TFS Financial CORP Form 4 January 05, 2015

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* PAULETT TERENCE C

2. Issuer Name and Ticker or Trading Symbol

Issuer

TFS Financial CORP [TFSL]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

7007 BROADWAY AVENUE

(Street)

(State)

(Zip)

01/02/2015

below) below) Chief Risk Off of Subsidiary 6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

CLEVELAND, OH 44105

(City)

(City)	(State) (Z	Zip) Table	I - Non-Do	erivative Securi	ities Acc	quired, Disposed	of, or Beneficial	ly Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securities onAcquired (A) of	or	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)	Disposed of (I) (Instr. 3, 4 and (A) or	5)	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code V	Amount (D)	Price	(monte dire 1)		

Common  $D^{(1)}$ 29,947 Stock

Common By ESOP 54 I (2) Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: TFS Financial CORP - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	FransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(3)</u>	01/02/2015		D		2,800	<u>(3)</u>	(3)	Common Stock	2,800
Restricted Stock Units	(3)	01/02/2015		D		1,934	(3)	(3)	Common Stock	1,934
Employee Stock Option (right to buy)	\$ 11.64	01/02/2015		D		18,234	<u>(4)</u>	12/03/2023	Common Stock	18,234
Employee Stock Option (right to buy)	\$ 9.43	01/02/2015		D		13,900	<u>(4)</u>	12/15/2022	Common Stock	13,900
Employee Stock Option (right to buy)	\$ 8.61						(5)	12/14/2021	Common Stock	40,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
PAULETT TERENCE C								
7007 BROADWAY AVENUE			Chief Risk Off of Subsidiary					
CLEVELAND, OH 44105								

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## **Signatures**

/s/ Paul J. Huml, Pursuant to Power of Attorney 01/05/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares are held with shared voting power with spouse.
- (2) Reflects transactions not required to be reported under Section 16 of the Securities Exchange Act, as amended.
- (3) These restricted stock units are unvested securities which were forfeited upon the retirement of reporting person.
- (4) These stock options are unvested securities which were forfeited upon the retirement of the reporting person.
- (5) The reporting person received a grant of 40,000 stock options on December 19, 2011 which vest 100% on December 15, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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