CANCER GENETICS, INC

Form 4

November 16, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

3235-0287 Number:

OMB APPROVAL

January 31, Expires: 2005

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * PAPPAJOHN JOHN

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

CANCER GENETICS, INC [CGIX]

5. Relationship of Reporting Person(s) to

Issuer

below)

(Middle)

(Zip)

(Month/Day/Year)

3. Date of Earliest Transaction

(Month/Day/Year)

X Director X 10% Owner Other (specify

(Check all applicable)

201 ROUTE 17 NORTH, 2ND **FLOOR**

4. If Amendment, Date Original

Code V

P

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

11/12/2015

Applicable Line)

Officer (give title

X Form filed by One Reporting Person Form filed by More than One Reporting

RUTHERFORD, NJ 07070

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

4. Securities Acquired 3. Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported

(A) or

A

(D)

(2)

Transaction(s) (Instr. 3 and 4) Price

Common

Stock, par value

\$0.0001

11/12/2015

Amount

100,000

1,621,789

D

per share (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	tior)	5. Number of Derivative Securities Acquired (A Disposed of (Instr. 3, 4, 5)	A) or f (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Warrant (right to buy) (1)	\$ 5	11/12/2015		P		100,000		11/12/2015	11/12/2020	Common Stock, par value \$0.0001 per share	100,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other			
PAPPAJOHN JOHN							
201 ROUTE 17 NORTH	X	X					
2ND FLOOR							
RUTHERFORD, NJ 07070							

Signatures

/s/ John Pappajohn by Edward J. Sitar as Attorney-in-Fact

11/12/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reported securities were purchased in an underwritten public offering which closed on November 12, 2015. The reported securities were issued pursuant to a prospectus supplement in connection with a takedown from the Company's shelf registration statement on Form S-3 (File No. 333-196374), filed with the SEC and effective on June 5, 2014.
- (2) The reported securities consist of one share of common stock, par value \$0.0001 per share (the "Common Stock") and an accompanying warrant to purchase one share of Common Stock for \$4.00 per share and warrant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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