#### Edgar Filing: BIO RAD LABORATORIES INC - Form 4

**BIO RAD LABORATORIES INC** Form 4 September 12, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SODERBERG SHAWN MARIE Issuer Symbol **BIO RAD LABORATORIES INC** (Check all applicable) [BIO, BIO.B] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) C/O BIO-RAD LABORATORIES. 09/10/2014 EVP, General Counsel, Sec INC., 1000 ALFRED NOBEL DRIVE (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting HERCULES, CA 94547 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 1.Title of 2. Transaction Date 2A. Deemed 4. Securities 5. Amount of 6. Ownership 7. Nature of TransactionAcquired (A) or Indirect Security (Month/Day/Year) Execution Date, if Securities Form: Direct (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial anv (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership (Instr. 4) Following (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price **Bio-Rad** A 0 Common D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number<br>on f Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>Underlying Securitie<br>(Instr. 3 and 4) |                                      |
|---|---|---|---|--|---|--|--------------------|---|--------------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amoun<br>or<br>Numbe<br>of<br>Shares |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 119.54   | 09/10/2014                              |   | A                                      | 3,000   | <u>(1)</u>   | 09/10/2024         | Bio-Rad<br>A<br>Common<br>Stock                                 | 3,00                                 |
| Restricted<br>Stock Units                           | \$ 0 <u>(2)</u>   | 09/10/2014                              |   | А                                      | 2,000   | (3)  | (3)                | Bio-Rad<br>A<br>Common<br>Stock                                 | 2,00                                 |

### **Reporting Owners**

| <b>Reporting Owner Name / Address</b>  | Relationships |           |                           |       |  |  |  |
|--|---------------|-----------|---------------------------|-------|--|--|--|
| I G C C C C C C C C C C C C C C C C C C  | Director      | 10% Owner | Officer                   | Other |  |  |  |
| SODERBERG SHAWN MARIE<br>C/O BIO-RAD LABORATORIES, INC.<br>1000 ALFRED NOBEL DRIVE<br>HERCULES, CA 94547 |               |           | EVP, General Counsel, Sec |       |  |  |  |
| Signatures   |               |           |                           |       |  |  |  |

### Signatures

Shawn M. 09/12/2014 Soderberg

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock option vests over five years at 20% per year on the yearly anniversary date of the grant.
- (2) Each restricted stock unit represents a contingent right to receive one share of Bio-Rad Class A common stock.
- (3) The restricted stock units vest over five years at 20% per year on the yearly anniversary date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.