorporated Common Stock held by the reporting person immediately prior to the merger of such company with and into a wholly owned subisidiary of the issuer (the "Merger"), which became effective on May 27, 2005.
- (2) Received in the Merger in exchange for an employee stock option to acquire shares of VISX, Incorporated common stock. All such converted stock options were vested in full on May 27, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3