

Duke Energy CORP  
Form 4  
May 11, 2007

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HAUSER DAVID L

(Last) (First) (Middle)  
526 S. CHURCH STREET  
(Street)

CHARLOTTE, NC 28202

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Duke Energy CORP [DUK]

3. Date of Earliest Transaction (Month/Day/Year)  
05/10/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
Grp Exec & CFO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Common Stock	05/10/2007	05/10/2007	M		18,600	A	\$ 15.74	58,675	D
Common Stock	05/10/2007	05/10/2007	M		31,800	A	\$ 16.9	90,475	D
Common Stock	05/10/2007	05/10/2007	M		37,800	A	\$ 14.17	128,275	D
Common Stock	05/10/2007	05/10/2007	M		5,400	A	\$ 9.74	133,675	D
Common Stock	05/10/2007	05/10/2007	M		10,000	A	\$ 7.85	143,675	D

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Common Stock	05/10/2007	05/10/2007	S	76,193	D	\$ 20.3	67,482	D	
Common Stock	05/10/2007	05/10/2007	S	9,807	D	\$ 20.31	57,675	D	
Common Stock	05/10/2007	05/10/2007	S	3,200	D	\$ 20.32	54,475	D	
Common Stock	05/10/2007	05/10/2007	S	2,100	D	\$ 20.33	52,375	D	
Common Stock	05/10/2007	05/10/2007	S	2,700	D	\$ 20.34	49,675	D	
Common Stock	05/10/2007	05/10/2007	S	7,100	D	\$ 20.35	42,575	D	
Common Stock	05/10/2007	05/10/2007	S	800	D	\$ 20.36	41,775	D	
Common Stock	05/10/2007	05/10/2007	S	700	D	\$ 20.37	41,075	D	
Common Stock	05/10/2007	05/10/2007	S	1,000	D	\$ 20.38	40,075	D	
Common Stock							32,706	I	By Retirement Savings Plan
Common Stock							192	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title

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Stock Option (Right to Buy)	\$ 14.17	05/10/2007	05/10/2007	M	37,800	12/20/2003	12/20/2009	Common Stock	37,800
Stock Option (Right to Buy)	\$ 9.74	05/10/2007	05/10/2007	M	5,400	01/28/2003	01/28/2013	Common Stock	5,400
Stock Option (Right to Buy)	\$ 7.85	05/10/2007	05/10/2007	M	10,000	(1)	02/25/2013	Common Stock	10,000
Stock Option (Right to Buy)	\$ 15.74	05/10/2007	05/10/2007	M	18,600	02/17/2002	02/17/2008	Common Stock	18,600
Stock Option (Right to Buy)	\$ 16.9	05/10/2007	05/10/2007	M	31,800	02/17/2003	02/17/2009	Common Stock	31,800

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HAUSER DAVID L 526 S. CHURCH STREET CHARLOTTE, NC 28202			Grp Exec & CFO	

## Signatures

By: David S. Maltz,  
Attorney-in-fact for

05/11/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Vested in four annual, equal installments beginning on February 25, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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