

AMTECH SYSTEMS INC  
Form 4  
February 13, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Anderson Bradley Clark

(Last) (First) (Middle)  
131 SOUTH CLARK DRIVE  
(Street)

TEMPE, AZ 85281

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

AMTECH SYSTEMS INC [ASYS]

3. Date of Earliest Transaction (Month/Day/Year)

02/11/2014

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)

Chief Financial Officer

6. Individual or Joint/Group Filing (Check Applicable Line)

\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Amtech Systems, Inc. \$.01 par value common stock	02/11/2014		M		15,637	A	\$ 2.95
Amtech Systems, Inc. \$.01 par value common stock	02/11/2014		S		15,637	D	\$ 9.4127

Edgar Filing: AMTECH SYSTEMS INC - Form 4

Amtech Systems, Inc. \$.01 par value common stock	02/11/2014	M	7,500	A	\$ 3.8	47,016	D
Amtech Systems, Inc. \$.01 par value common stock	02/11/2014	S	7,500	D	\$ 9.4127	39,516	D
Amtech Systems, Inc. \$.01 par value common stock	02/12/2014	M	9,361	A	\$ 2.95	48,877	D
Amtech Systems, Inc. \$.01 par value common stock	02/12/2014	S	9,361	D	\$ 9.3862	39,516	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Amtech Systems, Inc. Employee	\$ 2.95	02/11/2014		M	15,637	07/01/2013 12/11/2022	Amtech Systems, Inc. \$.01 par value 15,637

Stock Option	Price	Grant Date	Exercise	Quantity	Expiration	Term	Company	Par Value	Quantity
Amtech Systems, Inc. Employee Stock Option	\$ 3.8	02/11/2014	M	7,500	12/09/2018	12/09/2012	Amtech Systems, Inc.	\$.01	7,500
Amtech Systems, Inc. Employee Stock Option	\$ 2.95	02/12/2014	M	9,361	12/11/2022	07/01/2013	Amtech Systems, Inc.	\$.01	9,361

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Anderson Bradley Clark 131 SOUTH CLARK DRIVE TEMPE, AZ 85281			Chief Financial Officer	

## Signatures

Robert T. Hass on behalf of Bradley Anderson by Power of Attorney 02/13/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Price omitted because the transaction is a grant of a stock option.
- (2) This is the number of derivative securities, with the same terms and conditions, following the reported transaction.
- (3) This is the number of derivative securities, with the same terms and conditions, following the reported transaction. Total of all derivative securities, with different terms and conditions, held following the reported transaction is 117,075, net of options cancelled.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.