**GOETZ JOHN** Form 4 August 11, 2017

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **GOETZ JOHN** 

2. Issuer Name and Ticker or Trading Symbol

Issuer

below)

**BIO RAD LABORATORIES INC** [BIO, BIOB]

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 08/10/2017

Director 10% Owner Other (specify X\_ Officer (give title

(Check all applicable)

EVP, Chief Operating Officer

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

C/O BIO-RAD LABORATORIES. INC., 1000 ALFRED NOBEL DRIVE

(First)

(State)

(Street)

(Last)

(City)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

HERCULES, CA 94547

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Transaction(A) or Disposed of (D) Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code V Amount (D) Price Bio-Rad A 63,811.3235 D Common 08/10/2017 M 2,600 A 107.32 (1) Stock Bio-Rad A Common 08/10/2017 F 1,978 D \$ 215 61,833.3235 D Stock Bio-Rad A Common 08/10/2017 M 1,920 \$ 117 63,753.3235 D Α Stock

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Bio-Rad A Common Stock	08/10/2017	F	1,502	D	\$ 215	62,251.3235	D
Bio-Rad A Common Stock	08/10/2017	M	2,400	A	\$ 119.54	64,651.3235	D
Bio-Rad A Common Stock	08/10/2017	F	1,891	D	\$ 215	62,760.3235	D
Bio-Rad A Common Stock	08/10/2017	M	1,600	A	\$ 139.56	64,360.3235	D
Bio-Rad A Common Stock	08/10/2017	F	1,332	D	\$ 215	63,028.3235	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day/	ate	7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 107.32	08/10/2017		M	2,600	<u>(2)</u>	09/12/2022	Bio-Rad A Common Stock	2,60
Non-Qualified Stock Option (right to buy)	\$ 117	08/10/2017		M	1,920	(3)	09/11/2023	Bio-Rad A Common Stock	1,92
Non-Qualified Stock Option	\$ 119.54	08/10/2017		M	2,400	<u>(4)</u>	09/10/2024	Bio-Rad A	2,40

(9-02)

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(right to buy) Common Stock Bio-Rad Non-Qualified Α (5) **Stock Option** \$ 139.56 M 09/11/2025 1,60 08/10/2017 1,600 Common (right to buy) Stock

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GOETZ JOHN C/O BIO-RAD LABORATORIES, INC. 1000 ALFRED NOBEL DRIVE HERCULES, CA 94547

EVP, Chief Operating Officer

## **Signatures**

John Goetz 08/11/2017

\*\*Signature of Person Date

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 37.1639 shares acquired under the Bio-Rad Employee Stock Purchase Plan on 06/30/2017.
- (2) The option vested in five equal annual installments beginning on September 12, 2013.
- (3) The option vested in five equal annual installments beginning on September 11, 2014.
- (4) The option vested in five equal annual installments beginning on September 10, 2015.
- (5) The option vested in five equal annual installments beginning on September 11, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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