Edgar Filing: Gilbreth Mark - Form 4

Gilbreth Marl	k										
Form 4											
November 10	, 2009										
FORM Check this	UNITED	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								PROVAL 3235-0287 January 31,	
if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Sec				SECUR	ITIES			Expires: Estimated a burden hou response	2005 average rs per		
obligation may conti <i>See</i> Instru- 1(b).	$\frac{1}{1}$ Section $17(a)$) of the l	Public Ut		ing Com	pany	Act of	f 1935 or Section	n		
(Print or Type R	esponses)										
1. Name and Address of Reporting Person *2. IssuerGilbreth MarkSymbol				er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
CAPST [cpst]				TONE TURBINE CORP				(Check all applicable)			
(Last)(First)(Middle)3. Date of (Month/D)21211 NORDHOFF STREET11/09/20				-				Director 10% Owner X Officer (give title Other (specify below) EVP Operations and CTO			
				if Amendment, Date Original ed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
CHATSWO	RTH, CA 91311							Form filed by M Person	Iore than One Re	eporting	
(City)	(State)	Zip)	Table	e I - Non-De	erivative S	Securi	ties Acc	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any		3. Transactic Code (Instr. 8)	on(A) or Di (D)	spose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	11/09/2009			Code V S	Amount 2,392 (1)		Price \$ 1.15	(Instr. 3 and 4) 136,105	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Gilbreth Mark 21211 NORDHOFF STREET CHATSWORTH, CA 91311			EVP Operations and CTO					
Signatures								
Mark Gilbreth, Reporting	11	/10/2009						

Person 11/10/2009

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were sold pursuant to the prior authorization of the Reporting Person to cover the tax liability resulting from the vesting of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.