

HARTE HANKS INC
Form 4
June 02, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Galati Philip J

(Last) (First) (Middle)
9601 MCALLISTER
FREEWAY, SUITE 610
(Street)

SAN ANTONIO, TX 78216

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
HARTE HANKS INC [HHS]

3. Date of Earliest Transaction (Month/Day/Year)
05/29/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
SVP, Trillium

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Price			
Common Stock	05/29/2014		A	17,193 (1)	\$ 0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: HARTE HANKS INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (right to buy)	\$ 7.95	05/29/2014		A	36,021	(2) 05/29/2024	Common Stock	36,021

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Galati Philip J 9601 MCALLISTER FREEWAY SUITE 610 SAN ANTONIO, TX 78216			SVP, Trillium	

Signatures

/s/ Robert L. R. Munden, Power of Attorney
06/02/2014
Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares of restricted stock were issued pursuant to the Harte-Hanks, Inc. 2013 Omnibus Incentive Plan and vest in three equal installments on the first through third anniversaries of the grant date.
- (2) This option was granted under the Harte-Hanks, Inc. 2013 Omnibus Incentive Plan and vests in four equal installments on the first through fourth anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. AME OF EXECUTIVE OFFICERS PRESENT PRINCIPAL OCCUPATION -----
----- Paul A. Ricci Chairman of the Board of Directors and Chief Executive Officer Wayne S. Crandall Senior Vice President Sales and Business Development Jeanne McCann Senior Vice President of Speech Research and Development Richard S. Palmer Senior Vice President and Chief Financial Officer Robert J. Weideman Senior Vice President and Chief Marketing Officer Ben S. Wittner Senior Vice President Imaging Research and Development -8- Schedule 13D SCHEDULE B SPEECHWORKS INTERNATIONAL, INC. VOTING AGREEMENTS THE INFORMATION IN THIS SCHEDULE IS BASED ON INFORMATION PROVIDED BY SPEECHWORKS TO SCANSOFT The following is a list of the holders of common stock of SpeechWorks International, Inc. who entered into Voting Agreements and Irrevocable Proxies with ScanSoft on April 23, 2003. SHARES OF SPEECHWORKS PERCENTAGE OF SPEECHWORKS COMMON STOCK BENEFICIALLY

Edgar Filing: HARTE HANKS INC - Form 4

COMMON STOCK BENEFICIALLY STOCKHOLDER OWNED (1) OWNED ----- Axil Bichara
(2) 31,916 * Richard Burnes (3) 144,998 * Steven G. Chambers (4) 235,150 * Robert Finch (5) 63,416 * John C.
Freker, Jr. (6) 63,416 * W. Robert Kellegrew, Jr. 59,580 * William J. O'Farrell (7) 1,226,316 3.6% Stuart R. Patterson
(8) 930,900 2.7% Michael S. Phillips (9) 1,652,394 4.9% Richard J. Westelman (10) 287,520 * * Holds less than 1%
(1) Information with respect to "beneficial ownership" shown in the table above is based on information supplied by
the directors and executive officers of SpeechWorks and filings made with the Securities and Exchange Commission.
Beneficial ownership is determined in accordance with the rules of the Securities and Exchange Commission and
generally includes voting or investment power with respect to securities. All the shares of common stock shown as
beneficially owned by the persons named in the table include shares of common stock subject to options that may be
exercised within 60 days of April 23, 2003. The percentage of beneficial ownership is based on 33,903,543 shares of
common stock outstanding as of April 23, 2003 and includes shares of common stock subject to options that may be
exercised within 60 days of April 23, 2003. The respective beneficial owner disclaims beneficial ownership of all
shares and/or options included in his respective total, except to the extent of his pecuniary interest therein. (2)
Includes: - 30,416 shares subject to options exercisable within 60 days of April 23, 2003. (3) Includes: - 30,416 shares
subject to options exercisable within 60 days of April 23, 2003. (4) Includes: -9- Schedule 13D - 175,570 shares
subject to options exercisable within 60 days of April 23, 2003. (5) Includes: - 55,916 shares subject to options
exercisable within 60 days of April 23, 2003. (6) Includes: - 60,416 shares subject to options exercisable within 60
days of April 23, 2003. (7) Includes: - 30,416 shares subject to options exercisable within 60 days of April 23, 2003,
196,000 shares of common stock held by a trust for the benefit of Mr. O'Farrell's children and 500,000 shares of
common stock held by O'Farrell & Sons LLC. (8) Includes: - 710,418 shares subject to options exercisable within 60
days of April 23, 2003 and 17,053 shares of common stock held by a trust for the benefit of Mr. Patterson's children.
(9) Includes: - 45,533 shares subject to options exercisable within 60 days of April 23, 2003 and 40,000 shares of
common stock held by a trust for the benefit of Mr. Phillips' children. (10) Includes: - 180,055 shares subject to
options exercisable within 60 days of April 23, 2003. -10-