

CORNERSTONE STRATEGIC VALUE FUND INC
Form N-PX
August 19, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT
INVESTMENT COMPANY

Investment Company Act file number 811-05150

Cornerstone Strategic Value Fund, Inc.

(Exact name of registrant as specified in charter)

48 Wall Street New York, NY 10005
(Address of principal executive offices) (Zip code)

Frank J. Maresca

AST Fund Solutions, LLC, 48 Wall Street, 22nd Floor, New York, NY 10005

(Name and address of agent for service)

Registrant's telephone number, including area code: (866) 668-6558

Date of fiscal year end: December 31

Date of reporting period: July 1, 2015 - June 30, 2016

ITEM 1. PROXY VOTING RECORD.

| Company Name | Ticker | CUSIP | Meeting Date | Proposal Number | Proposal Description | Proponent | Management Recommendation |
|---------------------|--------|-----------|--------------|-----------------|--|-----------|---------------------------|
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 1 | Elect Sondra L. Barbour | Mgmt | For |
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 2 | Elect Thomas K. Brown | Mgmt | For |
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 3 | Elect Vance D. Coffman | Mgmt | For |
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 4 | Elect David B. Dillon | Mgmt | For |
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 5 | Elect Michael L. Eskew | Mgmt | For |
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 6 | Elect Herbert L. Henkel | Mgmt | For |
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 7 | Elect Muhtar Kent | Mgmt | For |
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 8 | Elect Edward M. Liddy | Mgmt | For |
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 9 | Elect Gregory R. Page | Mgmt | For |
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 10 | Elect Inge G. Thulin | Mgmt | For |
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 11 | Elect Robert J. Ulrich | Mgmt | For |
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 12 | Elect Patricia A. Woertz | Mgmt | For |
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 13 | Ratification of Auditor | Mgmt | For |
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 15 | Approval of the 2016 Long-Term Incentive Plan | Mgmt | For |
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 16 | Shareholder Proposal Regarding Special Meetings | ShrHoldr | Against |
| 3M Company | MMM | 88579Y101 | 5/10/2016 | 17 | Shareholder Proposal Regarding Excluding Share Repurchases in Executive Compensation | ShrHoldr | Against |
| Abbott Laboratories | ABT | 002824100 | 4/29/2016 | 1.1 | Elect Robert J. Alpern | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/29/2016 | 1.2 | Elect Roxanne S. Austin | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/29/2016 | 1.3 | Elect Sally E. Blount | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/29/2016 | 1.4 | Elect W. James Farrell | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/29/2016 | 1.5 | Elect Edward M. Liddy | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/29/2016 | 1.6 | Elect Nancy McKinstry | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/29/2016 | 1.7 | Elect Phebe N. Novakovic | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/29/2016 | 1.8 | Elect William A. Osborn | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/29/2016 | 1.9 | Elect Samuel C. Scott III | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/29/2016 | 1.10 | Elect Glenn F. Tilton | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/29/2016 | 1.11 | Elect Miles D. White | Mgmt | For |

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|-------------------------------------|------|-----------|-----------|-----|--|----------|---------|
| Abbott Laboratories | ABT | 002824100 | 4/29/2016 | 2 | Ratification of Auditor | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/29/2016 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| AbbVie Inc. | ABBV | 00287Y109 | 5/6/2016 | 1.1 | Elect William H.L. Burnside | Mgmt | For |
| AbbVie Inc. | ABBV | 00287Y109 | 5/6/2016 | 1.2 | Elect Brett J. Hart | Mgmt | For |
| AbbVie Inc. | ABBV | 00287Y109 | 5/6/2016 | 1.3 | Elect Edward J. Rapp | Mgmt | For |
| AbbVie Inc. | ABBV | 00287Y109 | 5/6/2016 | 2 | Ratification of Auditor | Mgmt | For |
| AbbVie Inc. | ABBV | 00287Y109 | 5/6/2016 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| AbbVie Inc. | ABBV | 00287Y109 | 5/6/2016 | 4 | Repeal of Classified Board | Mgmt | For |
| AbbVie Inc. | ABBV | 00287Y109 | 5/6/2016 | 5 | Approval of Performance Goals Under the Performance Incentive Plan | Mgmt | For |
| AbbVie Inc. | ABBV | 00287Y109 | 5/6/2016 | 6 | Shareholder Proposal Regarding Take-Back Programs for Unused Medicines | ShrHoldr | Against |
| AbbVie Inc. | ABBV | 00287Y109 | 5/6/2016 | 7 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Aberdeen Australia Equity Fund Inc. | IAF | 003011103 | 3/24/2016 | 1 | Elect Neville J. Miles | Mgmt | For |
| Aberdeen Australia Equity Fund Inc. | IAF | 003011103 | 3/24/2016 | 2 | Elect Moritz Sell | Mgmt | For |
| Aberdeen Chile Fund Inc | CH | 00301W105 | 3/24/2016 | 1 | Elect Lawrence J. Fox | Mgmt | For |
| Aberdeen Greater China Fund Inc | GCH | 003031101 | 6/22/2016 | 1 | Election of Directors | Mgmt | For |
| Aberdeen Israel Fund Inc | ISL | 00305P106 | 3/24/2016 | 1 | Elect James J. Cattano | Mgmt | For |
| Aberdeen Israel Fund Inc | ISL | 00301L109 | 3/24/2016 | 1 | Elect Lawrence J. Fox | Mgmt | For |
| Aberdeen Singapore Fund Inc | SGF | 003244100 | 6/22/2016 | 1 | Elect David G. Harmer | Mgmt | For |
| Aberdeen Singapore Fund Inc | SGF | 003244100 | 6/22/2016 | 2 | Elect Rahn K. Porter | Mgmt | For |

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|---|-----|-----------|------------|-----|---------------------------------------|----------|-----|
| ACE Limited | ACE | H0023R105 | 10/22/2015 | 1 | Increase in Authorized Share Capital | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 10/22/2015 | 2 | Company Name Change | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 10/22/2015 | 3 | Merger/Acquisition | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 10/22/2015 | 4 | Elect Sheila P. Burke | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 10/22/2015 | 5 | Elect James I. Cash, Jr. | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 10/22/2015 | 6 | Elect Lawrence W. Kellner | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 10/22/2015 | 7 | Elect James M. Zimmerman | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 10/22/2015 | 8 | Board Compensation | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 10/22/2015 | 9 | Additional or Miscellaneous Proposals | ShrHoldr | For |
| Adams Diversified Equity Fund | ADX | 006212104 | 4/14/2016 | 1.1 | Elect Enrique R. Arzac | Mgmt | For |
| Adams Diversified Equity Fund | ADX | 006212104 | 4/14/2016 | 1.2 | Elect Phyllis O. Bonanno | Mgmt | For |
| Adams Diversified Equity Fund | ADX | 006212104 | 4/14/2016 | 1.3 | Elect Kenneth J. Dale | Mgmt | For |
| Adams Diversified Equity Fund | ADX | 006212104 | 4/14/2016 | 1.4 | Elect Frederic A. Escherich | Mgmt | For |
| Adams Diversified Equity Fund | ADX | 006212104 | 4/14/2016 | 1.5 | Elect Roger W. Gale | Mgmt | For |
| Adams Diversified Equity Fund | ADX | 006212104 | 4/14/2016 | 1.6 | Elect Kathleen T. McGahran | Mgmt | For |
| Adams Diversified Equity Fund | ADX | 006212104 | 4/14/2016 | 1.7 | Elect Craig R. Smith | Mgmt | For |
| Adams Diversified Equity Fund | ADX | 006212104 | 4/14/2016 | 1.8 | Elect Mark E. Stoeckle | Mgmt | For |
| Adams Diversified Equity Fund | ADX | 006212104 | 4/14/2016 | 2 | Ratification of Auditor | Mgmt | For |
| Advent-Claymore Enhanced Growth & Income Fund | LCM | 00765E104 | 9/30/2015 | 1 | Elect Tracy V. Maitland | Mgmt | For |
| Advent-Claymore Enhanced Growth & Income Fund | LCM | 00765E104 | 9/30/2015 | 2 | Elect Ronald A. Nyberg | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/20/2016 | 1 | Elect Fernando Aguirre | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/20/2016 | 2 | Elect Mark T. Bertolini | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/20/2016 | 3 | Elect Frank M. Clark, Jr. | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/20/2016 | 4 | Elect Betsy Z. Cohen | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/20/2016 | 5 | Elect Molly J. Coye | Mgmt | For |

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|----------------------------------|-----|-----------|------------|-----|--|----------|---------|
| Aetna Inc. | AET | 00817Y108 | 5/20/2016 | 6 | Elect Roger N. Farah | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/20/2016 | 7 | Elect Jeffrey E. Garten | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/20/2016 | 8 | Elect Ellen M. Hancock | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/20/2016 | 9 | Elect Richard J. Harrington | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/20/2016 | 10 | Elect Edward J. Ludwig | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/20/2016 | 11 | Elect Joseph P. Newhouse | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/20/2016 | 12 | Elect Olympia J. Snowe | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/20/2016 | 13 | Ratification of Auditor | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/20/2016 | 14 | Approval of the 2016 Employee Stock Purchase Plan | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/20/2016 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/20/2016 | 16 | Shareholder Proposal Regarding Report on Indirect Political Spending | ShrHoldr | Against |
| Aetna Inc. | AET | 00817Y108 | 10/19/2015 | 1 | Merger | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 10/19/2015 | 2 | Right to Adjourn Meeting | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 10/19/2015 | 1 | Merger | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 10/19/2015 | 2 | Right to Adjourn Meeting | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/28/2016 | 1 | Elect Susan K. Carter | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/28/2016 | 2 | Elect Charles I. Cogut | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/28/2016 | 3 | Elect Seifi Ghasemi | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/28/2016 | 4 | Elect David H. Y. Ho | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/28/2016 | 5 | Elect Margaret G. McGlynn | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/28/2016 | 6 | Advisory Vote on Executive Compensation | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/28/2016 | 7 | Ratification of Auditor | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/28/2016 | 8 | Approval of Annual Incentive Plan Terms | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 1.1 | Elect Nesli Basgoz | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 1.2 | Elect Paul M. Bisaro | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 1.3 | Elect James H. Bloem | Mgmt | For |

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| Allergan plc | AGN | G0177J108 | 5/5/2016 | 1.4 | Elect Christopher W. Bodine | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 1.5 | Elect Christopher J. Coughlin | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 1.6 | Elect Michael R. Gallagher | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 1.7 | Elect Catherine M. Klema | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 1.8 | Elect Peter J. McDonnell | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 1.9 | Elect Patrick J. O'Sullivan | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 1.10 | Elect Brenton L. Saunders | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 1.11 | Elect Ronald R. Taylor | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 1.12 | Elect Fred G. Weiss | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 2 | Advisory Vote on Executive Compensation | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 3 | Ratification of Auditor and Authority to Set Fees | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 4 | Amendment to the Memorandum of Association to Make Certain Administrative Amendments | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 5 | Amendment to the Articles of Association to make Certain Administrative Amendments | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 6 | Provide for a Plurality Voting Standard in the Event of a Contested Election | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 7 | Sole Authority of Board to Determine Board Size | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 8 | Reduction of Company Capital | Mgmt | For |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 9 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Allergan plc | AGN | G0177J108 | 5/5/2016 | 10 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| AllianzGI Convertible & | NCZ | 01883A107 | 6/30/2016 | 1.1 | Elect Deborah A. DeCotis | Mgmt | For |

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Income Fund II

| | | | | | | | |
|--|-----|-----------|-----------|-----|--------------------------------|------|-----|
| AllianzGI Convertible & Income Fund II | NCZ | 01883A107 | 6/30/2016 | 1.2 | Elect Bradford K. Gallagher | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 01883A107 | 6/30/2016 | 1.3 | Elect James A. Jacobson | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 01883A107 | 6/30/2016 | 1.4 | Elect Barbara R. Claussen | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 01883A107 | 6/30/2016 | 1.5 | Elect A. Douglas Eu | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 018825109 | 6/30/2016 | 1.1 | Elect William B. Ogden IV | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 018825109 | 6/30/2016 | 1.2 | Elect Barbara R. Claussen | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 018825109 | 6/30/2016 | 1.3 | Elect A. Douglas Eu | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 018828103 | 6/30/2016 | 1.1 | Elect Hans W. Kertess | Mgmt | N/A |
| AllianzGI Convertible & Income Fund II | NCZ | 018828103 | 6/30/2016 | 1.2 | Elect William B. Ogden IV | Mgmt | N/A |
| AllianzGI Convertible & Income Fund II | NCZ | 018828103 | 6/30/2016 | 1.3 | Elect Barbara R. Claussen | Mgmt | N/A |
| AllianzGI Convertible & Income Fund II | NCZ | 018828103 | 6/30/2016 | 1.4 | Elect A. Douglas Eu | Mgmt | N/A |
| Allstate Corporation | ALL | 020002101 | 5/24/2016 | 1 | Elect Kermit R. Crawford | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/24/2016 | 2 | Elect Michael L. Eskew | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/24/2016 | 3 | Elect Herbert L. Henkel | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/24/2016 | 4 | Elect Siddharth N. Mehta | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/24/2016 | 5 | Elect Jacques P. Perold | Mgmt | For |

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|----------------------|-------|-----------|-----------|------|--|----------|---------|
| Allstate Corporation | ALL | 020002101 | 5/24/2016 | 6 | Elect Andrea Redmond | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/24/2016 | 7 | Elect John W. Rowe | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/24/2016 | 8 | Elect Judith A. Sprieser | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/24/2016 | 9 | Elect Mary Alice Taylor | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/24/2016 | 10 | Elect Thomas J. Wilson | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/24/2016 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/24/2016 | 12 | Ratification of Auditor | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/24/2016 | 13 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Allstate Corporation | ALL | 020002101 | 5/24/2016 | 14 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Against |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 1.1 | Elect Larry Page | Mgmt | For |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 1.2 | Elect Sergey Brin | Mgmt | For |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 1.3 | Elect Eric E. Schmidt | Mgmt | For |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 1.4 | Elect L. John Doerr | Mgmt | For |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 1.5 | Elect Diane B. Greene | Mgmt | For |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 1.6 | Elect John L. Hennessy | Mgmt | For |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 1.7 | Elect Ann Mather | Mgmt | For |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 1.8 | Elect Alan R. Mulally | Mgmt | For |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 1.9 | Elect Paul S. Otellini | Mgmt | For |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 1.10 | Elect K. Ram Shriram | Mgmt | For |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 1.11 | Elect Shirley M. Tilghman | Mgmt | For |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 2 | Ratification of Auditor | Mgmt | For |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 3 | Amendment to the 2012 Stock Plan | Mgmt | For |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 4 | Amendment to Google Inc.'s Certificate of Incorporation | Mgmt | For |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 5 | Shareholder Proposal Regarding Recapitalization | ShrHoldr | Against |

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| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 6 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 7 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Against |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 8 | Shareholder Proposal Regarding Majority Vote for Election of Directors | ShrHoldr | Against |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 9 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Alphabet Inc. | GOOGL | 02079K305 | 6/8/2016 | 10 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHoldr | Against |
| Alpine Global Dynamic Dividend Fund | AGD | 02082E205 | 5/17/2016 | 1.1 | Elect James A. Jacobson | Mgmt | For |
| Alpine Global Dynamic Dividend Fund | AGD | 02082E205 | 5/17/2016 | 1.2 | Elect H. Guy Leibler | Mgmt | For |
| Alpine Global Dynamic Dividend Fund | AGD | 02082E205 | 5/17/2016 | 2 | Transaction of Other Business | Mgmt | For |
| Alpine Global Premier Properties Fund | AWP | 02083A103 | 5/17/2016 | 1.1 | Elect James A. Jacobson | Mgmt | For |
| Alpine Global Premier Properties Fund | AWP | 02083A103 | 5/17/2016 | 1.2 | Elect H. Guy Leibler | Mgmt | For |
| Alpine Global Premier Properties Fund | AWP | 02083A103 | 5/17/2016 | 2 | Transaction of Other Business | Mgmt | For |
| Alpine Total Dynamic Dividend Fund | AOD | 021060207 | 5/17/2016 | 1.1 | Elect James A. Jacobson | Mgmt | For |
| Alpine Total Dynamic Dividend Fund | AOD | 021060207 | 5/17/2016 | 1.2 | Elect H. Guy Leibler | Mgmt | For |
| Alpine Total Dynamic Dividend Fund | AOD | 021060207 | 5/17/2016 | 2 | Transaction of Other Business | Mgmt | For |
| Amazon.com, Inc. | AMZN | 023135106 | 5/17/2016 | 1 | Elect Jeffrey P. Bezos | Mgmt | For |
| Amazon.com, Inc. | AMZN | 023135106 | 5/17/2016 | 2 | Elect Tom A. Alberg | Mgmt | For |

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| Amazon.com, Inc. | AMZN | 023135106 | 5/17/2016 | 3 | Elect John Seely Brown | Mgmt | For |
| Amazon.com, Inc. | AMZN | 023135106 | 5/17/2016 | 4 | Elect William B. Gordon | Mgmt | For |
| Amazon.com, Inc. | AMZN | 023135106 | 5/17/2016 | 5 | Elect Jamie S. Gorelick | Mgmt | For |
| Amazon.com, Inc. | AMZN | 023135106 | 5/17/2016 | 6 | Elect Judith A McGrath | Mgmt | For |
| Amazon.com, Inc. | AMZN | 023135106 | 5/17/2016 | 7 | Elect Jonathan J. Rubinstein | Mgmt | For |
| Amazon.com, Inc. | AMZN | 023135106 | 5/17/2016 | 8 | Elect Thomas O. Ryder | Mgmt | For |
| Amazon.com, Inc. | AMZN | 023135106 | 5/17/2016 | 9 | Elect Patricia Q. Stonesifer | Mgmt | For |
| Amazon.com, Inc. | AMZN | 023135106 | 5/17/2016 | 10 | Elect Wendell P. Weeks | Mgmt | For |
| Amazon.com, Inc. | AMZN | 023135106 | 5/17/2016 | 11 | Ratification of Auditor | Mgmt | For |
| Amazon.com, Inc. | AMZN | 023135106 | 5/17/2016 | 12 | Shareholder Proposal Regarding Sustainability Report | ShrHoldr | Against |
| Amazon.com, Inc. | AMZN | 023135106 | 5/17/2016 | 13 | Shareholder Proposal Regarding Human Rights Report | ShrHoldr | Against |
| Amazon.com, Inc. | AMZN | 023135106 | 5/17/2016 | 14 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Against |
| American Express Company | AXP | 025816109 | 5/2/2016 | 1 | Elect Charlene Barshefsky | Mgmt | For |
| American Express Company | AXP | 025816109 | 5/2/2016 | 2 | Elect Ursula M. Burns | Mgmt | For |
| American Express Company | AXP | 025816109 | 5/2/2016 | 3 | Elect Kenneth I. Chenault | Mgmt | For |
| American Express Company | AXP | 025816109 | 5/2/2016 | 4 | Elect Peter Chernin | Mgmt | For |
| American Express Company | AXP | 025816109 | 5/2/2016 | 5 | Elect Ralph de la Vega | Mgmt | For |
| American Express Company | AXP | 025816109 | 5/2/2016 | 6 | Elect Anne L. Lauvergeon | Mgmt | For |
| American Express Company | AXP | 025816109 | 5/2/2016 | 7 | Elect Micheal O. Leavitt | Mgmt | For |
| American Express Company | AXP | 025816109 | 5/2/2016 | 8 | Elect Theodore J. Leonsis | Mgmt | For |
| American Express Company | AXP | 025816109 | 5/2/2016 | 9 | Elect Richard C. Levin | Mgmt | For |
| American Express Company | AXP | 025816109 | 5/2/2016 | 10 | Elect Samuel J. Palmisano | Mgmt | For |
| American Express Company | AXP | 025816109 | 5/2/2016 | 11 | Elect Daniel L. Vasella | Mgmt | For |

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|------------------------------------|-----|-----------|-----------|----|--|----------|---------|
| American Express Company | AXP | 025816109 | 5/2/2016 | 12 | Elect Robert D. Walter | Mgmt | For |
| American Express Company | AXP | 025816109 | 5/2/2016 | 13 | Elect Ronald A. Williams | Mgmt | For |
| American Express Company | AXP | 025816109 | 5/2/2016 | 14 | Ratification of Auditor | Mgmt | For |
| American Express Company | AXP | 025816109 | 5/2/2016 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| American Express Company | AXP | 025816109 | 5/2/2016 | 16 | Approval of the 2016 Incentive Compensation Plan | Mgmt | For |
| American Express Company | AXP | 025816109 | 5/2/2016 | 17 | Shareholder Proposal Regarding Employment Diversity Report | ShrHoldr | Against |
| American Express Company | AXP | 025816109 | 5/2/2016 | 18 | Shareholder Proposal Regarding Privacy and Data Security | ShrHoldr | Against |
| American Express Company | AXP | 025816109 | 5/2/2016 | 19 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| American Express Company | AXP | 025816109 | 5/2/2016 | 20 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| American Express Company | AXP | 025816109 | 5/2/2016 | 21 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 1 | Elect W. Don Cornwell | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 2 | Elect Peter R. Fisher | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 3 | Elect John Fitzpatrick | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 4 | Elect Peter D. Hancock | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 5 | Elect William G. Jurgensen | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 6 | Elect Christopher S. Lynch | Mgmt | For |
| | AIG | 026874784 | 5/11/2016 | 7 | | Mgmt | For |

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|------------------------------------|-----|-----------|-----------|----|---|------|-----|
| American International Group, Inc. | | | | | Elect Samuel J. Merksamer | | |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 8 | Elect George L. Miles, Jr. | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 9 | Elect Henry S. Miller | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 10 | Elect Robert S. Miller | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 11 | Elect Linda A. Mills | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 12 | Elect Suzanne Nora Johnson | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 13 | Elect John A. Paulson | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 14 | Elect Ronald A. Rittenmeyer | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 15 | Elect Douglas M. Steenland | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 16 | Elect Theresa M. Stone | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 17 | Advisory Vote on Executive Compensation | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/11/2016 | 18 | Ratification of Auditor | Mgmt | For |
| American Tower Corporation | AMT | 03027X100 | 6/1/2016 | 1 | Elect Raymond P. Dolan | Mgmt | For |
| American Tower Corporation | AMT | 03027X100 | 6/1/2016 | 2 | Elect Robert D. Hormats | Mgmt | For |
| American Tower Corporation | AMT | 03027X100 | 6/1/2016 | 3 | Elect Carolyn Katz | Mgmt | For |
| American Tower Corporation | AMT | 03027X100 | 6/1/2016 | 4 | Elect Gustavo Lara Cantu | Mgmt | For |
| | AMT | 03027X100 | 6/1/2016 | 5 | Elect Craig Macnab | Mgmt | For |

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|----------------------------|------|-----------|-----------|----|--|----------|---------|--|
| American Tower Corporation | | | | | | | | |
| American Tower Corporation | AMT | 03027X100 | 6/1/2016 | 6 | Elect JoAnn A. Reed | Mgmt | For | |
| American Tower Corporation | AMT | 03027X100 | 6/1/2016 | 7 | Elect Pamela D.A. Reeve | Mgmt | For | |
| American Tower Corporation | AMT | 03027X100 | 6/1/2016 | 8 | Elect David E. Sharbutt | Mgmt | For | |
| American Tower Corporation | AMT | 03027X100 | 6/1/2016 | 9 | Elect James D. Taiclet, Jr. | Mgmt | For | |
| American Tower Corporation | AMT | 03027X100 | 6/1/2016 | 10 | Elect Samme L. Thompson | Mgmt | For | |
| American Tower Corporation | AMT | 03027X100 | 6/1/2016 | 11 | Ratification of Auditor | Mgmt | For | |
| American Tower Corporation | AMT | 03027X100 | 6/1/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt | For | |
| American Tower Corporation | AMT | 03027X100 | 6/1/2016 | 13 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Against | |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2016 | 1 | Elect David Baltimore | Mgmt | For | |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2016 | 2 | Elect Frank J. Biondi, Jr. | Mgmt | For | |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2016 | 3 | Elect Robert A. Bradway | Mgmt | For | |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2016 | 4 | Elect Francois de Carbonnel | Mgmt | For | |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2016 | 5 | Elect Robert A. Eckert | Mgmt | For | |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2016 | 6 | Elect Greg C. Garland | Mgmt | For | |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2016 | 7 | Elect Fred Hassan | Mgmt | For | |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2016 | 8 | Elect Rebecca M. Henderson | Mgmt | For | |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2016 | 9 | Elect Frank C. Herringer | Mgmt | For | |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2016 | 10 | Elect Tyler Jacks | Mgmt | For | |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2016 | 11 | Elect Judith C. Pelham | Mgmt | For | |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2016 | 12 | Elect Ronald D. Sugar | Mgmt | For | |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2016 | 13 | Elect R. Sanders Williams | Mgmt | For | |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2016 | 14 | Ratification of Auditor | Mgmt | For | |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2016 | 15 | Advisory Vote on Executive Compensation | Mgmt | For | |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2016 | 16 | Shareholder Proposal Regarding Counting Abstentions | ShrHoldr | Against | |
| Anthem, Inc. | ANTM | 036752103 | 5/19/2016 | 1 | Elect Lewis Hay, III | Mgmt | For | |

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|--------------|------|-----------|-----------|----|--|----------|---------|
| Anthem, Inc. | ANTM | 036752103 | 5/19/2016 | 2 | Elect George A. Schaefer, Jr. | Mgmt | For |
| Anthem, Inc. | ANTM | 036752103 | 5/19/2016 | 3 | Elect Joseph R. Swedish | Mgmt | For |
| Anthem, Inc. | ANTM | 036752103 | 5/19/2016 | 4 | Elect Elizabeth E. Tallett | Mgmt | For |
| Anthem, Inc. | ANTM | 036752103 | 5/19/2016 | 5 | Ratification of Auditor | Mgmt | For |
| Anthem, Inc. | ANTM | 036752103 | 5/19/2016 | 6 | Advisory Vote on Executive Compensation | Mgmt | For |
| Anthem, Inc. | ANTM | 036752103 | 5/19/2016 | 7 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Anthem, Inc. | ANTM | 036752103 | 12/3/2015 | 1 | Merger/Acquisition | Mgmt | For |
| Anthem, Inc. | ANTM | 036752103 | 12/3/2015 | 2 | Right to Adjourn Meeting | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 1 | Elect Lester B. Knight | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 2 | Elect Gregory C. Case | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 3 | Elect Fulvio Conti | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 4 | Elect Cheryl Francis | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 5 | Elect James W. Leng | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 6 | Elect J. Michael Losh | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 7 | Elect Robert S. Morrison | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 8 | Elect Richard B. Myers | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 9 | Elect Richard C. Notebaert | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 10 | Elect Gloria Santona | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 11 | Elect Carolyn Y. Woo | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 13 | Remuneration Report (Advisory) | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 14 | Accounts and Reports | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 15 | Ratification of Auditor | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 16 | Ratification of Statutory Auditor | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 17 | Authority to Set Auditor's Fees | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 18 | Approval of Share Repurchase Contracts and Repurchase Counterparties | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 19 | Authority to Issue Shares w/ or w/o Preemptive | Mgmt | For |

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| | | | | | Rights | | |
|---------------------------------------|------|-----------|-----------|-----|---|----------|---------|
| Aon plc | AON | G0408V102 | 6/24/2016 | 20 | Authority to Issue Shares w/o Preemptive Rights | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2016 | 21 | Authorization of Political Donations and Expenditures | Mgmt | For |
| Apollo Senior Floating Rate Fund Inc. | AFT | 037638103 | 5/19/2016 | 1.1 | Elect Glenn N. Marchak | Mgmt | For |
| Apollo Senior Floating Rate Fund Inc. | AFT | 037638103 | 5/19/2016 | 1.2 | Elect Todd J. Slotkin | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/26/2016 | 1 | Elect James A. Bell | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/26/2016 | 2 | Elect Timothy D. Cook | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/26/2016 | 3 | Elect Albert A. Gore | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/26/2016 | 4 | Elect Robert A. Iger | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/26/2016 | 5 | Elect Andrea Jung | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/26/2016 | 6 | Elect Arthur D. Levinson | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/26/2016 | 7 | Elect Ronald D. Sugar | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/26/2016 | 8 | Elect Susan L. Wagner | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/26/2016 | 9 | Ratification of Auditor | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/26/2016 | 10 | Advisory Vote on Executive Compensation | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/26/2016 | 11 | Approval of Amended and Restated 2014 Employee Stock Plan | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/26/2016 | 12 | Shareholder Proposal Regarding Net Zero Greenhouse Gas Emissions | ShrHoldr | Against |
| Apple Inc. | AAPL | 037833100 | 2/26/2016 | 13 | Shareholder Proposal Regarding Racial Diversity of Board and Management | ShrHoldr | Against |
| Apple Inc. | AAPL | 037833100 | 2/26/2016 | 14 | Shareholder Proposal Regarding Country Selection Guidelines | ShrHoldr | Against |
| Apple Inc. | AAPL | 037833100 | 2/26/2016 | 15 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 1 | Elect Randall L. Stephenson | Mgmt | For |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 2 | Elect Samuel A. Di Piazza, Jr. | Mgmt | For |

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| AT and T Inc. | T | 00206R102 | 4/29/2016 | 3 | Elect Richard W. Fisher | Mgmt | For |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 4 | Elect Scott T. Ford | Mgmt | For |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 5 | Elect Glenn H. Hutchins | Mgmt | For |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 6 | Elect William E. Kennard | Mgmt | For |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 7 | Elect Michael McCallister | Mgmt | For |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 8 | Elect Beth E. Mooney | Mgmt | For |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 9 | Elect Joyce M. Roche | Mgmt | For |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 10 | Elect Matthew K. Rose | Mgmt | For |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 11 | Elect Cynthia B. Taylor | Mgmt | For |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 12 | Elect Laura D'Andrea Tyson | Mgmt | For |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 13 | Ratification of Auditor | Mgmt | For |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 15 | Approval of the 2016 Incentive Plan | Mgmt | For |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 16 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Against |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 17 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| AT and T Inc. | T | 00206R102 | 4/29/2016 | 18 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/10/2015 | 1.1 | Elect Ellen R. Alemany | Mgmt | For |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/10/2015 | 1.2 | Elect Peter Bisson | Mgmt | For |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/10/2015 | 1.3 | Elect Richard T. Clark | Mgmt | For |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/10/2015 | 1.4 | Elect Eric C. Fast | Mgmt | For |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/10/2015 | 1.5 | Elect Linda R. Gooden | Mgmt | For |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/10/2015 | 1.6 | Elect Michael P. Gregoire | Mgmt | For |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/10/2015 | 1.7 | Elect R. Glenn Hubbard | Mgmt | For |
| | ADP | 053015103 | 11/10/2015 | 1.8 | Elect John P. Jones | Mgmt | For |

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| Automatic Data Processing, Inc. | | | | | | | | |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/10/2015 | 1.9 | Elect Carlos A. Rodriguez | Mgmt | For | |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/10/2015 | 2 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/10/2015 | 3 | Ratification of Auditor | Mgmt | For | |
| Avenue Income Credit Strategies Fund | ACP | 05358E106 | 5/12/2016 | 1.1 | Elect Julie Dien Ledoux | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 4/30/2016 | 1.1 | Elect Warren E. Buffett | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 4/30/2016 | 1.2 | Elect Charles T. Munger | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 4/30/2016 | 1.3 | Elect Howard G. Buffett | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 4/30/2016 | 1.4 | Elect Stephen B. Burke | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 4/30/2016 | 1.5 | Elect Susan L. Decker | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 4/30/2016 | 1.6 | Elect William H. Gates III | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 4/30/2016 | 1.7 | Elect David S. Gottesman | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 4/30/2016 | 1.8 | Elect Charlotte Guyman | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 4/30/2016 | 1.9 | Elect Thomas S. Murphy | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 4/30/2016 | 1.10 | Elect Ronald L. Olson | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 4/30/2016 | 1.11 | Elect Walter Scott, Jr. | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 4/30/2016 | 1.12 | Elect Meryl B. Witmer | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 4/30/2016 | 2 | Shareholder Proposal Regarding Reporting Of Climate Change Risks | ShrHoldr | Against | |
| BlackRock Corporation High | HYT | 09255P107 | 7/29/2015 | 1.1 | Elect Michael J. Castellano | Mgmt | For | |

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Yield Fund III Inc

| | | | | | | | |
|---|-----|-----------|-----------|------|-----------------------------|------|-----|
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.2 | Elect Richard E. Cavanagh | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.3 | Elect Frank J. Fabozzi | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.4 | Elect Kathleen F. Feldstein | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.5 | Elect James T. Flynn | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.6 | Elect Jerrold B. Harris | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.7 | Elect R. Glenn Hubbard | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.8 | Elect W. Carl Kester | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.9 | Elect Babara G. Novick | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.10 | Elect John M. Perlowski | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.11 | Elect Karen P. Robards | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.1 | Elect Michael J. Castellano | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.2 | Elect Richard E. Cavanagh | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.3 | Elect Frank J. Fabozzi | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.4 | Elect Kathleen F. Feldstein | Mgmt | For |
| BlackRock Corporation High | HYT | 09255P107 | 7/29/2015 | 1.5 | Elect James T. Flynn | Mgmt | For |

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| Yield Fund III Inc | | | | | | | | |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.6 | Elect Jerrold B. Harris | Mgmt | For | |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.7 | Elect R. Glenn Hubbard | Mgmt | For | |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.8 | Elect W. Carl Kester | Mgmt | For | |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.9 | Elect Babara G. Novick | Mgmt | For | |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.10 | Elect John M. Perlowski | Mgmt | For | |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/29/2015 | 1.11 | Elect Karen P. Robards | Mgmt | For | |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.1 | Elect Michael J. Castellano | Mgmt | For | |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.2 | Elect Richard E. Cavanagh | Mgmt | For | |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.3 | Elect Frank J. Fabozzi | Mgmt | For | |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.4 | Elect Kathleen F. Feldstein | Mgmt | For | |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.5 | Elect James T. Flynn | Mgmt | For | |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.6 | Elect Jerrold B. Harris | Mgmt | For | |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.7 | Elect R. Glenn Hubbard | Mgmt | For | |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.8 | Elect W. Carl Kester | Mgmt | For | |
| BlackRock Debt Strategies Fund, | DSU | 09255R103 | 7/29/2015 | 1.9 | Elect Babara G. Novick | Mgmt | For | |

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Inc.

| | | | | | | | |
|---|-----|-----------|-----------|------|-----------------------------|------|-----|
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.10 | Elect John M. Perlowski | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.11 | Elect Karen P. Robards | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.1 | Elect Michael J. Castellano | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.2 | Elect Richard E. Cavanagh | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.3 | Elect Frank J. Fabozzi | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.4 | Elect Kathleen F. Feldstein | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.5 | Elect James T. Flynn | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.6 | Elect Jerrold B. Harris | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.7 | Elect R. Glenn Hubbard | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.8 | Elect W. Carl Kester | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.9 | Elect Babara G. Novick | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.10 | Elect John M. Perlowski | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/29/2015 | 1.11 | Elect Karen P. Robards | Mgmt | For |
| BlackRock Global Opportunities Equity Trust | BOE | 092501105 | 7/29/2015 | 1.1 | Elect Frank J. Fabozzi | Mgmt | For |
| BlackRock Global Opportunities | BOE | 092501105 | 7/29/2015 | 1.2 | Elect James T. Flynn | Mgmt | For |

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Equity Trust

| | | | | | | | |
|---|-----|-----------|-----------|-----|-------------------------|------|-----|
| BlackRock Global Opportunities Equity Trust | BOE | 092501105 | 7/29/2015 | 1.3 | Elect Babara G. Novick | Mgmt | For |
| BlackRock Global Opportunities Equity Trust | BOE | 092501105 | 7/29/2015 | 1.4 | Elect John M. Perlowski | Mgmt | For |
| BlackRock Global Opportunities Equity Trust | BOE | 092501105 | 7/29/2015 | 1.5 | Elect Karen P. Robards | Mgmt | For |
| BlackRock Global Opportunities Equity Trust | BOE | 092501105 | 7/29/2015 | 1.1 | Elect Frank J. Fabozzi | Mgmt | For |
| BlackRock Global Opportunities Equity Trust | BOE | 092501105 | 7/29/2015 | 1.2 | Elect James T. Flynn | Mgmt | For |
| BlackRock Global Opportunities Equity Trust | BOE | 092501105 | 7/29/2015 | 1.3 | Elect Babara G. Novick | Mgmt | For |
| BlackRock Global Opportunities Equity Trust | BOE | 092501105 | 7/29/2015 | 1.4 | Elect John M. Perlowski | Mgmt | For |
| BlackRock Global Opportunities Equity Trust | BOE | 092501105 | 7/29/2015 | 1.5 | Elect Karen P. Robards | Mgmt | For |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/29/2015 | 1.1 | Elect Frank J. Fabozzi | Mgmt | For |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/29/2015 | 1.2 | Elect James T. Flynn | Mgmt | For |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/29/2015 | 1.3 | Elect Babara G. Novick | Mgmt | For |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/29/2015 | 1.4 | Elect John M. Perlowski | Mgmt | For |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/29/2015 | 1.5 | Elect Karen P. Robards | Mgmt | For |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/29/2015 | 1.1 | Elect Frank J. Fabozzi | Mgmt | For |
| BlackRock Municipal 2020 | BCX | 09257A108 | 7/29/2015 | 1.2 | Elect James T. Flynn | Mgmt | For |

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Term Trust

| | | | | | | | |
|--|-----|-----------|-----------|-----|--------------------------------------|------|-----|
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/29/2015 | 1.3 | Elect Babara G. Novick | Mgmt | For |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/29/2015 | 1.4 | Elect John M. Perlowski | Mgmt | For |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/29/2015 | 1.5 | Elect Karen P. Robards | Mgmt | For |
| BlackRock Utility and Infrastructure Trust | BUI | 09248D104 | 7/29/2015 | 1.1 | Elect Frank J. Fabozzi | Mgmt | For |
| BlackRock Utility and Infrastructure Trust | BUI | 09248D104 | 7/29/2015 | 1.2 | Elect James T. Flynn | Mgmt | For |
| BlackRock Utility and Infrastructure Trust | BUI | 09248D104 | 7/29/2015 | 1.3 | Elect Babara G. Novick | Mgmt | For |
| BlackRock Utility and Infrastructure Trust | BUI | 09248D104 | 7/29/2015 | 1.4 | Elect John M. Perlowski | Mgmt | For |
| BlackRock Utility and Infrastructure Trust | BUI | 09248D104 | 7/29/2015 | 1.5 | Elect Karen P. Robards | Mgmt | For |
| Boeing Company | BA | 097023105 | 5/2/2016 | 1 | Elect David L. Calhoun | Mgmt | For |
| Boeing Company | BA | 097023105 | 5/2/2016 | 2 | Elect Arthur D. Collins, Jr. | Mgmt | For |
| Boeing Company | BA | 097023105 | 5/2/2016 | 3 | Elect Kenneth M. Duberstein | Mgmt | For |
| Boeing Company | BA | 097023105 | 5/2/2016 | 4 | Elect Edmund P. Giambastiani, Jr. | Mgmt | For |
| Boeing Company | BA | 097023105 | 5/2/2016 | 5 | Elect Lynn J. Good | Mgmt | For |
| Boeing Company | BA | 097023105 | 5/2/2016 | 6 | Elect Lawrence W. Kellner | Mgmt | For |
| Boeing Company | BA | 097023105 | 5/2/2016 | 7 | Elect Edward M. Liddy | Mgmt | For |
| Boeing Company | BA | 097023105 | 5/2/2016 | 8 | Elect Dennis A. Muilenburg | Mgmt | For |
| Boeing Company | BA | 097023105 | 5/2/2016 | 9 | Elect Susan C. Schwab | Mgmt | For |
| Boeing Company | BA | 097023105 | 5/2/2016 | 10 | Elect Randall L. Stephenson | Mgmt | For |
| Boeing Company | BA | 097023105 | 5/2/2016 | 11 | Elect Ronald A. Williams | Mgmt | For |
| Boeing Company | BA | 097023105 | 5/2/2016 | 12 | Elect Mike S. Zafirovski | Mgmt | For |

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|-------------------------------|-----|-----------|-----------|-----|--|----------|---------|
| Boeing Company | BA | 097023105 | 5/2/2016 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Boeing Company | BA | 097023105 | 5/2/2016 | 14 | Ratification of Auditor | Mgmt | For |
| Boeing Company | BA | 097023105 | 5/2/2016 | 15 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Boeing Company | BA | 097023105 | 5/2/2016 | 16 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Against |
| Boeing Company | BA | 097023105 | 5/2/2016 | 17 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Boeing Company | BA | 097023105 | 5/2/2016 | 18 | Shareholder Proposal Regarding Report on Arms Sales to Israel | ShrHoldr | Against |
| Boston Scientific Corporation | BSX | 101137107 | 5/3/2016 | 1 | Elect Nelda J. Connors | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/3/2016 | 2 | Elect Charles J. Dockendorff | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/3/2016 | 3 | Elect Donna A. James | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/3/2016 | 4 | Elect Kristina M. Johnson | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/3/2016 | 5 | Elect Edward J. Ludwig | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/3/2016 | 6 | Elect Stephen P. MacMillan | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/3/2016 | 7 | Elect Michael F. Mahoney | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/3/2016 | 8 | Elect David J. Roux | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/3/2016 | 9 | Elect John E. Sununu | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/3/2016 | 10 | Elect Ellen M. Zane | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/3/2016 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/3/2016 | 12 | Amendment to the 2011 Long-Term Incentive Plan | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/3/2016 | 13 | Ratification of Auditor | Mgmt | For |
| Calamos Funds | CHW | 12811L107 | 6/30/2016 | 1.1 | Elect Virginia G. Breen | Mgmt | For |

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|-------------------------------------|-----|-----------|-----------|-----|---|------|-----|
| Calamos Funds | CHW | 12811L107 | 6/30/2016 | 1.2 | Elect Theresa A. Hamacher | Mgmt | For |
| Calamos Funds | CHW | 12811L107 | 6/30/2016 | 1.3 | Elect Stephen B. Timbers | Mgmt | For |
| Calamos Global Total Return Fund | CGO | 128118106 | 6/30/2016 | 1.1 | Elect Virginia G. Breen | Mgmt | For |
| Calamos Global Total Return Fund | CGO | 128118106 | 6/30/2016 | 1.2 | Elect Theresa A. Hamacher | Mgmt | For |
| Calamos Global Total Return Fund | CGO | 128118106 | 6/30/2016 | 1.3 | Elect Stephen B. Timbers | Mgmt | For |
| Calamos Strategic Total Return Fund | CSQ | 128125101 | 6/30/2016 | 1.1 | Elect Virginia G. Breen | Mgmt | For |
| Calamos Strategic Total Return Fund | CSQ | 128125101 | 6/30/2016 | 1.2 | Elect Theresa A. Hamacher | Mgmt | For |
| Calamos Strategic Total Return Fund | CSQ | 128125101 | 6/30/2016 | 1.3 | Elect Stephen B. Timbers | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/4/2015 | 1 | Elect David J. Anderson | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/4/2015 | 2 | Elect Colleen F. Arnold | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/4/2015 | 3 | Elect George S. Barrett | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/4/2015 | 4 | Elect Carrie S. Cox | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/4/2015 | 5 | Elect Calvin Darden | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/4/2015 | 6 | Elect Bruce L. Downey | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/4/2015 | 7 | Elect Patricia A. Hemingway Hall | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/4/2015 | 8 | Elect Clayton M. Jones | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/4/2015 | 9 | Elect Gregory B. Kenny | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/4/2015 | 10 | Elect Nancy Killefer | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/4/2015 | 11 | Elect David P. King | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/4/2015 | 12 | Ratification of Auditor | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/4/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| | IGR | 12504G100 | 10/7/2015 | 1 | Elect Asuka Nakahara | Mgmt | For |

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CBRE Clarion
Global Real Estate
Income Fund

| | | | | | | | |
|---------------------|------|-----------|-----------|------|--|----------|---------|
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 1.1 | Elect Robert J. Hugin | Mgmt | For |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 1.2 | Elect Mark J. Alles | Mgmt | For |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 1.3 | Elect Richard W. Barker | Mgmt | For |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 1.4 | Elect Michael W. Bonney | Mgmt | For |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 1.5 | Elect Michael D. Casey | Mgmt | For |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 1.6 | Elect Carrie S. Cox | Mgmt | For |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 1.7 | Elect Jacquelyn A. Fouse | Mgmt | For |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 1.8 | Elect Michael A. Friedman | Mgmt | For |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 1.9 | Elect Julia A. Haller | Mgmt | For |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 1.10 | Elect Gilla Kaplan | Mgmt | For |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 1.11 | Elect James J. Loughlin | Mgmt | For |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 1.12 | Elect Ernest Mario | Mgmt | For |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 2 | Ratification of Auditor | Mgmt | For |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 3 | Amendment to the 2008 Stock Incentive Plan | Mgmt | For |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 4 | Advisory Vote on Executive Compensation | Mgmt | For |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 5 | Exclusive Forum Provision | Mgmt | For |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 6 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Against |
| Celgene Corporation | CELG | 151020104 | 6/15/2016 | 7 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 1 | Elect Alexander B. Cummings, Jr. | Mgmt | For |
| Chevron | CVX | 166764100 | 5/25/2016 | 2 | Elect Linnet F. Deily | Mgmt | For |

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Corporation

| | | | | | | | |
|---------------------|-----|-----------|-----------|----|--|----------|---------|
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 3 | Elect Robert E. Denham | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 4 | Elect Alice P. Gast | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 5 | Elect Enrique Hernandez, Jr. | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 6 | Elect Jon M. Huntsman, Jr. | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 7 | Elect Charles W. Moorman, IV | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 8 | Elect John G. Stumpf | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 9 | Elect Ronald D. Sugar | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 10 | Elect Inge G. Thulin | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 11 | Elect John S. Watson | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 12 | Ratification of Auditor | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 14 | Amendment to Non-Employee Directors' Equity Compensation and Deferral Plan | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 15 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 16 | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions | ShrHoldr | Against |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 17 | Shareholder Proposal Regarding Climate Change Policy Risk | ShrHoldr | Against |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 18 | Shareholder Proposal Regarding Report on Reserve Replacements | ShrHoldr | Against |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 19 | Shareholder Proposal Regarding Dividend Policy | ShrHoldr | Against |
| | CVX | 166764100 | 5/25/2016 | 20 | | ShrHoldr | Against |

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| | | | | | | | |
|---------------------|-----|-----------|-----------|----|---|----------|---------|
| Chevron Corporation | | | | | Shareholder Proposal Regarding Hydraulic Fracturing | | |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 21 | Shareholder Proposal Regarding Environmental Expertise on Board | ShrHoldr | Against |
| Chevron Corporation | CVX | 166764100 | 5/25/2016 | 22 | Shareholder Proposal Regarding Right to Call a Special meeting | ShrHoldr | Against |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 1 | Accounts and Reports | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 2 | Allocation of Profits/Dividends | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 3 | Dividends from Reserves | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 4 | Ratification of Board Acts | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 5 | Election of Statutory Auditors | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 6 | Appointment of Auditor | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 7 | Appointment of Special Auditor | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 8 | Elect Evan G. Greenberg | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 9 | Elect Robert M. Hernandez | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 10 | Elect Michael G. Atieh | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 11 | Elect Sheila P. Burke | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 12 | Elect James I. Cash, Jr. | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 13 | Elect Mary A. Cirillo | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 14 | Elect Michael P. Connors | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 15 | Elect John A. Edwardson | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 16 | Elect Lawrence W. Kellner | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 17 | Elect Leo F. Mullin | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 18 | Elect Kimberly Ross | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 19 | Elect Robert W. Scully | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 20 | Elect Eugene B. Shanks, Jr. | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 21 | Elect Theodore Shasta | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 22 | Elect David H. Sidwell | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 23 | Elect Olivier Steimer | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 24 | Elect James M. Zimmerman | Mgmt | For |

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|-------------------|----|-----------|-----------|----|---|------|-----|
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 25 | Elect Evan G. Greenberg as Chairman of the Board | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 26 | Elect Michael P. Connors | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 27 | Elect Mary A. Cirillo | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 28 | Elect Robert M. Hernandez | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 29 | Elect Robert W. Scully | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 30 | Elect James M. Zimmerman | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 31 | ELECTION OF HOMBURGER AG AS INDEPENDENT PROXY | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 32 | Increase in Authorized Share Capital for General Purposes | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 33 | Approval of the 2016 Long-Term Incentive Plan | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 34 | Compensation of the Board until the Next AGM | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 35 | Executive Compensation | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 36 | Advisory Vote on Executive Compensation | Mgmt | For |
| Chubb Limited | CB | H1467J104 | 5/19/2016 | 37 | Additional or Miscellaneous Proposals | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 4/27/2016 | 1 | Elect David M. Cordani | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 4/27/2016 | 2 | Elect Isaiah Harris, Jr. | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 4/27/2016 | 3 | Elect Jane E. Henney | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 4/27/2016 | 4 | Elect Donna F. Zarcone | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 4/27/2016 | 5 | Advisory Vote on Executive Compensation | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 4/27/2016 | 6 | Ratification of Auditor | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 12/3/2015 | 1 | Merger/Acquisition | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 12/3/2015 | 2 | Advisory Vote on Golden Parachutes | Mgmt | For |

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|--|------|-----------|------------|-----|---|----------|---------|
| CIGNA Corporation | CI | 125509109 | 12/3/2015 | 3 | Right to Adjourn Meeting | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2015 | 1 | Elect Carol A. Bartz | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2015 | 2 | Elect M. Michele Burns | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2015 | 3 | Elect Michael D. Capellas | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2015 | 4 | Elect John T. Chambers | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2015 | 5 | Elect Brian L. Halla | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2015 | 6 | Elect John L. Hennessy | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2015 | 7 | Elect Kristina M. Johnson | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2015 | 8 | Elect Roderick C. McGeary | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2015 | 9 | Elect Charles H. Robbins | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2015 | 10 | Elect Arun Sarin | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2015 | 11 | Elect Steven M. West | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2015 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2015 | 13 | Ratification of Auditor | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2015 | 14 | Shareholder Proposal Regarding Holy Land Principles | ShrHoldr | Against |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2015 | 15 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/28/2015 | 1.1 | Elect Robert L. Butler | Mgmt | For |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/28/2015 | 1.2 | Elect James E. Canty | Mgmt | For |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/28/2015 | 1.3 | Elect Richard C. Rantzow | Mgmt | For |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/28/2015 | 1.1 | Elect Robert L. Butler | Mgmt | For |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/28/2015 | 1.2 | Elect James E. Canty | Mgmt | For |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/28/2015 | 1.3 | Elect Richard C. Rantzow | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/15/2016 | 1 | Elect Zein Abdalla | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/15/2016 | 2 | Elect Maureen Breakiron-Evans | Mgmt | For |

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| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/15/2016 | 3 | Elect Jonathan Chadwick | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/15/2016 | 4 | Elect Francisco D'Souza | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/15/2016 | 5 | Elect John N. Fox, Jr. | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/15/2016 | 6 | Elect John E. Klein | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/15/2016 | 7 | Elect Leo S. Mackay, Jr. | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/15/2016 | 8 | Elect Lakshmi Narayanan | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/15/2016 | 9 | Elect Michael Patsalos-Fox | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/15/2016 | 10 | Elect Robert E. Weissman | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/15/2016 | 11 | Elect Thomas M. Wendel | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/15/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/15/2016 | 13 | Ratification of Auditor | Mgmt | For |
| Cognizant Technology Solutions | CTSH | 192446102 | 6/15/2016 | 14 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |

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Corporation

| | | | | | | | |
|---|-----|-----------|-----------|-----|--------------------------|------|-----|
| Cohen & Steers Infrastructure Fund Inc | UTF | 19248A109 | 4/28/2016 | 1.1 | Elect Joseph M. Harvey | Mgmt | For |
| Cohen & Steers Infrastructure Fund Inc | UTF | 19248A109 | 4/28/2016 | 1.2 | Elect Gerald J. Maginnis | Mgmt | For |
| Cohen & Steers Infrastructure Fund Inc | UTF | 19248A109 | 4/28/2016 | 1.3 | Elect Richard J. Norman | Mgmt | For |
| Cohen & Steers Infrastructure Fund Inc | UTF | 19248A109 | 4/28/2016 | 1.4 | Elect Frank K. Ross | Mgmt | For |
| Cohen & Steers Quality Income Realty Fund, Inc. | RQI | 19247L106 | 4/28/2016 | 1.1 | Elect Joseph M. Harvey | Mgmt | For |
| Cohen & Steers Quality Income Realty Fund, Inc. | RQI | 19247L106 | 4/28/2016 | 1.2 | Elect Gerald J. Maginnis | Mgmt | For |
| Cohen & Steers Quality Income Realty Fund, Inc. | RQI | 19247L106 | 4/28/2016 | 1.3 | Elect Richard J. Norman | Mgmt | For |
| Cohen & Steers Quality Income Realty Fund, Inc. | RQI | 19247L106 | 4/28/2016 | 1.4 | Elect Frank K. Ross | Mgmt | For |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/28/2016 | 1.1 | Elect Joseph M. Harvey | Mgmt | For |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/28/2016 | 1.2 | Elect Gerald J. Maginnis | Mgmt | For |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/28/2016 | 1.3 | Elect Richard J. Norman | Mgmt | For |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/28/2016 | 1.4 | Elect Frank K. Ross | Mgmt | For |
| Cohen and Steers Funds | INB | 19248M103 | 4/28/2016 | 1.1 | Elect Joseph M. Harvey | Mgmt | For |
| Cohen and Steers Funds | INB | 19248M103 | 4/28/2016 | 1.2 | Elect Gerald J. Maginnis | Mgmt | For |
| Cohen and Steers Funds | INB | 19248M103 | 4/28/2016 | 1.3 | Elect Richard J. Norman | Mgmt | For |
| Cohen and Steers Funds | INB | 19248M103 | 4/28/2016 | 1.4 | Elect Frank K. Ross | Mgmt | For |

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| | | | | | | | |
|---------------------|-------|-----------|-----------|------|---|----------|---------|
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 1.1 | Elect Kenneth J. Bacon | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 1.2 | Elect Madeline S. Bell | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 1.3 | Elect Sheldon M. Bonovitz | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 1.4 | Elect Edward D. Breen | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 1.5 | Elect Joseph J. Collins | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 1.6 | Elect Gerald L. Hassell | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 1.7 | Elect Jeffrey A. Honickman | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 1.8 | Elect Eduardo G. Mestre | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 1.9 | Elect Brian L. Roberts | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 1.10 | Elect Johnathan A. Rodgers | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 1.11 | Elect Judith Rodin | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 2 | Ratification of Auditor | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 3 | Amend 2002 Restricted Stock Plan | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 4 | Amend 2003 Stock Option Plan | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 5 | Amend 2002 Employee Stock Purchase Plan | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 6 | Amend 2011 Employee Stock Purchase Plan | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 7 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 8 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 9 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Comcast Corporation | CMCSA | 20030N101 | 5/19/2016 | 10 | Shareholder Proposal Regarding | ShrHoldr | Against |

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Recapitalization

Conversion of Class A
Special Common Stock
Into Class A Common
Stock

| | | | | | | | |
|------------------------------|-------|-----------|------------|-----|---|----------|---------|
| Comcast Corporation | CMCSA | 20030N101 | 12/10/2015 | 1 | | Mgmt | For |
| Costco Wholesale Corporation | COST | 22160K105 | 1/29/2016 | 1.1 | Elect Hamilton E. James | Mgmt | For |
| Costco Wholesale Corporation | COST | 22160K105 | 1/29/2016 | 1.2 | Elect W. Craig Jelinek | Mgmt | For |
| Costco Wholesale Corporation | COST | 22160K105 | 1/29/2016 | 1.3 | Elect John W. Stanton | Mgmt | For |
| Costco Wholesale Corporation | COST | 22160K105 | 1/29/2016 | 1.4 | Elect Maggie Wilderotter | Mgmt | For |
| Costco Wholesale Corporation | COST | 22160K105 | 1/29/2016 | 2 | Ratification of Auditor | Mgmt | For |
| Costco Wholesale Corporation | COST | 22160K105 | 1/29/2016 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| Costco Wholesale Corporation | COST | 22160K105 | 1/29/2016 | 4 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Cutwater Select Income Fund | CSI | 232229104 | 6/15/2016 | 1.1 | Elect W. Thacher Brown | Mgmt | For |
| Cutwater Select Income Fund | CSI | 232229104 | 6/15/2016 | 1.2 | Elect Ellen D. Harvey | Mgmt | For |
| Cutwater Select Income Fund | CSI | 232229104 | 6/15/2016 | 1.3 | Elect Thomas E. Spock | Mgmt | For |
| Cutwater Select Income Fund | CSI | 232229104 | 6/15/2016 | 1.4 | Elect Suzanne P. Welsh | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/19/2016 | 1 | Elect Richard M. Bracken | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/19/2016 | 2 | Elect C. David Brown II | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/19/2016 | 3 | Elect Alecia A. DeCoudreaux | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/19/2016 | 4 | Elect Nancy-Ann M. DeParle | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/19/2016 | 5 | Elect David W. Dorman | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/19/2016 | 6 | Elect Anne M. Finucane | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/19/2016 | 7 | Elect Larry J. Merlo | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/19/2016 | 8 | Elect Jean-Pierre Millon | Mgmt | For |

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| | | | | | | | |
|------------------------|-----|-----------|-----------|----|---|----------|---------|
| CVS Health Corporation | CVS | 126650100 | 5/19/2016 | 9 | Elect Richard J. Swift | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/19/2016 | 10 | Elect William C. Weldon | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/19/2016 | 11 | Elect Tony L. White | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/19/2016 | 12 | Ratification of Auditor | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/19/2016 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/19/2016 | 14 | Shareholder Proposal Regarding Incorporating Values in Political Spending | ShrHoldr | Against |
| CVS Health Corporation | CVS | 126650100 | 5/19/2016 | 15 | Shareholder Proposal Regarding Report on Ratio Between CEO and Employee Pay | ShrHoldr | Against |
| Danaher Corporation | DHR | 235851102 | 5/10/2016 | 1 | Elect Donald J. Ehrlich | Mgmt | For |
| Danaher Corporation | DHR | 235851102 | 5/10/2016 | 2 | Elect Linda P. Hefner Filler | Mgmt | For |
| Danaher Corporation | DHR | 235851102 | 5/10/2016 | 3 | Elect Thomas P. Joyce, Jr. | Mgmt | For |
| Danaher Corporation | DHR | 235851102 | 5/10/2016 | 4 | Elect Teri List-Stoll | Mgmt | For |
| Danaher Corporation | DHR | 235851102 | 5/10/2016 | 5 | Elect Walter G. Lohr, Jr. | Mgmt | For |
| Danaher Corporation | DHR | 235851102 | 5/10/2016 | 6 | Elect Mitchell P. Rales | Mgmt | For |
| Danaher Corporation | DHR | 235851102 | 5/10/2016 | 7 | Elect Steven M. Rales | Mgmt | For |
| Danaher Corporation | DHR | 235851102 | 5/10/2016 | 8 | Elect John T. Schwieters | Mgmt | For |
| Danaher Corporation | DHR | 235851102 | 5/10/2016 | 9 | Elect Alan G. Spoon | Mgmt | For |
| Danaher Corporation | DHR | 235851102 | 5/10/2016 | 10 | Elect Elias A. Zerhouni | Mgmt | For |
| Danaher Corporation | DHR | 235851102 | 5/10/2016 | 11 | Ratification of Auditor | Mgmt | For |
| Danaher Corporation | DHR | 235851102 | 5/10/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Danaher Corporation | DHR | 235851102 | 5/10/2016 | 13 | Shareholder Proposal Regarding Political | ShrHoldr | Against |

Contributions and
Expenditures ReportShareholder Proposal
Regarding Right to Call a
Special Meeting

| | | | | | | | | |
|---|-----|-----------|-----------|-----|---------------------------------|------|-----|--|
| Danaher Corporation | DHR | 235851102 | 5/10/2016 | 14 | | | | |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/19/2015 | 1.1 | Elect Thomas L. Bennett | Mgmt | For | |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/19/2015 | 1.2 | Elect Ann Borowiec | Mgmt | For | |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/19/2015 | 1.3 | Elect Joseph W. Chow | Mgmt | For | |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/19/2015 | 1.4 | Elect John A. Fry | Mgmt | For | |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/19/2015 | 1.5 | Elect Frances A. Sevilla-Sacasa | Mgmt | For | |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/19/2015 | 1.6 | Elect Thomas K. Whitford | Mgmt | For | |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/19/2015 | 1.7 | Elect Lucinda S. Landreth | Mgmt | For | |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/19/2015 | 1.8 | Elect Janet L. Yeomans | Mgmt | For | |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/19/2015 | 1.1 | Elect Thomas L. Bennett | Mgmt | For | |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/19/2015 | 1.2 | Elect Ann Borowiec | Mgmt | For | |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/19/2015 | 1.3 | Elect Joseph W. Chow | Mgmt | For | |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/19/2015 | 1.4 | Elect John A. Fry | Mgmt | For | |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/19/2015 | 1.5 | Elect Frances A. | Mgmt | For | |

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| | | | | | Sevilla-Sacasa | | |
|---|-----|-----------|-----------|-----|---|------|-----|
| Global Dividend & Income Fund | | | | | | | |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/19/2015 | 1.6 | Elect Thomas K. Whitford | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/19/2015 | 1.7 | Elect Lucinda S. Landreth | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/19/2015 | 1.8 | Elect Janet L. Yeomans | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 1 | Elect Richard H. Anderson | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 2 | Elect Edward H. Bastian | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 3 | Elect Francis S. Blake | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 4 | Elect Daniel A. Carp | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 5 | Elect David G. DeWalt | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 6 | Elect Thomas E. Donilon | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 7 | Elect William H. Easter III | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 8 | Elect Mickey P. Foret | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 9 | Elect Shirley C. Franklin | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 10 | Elect George N. Mattson | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 11 | Elect Douglas R Ralph | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 12 | Elect Sergio A. L. Rial | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 13 | Elect Kathy N. Waller | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 14 | Elect Kenneth B. Woodrow | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 16 | Amendment to the 2007 Performance Compensation Plan | Mgmt | For |

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| | | | | | | | |
|---|-----|-----------|-----------|-----|---|----------|---------|
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 17 | Ratification of Auditor | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/10/2016 | 18 | Shareholder Proposal Regarding Retention of Shares Until Normal Retirement Age | ShrHoldr | Against |
| Deutsche High Income Opportunities Fund Inc | DHG | 25158Y102 | 9/30/2015 | 1.1 | Elect Keith R. Fox | Mgmt | For |
| Deutsche High Income Opportunities Fund Inc | DHG | 25158Y102 | 9/30/2015 | 1.2 | Elect Richard J. Herring | Mgmt | For |
| Deutsche High Income Opportunities Fund Inc | DHG | 25158Y102 | 9/30/2015 | 1.3 | Elect William N. Searcy, Jr. | Mgmt | For |
| Deutsche High Income Opportunities Fund Inc | DHG | 25158Y102 | 9/30/2015 | 2 | For Deutsche Global High Income Fund, Inc. and Deutsche High Income Opportunities Fund, Inc., Amend Charter to Limit the Existence of the Funds | Mgmt | For |
| Deutsche Multi-Market Income Trust | KMM | 25160E102 | 9/30/2015 | 1.1 | Elect Keith R. Fox | Mgmt | For |
| Deutsche Multi-Market Income Trust | KMM | 25160E102 | 9/30/2015 | 1.2 | Elect Richard J. Herring | Mgmt | For |
| Deutsche Multi-Market Income Trust | KMM | 25160E102 | 9/30/2015 | 1.3 | Elect William N. Searcy, Jr. | Mgmt | For |
| Deutsche Strategic Income Trust | KST | 25160F109 | 9/30/2015 | 1.1 | Elect Keith R. Fox | Mgmt | For |
| Deutsche Strategic Income Trust | KST | 25160F109 | 9/30/2015 | 1.2 | Elect Richard J. Herring | Mgmt | For |
| Deutsche Strategic Income Trust | KST | 25160F109 | 9/30/2015 | 1.3 | Elect William N. Searcy, Jr. | Mgmt | For |
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 1 | Elect William P. Barr | Mgmt | For |
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 2 | Elect Helen E. Dragas | Mgmt | For |
| Dominion | D | 25746U109 | 5/11/2016 | 3 | Elect James O. Ellis, Jr. | Mgmt | For |

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Resources, Inc.

| | | | | | | | |
|--------------------------|-----|-----------|-----------|----|--|----------|---------|
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 4 | Elect Thomas F. Farrell II | Mgmt | For |
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 5 | Elect John W. Harris | Mgmt | For |
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 6 | Elect Mark J. Kington | Mgmt | For |
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 7 | Elect Pamela L. Royal | Mgmt | For |
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 8 | Elect Robert H. Spilman, Jr. | Mgmt | For |
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 9 | Elect Michael E. Szymanczyk | Mgmt | For |
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 10 | Elect David A. Wollard | Mgmt | For |
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 11 | Ratification of Auditor | Mgmt | For |
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 13 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 14 | Shareholder Proposal Regarding Financial Analysis of North Ana 3 Construction | ShrHoldr | Against |
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 15 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 16 | Shareholder Proposal Regarding Climate Change Expertise on Board | ShrHoldr | Against |
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 17 | Shareholder Proposal Regarding Financial Risks of Climate Change | ShrHoldr | Against |
| Dominion Resources, Inc. | D | 25746U109 | 5/11/2016 | 18 | Shareholder Proposal Regarding Reporting on Company Climate Change Policies and Technological Advances | ShrHoldr | Against |
| Dow Chemical Company | DOW | 260543103 | 5/12/2016 | 1 | Elect Ajay Banga | Mgmt | For |
| Dow Chemical | DOW | 260543103 | 5/12/2016 | 2 | Elect Jacqueline K. | Mgmt | For |

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| Company | | | | | Barton | | |
|--|-----|-----------|-----------|-----|---|----------|---------|
| Dow Chemical Company | DOW | 260543103 | 5/12/2016 | 3 | Elect James A. Bell | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/12/2016 | 4 | Elect Richard K. Davis | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/12/2016 | 5 | Elect Jeff M. Fettig | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/12/2016 | 6 | Elect Andrew N. Liveris | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/12/2016 | 7 | Elect Mark Loughridge | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/12/2016 | 8 | Elect Raymond J. Milchovich | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/12/2016 | 9 | Elect Robert S. Miller | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/12/2016 | 10 | Elect Paul Polman | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/12/2016 | 11 | Elect Dennis H. Reilley | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/12/2016 | 12 | Elect James M. Ringler | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/12/2016 | 13 | Elect Ruth G. Shaw | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/12/2016 | 14 | Ratification of Auditor | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/12/2016 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/12/2016 | 16 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Duff & Phelps Global Utility Income Fund Inc | DPG | 26433C105 | 3/15/2016 | 1.1 | Elect Phillip R. McLoughlin | Mgmt | For |
| Duff & Phelps Global Utility Income Fund Inc | DPG | 26433C105 | 3/15/2016 | 1.2 | Elect Nathan I. Partain | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 1 | Elect Douglas M. Baker, Jr. | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 2 | Elect Barbara J. Beck | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 3 | Elect Leslie S. Biller | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 4 | Elect Carl M. Casale | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 5 | Elect Stephen I. Chazen | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 6 | Elect Jeffrey M. Ettinger | Mgmt | For |

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|-----------------------|-----|-----------|-----------|----|---|----------|---------|
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 7 | Elect Jerry A. Grundhofer | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 8 | Elect Arthur J. Higgins | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 9 | Elect Michael Larson | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 10 | Elect Jerry W. Levin | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 11 | Elect David W. MacLennan | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 12 | Elect Tracy B. McKibben | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 13 | Elect Victoria J. Reich | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 14 | Elect Suzanne M. Vautrinot | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 15 | Elect John J. Zillmer | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 16 | Ratification of Auditor | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 17 | Amendment to the 2001 Non-Employee Director Stock Option and Deferred Compensation Plan | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 18 | Advisory Vote on Executive Compensation | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/5/2016 | 19 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Eli Lilly and Company | LLY | 532457108 | 5/2/2016 | 1 | Elect Ralph Alvarez | Mgmt | For |
| Eli Lilly and Company | LLY | 532457108 | 5/2/2016 | 2 | Elect R. David Hoover | Mgmt | For |
| Eli Lilly and Company | LLY | 532457108 | 5/2/2016 | 3 | Elect Juan R. Luciano | Mgmt | For |
| Eli Lilly and Company | LLY | 532457108 | 5/2/2016 | 4 | Elect Franklyn G. Prendergast | Mgmt | For |
| Eli Lilly and Company | LLY | 532457108 | 5/2/2016 | 5 | Elect Kathi P. Seifert | Mgmt | For |
| Eli Lilly and Company | LLY | 532457108 | 5/2/2016 | 6 | Advisory Vote on Executive Compensation | Mgmt | For |
| Eli Lilly and Company | LLY | 532457108 | 5/2/2016 | 7 | Ratification of Auditor | Mgmt | For |
| Eli Lilly and Company | LLY | 532457108 | 5/2/2016 | 8 | Shareholder Proposal Regarding Country Selection Guidelines | ShrHoldr | Against |
| EOG Resources, Inc. | EOG | 26875P101 | 4/26/2016 | 1 | Elect Janet F. Clark | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 4/26/2016 | 2 | Elect Charles R. Crisp | Mgmt | For |

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|---------------------------------|------|-----------|-----------|----|---|------|-----|
| EOG Resources, Inc. | EOG | 26875P101 | 4/26/2016 | 3 | Elect James C. Day | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 4/26/2016 | 4 | Elect H. Leighton Steward | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 4/26/2016 | 5 | Elect Donald F. Textor | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 4/26/2016 | 6 | Elect William R. Thomas | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 4/26/2016 | 7 | Elect Frank G. Wisner | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 4/26/2016 | 8 | Ratification of Auditor | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 4/26/2016 | 9 | Advisory Vote on Executive Compensation | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/4/2016 | 1 | Elect Maura C. Breen | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/4/2016 | 2 | Elect William J. DeLaney | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/4/2016 | 3 | Elect Elder Granger | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/4/2016 | 4 | Elect Nicholas J. LaHowchic | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/4/2016 | 5 | Elect Thomas P. Mac Mahon | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/4/2016 | 6 | Elect Frank Mergenthaler | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/4/2016 | 7 | Elect Woodrow A. Myers, Jr. | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/4/2016 | 8 | Elect Roderick A. Palmore | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/4/2016 | 9 | Elect George Paz | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/4/2016 | 10 | Elect William L. Roper | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/4/2016 | 11 | Elect Seymour Sternberg | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/4/2016 | 12 | Elect Timothy Wentworth | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/4/2016 | 13 | Ratification of Auditor | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/4/2016 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| | ESRX | 30219G108 | 5/4/2016 | 15 | | Mgmt | For |

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|---------------------------------|------|-----------|-----------|------|--|----------|---------|
| Express Scripts Holding Company | | | | | Approval of the 2016 Long-Term Incentive Plan | | |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/4/2016 | 16 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/4/2016 | 17 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 1.1 | Elect Michael J. Boskin | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 1.2 | Elect Peter Brabeck-Letmathe | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 1.3 | Elect Angela F. Braly | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 1.4 | Elect Ursula M. Burns | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 1.5 | Elect Larry R. Faulkner | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 1.6 | Elect Jay S. Fishman | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 1.7 | Elect Henrietta H. Fore | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 1.8 | Elect Kenneth C. Frazier | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 1.9 | Elect Douglas R. Oberhelman | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 1.10 | Elect Samuel J. Palmisano | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 1.11 | Elect Steven S Reinemund | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 1.12 | Elect Rex W. Tillerson | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 1.13 | Elect William C. Weldon | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 1.14 | Elect Darren W. Woods | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 2 | Ratification of Auditor | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 4 | Shareholder Proposal Regarding Independent | ShrHoldr | Against |

| | | | | | Board Chairman | | |
|-------------------------|-----|-----------|-----------|-----|---|----------|---------|
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 5 | Shareholder Proposal Regarding Climate Change Expertise on Board | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 6 | Shareholder Proposal Regarding Sale of Company | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 7 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 8 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 9 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 10 | Shareholder Proposal Regarding Dividend Policy | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 11 | Shareholder Proposal Regarding Climate Change Policy and Commitment | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 12 | Shareholder Proposal Regarding Climate Change Policy Risk | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 13 | Shareholder Proposal Regarding Report on Reserve Replacements | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/25/2016 | 14 | Shareholder Proposal Regarding Hydraulic Fracturing | ShrHoldr | Against |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 1.1 | Elect Marc L. Andreessen | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 1.2 | Elect Erskine B. Bowles | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 1.3 | Elect Susan D. Desmond-Hellmann | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 1.4 | Elect Reed Hastings | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 1.5 | Elect Jan Koum | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 1.6 | Elect Sheryl K. Sandberg | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 1.7 | Elect Peter A. Thiel | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 1.8 | Elect Mark Zuckerberg | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 2 | Ratification of Auditor | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 3 | Advisory Vote on | Mgmt | For |

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Executive Compensation

| | | | | | | | |
|-------------------|-----|-----------|-----------|----|---|----------|---------|
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 4 | Ratification of 2013 Grants to Non-Employee Directors | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 5 | Ratification of 2014 and 2015 Grants to Non-Employee Directors | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 6 | Annual Compensation Program for Non-Employee Directors | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 7 | Approve Establishment of Class C Stock and Associated Rights | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 8 | Increase the Number of Class A Stock from 5,000,000,000 to 20,000,000,000 | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 9 | Provide Equal Treatment of Stock Classes in Transactions and Dividends | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 10 | Provide for Conversion Events of Class B Stock | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 11 | Amendment to the 2012 Equity Incentive Plan | Mgmt | For |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 12 | Shareholder Proposal Regarding Recapitalization | ShrHoldr | Against |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 13 | Shareholder Proposal Regarding Sustainability Report | ShrHoldr | Against |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 14 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 15 | Shareholder Proposal Regarding Formation of International Public Policy Committee | ShrHoldr | Against |
| Facebook, Inc. | FB | 30303M102 | 6/20/2016 | 16 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHoldr | Against |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 1 | Elect James L. Barksdale | Mgmt | For |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 2 | Elect John A. Edwardson | Mgmt | For |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 3 | Elect Marvin Ellison | Mgmt | For |

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|---|-----|-----------|-----------|----|--|----------|---------|
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 4 | Elect Kimberly A. Jabal | Mgmt | For |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 5 | Elect Shirley Ann Jackson | Mgmt | For |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 6 | Elect Gary W. Loveman | Mgmt | For |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 7 | Elect R. Brad Martin | Mgmt | For |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 8 | Elect Joshua C. Ramo | Mgmt | For |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 9 | Elect Susan C. Schwab | Mgmt | For |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 10 | Elect Frederick W. Smith | Mgmt | For |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 11 | Elect David P. Steiner | Mgmt | For |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 12 | Elect Paul S. Walsh | Mgmt | For |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 14 | Ratification of Auditor | Mgmt | For |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 15 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 16 | Shareholder Proposal Regarding Tax Payments on Restricted Stock Awards | ShrHoldr | Against |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 17 | Shareholder Proposal Regarding Recoupment of Unearned Bonuses | ShrHoldr | Against |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 18 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 19 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Against |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 20 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| FedEx Corporation | FDX | 31428X106 | 9/28/2015 | 21 | Shareholder Proposal Regarding Report of Values in Political Spending | ShrHoldr | Against |
| Fiduciary-Claymore MLP Opportunity Fund | FMO | 31647Q106 | 4/6/2016 | 1 | Elect Robert B. Karn III | Mgmt | For |
| Fiduciary-Claymore MLP Opportunity Fund | FMO | 31647Q106 | 4/6/2016 | 2 | Elect Maynard F. Oliverius | Mgmt | For |
| Fiduciary-Claymore | FMO | 31647Q106 | 4/6/2016 | 3 | Elect Ronald E. Toupin | Mgmt | For |

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|---|------|-----------|-----------|------|---|----------|---------|--|
| MLP Opportunity Fund | | | | | Jr. | | | |
| First Trust Energy Income and Growth Fund | FEO | 33731K102 | 4/22/2016 | 1.1 | Elect James A. Bowen | Mgmt | For | |
| First Trust Energy Income and Growth Fund | FEO | 33731K102 | 4/22/2016 | 1.2 | Elect Niel B. Nielson | Mgmt | For | |
| First Trust Energy Income and Growth Fund | FIF | 33738C103 | 4/22/2016 | 1.1 | Elect James A. Bowen | Mgmt | For | |
| First Trust Energy Income and Growth Fund | FIF | 33738C103 | 4/22/2016 | 1.2 | Elect Niel B. Nielson | Mgmt | For | |
| First Trust Enhanced Equity Income Fund | FFA | 337318109 | 4/22/2016 | 1.1 | Elect James A. Bowen | Mgmt | For | |
| First Trust Enhanced Equity Income Fund | FFA | 337318109 | 4/22/2016 | 1.2 | Elect Niel B. Nielson | Mgmt | For | |
| Fiserv, Inc. | FISV | 337738108 | 5/18/2016 | 1.1 | Elect Alison Davis | Mgmt | For | |
| Fiserv, Inc. | FISV | 337738108 | 5/18/2016 | 1.2 | Elect Christopher M. Flink | Mgmt | For | |
| Fiserv, Inc. | FISV | 337738108 | 5/18/2016 | 1.3 | Elect Daniel P. Kearney | Mgmt | For | |
| Fiserv, Inc. | FISV | 337738108 | 5/18/2016 | 1.4 | Elect Dennis F. Lynch | Mgmt | For | |
| Fiserv, Inc. | FISV | 337738108 | 5/18/2016 | 1.5 | Elect Denis J. O'Leary | Mgmt | For | |
| Fiserv, Inc. | FISV | 337738108 | 5/18/2016 | 1.6 | Elect Glenn M. Renwick | Mgmt | For | |
| Fiserv, Inc. | FISV | 337738108 | 5/18/2016 | 1.7 | Elect Kim M. Robak | Mgmt | For | |
| Fiserv, Inc. | FISV | 337738108 | 5/18/2016 | 1.8 | Elect J.D. Sherman | Mgmt | For | |
| Fiserv, Inc. | FISV | 337738108 | 5/18/2016 | 1.9 | Elect Doyle R. Simons | Mgmt | For | |
| Fiserv, Inc. | FISV | 337738108 | 5/18/2016 | 1.10 | Elect Thomas C. Wertheimer | Mgmt | For | |
| Fiserv, Inc. | FISV | 337738108 | 5/18/2016 | 1.11 | Elect Jeffery W. Yabuki | Mgmt | For | |
| Fiserv, Inc. | FISV | 337738108 | 5/18/2016 | 2 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Fiserv, Inc. | FISV | 337738108 | 5/18/2016 | 3 | Ratification of Auditor | Mgmt | For | |
| Fiserv, Inc. | FISV | 337738108 | 5/18/2016 | 4 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against | |
| Franklin Universal Trust | FT | 355145103 | 3/18/2016 | 1.1 | Elect Harris J. Ashton | Mgmt | For | |
| Franklin Universal Trust | FT | 355145103 | 3/18/2016 | 1.2 | Elect Mary C. Choksi | Mgmt | For | |

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| Franklin Universal Trust | FT | 355145103 | 3/18/2016 | 1.3 | Elect Edith E. Holiday | Mgmt | For |
| Franklin Universal Trust | FT | 355145103 | 3/18/2016 | 1.4 | Elect Gregory E. Johnson | Mgmt | For |
| Franklin Universal Trust | FT | 355145103 | 3/18/2016 | 1.5 | Elect Rupert H. Johnson, Jr. | Mgmt | For |
| Franklin Universal Trust | FT | 355145103 | 3/18/2016 | 1.6 | Elect J. Michael Luttig | Mgmt | For |
| Franklin Universal Trust | FT | 355145103 | 3/18/2016 | 1.7 | Elect Frank A. Olson | Mgmt | For |
| Franklin Universal Trust | FT | 355145103 | 3/18/2016 | 1.8 | Elect Larry D. Thompson | Mgmt | For |
| Franklin Universal Trust | FT | 355145103 | 3/18/2016 | 1.9 | Elect John B. Wilson | Mgmt | For |
| Gabelli Convertible and Income Securities Fund Inc | GCV | 36240B109 | 5/9/2016 | 1.1 | Elect Mario J. Gabelli | Mgmt | For |
| Gabelli Convertible and Income Securities Fund Inc | GCV | 36240B109 | 5/9/2016 | 1.2 | Elect Thomas H. Dinsmore | Mgmt | For |
| Gabelli Convertible and Income Securities Fund Inc | GCV | 36240B109 | 5/9/2016 | 1.3 | Elect Daniel D. Harding | Mgmt | For |
| Gabelli Global Utility & Income Trust. | GLU | 36242L105 | 5/9/2016 | 1.1 | Elect Vincent D. Enright | Mgmt | For |
| Gabelli Global Utility & Income Trust. | GLU | 36242L105 | 5/9/2016 | 1.2 | Elect Michael J. Melarkey | Mgmt | For |
| Gabelli Multimedia Trust Inc | GGT | 36239Q109 | 5/9/2016 | 1.1 | Elect Mario J. Gabelli | Mgmt | For |
| Gabelli Multimedia Trust Inc | GGT | 36239Q109 | 5/9/2016 | 1.2 | Elect Christopher J. Marangi | Mgmt | For |
| GAMCO Natural Resources, Gold & Income Trust | GGN | 36465A109 | 5/9/2016 | 1.1 | Elect Vincent D. Enright | Mgmt | For |
| GAMCO Natural Resources, Gold & Income Trust | GGN | 36465A109 | 5/9/2016 | 1.2 | Elect Michael J. Melarkey | Mgmt | For |
| GAMCO Natural Resources, Gold & Income Trust | GNT | 36465E101 | 5/9/2016 | 1.1 | Elect James P. Conn | Mgmt | For |
| GAMCO Natural Resources, Gold & | GNT | 36465E101 | 5/9/2016 | 1.2 | Elect Vincent D. Enright | Mgmt | For |

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Income Trust

| | | | | | | | |
|--|-----|-----------|-----------|-----|---------------------------|------|-----|
| GAMCO Natural Resources, Gold & Income Trust | GNT | 36465E101 | 5/9/2016 | 1.3 | Elect Kuni Nakamura | Mgmt | For |
| GDL Fund | GDL | 361570104 | 5/9/2016 | 1.1 | Elect Edward T. Tokar | Mgmt | For |
| GDL Fund | GDL | 361570104 | 5/9/2016 | 1.2 | Elect Salvatore J. Zizza | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/13/2016 | 1.1 | Elect Rodney B. Berens | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/13/2016 | 1.2 | Elect Lewis B. Cullman | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/13/2016 | 1.3 | Elect Spencer Davidson | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/13/2016 | 1.4 | Elect John D. Gordan, III | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/13/2016 | 1.5 | Elect Betsy F. Gotbaum | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/13/2016 | 1.6 | Elect Sidney R. Knafel | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/13/2016 | 1.7 | Elect Daniel M. Neidich | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/13/2016 | 1.8 | Elect Jeffrey W. Priest | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/13/2016 | 1.9 | Elect Henry R. Schirmer | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/13/2016 | 2 | Ratification of Auditor | Mgmt | For |

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|------------------------------|----|-----------|-----------|----|---|----------|---------|
| General Dynamics Corporation | GD | 369550108 | 5/4/2016 | 1 | Elect Mary T. Barra | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/4/2016 | 2 | Elect Nicholas D. Chabraja | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/4/2016 | 3 | Elect James S. Crown | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/4/2016 | 4 | Elect Rudy F. deLeon | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/4/2016 | 5 | Elect William P. Fricks | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/4/2016 | 6 | Elect John M. Keane | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/4/2016 | 7 | Elect Lester L. Lyles | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/4/2016 | 8 | Elect Mark M. Malcolm | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/4/2016 | 9 | Elect James N. Mattis | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/4/2016 | 10 | Elect Phebe N. Novakovic | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/4/2016 | 11 | Elect William A. Osborn | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/4/2016 | 12 | Elect Laura J. Schumacher | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/4/2016 | 13 | Ratification of Auditor | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/4/2016 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/4/2016 | 15 | Executive Annual Incentive Plan | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/4/2016 | 16 | Shareholder Proposal Regarding Giving Preference to Share Repurchases | ShrHoldr | Against |
| General Electric Company | GE | 369604103 | 4/27/2016 | 1 | Elect Sebastien Bazin | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/27/2016 | 2 | Elect W. Geoffrey Beattie | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/27/2016 | 3 | Elect John J. Brennan | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/27/2016 | 4 | Elect Francisco D'Souza | Mgmt | For |
| General Electric | GE | 369604103 | 4/27/2016 | 5 | Elect Marijn E. Dekkers | Mgmt | For |

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Company

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|--------------------------|----|-----------|-----------|----|--|----------|---------|
| General Electric Company | GE | 369604103 | 4/27/2016 | 6 | Elect Peter B. Henry | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/27/2016 | 7 | Elect Susan Hockfield | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/27/2016 | 8 | Elect Jeffrey R. Immelt | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/27/2016 | 9 | Elect Andrea Jung | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/27/2016 | 10 | Elect Robert W. Lane | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/27/2016 | 11 | Elect Rochelle B. Lazarus | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/27/2016 | 12 | Elect Lowell C. McAdam | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/27/2016 | 13 | Elect James J. Mulva | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/27/2016 | 14 | Elect James E. Rohr | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/27/2016 | 15 | Elect Mary L. Schapiro | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/27/2016 | 16 | Elect James S. Tisch | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/27/2016 | 17 | Advisory Vote on Executive Compensation | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/27/2016 | 18 | Ratification of Auditor | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/27/2016 | 19 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| General Electric Company | GE | 369604103 | 4/27/2016 | 20 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| General Electric Company | GE | 369604103 | 4/27/2016 | 21 | Shareholder Proposal Regarding Holy Land Principles | ShrHoldr | Against |
| General Electric Company | GE | 369604103 | 4/27/2016 | 22 | Shareholder Proposal Regarding Cumulative Voting | ShrHoldr | Against |
| General Electric Company | GE | 369604103 | 4/27/2016 | 23 | Shareholder Proposal Regarding Performance-Based Equity Compensation | ShrHoldr | Against |
| | GE | 369604103 | 4/27/2016 | 24 | | ShrHoldr | Against |

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|--|------|-----------|-----------|-----|--|--|----------|---------|
| General Electric Company | | | | | | Shareholder Proposal Regarding Human Rights Review | | |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/11/2016 | 1 | | Elect John F. Cogan | Mgmt | For |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/11/2016 | 2 | | Elect Kevin E. Lofton | Mgmt | For |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/11/2016 | 3 | | Elect John W. Madigan | Mgmt | For |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/11/2016 | 4 | | Elect John C. Martin | Mgmt | For |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/11/2016 | 5 | | Elect John F. Milligan | Mgmt | For |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/11/2016 | 6 | | Elect Nicholas G. Moore | Mgmt | For |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/11/2016 | 7 | | Elect Richard J. Whitley | Mgmt | For |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/11/2016 | 8 | | Elect Gayle E. Wilson | Mgmt | For |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/11/2016 | 9 | | Elect Per Wold-Olsen | Mgmt | For |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/11/2016 | 10 | | Ratification of Auditor | Mgmt | For |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/11/2016 | 11 | | Amendment to the Code Section 162(m) Bonus Plan | Mgmt | For |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/11/2016 | 12 | | Advisory Vote on Executive Compensation | Mgmt | For |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/11/2016 | 13 | | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| Global High Income Fund Inc | GHI | 37933G108 | 2/18/2016 | 1 | | Liquidation of the Fund | Mgmt | For |
| Global High Income Fund Inc | GHI | 37933G108 | 2/18/2016 | 2.1 | | Elect Richard R. Burt | Mgmt | For |
| Global High Income Fund Inc | GHI | 37933G108 | 2/18/2016 | 2.2 | | Elect Meyer Feldberg | Mgmt | For |
| Guggenheim Enhanced Equity Strategy Fund | GGE | 40167K100 | 4/6/2016 | 1 | | Elect Robert B. Karn III | Mgmt | For |
| Guggenheim Enhanced Equity Strategy Fund | GGE | 40167K100 | 4/6/2016 | 2 | | Elect Maynard F. Oliverius | Mgmt | For |

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| Guggenheim Enhanced Equity Strategy Fund | GGE | 40167K100 | 4/6/2016 | 3 | Elect Ronald E. Toupin Jr. | Mgmt | For |
| Guggenheim Equal Weight Enhanced Equity Income Fund | GOF | 40167F101 | 4/6/2016 | 1 | Elect Randall C. Barnes | Mgmt | N/A |
| Guggenheim Equal Weight Enhanced Equity Income Fund | GOF | 40167F101 | 4/6/2016 | 2 | Elect Donald C. Cacciapaglia | Mgmt | N/A |
| Guggenheim Equal Weight Enhanced Equity Income Fund | GOF | 40167F101 | 4/6/2016 | 3 | Elect Donald A. Chubb Jr. | Mgmt | N/A |
| Guggenheim Equal Weight Enhanced Equity Income Fund | GOF | 40167F101 | 4/6/2016 | 4 | Elect Roman Friedrich III | Mgmt | N/A |
| Guggenheim Funds Investment Advisors LLC | GPM | 40167B100 | 4/6/2016 | 1.1 | Elect Robert B. Karn III | Mgmt | For |
| Guggenheim Funds Investment Advisors LLC | GPM | 40167B100 | 4/6/2016 | 1.2 | Elect Maynard F. Oliverius | Mgmt | For |
| Guggenheim Funds Investment Advisors LLC | GPM | 40167B100 | 4/6/2016 | 1.3 | Elect Ronald E. Toupin Jr. | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 1 | Elect William S. Ayer | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 2 | Elect Kevin Burke | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 3 | Elect Jaime Chico Pardo | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 4 | Elect David M. Cote | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 5 | Elect D. Scott Davis | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 6 | Elect Linnet F. Deily | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 7 | Elect Judd Gregg | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 8 | Elect Clive Hollick | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 9 | Elect Grace D. Lieblein | Mgmt | For |

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| Honeywell International Inc. | | | | | | | | |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 10 | Elect George Paz | Mgmt | For | |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 11 | Elect Bradley T. Sheares | Mgmt | For | |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 12 | Elect Robin L. Washington | Mgmt | For | |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 13 | Ratification of Auditor | Mgmt | For | |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 14 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 15 | 2016 Stock Incentive Plan | Mgmt | For | |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 16 | Approval of 2016 Stock Plan for Non-Employee Directors | Mgmt | For | |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 17 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against | |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 18 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against | |
| Honeywell International Inc. | HON | 438516106 | 4/25/2016 | 19 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against | |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/6/2016 | 1 | Elect Daniel J. Brutto | Mgmt | For | |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/6/2016 | 2 | Elect Susan Crown | Mgmt | For | |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/6/2016 | 3 | Elect James W. Griffith | Mgmt | For | |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/6/2016 | 4 | Elect Richard H. Lenny | Mgmt | For | |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/6/2016 | 5 | Elect Robert S. Morrison | Mgmt | For | |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/6/2016 | 6 | Elect E. Scott Santi | Mgmt | For | |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/6/2016 | 7 | Elect James A. Skinner | Mgmt | For | |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/6/2016 | 8 | Elect David B. Smith, Jr. | Mgmt | For | |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/6/2016 | 9 | Elect Pamela B. Strobel | Mgmt | For | |

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| Illinois Tool Works Inc. | ITW | 452308109 | 5/6/2016 | 10 | Elect Kevin M. Warren | Mgmt | For |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/6/2016 | 11 | Elect Anre D. Williams | Mgmt | For |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/6/2016 | 12 | Ratification of Auditor | Mgmt | For |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/6/2016 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/6/2016 | 14 | Right to Call a Special Meeting | Mgmt | For |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/6/2016 | 15 | Re-approval of the 2011 Cash Incentive Plan | Mgmt | For |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/6/2016 | 16 | Shareholder Proposal Regarding Excluding Share Repurchases in Executive Compensation | ShrHoldr | Against |
| Intel Corporation | INTC | 458140100 | 5/19/2016 | 1 | Elect Charlene Barshefsky | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/19/2016 | 2 | Elect Aneel Bhusri | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/19/2016 | 3 | Elect Andy D. Bryant | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/19/2016 | 4 | Elect John J. Donahoe | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/19/2016 | 5 | Elect Reed E. Hundt | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/19/2016 | 6 | Elect Brian M. Krzanich | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/19/2016 | 7 | Elect James D. Plummer | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/19/2016 | 8 | Elect David S. Pottruck | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/19/2016 | 9 | Elect Frank D. Yeary | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/19/2016 | 10 | Elect David B. Yoffie | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/19/2016 | 11 | Ratification of Auditor | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/19/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/19/2016 | 13 | Shareholder Proposal Regarding Holy Land Principles | ShrHoldr | Against |
| Intel Corporation | INTC | 458140100 | 5/19/2016 | 14 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| Intel Corporation | INTC | 458140100 | 5/19/2016 | 15 | Shareholder Proposal Regarding Counting Abstentions | ShrHoldr | Against |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/13/2016 | 1 | Elect Charles R. Crisp | Mgmt | For |
| | ICE | 45866F104 | 5/13/2016 | 2 | Elect Jean-Marc Forneri | Mgmt | For |

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| Intercontinental Exchange, Inc. | | | | | | | | |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/13/2016 | 3 | Elect Lord Hague of Richmond | Mgmt | For | |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/13/2016 | 4 | Elect Fred W. Hatfield | Mgmt | For | |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/13/2016 | 5 | Elect Frederic V. Salerno | Mgmt | For | |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/13/2016 | 6 | Elect Jeffrey C. Sprecher | Mgmt | For | |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/13/2016 | 7 | Elect Judith A. Sprieser | Mgmt | For | |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/13/2016 | 8 | Elect Vincent Tese | Mgmt | For | |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/13/2016 | 9 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/13/2016 | 10 | Ratification of Auditor | Mgmt | For | |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/13/2016 | 11 | Shareholder Proposal Regarding Sustainability Report | ShrHoldr | Against | |
| Invesco Funds | VLT | 46131F101 | 8/26/2015 | 1.1 | Elect James T. Bunch | Mgmt | For | |
| Invesco Funds | VLT | 46131F101 | 8/26/2015 | 1.2 | Elect Bruce L. Crockett | Mgmt | For | |
| Invesco Funds | VLT | 46131F101 | 8/26/2015 | 1.3 | Elect Rodney F. Dammeyer | Mgmt | For | |
| Invesco Funds | VLT | 46131F101 | 8/26/2015 | 1.4 | Elect Jack M. Fields, Jr. | Mgmt | For | |
| Invesco Funds | VLT | 46131F101 | 8/26/2015 | 1.5 | Elect Martin L. Flanagan | Mgmt | For | |
| John Hancock Financial Opportunities Fund | BTO | 409735206 | 2/3/2016 | 1.1 | Elect James R. Boyle | Mgmt | For | |
| John Hancock Financial Opportunities Fund | BTO | 409735206 | 2/3/2016 | 1.2 | Elect Craig Bromley | Mgmt | For | |
| John Hancock Financial Opportunities Fund | BTO | 409735206 | 2/3/2016 | 1.3 | Elect Deborah C. Jackson | Mgmt | For | |
| John Hancock Financial Opportunities Fund | BTO | 409735206 | 2/3/2016 | 1.4 | Elect James M. Oates | Mgmt | For | |

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| John Hancock Financial Opportunities Fund | BTO | 409735206 | 2/3/2016 | 1.5 | Elect Steven R. Pruchansky | Mgmt | For |
| John Hancock Hedged equity & Income Fund | HEQ | 47804L102 | 2/3/2016 | 1.1 | Elect James R. Boyle | Mgmt | For |
| John Hancock Hedged equity & Income Fund | HEQ | 47804L102 | 2/3/2016 | 1.2 | Elect Craig Bromley | Mgmt | For |
| John Hancock Hedged equity & Income Fund | HEQ | 47804L102 | 2/3/2016 | 1.3 | Elect Deborah C. Jackson | Mgmt | For |
| John Hancock Hedged equity & Income Fund | HEQ | 47804L102 | 2/3/2016 | 1.4 | Elect James M. Oates | Mgmt | For |
| John Hancock Hedged equity & Income Fund | HEQ | 47804L102 | 2/3/2016 | 1.5 | Elect Steven R. Pruchansky | Mgmt | For |
| John Hancock Investors Trust | JHI | 410142103 | 2/3/2016 | 1.1 | Elect Charles L. Bardelis | Mgmt | For |
| John Hancock Investors Trust | JHI | 410142103 | 2/3/2016 | 1.2 | Elect James R. Boyle | Mgmt | For |
| John Hancock Investors Trust | JHI | 410142103 | 2/3/2016 | 1.3 | Elect Craig Bromley | Mgmt | For |
| John Hancock Investors Trust | JHI | 410142103 | 2/3/2016 | 1.4 | Elect Peter S. Burgess | Mgmt | For |
| John Hancock Investors Trust | JHI | 410142103 | 2/3/2016 | 1.5 | Elect William H. Cunningham | Mgmt | For |
| John Hancock Investors Trust | JHI | 410142103 | 2/3/2016 | 1.6 | Elect Grace K. Fey | Mgmt | For |
| John Hancock Investors Trust | JHI | 410142103 | 2/3/2016 | 1.7 | Elect Theron S. Hoffman | Mgmt | For |
| John Hancock Investors Trust | JHI | 410142103 | 2/3/2016 | 1.8 | Elect Deborah C. Jackson | Mgmt | For |
| John Hancock Investors Trust | JHI | 410142103 | 2/3/2016 | 1.9 | Elect Hassell H. McClellan | Mgmt | For |
| John Hancock Investors Trust | JHI | 410142103 | 2/3/2016 | 1.10 | Elect James M. Oates | Mgmt | For |
| John Hancock Investors Trust | JHI | 410142103 | 2/3/2016 | 1.11 | Elect Steven R. Pruchansky | Mgmt | For |
| John Hancock Investors Trust | JHI | 410142103 | 2/3/2016 | 1.12 | Elect Gregory A. Russo | Mgmt | For |
| John Hancock Investors Trust | JHI | 410142103 | 2/3/2016 | 1.13 | Elect Warren A. Thomson | Mgmt | For |

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| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 1 | Elect Mary C. Beckerle | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 2 | Elect D. Scott Davis | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 3 | Elect Ian E.L. Davis | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 4 | Elect Alex Gorsky | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 5 | Elect Susan L. Lindquist | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 6 | Elect Mark B. McClellan | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 7 | Elect Anne M. Mulcahy | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 8 | Elect William D. Perez | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 9 | Elect Charles Prince | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 10 | Elect A. Eugene Washington | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 11 | Elect Ronald A. Williams | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 13 | Ratification of Auditor | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 14 | Shareholder Proposal Regarding Giving Preference to Share Repurchases | ShrHoldr | Against |
| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 15 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Johnson & Johnson | JNJ | 478160104 | 4/28/2016 | 17 | Shareholder Proposal Regarding Take-Back Programs for Unused Medicines | ShrHoldr | Against |
| Johnson Controls, Inc. | JCI | 478366107 | 1/27/2016 | 1.1 | Elect David P. Abney | Mgmt | For |
| Johnson Controls, Inc. | JCI | 478366107 | 1/27/2016 | 1.2 | Elect Natalie A. Black | Mgmt | For |
| Johnson Controls, Inc. | JCI | 478366107 | 1/27/2016 | 1.3 | Elect Julie L. Bushman | Mgmt | For |
| Johnson Controls, Inc. | JCI | 478366107 | 1/27/2016 | 1.4 | Elect Raymond L. Conner | Mgmt | For |
| Johnson Controls, Inc. | JCI | 478366107 | 1/27/2016 | 1.5 | Elect Richard Goodman | Mgmt | For |
| Johnson Controls, Inc. | JCI | 478366107 | 1/27/2016 | 1.6 | Elect Jeffrey A. Joerres | Mgmt | For |
| | JCI | 478366107 | 1/27/2016 | 1.7 | Elect William H. Lacy | Mgmt | For |

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| Johnson Controls, Inc. | | | | | | | | |
| Johnson Controls, Inc. | JCI | 478366107 | 1/27/2016 | 1.8 | Elect Alex A. Molinaroli | Mgmt | For | |
| Johnson Controls, Inc. | JCI | 478366107 | 1/27/2016 | 1.9 | Elect Juan Pablo del Valle Perochena | Mgmt | For | |
| Johnson Controls, Inc. | JCI | 478366107 | 1/27/2016 | 1.10 | Elect Mark P. Vergnano | Mgmt | For | |
| Johnson Controls, Inc. | JCI | 478366107 | 1/27/2016 | 2 | Ratification of Auditor | Mgmt | For | |
| Johnson Controls, Inc. | JCI | 478366107 | 1/27/2016 | 3 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Johnson Controls, Inc. | JCI | 478366107 | 1/27/2016 | 4 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against | |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 1 | Elect Linda B. Bammann | Mgmt | For | |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 2 | Elect James A. Bell | Mgmt | For | |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 3 | Elect Crandall C. Bowles | Mgmt | For | |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 4 | Elect Stephen B. Burke | Mgmt | For | |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 5 | Elect James S. Crown | Mgmt | For | |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 6 | Elect James Dimon | Mgmt | For | |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 7 | Elect Timothy P. Flynn | Mgmt | For | |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 8 | Elect Laban P. Jackson, Jr. | Mgmt | For | |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 9 | Elect Michael A. Neal | Mgmt | For | |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 10 | Elect Lee R. Raymond | Mgmt | For | |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 11 | Elect William C. Weldon | Mgmt | For | |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt | For | |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 13 | Ratification of Auditor | Mgmt | For | |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 14 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against | |

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| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 15 | Shareholder Proposal Regarding Counting Abstentions | ShrHoldr | Against |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 16 | Shareholder Proposal Regarding Government Service Vesting | ShrHoldr | Against |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 17 | Shareholder Proposal Regarding Formation of Shareholder Value Committee | ShrHoldr | Against |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 18 | Shareholder Proposal Regarding Amendment to Clawback Policy | ShrHoldr | Against |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/17/2016 | 19 | Shareholder Proposal Regarding Linking Executive Pay to Social Factors | ShrHoldr | Against |
| Kimberly-Clark Corporation | KMB | 494368103 | 5/4/2016 | 1 | Elect John F. Bergstrom | Mgmt | For |
| Kimberly-Clark Corporation | KMB | 494368103 | 5/4/2016 | 2 | Elect Abelardo E. Bru | Mgmt | For |
| Kimberly-Clark Corporation | KMB | 494368103 | 5/4/2016 | 3 | Elect Robert W. Decherd | Mgmt | For |
| Kimberly-Clark Corporation | KMB | 494368103 | 5/4/2016 | 4 | Elect Thomas J. Falk | Mgmt | For |
| Kimberly-Clark Corporation | KMB | 494368103 | 5/4/2016 | 5 | Elect Fabian T. Garcia | Mgmt | For |
| Kimberly-Clark Corporation | KMB | 494368103 | 5/4/2016 | 6 | Elect Mae C. Jemison | Mgmt | For |
| Kimberly-Clark Corporation | KMB | 494368103 | 5/4/2016 | 7 | Elect James M. Jenness | Mgmt | For |
| Kimberly-Clark Corporation | KMB | 494368103 | 5/4/2016 | 8 | Elect Nancy J. Karch | Mgmt | For |
| Kimberly-Clark Corporation | KMB | 494368103 | 5/4/2016 | 9 | Elect Ian C. Read | Mgmt | For |
| Kimberly-Clark Corporation | KMB | 494368103 | 5/4/2016 | 10 | Elect Marc J. Shapiro | Mgmt | For |
| Kimberly-Clark Corporation | KMB | 494368103 | 5/4/2016 | 11 | Elect Michael D. White | Mgmt | For |
| Kimberly-Clark Corporation | KMB | 494368103 | 5/4/2016 | 12 | Ratification of Auditor | Mgmt | For |
| Kimberly-Clark Corporation | KMB | 494368103 | 5/4/2016 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| | KMB | 494368103 | 5/4/2016 | 14 | | Mgmt | For |

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| Kimberly-Clark Corporation | | | | | REAPPROVAL OF PERFORMANCE GOALS UNDER THE 2011 EQUITY PARTICIPATION PLAN | | |
| Kimberly-Clark Corporation | KMB | 494368103 | 5/4/2016 | 15 | Amendment to the 2011 Outside Directors' Compensation Plan | Mgmt | For |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 7/1/2015 | 1 | Merger/Acquisition | Mgmt | For |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 7/1/2015 | 2 | Advisory Vote on Golden Parachutes | Mgmt | For |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 7/1/2015 | 3 | Right to Adjourn Meeting | Mgmt | For |
| Kraft Heinz Company | KHC | 500754106 | 4/21/2016 | 1 | Elect Gregory E. Abel | Mgmt | For |
| Kraft Heinz Company | KHC | 500754106 | 4/21/2016 | 2 | Elect Alexandre Behring | Mgmt | For |
| Kraft Heinz Company | KHC | 500754106 | 4/21/2016 | 3 | Elect Warren E. Buffett | Mgmt | For |
| Kraft Heinz Company | KHC | 500754106 | 4/21/2016 | 4 | Elect John T. Cahill | Mgmt | For |
| Kraft Heinz Company | KHC | 500754106 | 4/21/2016 | 5 | Elect Tracy Britt Cool | Mgmt | For |
| Kraft Heinz Company | KHC | 500754106 | 4/21/2016 | 6 | Elect Jeanne P. Jackson | Mgmt | For |
| Kraft Heinz Company | KHC | 500754106 | 4/21/2016 | 7 | Elect Jorge Paulo Lemann | Mgmt | For |
| Kraft Heinz Company | KHC | 500754106 | 4/21/2016 | 8 | Elect Mackey J. McDonald | Mgmt | For |
| Kraft Heinz Company | KHC | 500754106 | 4/21/2016 | 9 | Elect John C. Pope | Mgmt | For |
| Kraft Heinz Company | KHC | 500754106 | 4/21/2016 | 10 | Elect Marcel Herrmann Telles | Mgmt | For |
| Kraft Heinz Company | KHC | 500754106 | 4/21/2016 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Kraft Heinz Company | KHC | 500754106 | 4/21/2016 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt | 1 Year |
| Kraft Heinz Company | KHC | 500754106 | 4/21/2016 | 13 | Approval of 2016 Omnibus Incentive Plan | Mgmt | For |
| Kraft Heinz Company | KHC | 500754106 | 4/21/2016 | 14 | Ratification of Auditor | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/23/2016 | 1 | Elect Nora. A. Aufreiter | Mgmt | For |

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| | | | | | | | |
|--|-----|-----------|-----------|----|---|----------|---------|
| Kroger Co. | KR | 501044101 | 6/23/2016 | 2 | Elect Robert D. Beyer | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/23/2016 | 3 | Elect Anne Gates | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/23/2016 | 4 | Elect Susan J. Kropf | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/23/2016 | 5 | Elect W. Rodney McMullen | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/23/2016 | 6 | Elect Jorge P. Montoya | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/23/2016 | 7 | Elect Clyde R. Moore | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/23/2016 | 8 | Elect Susan M. Phillips | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/23/2016 | 9 | Elect James A. Runde | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/23/2016 | 10 | Elect Ronald L. Sargent | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/23/2016 | 11 | Elect Bobby S. Shackouls | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/23/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/23/2016 | 13 | Ratification of Auditor | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/23/2016 | 14 | Shareholder Proposal Regarding Human Rights Report | ShrHoldr | Against |
| Kroger Co. | KR | 501044101 | 6/23/2016 | 15 | Shareholder Proposal Regarding Reporting on the Use of Non-Recyclable Packaging | ShrHoldr | Against |
| Kroger Co. | KR | 501044101 | 6/23/2016 | 16 | Shareholder Proposal Regarding Report on Renewable Energy Sourcing | ShrHoldr | Against |
| Kroger Co. | KR | 501044101 | 6/23/2016 | 17 | Shareholder Proposal Regarding Giving Preference to Share Repurchases | ShrHoldr | Against |
| Lazard Global Total Return and Income Fund Inc | LGI | 52106W103 | 4/22/2016 | 1 | Elect Kenneth S. Davidson | Mgmt | For |
| Lazard Global Total Return and Income Fund Inc | LGI | 52106W103 | 4/22/2016 | 2 | Elect Nancy A. Eckl | Mgmt | For |
| Lazard Global Total Return and Income Fund Inc | LGI | 52106W103 | 4/22/2016 | 3 | Elect Trevor W. Morrison | Mgmt | For |
| Lazard World Dividend & Income Fund Inc | LOR | 521076109 | 4/22/2016 | 1 | Elect Robert M. Solmson | Mgmt | For |
| Lazard World | LOR | 521076109 | 4/22/2016 | 2 | Elect Charles L. Carroll | Mgmt | For |

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Dividend & Income
Fund Inc

| | | | | | | | |
|----------------------------------|-----|-----------|------------|-----|---|----------|---------|
| Liberty All-Star Equity Fund | USA | 530158104 | 2/25/2016 | 1 | Approval of a new Portfolio Management Agreement for Liberty All-Star Equity Fund | Mgmt | For |
| Liberty All-Star Equity Fund | USA | 530158104 | 8/27/2015 | 1.1 | Elect John A. Benning | Mgmt | For |
| Liberty All-Star Equity Fund | USA | 530158104 | 8/27/2015 | 1.2 | Elect Edmund J. Burke | Mgmt | For |
| Liberty All-Star Equity Fund | USA | 530158104 | 8/27/2015 | 2 | Shareholder Proposal to Authorize Self-Tender Offer for Shares of The Equity Fund | ShrHoldr | Against |
| Liberty All-Star Equity Fund | USA | 530158104 | 8/27/2015 | 1.1 | Elect John A. Benning | Mgmt | For |
| Liberty All-Star Equity Fund | USA | 530158104 | 8/27/2015 | 1.2 | Elect Edmund J. Burke | Mgmt | For |
| Liberty All-Star Equity Fund | USA | 530158104 | 8/27/2015 | 2 | Shareholder Proposal to Authorize Self-Tender Offer for Shares of The Equity Fund | ShrHoldr | Against |
| Liberty All-Star Equity Fund | USA | 530158104 | 11/19/2015 | 1 | Approval of a New Investment Advisory Agreement | Mgmt | For |
| Liberty All-Star Growth Fund Inc | ASG | 529900102 | 8/27/2015 | 1.1 | Elect Thomas W. Brock | Mgmt | For |
| Liberty All-Star Growth Fund Inc | ASG | 529900102 | 8/27/2015 | 1.2 | Elect John J. Neuhauser | Mgmt | For |
| Liberty All-Star Growth Fund Inc | ASG | 529900102 | 8/27/2015 | 1.3 | Elect Edmund J. Burke | Mgmt | For |
| Liberty All-Star Growth Fund Inc | ASG | 529900102 | 8/27/2015 | 1.1 | Elect Thomas W. Brock | Mgmt | For |
| Liberty All-Star Growth Fund Inc | ASG | 529900102 | 8/27/2015 | 1.2 | Elect John J. Neuhauser | Mgmt | For |
| Liberty All-Star Growth Fund Inc | ASG | 529900102 | 8/27/2015 | 1.3 | Elect Edmund J. Burke | Mgmt | For |
| LMP Capital and Income Fund Inc | SCD | 50208A102 | 3/24/2016 | 1.1 | Elect Leslie H. Gelb | Mgmt | For |
| LMP Capital and Income Fund Inc | SCD | 50208A102 | 3/24/2016 | 1.2 | Elect William R. Hutchinson | Mgmt | For |
| LMP Capital and Income Fund Inc | SCD | 50208A102 | 3/24/2016 | 1.3 | Elect Jane E. Trust | Mgmt | For |
| | RIT | 50208C108 | 5/18/2016 | 1 | Reorganization | Mgmt | For |

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LMP Real Estate
Income Fund Inc.

| | | | | | | | |
|-----------------------------|-----|-----------|-----------|-----|---|----------|---------|
| Lockheed Martin Corporation | LMT | 539830109 | 4/28/2016 | 1 | Elect Daniel F. Akerson | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/28/2016 | 2 | Elect Nolan D. Archibald | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/28/2016 | 3 | Elect Rosalind G. Brewer | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/28/2016 | 4 | Elect David B. Burritt | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/28/2016 | 5 | Elect Bruce A. Carlson | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/28/2016 | 6 | Elect James O. Ellis, Jr. | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/28/2016 | 7 | Elect Thomas J. Falk | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/28/2016 | 8 | Elect Marillyn A. Hewson | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/28/2016 | 9 | Elect James M. Loy | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/28/2016 | 10 | Elect Joseph W. Ralston | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/28/2016 | 11 | Elect Anne L. Stevens | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/28/2016 | 12 | Ratification of Auditor | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/28/2016 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/28/2016 | 14 | Re-approval of the 2011 Incentive Performance Award Plan for the Purposes of Section 162(m) | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/28/2016 | 15 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Against |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/27/2016 | 1.1 | Elect Raul Alvarez | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/27/2016 | 1.2 | Elect Angela F. Braly | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/27/2016 | 1.3 | Elect Sandra B. Cochran | Mgmt | For |
| | LOW | 548661107 | 5/27/2016 | 1.4 | Elect Laurie Z. Douglas | Mgmt | For |

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Lowe's Companies,
Inc.

| | | | | | | | |
|-----------------------------------|-----|-----------|-----------|------|--|----------|---------|
| Lowe's Companies, Inc. | LOW | 548661107 | 5/27/2016 | 1.5 | Elect Richard W. Dreiling | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/27/2016 | 1.6 | Elect Robert L. Johnson | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/27/2016 | 1.7 | Elect Marshall O. Larsen | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/27/2016 | 1.8 | Elect James H Morgan | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/27/2016 | 1.9 | Elect Robert A. Niblock | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/27/2016 | 1.10 | Elect Bertram L. Scott | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/27/2016 | 1.11 | Elect Eric C. Wiseman | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/27/2016 | 2 | Approval of the 2016 Annual Incentive Plan | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/27/2016 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/27/2016 | 4 | Ratification of Auditor | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/27/2016 | 5 | Shareholder Proposal Regarding Sustainability Report | ShrHoldr | Against |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/27/2016 | 6 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/11/2016 | 1 | Elect Jacques Aigrain | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/11/2016 | 2 | Elect Lincoln Benet | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/11/2016 | 3 | Elect Nance K. Dicciani | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/11/2016 | 4 | Elect Bruce A. Smith | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/11/2016 | 5 | Elect Thomas Aebischer | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/11/2016 | 6 | Elect Dan Coombs | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/11/2016 | 7 | Elect James D. Guilfoyle | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/11/2016 | 8 | Adoption of Dutch Statutory Annual | Mgmt | For |

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Accounts for 2015

| | | | | | | | |
|--|-----|-----------|-----------|-----|---|------|-----|
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/11/2016 | 9 | Discharge From Liability of Members of the Management | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/11/2016 | 10 | DISCHARGE FROM LIABILITY OF MEMBERS OF THE SUPERVISORY BOARD | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/11/2016 | 11 | Appointment of Auditor | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/11/2016 | 12 | Appointment of PriceWaterhouseCoopers Accountants N.V. as the Auditor for the Dutch Annual Accounts | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/11/2016 | 13 | Allocation of Dividends | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/11/2016 | 14 | Advisory Vote Approving Executive Compensation | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/11/2016 | 15 | Authority of Management Board to Repurchase Shares | Mgmt | For |
| Macquarie Global Infrastructure Total Return Fund, Inc. | MGU | 55608D101 | 6/15/2016 | 1.1 | Elect Thomas W. Hunersen | Mgmt | For |
| Macquarie-First Tr. Global Inf. Utilities Div. & Income Fund | MFD | 55607W100 | 4/22/2016 | 1.1 | Elect James A. Bowen | Mgmt | For |
| Macquarie-First Tr. Global Inf. Utilities Div. & Income Fund | MFD | 55607W100 | 4/22/2016 | 1.2 | Elect Niel B. Nielson | Mgmt | For |
| Madison Covered Call & Equity Strategy Fund | MCN | 557437100 | 7/31/2015 | 1.1 | Elect James R. Imhoff, Jr. | Mgmt | For |
| Madison Covered Call & Equity Strategy Fund | MCN | 557437100 | 7/31/2015 | 1.2 | Elect Steven P. Riege | Mgmt | For |
| Madison Strategic Sector Premium Fund | MSP | 558268108 | 7/31/2015 | 1.1 | Elect Philip E. Blake | Mgmt | For |
| Marsh & McLennan | MMC | 571748102 | 5/19/2016 | 1 | Elect Oscar Fanjul Martin | Mgmt | For |

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Companies, Inc.

| | | | | | | | |
|----------------------------------|-----|-----------|-----------|----|---|------|-----|
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/19/2016 | 2 | Elect Daniel S. Glaser | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/19/2016 | 3 | Elect H. Edward Hanway | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/19/2016 | 4 | Elect Elaine La Roche | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/19/2016 | 5 | Elect Maria Silvia Bastos Marques | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/19/2016 | 6 | Elect Steven A. Mills | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/19/2016 | 7 | Elect Bruce P. Nolop | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/19/2016 | 8 | Elect Marc D. Oken | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/19/2016 | 9 | Elect Morton O. Schapiro | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/19/2016 | 10 | Elect Lloyd M. Yates | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/19/2016 | 11 | Elect R. David Yost | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/19/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/19/2016 | 13 | Ratification of Auditor | Mgmt | For |
| MasterCard Incorporated | MA | 57636Q104 | 6/28/2016 | 1 | Elect Richard Haythornthwaite | Mgmt | For |
| MasterCard Incorporated | MA | 57636Q104 | 6/28/2016 | 2 | Elect Ajay Banga | Mgmt | For |
| MasterCard Incorporated | MA | 57636Q104 | 6/28/2016 | 3 | Elect Silvio Barzi | Mgmt | For |
| MasterCard Incorporated | MA | 57636Q104 | 6/28/2016 | 4 | Elect David R. Carlucci | Mgmt | For |

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| | | | | | | | |
|-----------------------------|------|-----------|-----------|----|---|------|-----|
| MasterCard Incorporated | MA | 57636Q104 | 6/28/2016 | 5 | Elect Steven J. Freiberg | Mgmt | For |
| MasterCard Incorporated | MA | 57636Q104 | 6/28/2016 | 6 | Elect Julius Genachowski | Mgmt | For |
| MasterCard Incorporated | MA | 57636Q104 | 6/28/2016 | 7 | Elect Merit E. Janow | Mgmt | For |
| MasterCard Incorporated | MA | 57636Q104 | 6/28/2016 | 8 | Elect Nancy J. Karch | Mgmt | For |
| MasterCard Incorporated | MA | 57636Q104 | 6/28/2016 | 9 | Elect Oki Matsumoto | Mgmt | For |
| MasterCard Incorporated | MA | 57636Q104 | 6/28/2016 | 10 | Elect Rima Qureshi | Mgmt | For |
| MasterCard Incorporated | MA | 57636Q104 | 6/28/2016 | 11 | Elect Jose Octavio Reyes Lagunes | Mgmt | For |
| MasterCard Incorporated | MA | 57636Q104 | 6/28/2016 | 12 | Elect Jackson P. Tai | Mgmt | For |
| MasterCard Incorporated | MA | 57636Q104 | 6/28/2016 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| MasterCard Incorporated | MA | 57636Q104 | 6/28/2016 | 14 | Ratification of Auditor | Mgmt | For |
| McGraw Hill Financial, Inc. | MHFI | 580645109 | 4/27/2016 | 1 | Elect Sir Winfried Bischoff | Mgmt | For |
| McGraw Hill Financial, Inc. | MHFI | 580645109 | 4/27/2016 | 2 | Elect William D. Green | Mgmt | For |
| McGraw Hill Financial, Inc. | MHFI | 580645109 | 4/27/2016 | 3 | Elect Charles E. Haldeman, Jr. | Mgmt | For |
| McGraw Hill Financial, Inc. | MHFI | 580645109 | 4/27/2016 | 4 | Elect Rebecca Jacoby | Mgmt | For |
| McGraw Hill Financial, Inc. | MHFI | 580645109 | 4/27/2016 | 5 | Elect Hilda Ochoa-Brillembourg | Mgmt | For |
| McGraw Hill Financial, Inc. | MHFI | 580645109 | 4/27/2016 | 6 | Elect Douglas L. Peterson | Mgmt | For |
| McGraw Hill Financial, Inc. | MHFI | 580645109 | 4/27/2016 | 7 | Elect Sir Michael Rake | Mgmt | For |
| McGraw Hill Financial, Inc. | MHFI | 580645109 | 4/27/2016 | 8 | Elect Edward B. Rust, Jr. | Mgmt | For |
| McGraw Hill Financial, Inc. | MHFI | 580645109 | 4/27/2016 | 9 | Elect Kurt L. Schmoke | Mgmt | For |
| McGraw Hill Financial, Inc. | MHFI | 580645109 | 4/27/2016 | 10 | Elect Richard E. Thornburgh | Mgmt | For |
| McGraw Hill Financial, Inc. | MHFI | 580645109 | 4/27/2016 | 11 | Company Name Change | Mgmt | For |
| | MHFI | 580645109 | 4/27/2016 | 12 | | Mgmt | For |

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| | | | | | | | |
|-----------------------------|------|-----------|-----------|----|--|----------|---------|
| McGraw Hill Financial, Inc. | | | | | Reduce the Required Minimum Number of Directors from 12 Members to 8 Members. | | |
| McGraw Hill Financial, Inc. | MHFI | 580645109 | 4/27/2016 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| McGraw Hill Financial, Inc. | MHFI | 580645109 | 4/27/2016 | 14 | Ratification of Auditor | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 1 | Elect Andy D. Bryant | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 2 | Elect Wayne A. Budd | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 3 | Elect N. Anthony Coles | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 4 | Elect John H. Hammergren | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 5 | Elect Alton F. Irby III | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 6 | Elect M. Christine Jacobs | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 7 | Elect Donald R. Knauss | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 8 | Elect Marie L. Knowles | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 9 | Elect David M. Lawrence | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 10 | Elect Edward A. Mueller | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 11 | Elect Susan R. Salka | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 12 | Ratification of Auditor | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 14 | Reapproval of the Management Cash Incentive Plan | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 15 | Adoption of Proxy Access Bylaw | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 16 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Against |
| | MCK | 58155Q103 | 7/29/2015 | 17 | | ShrHoldr | Against |

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| | | | | | | | | |
|----------------------|-----|-----------|-----------|----|---|---|---------|--|
| Mckesson Corporation | | | | | | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 1 | Elect Andy D. Bryant | Mgmt | For | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 2 | Elect Wayne A. Budd | Mgmt | For | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 3 | Elect N. Anthony Coles | Mgmt | For | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 4 | Elect John H. Hammergren | Mgmt | For | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 5 | Elect Alton F. Irby III | Mgmt | For | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 6 | Elect M. Christine Jacobs | Mgmt | For | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 7 | Elect Donald R. Knauss | Mgmt | For | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 8 | Elect Marie L. Knowles | Mgmt | For | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 9 | Elect David M. Lawrence | Mgmt | For | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 10 | Elect Edward A. Mueller | Mgmt | For | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 11 | Elect Susan R. Salka | Mgmt | For | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 12 | Ratification of Auditor | Mgmt | For | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 14 | Reapproval of the Management Cash Incentive Plan | Mgmt | For | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 15 | Adoption of Proxy Access Bylaw | Mgmt | For | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 16 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Against | |
| Mckesson Corporation | MCK | 58155Q103 | 7/29/2015 | 17 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against | |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 1 | Elect Leslie A. Brun | Mgmt | For | |

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| | | | | | | | |
|--------------------------------------|-----|-----------|-----------|-----|---|----------|---------|
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 2 | Elect Thomas R. Cech | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 3 | Elect Pamela J. Craig | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 4 | Elect Kenneth C. Frazier | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 5 | Elect Thomas H. Glocer | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 6 | Elect C. Robert Kidder | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 7 | Elect Rochelle B. Lazarus | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 8 | Elect Carlos E. Represas | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 9 | Elect Paul B. Rothman | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 10 | Elect Patricia F. Russo | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 11 | Elect Craig B. Thompson | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 12 | Elect Wendell P. Weeks | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 13 | Elect Peter C. Wendell | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 15 | Ratification of Auditor | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 16 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 17 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/24/2016 | 18 | Shareholder Proposal Regarding Take-Back Programs for Unused Medicines | ShrHoldr | Against |
| Mexico Fund Inc | MXF | 592835102 | 3/16/2016 | 1.1 | Elect Edward P. Djerejian | Mgmt | For |
| Mexico Fund Inc | MXF | 592835102 | 3/16/2016 | 1.2 | Elect Claudio Gonzalez Laporte | Mgmt | For |
| Mexico Fund Inc | MXF | 592835102 | 3/16/2016 | 1.3 | Elect Alberto Osorio Morales | Mgmt | For |
| MFS High Income Municipal Trust | CXE | 59318D104 | 10/1/2015 | 1.1 | Elect Robert E. Butler | Mgmt | For |
| MFS High Income Municipal Trust | CXE | 59318D104 | 10/1/2015 | 1.2 | Elect David H. Gunning | Mgmt | For |
| MFS High Income Municipal Trust | CXE | 59318D104 | 10/1/2015 | 1.3 | Elect Robin A. Stelmach | Mgmt | For |
| MFS Intermediate High Income Fund | CIF | 59318T109 | 10/1/2015 | 1.1 | Elect Robert E. Butler | Mgmt | For |
| MFS Intermediate High Income Fund | CIF | 59318T109 | 10/1/2015 | 1.2 | Elect David H. Gunning | Mgmt | For |
| | CIF | 59318T109 | 10/1/2015 | 1.3 | Elect Robin A. Stelmach | Mgmt | For |

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|--------------------------------------|------|-----------|-----------|-----|---|------|-----|--|
| MFS Intermediate High Income Fund | | | | | | | | |
| MFS Investment Grade Municipal Trust | CXH | 59318B108 | 10/1/2015 | 1.1 | Elect Robert E. Butler | Mgmt | For | |
| MFS Investment Grade Municipal Trust | CXH | 59318B108 | 10/1/2015 | 1.2 | Elect David H. Gunning | Mgmt | For | |
| MFS Investment Grade Municipal Trust | CXH | 59318B108 | 10/1/2015 | 1.3 | Elect Robin A. Stelmach | Mgmt | For | |
| Microsoft Corporation | MSFT | 594918104 | 12/2/2015 | 1 | Elect William H. Gates III | Mgmt | For | |
| Microsoft Corporation | MSFT | 594918104 | 12/2/2015 | 2 | Elect Teri L. List-Stoll | Mgmt | For | |
| Microsoft Corporation | MSFT | 594918104 | 12/2/2015 | 3 | Elect G. Mason Morfit | Mgmt | For | |
| Microsoft Corporation | MSFT | 594918104 | 12/2/2015 | 4 | Elect Satya Nadella | Mgmt | For | |
| Microsoft Corporation | MSFT | 594918104 | 12/2/2015 | 5 | Elect Charles H. Noski | Mgmt | For | |
| Microsoft Corporation | MSFT | 594918104 | 12/2/2015 | 6 | Elect Helmut G. W. Panke | Mgmt | For | |
| Microsoft Corporation | MSFT | 594918104 | 12/2/2015 | 7 | Elect Sandra E. Peterson | Mgmt | For | |
| Microsoft Corporation | MSFT | 594918104 | 12/2/2015 | 8 | Elect Charles W. Scharf | Mgmt | For | |
| Microsoft Corporation | MSFT | 594918104 | 12/2/2015 | 9 | Elect John W. Stanton | Mgmt | For | |
| Microsoft Corporation | MSFT | 594918104 | 12/2/2015 | 10 | Elect John W. Thompson | Mgmt | For | |
| Microsoft Corporation | MSFT | 594918104 | 12/2/2015 | 11 | Elect Padmasree Warrior | Mgmt | For | |
| Microsoft Corporation | MSFT | 594918104 | 12/2/2015 | 12 | ADVISORY VOTE ON EXECUTIVE COMPENSATION | Mgmt | For | |
| Microsoft Corporation | MSFT | 594918104 | 12/2/2015 | 13 | Ratification of Auditor | Mgmt | For | |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 1 | Elect Stephen F. Bollenbach | Mgmt | For | |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 2 | Elect Lewis W.K. Booth | Mgmt | For | |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 3 | Elect Lois D. Juliber | Mgmt | For | |

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|------------------------------|------|-----------|-----------|-----|---|----------|---------|
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 4 | Elect Mark D. Ketchum | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 5 | Elect Jorge S. Mesquita | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 6 | Elect Joseph Neubauer | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 7 | Elect Nelson Peltz | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 8 | Elect Fredric G. Reynolds | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 9 | Elect Irene B. Rosenfeld | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 10 | Elect Christiana Shi | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 11 | Elect Patrick T. Siewert | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 12 | Elect Ruth J. Simmons | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 13 | Elect Jean-Francois M. L. van Boxmeer | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 15 | Ratification of Auditor | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 16 | Shareholder Proposal Regarding Reporting on the Use of Non-Recyclable Packaging | ShrHoldr | Against |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 17 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| Mondelez International Inc. | MDLZ | 609207105 | 5/18/2016 | 18 | Shareholder Proposal Regarding Mediation of Alleged Human Rights Violations | ShrHoldr | Against |
| Monster Beverage Corporation | MNST | 61174X109 | 6/14/2016 | 1.1 | Elect Rodney C. Sacks | Mgmt | For |
| Monster Beverage Corporation | MNST | 61174X109 | 6/14/2016 | 1.2 | Elect Hilton H. Schlosberg | Mgmt | For |
| Monster Beverage Corporation | MNST | 61174X109 | 6/14/2016 | 1.3 | Elect Mark J. Hall | Mgmt | For |
| | MNST | 61174X109 | 6/14/2016 | 1.4 | Elect Norman C. Epstein | Mgmt | For |

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|------------------------------|------|-----------|-----------|------|---|----------|---------|--|--|
| Monster Beverage Corporation | | | | | | | | | |
| Monster Beverage Corporation | MNST | 61174X109 | 6/14/2016 | 1.5 | Elect Gary P. Fayard | Mgmt | For | | |
| Monster Beverage Corporation | MNST | 61174X109 | 6/14/2016 | 1.6 | Elect Benjamin M. Polk | Mgmt | For | | |
| Monster Beverage Corporation | MNST | 61174X109 | 6/14/2016 | 1.7 | Elect Sydney Selati | Mgmt | For | | |
| Monster Beverage Corporation | MNST | 61174X109 | 6/14/2016 | 1.8 | Elect Harold C. Taber, Jr. | Mgmt | For | | |
| Monster Beverage Corporation | MNST | 61174X109 | 6/14/2016 | 1.9 | Elect Kathy N. Waller | Mgmt | For | | |
| Monster Beverage Corporation | MNST | 61174X109 | 6/14/2016 | 1.10 | Elect Mark S. Vidergauz | Mgmt | For | | |
| Monster Beverage Corporation | MNST | 61174X109 | 6/14/2016 | 2 | Ratification of Auditor | Mgmt | For | | |
| Monster Beverage Corporation | MNST | 61174X109 | 6/14/2016 | 3 | Advisory Vote on Executive Compensation | Mgmt | For | | |
| Monster Beverage Corporation | MNST | 61174X109 | 6/14/2016 | 4 | Re-approval of the Material Terms under the 2011 Omnibus Incentive Plan | Mgmt | For | | |
| Monster Beverage Corporation | MNST | 61174X109 | 6/14/2016 | 5 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against | | |
| Monster Beverage Corporation | MNST | 61174X109 | 6/14/2016 | 6 | Shareholder Proposal Regarding Majority Vote for Election of Directors | ShrHoldr | Against | | |
| Moody's Corporation | MCO | 615369105 | 4/12/2016 | 1 | Elect Basil L. Anderson | Mgmt | For | | |
| Moody's Corporation | MCO | 615369105 | 4/12/2016 | 2 | Elect Jorge A. Bermudez | Mgmt | For | | |
| Moody's Corporation | MCO | 615369105 | 4/12/2016 | 3 | Elect Darrell Duffie | Mgmt | For | | |
| Moody's Corporation | MCO | 615369105 | 4/12/2016 | 4 | Elect Kathryn M. Hill | Mgmt | For | | |
| Moody's Corporation | MCO | 615369105 | 4/12/2016 | 5 | Elect Ewald Kist | Mgmt | For | | |
| Moody's Corporation | MCO | 615369105 | 4/12/2016 | 6 | Elect Raymond W. McDaniel, Jr. | Mgmt | For | | |
| Moody's Corporation | MCO | 615369105 | 4/12/2016 | 7 | Elect Henry A. McKinnell, Jr. | Mgmt | For | | |
| Moody's Corporation | MCO | 615369105 | 4/12/2016 | 8 | Elect Leslie F. Seidman | Mgmt | For | | |

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|---|-----|-----------|------------|-----|---|------|-----|
| Moody's Corporation | MCO | 615369105 | 4/12/2016 | 9 | Elect Bruce Van Saun | Mgmt | For |
| Moody's Corporation | MCO | 615369105 | 4/12/2016 | 10 | Ratification of Auditor | Mgmt | For |
| Moody's Corporation | MCO | 615369105 | 4/12/2016 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Neuberger Berman High Yield Strategies Fund | NHS | 64128C106 | 8/24/2015 | 1.1 | Elect Robert Conti | Mgmt | For |
| Neuberger Berman High Yield Strategies Fund | NHS | 64128C106 | 8/24/2015 | 1.2 | Elect Michael M. Knetter | Mgmt | For |
| Neuberger Berman High Yield Strategies Fund | NHS | 64128C106 | 8/24/2015 | 1.3 | Elect Peter P. Trapp | Mgmt | For |
| Neuberger Berman Real Estate Securities Income Fund Inc | NRO | 64190A103 | 8/24/2015 | 1.1 | Elect Robert Conti | Mgmt | For |
| Neuberger Berman Real Estate Securities Income Fund Inc | NRO | 64190A103 | 8/24/2015 | 1.2 | Elect Michael M. Knetter | Mgmt | For |
| Neuberger Berman Real Estate Securities Income Fund Inc | NRO | 64190A103 | 8/24/2015 | 1.3 | Elect Peter P. Trapp | Mgmt | For |
| News Corp. | NWS | 65249B208 | 10/14/2015 | 1 | Elect K. Rupert Murdoch | Mgmt | For |
| News Corp. | NWS | 65249B208 | 10/14/2015 | 2 | Elect Lachlan K. Murdoch | Mgmt | For |
| News Corp. | NWS | 65249B208 | 10/14/2015 | 3 | Elect Robert J. Thomson | Mgmt | For |
| News Corp. | NWS | 65249B208 | 10/14/2015 | 4 | Elect Jose Maria Aznar | Mgmt | For |
| News Corp. | NWS | 65249B208 | 10/14/2015 | 5 | Elect Natalie Bancroft | Mgmt | For |
| News Corp. | NWS | 65249B208 | 10/14/2015 | 6 | Elect Peter L. Barnes | Mgmt | For |
| News Corp. | NWS | 65249B208 | 10/14/2015 | 7 | Elect Elaine L. Chao | Mgmt | For |
| News Corp. | NWS | 65249B208 | 10/14/2015 | 8 | Elect John Elkann | Mgmt | For |
| News Corp. | NWS | 65249B208 | 10/14/2015 | 9 | Elect Joel I. Klein | Mgmt | For |
| News Corp. | NWS | 65249B208 | 10/14/2015 | 10 | Elect James Murdoch | Mgmt | For |
| News Corp. | NWS | 65249B208 | 10/14/2015 | 11 | Elect Ana Paula Pessoa | Mgmt | For |
| News Corp. | NWS | 65249B208 | 10/14/2015 | 12 | Elect Masroor T. Siddiqui | Mgmt | For |
| News Corp. | NWS | 65249B208 | 10/14/2015 | 13 | Ratification of Auditor | Mgmt | For |
| News Corp. | NWS | 65249B208 | 10/14/2015 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |

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|----------------------|-----|-----------|------------|----|--|----------|---------|
| News Corp. | NWS | 65249B208 | 10/14/2015 | 15 | Shareholder Proposal Regarding Recapitalization | ShrHoldr | Against |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 1 | Elect Sherry S. Barrat | Mgmt | For |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 2 | Elect James L. Camaren | Mgmt | For |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 3 | Elect Kenneth B. Dunn | Mgmt | For |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 4 | Elect Naren K. Gursahaney | Mgmt | For |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 5 | Elect Kirk S. Hachigian | Mgmt | For |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 6 | Elect Toni Jennings | Mgmt | For |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 7 | Elect Amy B. Lane | Mgmt | For |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 8 | Elect James L. Robo | Mgmt | For |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 9 | Elect Rudy E. Schupp | Mgmt | For |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 10 | Elect John L. Skolds | Mgmt | For |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 11 | Elect William H. Swanson | Mgmt | For |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 12 | Elect Hansel E. Tookes II | Mgmt | For |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 13 | Ratification of Auditor | Mgmt | For |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 15 | Reapproval of material terms of the 2011 Long Term Incentive Plan | Mgmt | For |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 16 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Against |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 17 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/19/2016 | 18 | Shareholder Proposal on Sea Level Rise Risks Report | ShrHoldr | Against |
| | NOC | 666807102 | 5/18/2016 | 1 | Elect Wesley G. Bush | Mgmt | For |

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|--------------------------------------|-----|-----------|-----------|-----|---|------|-----|--|
| Northrop Grumman Corporation | | | | | | | | |
| Northrop Grumman Corporation | NOC | 666807102 | 5/18/2016 | 2 | Elect Marianne C. Brown | Mgmt | For | |
| Northrop Grumman Corporation | NOC | 666807102 | 5/18/2016 | 3 | Elect Victor H. Fazio | Mgmt | For | |
| Northrop Grumman Corporation | NOC | 666807102 | 5/18/2016 | 4 | Elect Donald E. Felsing | Mgmt | For | |
| Northrop Grumman Corporation | NOC | 666807102 | 5/18/2016 | 5 | Elect Ann M. Fudge | Mgmt | For | |
| Northrop Grumman Corporation | NOC | 666807102 | 5/18/2016 | 6 | Elect Bruce S. Gordon | Mgmt | For | |
| Northrop Grumman Corporation | NOC | 666807102 | 5/18/2016 | 7 | Elect William H. Hernandez | Mgmt | For | |
| Northrop Grumman Corporation | NOC | 666807102 | 5/18/2016 | 8 | Elect Madeleine A. Kleiner | Mgmt | For | |
| Northrop Grumman Corporation | NOC | 666807102 | 5/18/2016 | 9 | Elect Karl J. Krapek | Mgmt | For | |
| Northrop Grumman Corporation | NOC | 666807102 | 5/18/2016 | 10 | Elect Richard B. Myers | Mgmt | For | |
| Northrop Grumman Corporation | NOC | 666807102 | 5/18/2016 | 11 | Elect Gary Roughead | Mgmt | For | |
| Northrop Grumman Corporation | NOC | 666807102 | 5/18/2016 | 12 | Elect Thomas M. Schoewe | Mgmt | For | |
| Northrop Grumman Corporation | NOC | 666807102 | 5/18/2016 | 13 | Elect James S. Turley | Mgmt | For | |
| Northrop Grumman Corporation | NOC | 666807102 | 5/18/2016 | 14 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Northrop Grumman Corporation | NOC | 666807102 | 5/18/2016 | 15 | Ratification of Auditor | Mgmt | For | |
| Nuveen Credit Strategies Income Fund | JQC | 67073D102 | 4/22/2016 | 1.1 | Elect William Curt Hunter | Mgmt | For | |
| Nuveen Credit Strategies Income Fund | JQC | 67073D102 | 4/22/2016 | 1.2 | Elect Judith M. Stockdale | Mgmt | For | |
| Nuveen Credit Strategies Income Fund | JQC | 67073D102 | 4/22/2016 | 1.3 | Elect Carole E. Stone | Mgmt | For | |
| Nuveen Credit Strategies Income Fund | JQC | 67073D102 | 4/22/2016 | 1.4 | Elect Margaret L. Wolff | Mgmt | For | |
| Nuveen Dividend Advantage | NXZ | 67070X101 | 2/10/2016 | 1 | Issuance of Common Stock in Connection with | Mgmt | For | |

| Municipal Fund | | | | | the Reorganizations (NZF) | | |
|---|-----|-----------|-----------|-----|---|------|-----|
| Nuveen Dividend Advantage Municipal Fund | NXZ | 67070X101 | 2/10/2016 | 2 | Approval of a New Investment Management Agreement (NZF) | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund | NXZ | 67070X101 | 2/10/2016 | 3 | Approval of a New Sub-Advisory Agreement (NZF) | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund | NZF | 67070X101 | 8/5/2015 | 1.1 | Elect Jack B. Evans | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund | NZF | 67070X101 | 8/5/2015 | 1.2 | Elect Thomas S. Schreier, Jr. | Mgmt | For |
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/5/2015 | 1.1 | Elect Jack B. Evans | Mgmt | For |
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/5/2015 | 1.2 | Elect Thomas S. Schreier, Jr. | Mgmt | For |
| Nuveen Global Equity Income Fund | JRI | 67074Y105 | 4/22/2016 | 1.1 | Elect William Curt Hunter | Mgmt | For |
| Nuveen Global Equity Income Fund | JRI | 67074Y105 | 4/22/2016 | 1.2 | Elect Judith M. Stockdale | Mgmt | For |
| Nuveen Global Equity Income Fund | JRI | 67074Y105 | 4/22/2016 | 1.3 | Elect Carole E. Stone | Mgmt | For |
| Nuveen Global Equity Income Fund | JRI | 67074Y105 | 4/22/2016 | 1.4 | Elect Margaret L. Wolff | Mgmt | For |
| Nuveen Premium Income Municipal Fund 4 Inc | NPT | 670699107 | 8/5/2015 | 1.1 | Elect Jack B. Evans | Mgmt | For |
| | NPT | 670699107 | 8/5/2015 | 1.2 | | Mgmt | For |

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|--|-----|-----------|-----------|-----|-------------------------------|------|-----|
| Nuveen Premium Income Municipal Fund 4 Inc | | | | | Elect William J. Schneider | | |
| Nuveen Premium Income Municipal Fund 4 Inc | NPT | 670699107 | 8/5/2015 | 1.3 | Elect Thomas S. Schreier, Jr. | Mgmt | For |
| Nuveen Premium Income Municipal Fund 4 Inc | NPT | 670699107 | 8/5/2015 | 1.1 | Elect Jack B. Evans | Mgmt | For |
| Nuveen Premium Income Municipal Fund 4 Inc | NPT | 670699107 | 8/5/2015 | 1.2 | Elect William J. Schneider | Mgmt | For |
| Nuveen Premium Income Municipal Fund 4 Inc | NPT | 670699107 | 8/5/2015 | 1.3 | Elect Thomas S. Schreier, Jr. | Mgmt | For |
| Nuveen Quality Municipal Fund Inc | NQI | 67062N103 | 8/5/2015 | 1.1 | Elect William Adams, IV | Mgmt | For |
| Nuveen Quality Municipal Fund Inc | NQI | 67062N103 | 8/5/2015 | 1.2 | Elect Jack B. Evans | Mgmt | For |
| Nuveen Quality Municipal Fund Inc | NQI | 67062N103 | 8/5/2015 | 1.3 | Elect David J. Kundert | Mgmt | For |
| Nuveen Quality Municipal Fund Inc | NQI | 67062N103 | 8/5/2015 | 1.4 | Elect John K. Nelson | Mgmt | For |
| Nuveen Quality Municipal Fund Inc | NQI | 67062N103 | 8/5/2015 | 1.5 | Elect Thomas S. Schreier, Jr. | Mgmt | For |
| Nuveen Quality Municipal Fund Inc | NQI | 67062N103 | 8/5/2015 | 1.6 | Elect Judith M. Stockdale | Mgmt | For |
| Nuveen Quality Municipal Fund Inc | NQI | 67062N103 | 8/5/2015 | 1.7 | Elect Carole E. Stone | Mgmt | For |
| Nuveen Quality Municipal Fund Inc | NQI | 67062N103 | 8/5/2015 | 1.8 | Elect Virginia L. Stringer | Mgmt | For |
| Nuveen Quality Municipal Fund Inc | NQI | 67062N103 | 8/5/2015 | 1.9 | Elect Terence J. Toth | Mgmt | For |
| Nuveen Real Estate Income Fund. | JRS | 67071B108 | 4/22/2016 | 1.1 | Elect William Curt Hunter | Mgmt | For |
| Nuveen Real Estate Income Fund. | JRS | 67071B108 | 4/22/2016 | 1.2 | Elect Judith M. Stockdale | Mgmt | For |
| Nuveen Real Estate Income Fund. | JRS | 67071B108 | 4/22/2016 | 1.3 | Elect Carole E. Stone | Mgmt | For |
| Nuveen Real Estate Income Fund. | JRS | 67071B108 | 4/22/2016 | 1.4 | Elect Margaret L. Wolff | Mgmt | For |
| Nuveen Select Quality Municipal | NQS | 670973106 | 8/5/2015 | 1.1 | Elect William Adams, IV | Mgmt | For |

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Fund

| | | | | | | | |
|--|------|-----------|------------|------|---|------|-----|
| Nuveen Select Quality Municipal Fund | NQS | 670973106 | 8/5/2015 | 1.2 | Elect Jack B. Evans | Mgmt | For |
| Nuveen Select Quality Municipal Fund | NQS | 670973106 | 8/5/2015 | 1.3 | Elect David J. Kundert | Mgmt | For |
| Nuveen Select Quality Municipal Fund | NQS | 670973106 | 8/5/2015 | 1.4 | Elect John K. Nelson | Mgmt | For |
| Nuveen Select Quality Municipal Fund | NQS | 670973106 | 8/5/2015 | 1.5 | Elect Thomas S. Schreier, Jr. | Mgmt | For |
| Nuveen Select Quality Municipal Fund | NQS | 670973106 | 8/5/2015 | 1.6 | Elect Judith M. Stockdale | Mgmt | For |
| Nuveen Select Quality Municipal Fund | NQS | 670973106 | 8/5/2015 | 1.7 | Elect Carole E. Stone | Mgmt | For |
| Nuveen Select Quality Municipal Fund | NQS | 670973106 | 8/5/2015 | 1.8 | Elect Virginia L. Stringer | Mgmt | For |
| Nuveen Select Quality Municipal Fund | NQS | 670973106 | 8/5/2015 | 1.9 | Elect Terence J. Toth | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 1.1 | Elect Jeffrey S. Berg | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 1.2 | Elect H. Raymond Bingham | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 1.3 | Elect Michael J. Boskin | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 1.4 | Elect Safra A. Catz | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 1.5 | Elect Bruce R. Chizen | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 1.6 | Elect George H. Conrades | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 1.7 | Elect Lawrence J. Ellison | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 1.8 | Elect Hector Garcia-Molina | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 1.9 | Elect Jeffrey O. Henley | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 1.10 | Elect Mark V. Hurd | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 1.11 | Elect Leon E. Panetta | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 1.12 | Elect Naomi O. Seligman | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 2 | Re-approval of the Material Terms of the Executive Bonus Plan | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 3 | | Mgmt | For |

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| | | | | | Advisory Vote on Executive Compensation | | |
|----------------------|------|-----------|------------|----|---|----------|---------|
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 4 | Ratification of Auditor | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 5 | Shareholder Proposal Regarding Renewable Energy Sourcing | ShrHoldr | Against |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 6 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 7 | Shareholder Proposal Regarding Shareholder Approval of Specific Performance Metrics in Equity Compensation Plans | ShrHoldr | Against |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 8 | Shareholder Proposal Regarding Shareholder Engagement | ShrHoldr | Against |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 9 | Shareholder Proposal Regarding Counting Abstentions | ShrHoldr | Against |
| Oracle Corporation | ORCL | 68389X105 | 11/18/2015 | 10 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Phillips 66 | PSX | 718546104 | 5/4/2016 | 1 | Elect Greg C. Garland | Mgmt | For |
| Phillips 66 | PSX | 718546104 | 5/4/2016 | 2 | Elect John E. Lowe | Mgmt | For |
| Phillips 66 | PSX | 718546104 | 5/4/2016 | 3 | Ratification of Auditor | Mgmt | For |
| Phillips 66 | PSX | 718546104 | 5/4/2016 | 4 | Advisory Vote on Executive Compensation | Mgmt | For |
| Phillips 66 | PSX | 718546104 | 5/4/2016 | 5 | Repeal of Classified Board | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/21/2016 | 1 | Elect James G. Berges | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/21/2016 | 2 | Elect John V. Faraci | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/21/2016 | 3 | Elect Victoria F. Haynes | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/21/2016 | 4 | Elect Michael H. McGarry | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/21/2016 | 5 | Advisory Vote on Executive Compensation | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/21/2016 | 6 | Approval of Performance Goals Under Omnibus Incentive Plan | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/21/2016 | 7 | Approval of the Omnibus Incentive Plan | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/21/2016 | 8 | Ratification of Auditor | Mgmt | For |

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|------------------------------|-----|-----------|-----------|----|--|----------|---------|
| PPG Industries, Inc. | PPG | 693506107 | 4/21/2016 | 9 | Shareholder Proposal Regarding Giving Preference to Share Repurchases | ShrHoldr | Against |
| PPL Corporation | PPL | 69351T106 | 5/25/2016 | 1 | Elect Rodney C. Adkins | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/25/2016 | 2 | Elect John W. Conway | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/25/2016 | 3 | Elect Steven G. Elliott | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/25/2016 | 4 | Elect Raja Rajamannar | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/25/2016 | 5 | Elect Craig A. Rogerson | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/25/2016 | 6 | Elect William H. Spence | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/25/2016 | 7 | Elect Natica von Althann | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/25/2016 | 8 | Elect Keith H. Williamson | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/25/2016 | 9 | Elect Armando Zagalo De Lima | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/25/2016 | 10 | Advisory Vote on Executive Compensation | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/25/2016 | 11 | 2016 Short-Term Incentive Plan | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/25/2016 | 12 | Increase of Authorized Common Stock | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/25/2016 | 13 | Ratification of Auditor | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/25/2016 | 14 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| PPL Corporation | PPL | 69351T106 | 5/25/2016 | 15 | Shareholder Proposal Regarding Distributed Generation | ShrHoldr | Against |
| Precision Castparts Corp. | PCP | 740189105 | 8/17/2015 | 1 | Elect Peter B. Delaney | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/17/2015 | 2 | Elect Mark Donegan | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/17/2015 | 3 | Elect Don R. Graber | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/17/2015 | 4 | Elect Lester L. Lyles | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/17/2015 | 5 | Elect Vernon E. Oechsle | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/17/2015 | 6 | Elect James F. Palmer | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/17/2015 | 7 | Elect Rick Schmidt | Mgmt | For |

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|--|-----|-----------|------------|----|---|----------|---------|
| Precision Castparts Corp. | PCP | 740189105 | 8/17/2015 | 8 | Elect Richard L. Wambold | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/17/2015 | 9 | Elect Timothy A. Wicks | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/17/2015 | 10 | Elect Janet C. Wolfenbarger | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/17/2015 | 11 | Ratification of Auditor | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/17/2015 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/17/2015 | 13 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Precision Castparts Corp. | PCP | 740189105 | 11/19/2015 | 1 | Acquisition | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 11/19/2015 | 2 | Advisory Vote on Golden Parachutes | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/19/2016 | 1 | Elect Willie A. Deese | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/19/2016 | 2 | Elect Albert R. Gamper, Jr. | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/19/2016 | 3 | Elect William V. Hickey | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/19/2016 | 4 | Elect Ralph Izzo | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/19/2016 | 5 | Elect Shirley Ann Jackson | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/19/2016 | 6 | Elect David Lilley | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/19/2016 | 7 | Elect Thomas A. Renyi | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/19/2016 | 8 | Elect Hak Cheol Shin | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/19/2016 | 9 | Elect Richard J. Swift | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/19/2016 | 10 | Elect Susan Tomasky | Mgmt | For |

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| Enterprise Group Incorporated | | | | | | | | |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/19/2016 | 11 | Elect Alfred W. Zollar | Mgmt | For | |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/19/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/19/2016 | 13 | Ratification of Auditor | Mgmt | For | |
| Raytheon Company | RTN | 755111507 | 5/26/2016 | 1 | Elect Tracy A Atkinson | Mgmt | For | |
| Raytheon Company | RTN | 755111507 | 5/26/2016 | 2 | Elect Robert E. Beauchamp | Mgmt | For | |
| Raytheon Company | RTN | 755111507 | 5/26/2016 | 3 | Elect James E. Cartwright | Mgmt | For | |
| Raytheon Company | RTN | 755111507 | 5/26/2016 | 4 | Elect Vernon E. Clark | Mgmt | For | |
| Raytheon Company | RTN | 755111507 | 5/26/2016 | 5 | Elect Stephen J. Hadley | Mgmt | For | |
| Raytheon Company | RTN | 755111507 | 5/26/2016 | 6 | Elect Thomas A. Kennedy | Mgmt | For | |
| Raytheon Company | RTN | 755111507 | 5/26/2016 | 7 | Elect Letitia A Long | Mgmt | For | |
| Raytheon Company | RTN | 755111507 | 5/26/2016 | 8 | Elect George R. Oliver | Mgmt | For | |
| Raytheon Company | RTN | 755111507 | 5/26/2016 | 9 | Elect Michael C. Ruetters | Mgmt | For | |
| Raytheon Company | RTN | 755111507 | 5/26/2016 | 10 | Elect William R. Spivey | Mgmt | For | |
| Raytheon Company | RTN | 755111507 | 5/26/2016 | 11 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Raytheon Company | RTN | 755111507 | 5/26/2016 | 12 | Ratification of Auditor | Mgmt | For | |
| Raytheon Company | RTN | 755111507 | 5/26/2016 | 13 | Adoption of an Exclusive Forum Provision | Mgmt | For | |
| Raytheon Company | RTN | 755111507 | 5/26/2016 | 14 | Shareholder Proposal Regarding Giving Preference to Share Repurchases | ShrHoldr | Against | |
| Raytheon Company | RTN | 755111507 | 5/26/2016 | 15 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against | |
| Reynolds American Inc. | RAI | 761713106 | 5/5/2016 | 1 | Elect Susan M. Cameron | Mgmt | For | |
| Reynolds American Inc. | RAI | 761713106 | 5/5/2016 | 2 | Elect Martin D. Feinstein | Mgmt | For | |
| Reynolds American Inc. | RAI | 761713106 | 5/5/2016 | 3 | Elect Murray S. Kessler | Mgmt | For | |
| Reynolds American Inc. | RAI | 761713106 | 5/5/2016 | 4 | Elect Lionel L. Nowell III | Mgmt | For | |

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| Reynolds American Inc. | RAI | 761713106 | 5/5/2016 | 5 | Elect Ricardo Oberlander | Mgmt | For |
| Reynolds American Inc. | RAI | 761713106 | 5/5/2016 | 6 | Elect Jerome Abelman | Mgmt | For |
| Reynolds American Inc. | RAI | 761713106 | 5/5/2016 | 7 | Elect Robert E. Lerwill | Mgmt | For |
| Reynolds American Inc. | RAI | 761713106 | 5/5/2016 | 8 | AMENDMENT TO ARTICLES OF INCORPORATION TO DECLASSIFY THE BOARD OF DIRECTORS | Mgmt | For |
| Reynolds American Inc. | RAI | 761713106 | 5/5/2016 | 9 | Increase of Authorized Shares of Common Stock | Mgmt | For |
| Reynolds American Inc. | RAI | 761713106 | 5/5/2016 | 10 | Advisory Vote on Executive Compensation | Mgmt | For |
| Reynolds American Inc. | RAI | 761713106 | 5/5/2016 | 11 | Ratification of Auditor | Mgmt | For |
| Reynolds American Inc. | RAI | 761713106 | 5/5/2016 | 12 | Shareholder Proposal Regarding Giving Preference to Share Repurchases | ShrHoldr | Against |
| Reynolds American Inc. | RAI | 761713106 | 5/5/2016 | 13 | Shareholder Proposal Regarding Mediation of Alleged Human Rights Violations | ShrHoldr | Against |
| RMR Real Estate Income Fund. | RIF | 76970B101 | 4/14/2016 | 1 | Elect Arthur G. Koumantzelis | Mgmt | For |
| Royce Micro-Cap Trust Inc | RMT | 780915104 | 9/24/2015 | 1.1 | Elect Charles M. Royce | Mgmt | For |
| Royce Micro-Cap Trust Inc | RMT | 780915104 | 9/24/2015 | 1.2 | Elect G. Peter O'Brien | Mgmt | For |
| Royce Micro-Cap Trust Inc | RMT | 780915104 | 9/24/2015 | 1.3 | Elect David L. Meister | Mgmt | For |
| Royce Value Trust Inc | RVT | 780910105 | 9/24/2015 | 1.1 | Elect Charles M. Royce | Mgmt | For |
| Royce Value Trust Inc | RVT | 780910105 | 9/24/2015 | 1.2 | Elect G. Peter O'Brien | Mgmt | For |
| Royce Value Trust Inc | RVT | 780910105 | 9/24/2015 | 1.3 | Elect David L. Meister | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/6/2016 | 1 | Elect Peter L.S. Currie | Mgmt | For |

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|---|-----|-----------|----------|----|---|------|-----|
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/6/2016 | 2 | Elect V. Maureen Kempston Darkes | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/6/2016 | 3 | Elect Paal Kibsgaard | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/6/2016 | 4 | Elect Nikolay Kudryavtsev | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/6/2016 | 5 | Elect Michael E. Marks | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/6/2016 | 6 | Elect Indra K. Nooyi | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/6/2016 | 7 | Elect Lubna S. Olayan | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/6/2016 | 8 | Elect Leo Rafael Reif | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/6/2016 | 9 | Elect Tore I. Sandvold | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/6/2016 | 10 | Elect Henri Seydoux | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/6/2016 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/6/2016 | 12 | Approval of Financials Statements/Dividends | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/6/2016 | 13 | Ratification of Auditor | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/6/2016 | 14 | Amendments to Articles of Incorporation | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/6/2016 | 15 | Ratification of Board Size | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/6/2016 | 16 | Amendment to the French Sub Plan Under the 2010 Omnibus Stock Incentive | Mgmt | For |

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Plan

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|--------------------------|-----|-----------|-----------|----|--|------|-----|
| Sherwin-Williams Company | SHW | 824348106 | 4/20/2016 | 1 | Elect Arthur F. Anton | Mgmt | For |
| Sherwin-Williams Company | SHW | 824348106 | 4/20/2016 | 2 | Elect Christopher M. Connor | Mgmt | For |
| Sherwin-Williams Company | SHW | 824348106 | 4/20/2016 | 3 | Elect David F. Hodnik | Mgmt | For |
| Sherwin-Williams Company | SHW | 824348106 | 4/20/2016 | 4 | Elect Thomas G. Kadien | Mgmt | For |
| Sherwin-Williams Company | SHW | 824348106 | 4/20/2016 | 5 | Elect Richard J. Kramer | Mgmt | For |
| Sherwin-Williams Company | SHW | 824348106 | 4/20/2016 | 6 | Elect Susan J. Kropf | Mgmt | For |
| Sherwin-Williams Company | SHW | 824348106 | 4/20/2016 | 7 | Elect John G. Morikis | Mgmt | For |
| Sherwin-Williams Company | SHW | 824348106 | 4/20/2016 | 8 | Elect Christine A. Poon | Mgmt | For |
| Sherwin-Williams Company | SHW | 824348106 | 4/20/2016 | 9 | Elect John M. Stropki, Jr. | Mgmt | For |
| Sherwin-Williams Company | SHW | 824348106 | 4/20/2016 | 10 | Elect Matthew Thornton | Mgmt | For |
| Sherwin-Williams Company | SHW | 824348106 | 4/20/2016 | 11 | Elect Steven H. Wunning | Mgmt | For |
| Sherwin-Williams Company | SHW | 824348106 | 4/20/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Sherwin-Williams Company | SHW | 824348106 | 4/20/2016 | 13 | Amendment to the 2006 Stock Plan for Nonemployee Directors | Mgmt | For |
| Sherwin-Williams Company | SHW | 824348106 | 4/20/2016 | 14 | Ratification of Auditor | Mgmt | For |
| Southwest Airlines Co. | LUV | 844741108 | 5/18/2016 | 1 | Elect David W. Biegler | Mgmt | For |
| Southwest Airlines Co. | LUV | 844741108 | 5/18/2016 | 2 | Elect J. Veronica Biggins | Mgmt | For |
| Southwest Airlines Co. | LUV | 844741108 | 5/18/2016 | 3 | Elect Douglas H. Brooks | Mgmt | For |
| Southwest Airlines Co. | LUV | 844741108 | 5/18/2016 | 4 | Elect William H. Cunningham | Mgmt | For |
| Southwest Airlines Co. | LUV | 844741108 | 5/18/2016 | 5 | Elect John G. Denison | Mgmt | For |
| Southwest Airlines Co. | LUV | 844741108 | 5/18/2016 | 6 | Elect Thomas W. Gilligan | Mgmt | For |
| Southwest Airlines Co. | LUV | 844741108 | 5/18/2016 | 7 | Elect Gary C. Kelly | Mgmt | For |

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|--------------------------|------|-----------|-----------|-----|---|----------|---------|--|
| Southwest Airlines Co. | | | | | | | | |
| Southwest Airlines Co. | LUV | 844741108 | 5/18/2016 | 8 | Elect Grace D. Lieblein | Mgmt | For | |
| Southwest Airlines Co. | LUV | 844741108 | 5/18/2016 | 9 | Elect Nancy B. Loeffler | Mgmt | For | |
| Southwest Airlines Co. | LUV | 844741108 | 5/18/2016 | 10 | Elect John T. Montford | Mgmt | For | |
| Southwest Airlines Co. | LUV | 844741108 | 5/18/2016 | 11 | Elect Ron Ricks | Mgmt | For | |
| Southwest Airlines Co. | LUV | 844741108 | 5/18/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Southwest Airlines Co. | LUV | 844741108 | 5/18/2016 | 13 | Ratification of Auditor | Mgmt | For | |
| Southwest Airlines Co. | LUV | 844741108 | 5/18/2016 | 14 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against | |
| Sprott Focus Trust, Inc. | FUND | 85208J109 | 9/24/2015 | 1.1 | Elect W. Whitney George | Mgmt | For | |
| Sprott Focus Trust, Inc. | FUND | 85208J109 | 9/24/2015 | 1.2 | Elect James R. Pierce, Jr. | Mgmt | For | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 1 | Elect Howard Schultz | Mgmt | For | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 2 | Elect William W. Bradley | Mgmt | For | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 3 | Elect Mary N. Dillon | Mgmt | For | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 4 | Elect Robert M. Gates | Mgmt | For | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 5 | Elect Mellody Hobson | Mgmt | For | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 6 | Elect Kevin R. Johnson | Mgmt | For | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 7 | Elect Joshua C. Ramo | Mgmt | For | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 8 | Elect James G. Shennan, Jr. | Mgmt | For | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 9 | Elect Clara Shih | Mgmt | For | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 10 | Elect Javier G. Teruel | Mgmt | For | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 11 | Elect Myron E. Ullman III | Mgmt | For | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 12 | Elect Craig E. Weatherup | Mgmt | For | |

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|-----------------------------|------|-----------|-----------|-----|--|----------|---------|--|--|--|
| Starbucks Corporation | | | | | | | | | | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 13 | Advisory Vote on Executive Compensation | Mgmt | For | | | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 14 | Amendment to the Executive Management Bonus Plan | Mgmt | For | | | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 15 | Ratification of Auditor | Mgmt | For | | | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 16 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against | | | |
| Starbucks Corporation | SBUX | 855244109 | 3/23/2016 | 17 | Shareholder Proposal Regarding Human Rights Review | ShrHoldr | Against | | | |
| Stryker Corporation | SYK | 863667101 | 4/27/2016 | 1 | Elect Howard E. Cox, Jr. | Mgmt | For | | | |
| Stryker Corporation | SYK | 863667101 | 4/27/2016 | 2 | Elect Srikant Datar | Mgmt | For | | | |
| Stryker Corporation | SYK | 863667101 | 4/27/2016 | 3 | Elect Roch Doliveux | Mgmt | For | | | |
| Stryker Corporation | SYK | 863667101 | 4/27/2016 | 4 | Elect Louise L. Francesconi | Mgmt | For | | | |
| Stryker Corporation | SYK | 863667101 | 4/27/2016 | 5 | Elect Allan C. Golston | Mgmt | For | | | |
| Stryker Corporation | SYK | 863667101 | 4/27/2016 | 6 | Elect Kevin A. Lobo | Mgmt | For | | | |
| Stryker Corporation | SYK | 863667101 | 4/27/2016 | 7 | Elect William U. Parfet | Mgmt | For | | | |
| Stryker Corporation | SYK | 863667101 | 4/27/2016 | 8 | Elect Andrew K Silvernail | Mgmt | For | | | |
| Stryker Corporation | SYK | 863667101 | 4/27/2016 | 9 | Elect Ronda E. Stryker | Mgmt | For | | | |
| Stryker Corporation | SYK | 863667101 | 4/27/2016 | 10 | Ratification of Auditor | Mgmt | For | | | |
| Stryker Corporation | SYK | 863667101 | 4/27/2016 | 11 | Amendment to the 2011 Long-Term Incentive Plan | Mgmt | For | | | |
| Stryker Corporation | SYK | 863667101 | 4/27/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt | For | | | |
| Templeton Dragon Fund, Inc. | TDF | 88018T101 | 5/26/2016 | 1.1 | Elect Edith E. Holiday | Mgmt | For | | | |
| Templeton Dragon Fund, Inc. | TDF | 88018T101 | 5/26/2016 | 1.2 | Elect Larry D. Thompson | Mgmt | For | | | |
| Templeton Dragon Fund, Inc. | TDF | 88018T101 | 5/26/2016 | 1.3 | Elect Rupert H. Johnson, Jr. | Mgmt | For | | | |
| Templeton Dragon Fund, Inc. | TDF | 88018T101 | 5/26/2016 | 1.4 | Elect Gregory E. Johnson | Mgmt | For | | | |
| Templeton Dragon Fund, Inc. | TDF | 88018T101 | 5/26/2016 | 2 | Ratification of Auditor | Mgmt | For | | | |

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| The Asia Pacific Fund, Inc. | APB | 044901106 | 8/19/2015 | 1.1 | Elect Jessica M. Bibliowicz | Mgmt | For |
| The Asia Pacific Fund, Inc. | APB | 044901106 | 8/19/2015 | 1.2 | Elect David G.P. Scholfield | Mgmt | For |
| The Asia Pacific Fund, Inc. | APB | 044901106 | 8/19/2015 | 1.3 | Elect William G. Tung | Mgmt | For |
| The Asia Pacific Fund, Inc. | APB | 044901106 | 8/19/2015 | 1.4 | Elect Christopher Sykes | Mgmt | For |
| The Asia Pacific Fund, Inc. | APB | 044901106 | 8/19/2015 | 2 | Amendment to Investment Objective | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/19/2016 | 1 | Elect Gerard J. Arpey | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/19/2016 | 2 | Elect Ari Bousbib | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/19/2016 | 3 | Elect Gregory D. Breneman | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/19/2016 | 4 | Elect J. Frank Brown | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/19/2016 | 5 | Elect Albert P. Carey | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/19/2016 | 6 | Elect Armando Codina | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/19/2016 | 7 | Elect Helena B. Foulkes | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/19/2016 | 8 | Elect Linda R. Gooden | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/19/2016 | 9 | Elect Wayne M. Hewett | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/19/2016 | 10 | Elect Karen Katen | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/19/2016 | 11 | Elect Craig A. Menear | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/19/2016 | 12 | Elect Mark Vadon | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/19/2016 | 13 | Ratification of Auditor | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/19/2016 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/19/2016 | 15 | Shareholder Proposal Regarding Employment | ShrHoldr | Against |

Diversity Report

| Company Name | Symbol | Proposal ID | Effective Date | Class | Proposal Description | Category | Response |
|---------------------------------------|--------|-------------|----------------|-------|--|----------|----------|
| The Home Depot, Inc. | HD | 437076102 | 5/19/2016 | 16 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Against |
| The India Fund, Inc. | IFN | 454089103 | 6/22/2016 | 1 | Elect Nisha Kumar | Mgmt | For |
| The India Fund, Inc. | IFN | 454089103 | 6/22/2016 | 2 | Elect J. Marc Hardy | Mgmt | For |
| The India Fund, Inc. | IFN | 454089103 | 6/22/2016 | 3 | Elect Hugh Young | Mgmt | For |
| The India Fund, Inc. | IFN | 454089103 | 6/22/2016 | 4 | Elect Nancy Yao Maasbach | Mgmt | For |
| The PNC Financial Services Group Inc. | PNC | 693475105 | 4/26/2016 | 1 | Elect Charles E. Bunch | Mgmt | For |
| The PNC Financial Services Group Inc. | PNC | 693475105 | 4/26/2016 | 2 | Elect Marjorie Rodgers Cheshire | Mgmt | For |
| The PNC Financial Services Group Inc. | PNC | 693475105 | 4/26/2016 | 3 | Elect William S. Demchak | Mgmt | For |
| The PNC Financial Services Group Inc. | PNC | 693475105 | 4/26/2016 | 4 | Elect Andrew T. Feldstein | Mgmt | For |
| The PNC Financial Services Group Inc. | PNC | 693475105 | 4/26/2016 | 5 | Elect Daniel R. Hesse | Mgmt | For |
| The PNC Financial Services Group Inc. | PNC | 693475105 | 4/26/2016 | 6 | Elect Kay Coles James | Mgmt | For |
| The PNC Financial Services Group Inc. | PNC | 693475105 | 4/26/2016 | 7 | Elect Richard B. Kelson | Mgmt | For |
| The PNC Financial Services Group Inc. | PNC | 693475105 | 4/26/2016 | 8 | Elect Jane G. Pepper | Mgmt | For |
| The PNC Financial Services Group Inc. | PNC | 693475105 | 4/26/2016 | 9 | Elect Donald J. Shepard | Mgmt | For |
| The PNC Financial Services Group Inc. | PNC | 693475105 | 4/26/2016 | 10 | Elect Lorene K. Steffes | Mgmt | For |
| The PNC Financial Services Group Inc. | PNC | 693475105 | 4/26/2016 | 11 | Elect Dennis F. Strigl | Mgmt | For |
| The PNC Financial Services Group Inc. | PNC | 693475105 | 4/26/2016 | 12 | Elect Michael J. Ward | Mgmt | For |
| The PNC Financial Services Group Inc. | PNC | 693475105 | 4/26/2016 | 13 | Elect Gregory D. Wasson | Mgmt | For |
| The PNC Financial Services Group Inc. | PNC | 693475105 | 4/26/2016 | 14 | Ratification of Auditor | Mgmt | For |
| The PNC Financial Services Group Inc. | PNC | 693475105 | 4/26/2016 | 15 | 2016 Incentive Award Plan | Mgmt | For |
| | PNC | 693475105 | 4/26/2016 | 16 | | Mgmt | For |

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|---------------------------------------|-----|-----------|-----------|-----|--|--|----------|---------|
| The PNC Financial Services Group Inc. | | | | | | Advisory Vote on Executive Compensation | | |
| The Swiss Helvetia Fund, Inc. | SWZ | 870875101 | 6/23/2016 | 1.1 | | Elect Jean-Marc Boillat | Mgmt | For |
| The Swiss Helvetia Fund, Inc. | SWZ | 870875101 | 6/23/2016 | 2 | | Ratification of Auditor | Mgmt | For |
| The Swiss Helvetia Fund, Inc. | SWZ | 870875101 | 6/23/2016 | 3 | | Shareholder Proposal Regarding Declassification of the Board | ShrHoldr | Against |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/18/2016 | 1 | | Elect Marc N. Casper | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/18/2016 | 2 | | Elect Nelson J. Chai | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/18/2016 | 3 | | Elect C. Martin Harris | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/18/2016 | 4 | | Elect Tyler Jacks | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/18/2016 | 5 | | Elect Judy C. Lewent | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/18/2016 | 6 | | Elect Thomas J. Lynch | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/18/2016 | 7 | | Elect Jim P. Manzi | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/18/2016 | 8 | | Elect William G. Parrett | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/18/2016 | 9 | | Elect Scott M. Sperling | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/18/2016 | 10 | | Elect Elaine S. Ullian | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/18/2016 | 11 | | Advisory Vote on Executive Compensation | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/18/2016 | 12 | | Ratification of Auditor | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 1 | | Elect Carole Black | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 2 | | Elect Thomas H. Castro | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 3 | | Elect David C. Chang | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 4 | | Elect James E. Copeland, Jr. | Mgmt | For |

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|-------------------------|-----|-----------|----------|----|---|----------|---------|
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 5 | Elect Peter R. Haje | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 6 | Elect Donna A. James | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 7 | Elect Don Logan | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 8 | Elect Robert D. Marcus | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 9 | Elect N.J. Nicholas, Jr. | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 10 | Elect Wayne H. Pace | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 11 | Elect Edward D. Shirley | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 12 | Elect John E. Sununu | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 13 | Ratification of Auditor | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 15 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 16 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 1 | Elect Carole Black | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 2 | Elect Thomas H. Castro | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 3 | Elect David C. Chang | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 4 | Elect James E. Copeland, Jr. | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 5 | Elect Peter R. Haje | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 6 | Elect Donna A. James | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 7 | Elect Don Logan | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 8 | Elect Robert D. Marcus | Mgmt | For |

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|---------------------------------------|-----|-----------|-----------|-----|---|----------|---------|
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 9 | Elect N.J. Nicholas, Jr. | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 10 | Elect Wayne H. Pace | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 11 | Elect Edward D. Shirley | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 12 | Elect John E. Sununu | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 13 | Ratification of Auditor | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 15 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Time Warner Cable, Inc. | TWC | 88732J207 | 7/1/2015 | 16 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| Time Warner Cable, Inc. | TWC | 88732J207 | 9/21/2015 | 1 | Merger/Acquisition | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 9/21/2015 | 2 | Advisory Vote on Golden Parachutes | Mgmt | For |
| Tortoise Energy Independence Fund Inc | NDP | 89148K101 | 5/19/2016 | 1.1 | Elect H. Kevin Birzer | Mgmt | For |
| Tortoise Energy Independence Fund Inc | NDP | 89148K101 | 5/19/2016 | 1.2 | Elect Alexandra Herger | Mgmt | For |
| Tortoise Energy Independence Fund Inc | NDP | 89148K101 | 5/19/2016 | 2 | Ratification of Auditor | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 1 | Elect Alan L. Beller | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 2 | Elect John H. Dasburg | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 3 | Elect Janet M. Dolan | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 4 | Elect Kenneth M. Duberstein | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 5 | Elect Jay S. Fishman | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 6 | Elect Patricia L. Higgins | Mgmt | For |

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| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 7 | Elect Thomas R. Hodgson | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 8 | Elect William J. Kane | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 9 | Elect Cleve L. Killingsworth, Jr. | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 10 | Elect Philip T. Ruegger III | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 11 | Elect Todd C. Schermerhorn | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 12 | Elect Alan D. Schnitzer | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 13 | Elect Donald J. Shepard | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 14 | Elect Laurie J. Thomsen | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 15 | Ratification of Auditor | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 16 | Advisory Vote on Executive Compensation | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 17 | Amendment to the Stock Incentive Plan | Mgmt | For |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 18 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Against |
| Travelers Companies, Inc. | TRV | 89417E109 | 5/19/2016 | 19 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2015 | 1 | Elect K. Rupert Murdoch | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2015 | 2 | Elect Lachlan K. Murdoch | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2015 | 3 | Elect Delphine Arnault | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2015 | 4 | Elect James W. Breyer | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2015 | 5 | Elect Chase Carey | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2015 | 6 | Elect David F. DeVoe | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2015 | 7 | Elect Viet D. Dinh | Mgmt | For |

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| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2015 | 8 | Elect Roderick Ian Eddington | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2015 | 9 | Elect James Murdoch | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2015 | 10 | Elect Jacques Nasser | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2015 | 11 | Elect Robert S. Silberman | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2015 | 12 | Elect Tidjane Thiam | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2015 | 13 | Elect Jeffrey W. Ubben | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2015 | 14 | Ratification of Auditor | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2015 | 15 | ADVISORY VOTE ON EXECUTIVE COMPENSATION | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2015 | 16 | Declaration of Domicile | Mgmt | N/A |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/6/2016 | 1 | Elect William C. Ballard, Jr. | Mgmt | For |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/6/2016 | 2 | Elect Edson Bueno | Mgmt | For |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/6/2016 | 3 | Elect Richard T. Burke | Mgmt | For |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/6/2016 | 4 | Elect Robert J. Darretta | Mgmt | For |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/6/2016 | 5 | Elect Stephen J. Hemsley | Mgmt | For |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/6/2016 | 6 | Elect Michele J. Hooper | Mgmt | For |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/6/2016 | 7 | Elect Rodger A. Lawson | Mgmt | For |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/6/2016 | 8 | Elect Glenn M. Renwick | Mgmt | For |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/6/2016 | 9 | Elect Kenneth I. Shine | Mgmt | For |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/6/2016 | 10 | Elect Gail R. Wilensky | Mgmt | For |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/6/2016 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/6/2016 | 12 | Ratification of Auditor | Mgmt | For |

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| Valero Energy Corporation | VLO | 91913Y100 | 5/12/2016 | 1 | Elect Joseph W Gorder | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 5/12/2016 | 2 | Elect Deborah P. Majoras | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 5/12/2016 | 3 | Elect Donald L. Nickles | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 5/12/2016 | 4 | Elect Philip J. Pfeiffer | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 5/12/2016 | 5 | Elect Robert A. Profusek | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 5/12/2016 | 6 | Elect Susan Kaufman Purcell | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 5/12/2016 | 7 | Elect Stephen M. Waters | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 5/12/2016 | 8 | Elect Randall J. Weisenburger | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 5/12/2016 | 9 | Elect Rayford Wilkins Jr. | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 5/12/2016 | 10 | Ratification of Auditor | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 5/12/2016 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 5/12/2016 | 12 | Amendment to Articles Regarding Removal of Directors | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 5/12/2016 | 13 | Re-approval of the 2011 Omnibus Stock Incentive Plan for the Purposes of Section 162(m) | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 1 | Elect Shellye L. Archambeau | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 2 | Elect Mark T. Bertolini | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 3 | Elect Richard L. Carrion | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 4 | Elect Melanie L. Healey | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 5 | Elect M. Frances Keeth | Mgmt | For |

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| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 6 | Elect Karl-Ludwig Kley | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 7 | Elect Lowell C. McAdam | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 8 | Elect Donald T. Nicolaisen | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 9 | Elect Clarence Otis, Jr. | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 10 | Elect Rodney E. Slater | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 11 | Elect Kathryn A. Tesija | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 12 | Elect Gregory D. Wasson | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 13 | Elect Gregory G. Weaver | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 14 | Ratification of Auditor | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 16 | Shareholder Proposal Regarding Renewable Energy targets | ShrHoldr | Against |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 17 | Shareholder Proposal Regarding Report on Indirect Political Spending | ShrHoldr | Against |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 18 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 19 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Verizon Communications | VZ | 92343V104 | 5/5/2016 | 20 | Shareholder Proposal Regarding Severance | ShrHoldr | Against |

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| Inc. | | | | | Approval Policy | | |
|-----------------------------|-----|-----------|----------|-----|---|----------|---------|
| Verizon Communications Inc. | VZ | 92343V104 | 5/5/2016 | 21 | Shareholder Proposal Regarding Retention of Shares Until Normal Retirement Age | ShrHoldr | Against |
| Visa Inc. | V | 92826C839 | 2/3/2016 | 1 | Elect Lloyd A. Carney | Mgmt | For |
| Visa Inc. | V | 92826C839 | 2/3/2016 | 2 | Elect Mary B. Cranston | Mgmt | For |
| Visa Inc. | V | 92826C839 | 2/3/2016 | 3 | Elect Francisco Javier Fernandez-Carbajal | Mgmt | For |
| Visa Inc. | V | 92826C839 | 2/3/2016 | 4 | Elect Alfred F. Kelly, Jr. | Mgmt | For |
| Visa Inc. | V | 92826C839 | 2/3/2016 | 5 | Elect Robert W. Matschullat | Mgmt | For |
| Visa Inc. | V | 92826C839 | 2/3/2016 | 6 | Elect Cathy E. Minehan | Mgmt | For |
| Visa Inc. | V | 92826C839 | 2/3/2016 | 7 | Elect Suzanne Nora Johnson | Mgmt | For |
| Visa Inc. | V | 92826C839 | 2/3/2016 | 8 | Elect David J. Pang | Mgmt | For |
| Visa Inc. | V | 92826C839 | 2/3/2016 | 9 | Elect Charles W. Scharf | Mgmt | For |
| Visa Inc. | V | 92826C839 | 2/3/2016 | 10 | Elect John A.C. Swainson | Mgmt | For |
| Visa Inc. | V | 92826C839 | 2/3/2016 | 11 | Elect Maynard G. Webb, Jr. | Mgmt | For |
| Visa Inc. | V | 92826C839 | 2/3/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Visa Inc. | V | 92826C839 | 2/3/2016 | 13 | Approval of the 2007 Equity Incentive Compensation Plan as Amended and Restated | Mgmt | For |
| Visa Inc. | V | 92826C839 | 2/3/2016 | 14 | Amendment to the Visa Inc. Incentive Plan | Mgmt | For |
| Visa Inc. | V | 92826C839 | 2/3/2016 | 15 | Ratification of Auditor | Mgmt | For |
| Voya Funds | IAE | 92912P108 | 7/1/2015 | 1.1 | Elect Colleen D. Baldwin | Mgmt | For |
| Voya Funds | IAE | 92912P108 | 7/1/2015 | 1.2 | Elect Peter S. Drotch | Mgmt | For |
| Voya Funds | IAE | 92912P108 | 7/1/2015 | 1.3 | Elect Russell H. Jones | Mgmt | For |
| Voya Funds | IAE | 92912P108 | 7/1/2015 | 1.4 | Elect Joseph E. Obermeyer | Mgmt | For |
| Voya Funds | IAE | 92912T100 | 7/1/2015 | 1.1 | Elect Colleen D. Baldwin | Mgmt | For |
| Voya Funds | IAE | 92912T100 | 7/1/2015 | 1.2 | Elect Peter S. Drotch | Mgmt | For |
| Voya Funds | IAE | 92912T100 | 7/1/2015 | 1.3 | Elect Russell H. Jones | Mgmt | For |

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| Voya Funds | IAE | 92912T100 | 7/1/2015 | 1.4 | Elect Joseph E. Obermeyer | Mgmt | For |
| Voya Infrastructure, Industrials and Materials Fund | IDE | 92912X101 | 7/1/2015 | 1.1 | Elect Colleen D. Baldwin | Mgmt | For |
| Voya Infrastructure, Industrials and Materials Fund | IDE | 92912X101 | 7/1/2015 | 1.2 | Elect Peter S. Drotch | Mgmt | For |
| Voya Infrastructure, Industrials and Materials Fund | IDE | 92912X101 | 7/1/2015 | 1.3 | Elect Russell H. Jones | Mgmt | For |
| Voya Infrastructure, Industrials and Materials Fund | IDE | 92912X101 | 7/1/2015 | 1.4 | Elect Joseph E. Obermeyer | Mgmt | For |
| Voya Infrastructure, Industrials and Materials Fund | IDE | 92912X101 | 7/1/2015 | 1.1 | Elect Colleen D. Baldwin | Mgmt | For |
| Voya Infrastructure, Industrials and Materials Fund | IDE | 92912X101 | 7/1/2015 | 1.2 | Elect Peter S. Drotch | Mgmt | For |
| Voya Infrastructure, Industrials and Materials Fund | IDE | 92912X101 | 7/1/2015 | 1.3 | Elect Russell H. Jones | Mgmt | For |
| Voya Infrastructure, Industrials and Materials Fund | IDE | 92912X101 | 7/1/2015 | 1.4 | Elect Joseph E. Obermeyer | Mgmt | For |
| Voya Prime Rate Trust | PPR | 92913A100 | 7/1/2015 | 1.1 | Elect Colleen D. Baldwin | Mgmt | For |
| Voya Prime Rate Trust | PPR | 92913A100 | 7/1/2015 | 1.2 | Elect John V. Boyer | Mgmt | For |
| Voya Prime Rate Trust | PPR | 92913A100 | 7/1/2015 | 1.3 | Elect Patricia W. Chadwick | Mgmt | For |
| Voya Prime Rate Trust | PPR | 92913A100 | 7/1/2015 | 1.4 | Elect Albert E. DePrince, Jr. | Mgmt | For |
| Voya Prime Rate Trust | PPR | 92913A100 | 7/1/2015 | 1.5 | Elect Peter S. Drotch | Mgmt | For |
| Voya Prime Rate Trust | PPR | 92913A100 | 7/1/2015 | 1.6 | Elect Russell H. Jones | Mgmt | For |
| Voya Prime Rate Trust | PPR | 92913A100 | 7/1/2015 | 1.7 | Elect Patricia W. Kenny | Mgmt | For |
| Voya Prime Rate Trust | PPR | 92913A100 | 7/1/2015 | 1.8 | Elect Joseph E. Obermeyer | Mgmt | For |

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| Voya Prime Rate Trust | PPR | 92913A100 | 7/1/2015 | 1.9 | Elect Sheryl K. Pressler | Mgmt | For |
| Voya Prime Rate Trust | PPR | 92913A100 | 7/1/2015 | 1.10 | Elect Roger B. Vincent | Mgmt | For |
| Voya Prime Rate Trust | PPR | 92913A100 | 7/1/2015 | 1.11 | Elect Shaun P. Mathews | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 1 | Elect James I. Cash, Jr. | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 2 | Elect Pamela J. Craig | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 3 | Elect Timothy P. Flynn | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 4 | Elect Thomas W. Horton | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 5 | Elect Marissa A. Mayer | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 6 | Elect C. Douglas McMillon | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 7 | Elect Gregory B. Penner | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 8 | Elect Steven S. Reinemund | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 9 | Elect Kevin Y. Systrom | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 10 | Elect S. Robson Walton | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 11 | Elect Steuart Walton | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 12 | Elect Linda S. Wolf | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 14 | 2016 Associate Stock Purchase Plan | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 15 | Ratification of Auditor | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 16 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/3/2016 | 17 | Shareholder Proposal Regarding Incentive Compensation Report | ShrHoldr | Against |
| Wal-Mart Stores | WMT | 931142103 | 6/3/2016 | 18 | Shareholder Proposal | ShrHoldr | Against |

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| Inc. | | | | | Regarding Country Selection Guidelines | | |
|--------------------------------|-----|-----------|-----------|----|---|------|-----|
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/27/2016 | 1 | Elect Janice M. Babiak | Mgmt | For |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/27/2016 | 2 | Elect David J. Brailer | Mgmt | For |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/27/2016 | 3 | Elect William C. Foote | Mgmt | For |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/27/2016 | 4 | Elect Ginger L. Graham | Mgmt | For |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/27/2016 | 5 | Elect John A. Lederer | Mgmt | For |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/27/2016 | 6 | Elect Dominic P. Murphy | Mgmt | For |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/27/2016 | 7 | Elect Stefano Pessina | Mgmt | For |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/27/2016 | 8 | Elect Barry Rosenstein | Mgmt | For |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/27/2016 | 9 | Elect Leonard D. Schaeffer | Mgmt | For |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/27/2016 | 10 | Elect Nancy M. Schlichting | Mgmt | For |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/27/2016 | 11 | Elect James A. Skinner | Mgmt | For |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/27/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/27/2016 | 13 | Ratification of Auditor | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/3/2016 | 1 | Elect Susan E. Arnold | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/3/2016 | 2 | Elect John S. Chen | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/3/2016 | 3 | Elect Jack Dorsey | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/3/2016 | 4 | Elect Robert A. Iger | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/3/2016 | 5 | Elect Maria Elena Langomasino | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/3/2016 | 6 | Elect Fred H. Langhammer | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/3/2016 | 7 | Elect Aylwin B. Lewis | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/3/2016 | 8 | Elect Robert W. Matschullat | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/3/2016 | 9 | Elect Mark G. Parker | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/3/2016 | 10 | Elect Sheryl Sandberg | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/3/2016 | 11 | Elect Orin C. Smith | Mgmt | For |

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|---|-----|-----------|-----------|-----|---|----------|---------|
| Walt Disney Co. | DIS | 254687106 | 3/3/2016 | 12 | Ratification of Auditor | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/3/2016 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/3/2016 | 14 | Elimination of Supermajority Voting Requirement | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/3/2016 | 15 | Shareholder Proposal Regarding Simple Majority Vote | ShrHoldr | Against |
| Walt Disney Co. | DIS | 254687106 | 3/3/2016 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Wells Fargo Advantage Income Opportunities Fund | ERH | 94987E109 | 12/7/2015 | 1.1 | Elect William R. Ebsworth | Mgmt | For |
| Wells Fargo Advantage Income Opportunities Fund | ERH | 94987E109 | 12/7/2015 | 1.2 | Elect Jane A. Freeman | Mgmt | For |
| Wells Fargo Advantage Income Opportunities Fund | ERH | 94987E109 | 12/7/2015 | 1.3 | Elect Judith M. Johnson | Mgmt | For |
| Wells Fargo Advantage Income Opportunities Fund | ERH | 94987E109 | 12/7/2015 | 1.4 | Elect Donald C. Willeke | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 1 | Elect John D. Baker II | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 2 | Elect Elaine L. Chao | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 3 | Elect John S. Chen | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 4 | Elect Lloyd H. Dean | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 5 | Elect Elizabeth A. Duke | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 6 | Elect Susan E. Engel | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 7 | Elect Enrique Hernandez, Jr. | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 8 | Elect Donald M. James | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 9 | Elect Cynthia H. Milligan | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 10 | Elect Federico F. Pena | Mgmt | For |

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|---|-----|-----------|-----------|-----|---|----------|---------|
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 11 | Elect James H. Quigley | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 12 | Elect Stephen W. Sanger | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 13 | Elect John G. Stumpf | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 14 | Elect Susan G. Swenson | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 15 | Elect Suzanne M. Vautrinot | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 16 | Advisory Vote on Executive Compensation | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 17 | Ratification of Auditor | Mgmt | For |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 18 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Wells Fargo and Company | WFC | 949746101 | 4/26/2016 | 19 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Wells Fargo Income Opportunities Fund | EOD | 94987C103 | 2/8/2016 | 1.1 | Elect Peter G. Gordon | Mgmt | For |
| Wells Fargo Income Opportunities Fund | EOD | 94987C103 | 2/8/2016 | 1.2 | Elect Timothy J. Penny | Mgmt | For |
| Wells Fargo Income Opportunities Fund | EOD | 94987C103 | 2/8/2016 | 1.3 | Elect Michael S. Scofield | Mgmt | For |
| Western Asset Emerging Markets Income Fund Inc. | EMD | 95766E103 | 9/25/2015 | 1.1 | Elect Paolo M. Cucchi | Mgmt | For |
| Western Asset Emerging Markets Income Fund Inc. | EMD | 95766E103 | 9/25/2015 | 1.2 | Elect Leslie H. Gelb | Mgmt | For |
| Western Asset Emerging Markets Income Fund Inc. | EMD | 95766E103 | 9/25/2015 | 1.3 | Elect Riordan Roett | Mgmt | For |
| Western Asset Emerging Markets Income Fund Inc. | EMD | 95766E103 | 9/25/2015 | 1.4 | Elect Robert D. Agdern | Mgmt | For |
| Western Asset Emerging Markets Income Fund Inc. | EMD | 95766E103 | 9/25/2015 | 1.5 | Elect Jane E. Trust | Mgmt | For |

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|---|-----|-----------|------------|-----|------------------------------------|------|-----|
| Western Asset Global Partners Income Fund Inc | GDF | 95766G108 | 12/14/2015 | 1.1 | Elect Robert D. Agdern | Mgmt | For |
| Western Asset Global Partners Income Fund Inc | GDF | 95766G108 | 12/14/2015 | 1.2 | Elect William R. Hutchinson | Mgmt | For |
| Western Asset Global Partners Income Fund Inc | GDF | 95766G108 | 12/14/2015 | 1.3 | Elect Jane E. Trust | Mgmt | For |
| Western Asset Managed High Income Fund Inc. | MHY | 95766L107 | 8/21/2015 | 1.1 | Elect Robert D. Agdern | Mgmt | For |
| Western Asset Managed High Income Fund Inc. | MHY | 95766L107 | 8/21/2015 | 1.2 | Elect Daniel P. Cronin | Mgmt | For |
| Western Asset Managed High Income Fund Inc. | MHY | 95766L107 | 8/21/2015 | 1.3 | Elect Jane E. Trust | Mgmt | For |
| Western Asset Managed High Income Fund Inc. | MHY | 95766L107 | 8/21/2015 | 1.1 | Elect Robert D. Agdern | Mgmt | For |
| Western Asset Managed High Income Fund Inc. | MHY | 95766L107 | 8/21/2015 | 1.2 | Elect Daniel P. Cronin | Mgmt | For |
| Western Asset Managed High Income Fund Inc. | MHY | 95766L107 | 8/21/2015 | 1.3 | Elect Jane E. Trust | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/18/2016 | 1 | Elect Gail K. Boudreaux | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/18/2016 | 2 | Elect Richard K. Davis | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/18/2016 | 3 | Elect Benjamin G.S. Fowke III | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/18/2016 | 4 | Elect Richard T. O'Brien | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/18/2016 | 5 | Elect Christopher J. Policinski | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/18/2016 | 6 | Elect James T. Prokopanko | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/18/2016 | 7 | Elect A. Patricia Sampson | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/18/2016 | 8 | Elect James J. Sheppard | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/18/2016 | 9 | Elect David A. Westerlund | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/18/2016 | 10 | Elect Kim Williams | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/18/2016 | 11 | Elect Timothy V. Wolf | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/18/2016 | 12 | Advisory Vote on | Mgmt | For |

Executive Compensation

| | | | | | | | |
|------------------|-----|-----------|-----------|----|---|----------|---------|
| Xcel Energy Inc. | XEL | 98389B100 | 5/18/2016 | 13 | Ratification of Auditor | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/18/2016 | 14 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Cornerstone Strategic Value Fund, Inc.

By (Signature and Title) /s/ Ralph W. Bradshaw
Ralph W. Bradshaw, President and Chairman
(Principal Executive Officer)

Date August 19, 2016