#### Edgar Filing: PATRIOT NATIONAL BANCORP INC - Form 4

#### PATRIOT NATIONAL BANCORP INC

Form 4 July 30, 2007

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per

**OMB APPROVAL** 

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response...

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Harvey Sandler Revocable Trust

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

PATRIOT NATIONAL BANCORP

Issuer

below)

(Check all applicable)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

Director Officer (give title

\_X\_\_ 10% Owner \_ Other (specify

21170 N. E. 22ND COURT

(Month/Day/Year)

INC [PNBK]

07/23/2007

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

Person

NORTH MIAMI BEACH, FL 33180

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired (A) our Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Patriot National Bancorp Inc.	07/23/2007		Code V	Amount	(D)	Price \$ 10.66	(Instr. 3 and 4) 488,662	D	
Patriot National Bancorp Inc.	07/24/2007		P	6,400	A	\$ 21.14	495,062	D	
Patriot National Bancorp Inc.	07/25/2007		P	4,500	A	\$ 20.525	499,562	D	

#### Edgar Filing: PATRIOT NATIONAL BANCORP INC - Form 4

Patriot

National Bancorp 07/25/2007 P 1,100 A \$ 500,662 D

Inc.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title and	8. Price of	9. Nu
Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amount of	Derivative	Deriv
or Exercise		any	Code	of	(Month/Day/	/Year)	Underlying	Security	Secui
Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securities	(Instr. 5)	Bene
Derivative				Securities			(Instr. 3 and 4)	)	Owne
Security				Acquired					Follo
				(A) or					Repo
				Disposed					Trans
				of (D)					(Instr
				(Instr. 3,					
				4, and 5)					
							Amoun	•	
								L	
					Date	Expiration			
					Exercisable	ble Date			
			Code V	(A) (D)			Shares		
	Conversion or Exercise Price of Derivative	Conversion (Month/Day/Year) or Exercise Price of Derivative	Conversion (Month/Day/Year) Execution Date, if or Exercise any Price of (Month/Day/Year) Derivative	Conversion (Month/Day/Year) Execution Date, if Transaction or Exercise any Code Price of (Month/Day/Year) (Instr. 8)  Derivative Security	Conversion or Exercise any Code of Price of Derivative Security (Month/Day/Year) Execution Date, if any Code of (Instr. 8) Derivative Security Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	Conversion or Exercise any Code of (Month/Day/Pear) Execution Date, if any Code of (Month/Day/Pear) (Instr. 8) Derivative Security Securit	Conversion or Exercise any Code of (Month/Day/Year) Execution Date, if any Code of (Month/Day/Year) (Instr. 8) Derivative Security Securit	Conversion (Month/Day/Year) Execution Date, if any Code of (Month/Day/Year) Underlying Code of (Month/Day/Year) Underlying Securities  Price of (Month/Day/Year) (Instr. 8) Derivative Securities  Derivative Security Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Date Expiration Date Amount of (Month/Day/Year) Underlying Securities  Amount of (Month/Day/Year) Underlying Securities  (Instr. 3 and 4)  Amount of (Month/Day/Year) Underlying Securities  (Instr. 3 and 4)  Amount of (Month/Day/Year) Underlying Securities  (Instr. 3 and 4)  Amount of (Month/Day/Year) Underlying Securities  (Instr. 3 and 4)  Amount of (Month/Day/Year) Underlying Securities  Acquired (A) or Disposed of (D)  (Instr. 3)  Amount of (Month/Day/Year) Underlying Securities  Acquired (A) or Disposed of (D)  (Instr. 3)  Amount of (Month/Day/Year) Underlying Securities  Acquired (A) or Disposed of (D)  (Instr. 3)  Amount of (Month/Day/Year) Underlying Securities  Acquired (A) or Disposed of (D)  (Instr. 3)  Amount of (Month/Day/Year) Underlying Securities	Conversion (Month/Day/Year) Execution Date, if any core Exercise Price of Derivative Security  Price of Derivative Security  Recursion Date (Month/Day/Year) (Month/Day/Year) (Instr. 8) Derivative Securities (Instr. 5) (Instr. 3 and 4)  Recursion Date (Month/Day/Year) (Instr. 8) Derivative Securities (Instr. 5) (Instr. 3 and 4)  Recursion Date (Month/Day/Year) (Instr. 5) (Instr. 3 and 4)  Recursion Date (Instr. 5)  Recursion Date (Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Harvey Sandler Revocable Trust 21170 N. E. 22ND COURT NORTH MIAMI BEACH, FL 33180		X				
SANDLER HARVEY						
		X				

# **Signatures**

Harvey Sandler Trust	07/30/2007	
	**Signature of Reporting Person	Date
Harvey Sandler		07/30/2007
	**Signature of Reporting Person	Date

Reporting Owners 2

### Edgar Filing: PATRIOT NATIONAL BANCORP INC - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.