

Clough Global Equity Fund  
Form N-PX  
August 17, 2018

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**FORM N-PX**

**ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED**

**MANAGEMENT INVESTMENT COMPANY**

Investment Company Act file number: 811-21712

CLOUGH GLOBAL EQUITY FUND

(Exact name of registrant as specified in charter)

1290 Broadway, Suite 1100, Denver, Colorado 80203

(Address of principal executive offices) (Zip code)

Sareena Khwaja-Dixon, Esq.

Clough Global Equity Fund

1290 Broadway, Suite 1100

Denver, Colorado 80203

(Name and address of agent for service)

Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2017 – June 30, 2018

**Item 1 – Proxy Voting Record.**

*Vote Summary*

**VOYA GBL EQ DIVIDEND AND PREMIUM OPP FD**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 92912T100       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | IGD             | <b>Meeting Date</b>       | 06-Jul-2017            |
| <b>ISIN</b>           | US92912T1007    | <b>Agenda</b>             | 934624429 - Management |
| <b>Record Date</b>    | 10-Apr-2017     | <b>Holding Recon Date</b> | 10-Apr-2017            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 05-Jul-2017            |

**SEDOL(s)** **Quick Code**

| <b>Item</b> | <b>Proposal</b>    | <b>Proposed by</b> | <b>Vote</b> | <b>For/Against Management</b> |
|-------------|--------------------|--------------------|-------------|-------------------------------|
| 1.          | DIRECTOR           | Management         |             |                               |
|             | 1 MARTIN J. GAVIN  |                    | For         | For                           |
|             | 2 PATRICK W. KENNY |                    | For         | For                           |
|             | 3 SHAUN P. MATHEWS |                    | For         | For                           |
|             | 4 ROGER B. VINCENT |                    | For         | For                           |

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Account</b> | <b>Custodian</b>             | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|-------------------------|------------------------------|----------------------|---------------------------|------------------|-----------------------|
| 997AL28               | GLQ                 | 997AL28                 | STATE STREET BANK & TRUST CO | 93,200               | 0                         | 11-May-2017      | 07-Jul-2017           |

**MAN WAH HOLDINGS LIMITED**

|                      |              |                           |                        |
|----------------------|--------------|---------------------------|------------------------|
| <b>Security</b>      | G5800U107    | <b>Meeting Type</b>       | Annual General Meeting |
| <b>Ticker Symbol</b> |              | <b>Meeting Date</b>       | 12-Jul-2017            |
| <b>ISIN</b>          | BMG5800U1071 | <b>Agenda</b>             | 708276464 - Management |
| <b>Record Date</b>   | 05-Jul-2017  | <b>Holding Recon Date</b> | 05-Jul-2017            |

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**City / Country** HONG KONG / Bermuda **Vote Deadline Date** 04-Jul-2017

**SEDOL(s)** B58VHF3 - B58YWF7 - B5WN7S8 - BD8NKV2 - BS7K5D6 **Quick Code**

| <b>Item</b> | <b>Proposal</b>  | <b>Proposed Vote by</b> | <b>For/Against Management</b> |
|-------------|--|-------------------------|-------------------------------|
| CMMT        | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br><a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2017/0606/LTN201706061274.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2017/0606/LTN201706061274.pdf</a> , | Non-Voting              |                               |
| CMMT        | PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING   | Non-Voting              |                               |
| 1           | TO RECEIVE, CONSIDER AND ADOPT THE REPORTS OF THE DIRECTORS AND THE AUDITORS AND THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS OF THE COMPANY FOR THE YEAR ENDED 31 MARCH 2017   | ManagementFor           | For                           |
| 2           | TO DECLARE A FINAL DIVIDEND OF HK14 CENTS PER SHARE FOR THE YEAR ENDED 31 MARCH 2017   | ManagementFor           | For                           |
| 3           | TO APPROVE THE RE-ELECTION OF MR. WONG MAN LI AS A DIRECTOR OF THE COMPANY AND THE TERMS OF HIS APPOINTMENT (INCLUDING REMUNERATION)   | ManagementFor           | For                           |
| 4           | TO APPROVE THE RE-ELECTION OF MS. HUI WAI HING AS A DIRECTOR OF THE COMPANY AND THE TERMS OF HER APPOINTMENT (INCLUDING REMUNERATION)  | ManagementFor           | For                           |

|      |  |            |     |
|------|--|------------|-----|
| 5    | <p>TO APPROVE THE RE-ELECTION OF MR. ONG CHOR WEI AS A DIRECTOR OF THE COMPANY AND THE TERMS OF HIS APPOINTMENT (INCLUDING REMUNERATION)</p>   | Management | For |
| 6    | <p>TO APPROVE THE RE-ELECTION OF MR. DING YUAN AS A DIRECTOR OF THE COMPANY AND THE TERMS OF HIS APPOINTMENT (INCLUDING REMUNERATION)</p>  | Management | For |
| 7    | <p>TO RE-APPOINT DELOITTE TOUCHE TOHMATSU AS AUDITORS OF THE COMPANY AND TO AUTHORISE THE BOARD OF DIRECTORS OF THE COMPANY TO FIX THEIR REMUNERATION</p>  | Management | For |
| 8    | <p>TO GRANT A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO ALLOT, ISSUE AND DEAL WITH NEW SHARES OF THE COMPANY NOT EXCEEDING 20% OF ITS ISSUED SHARES</p>   | Management | For |
| 9    | <p>TO GRANT A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO REPURCHASE SHARES OF THE COMPANY NOT EXCEEDING 10% OF ITS ISSUED SHARES</p>   | Management | For |
| 10   | <p>TO EXTEND THE GENERAL MANDATE GRANTED TO THE DIRECTORS OF THE COMPANY TO ALLOT, ISSUE AND DEAL WITH NEW SHARES PURSUANT TO RESOLUTION NO. 8 BY AN AMOUNT NOT EXCEEDING THE AMOUNT OF THE SHARES REPURCHASED BY THE COMPANY PURSUANT TO RESOLUTION NO. 9</p> | Management | For |
| CMMT | <p>12 JUNE 2017: PLEASE NOTE THAT THIS IS A REVISION DUE TO CHANGE IN THE RECORD-DATE FROM 06 JUL 2017</p>   | Non-Voting |     |

TO 05 JUL 2017. IF YOU HAVE  
ALREADY SENT IN YOUR  
VOTES,-PLEASE DO NOT VOTE  
AGAIN UNLESS YOU DECIDE TO  
AMEND YOUR  
ORIGINAL-INSTRUCTIONS.  
THANK YOU.

| Account Number | Account Name | Internal Account  | Ballot Custodian Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|-------------------|-------------------------|--------------------|-------------|----------------|
| AL28           | AL28         | STATE STREET BANK | 596,800                 | 0                  | 09-Jun-2017 | 10-Jul-2017    |

**NATIONAL GRID PLC**

|                       |                 |  |                           |                        |
|-----------------------|-----------------|--|---------------------------|------------------------|
| <b>Security</b>       | 636274409       |  | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | NGG             |  | <b>Meeting Date</b>       | 31-Jul-2017            |
| <b>ISIN</b>           | US6362744095    |  | <b>Agenda</b>             | 934654814 - Management |
| <b>Record Date</b>    | 21-Jun-2017     |  | <b>Holding Recon Date</b> | 21-Jun-2017            |
| <b>City / Country</b> | / United States |  | <b>Vote Deadline Date</b> | 20-Jul-2017            |

**SEDOL(s)**

**Quick Code**

| Item | Proposal                                  | Proposed Vote by | For/Against Management |
|------|---|------------------|------------------------|
| 1.   | TO RECEIVE THE ANNUAL REPORT AND ACCOUNTS | ManagemenFor     | For                    |
| 2.   | TO DECLARE A FINAL DIVIDEND               | ManagemenFor     | For                    |
| 3.   | TO RE-ELECT SIR PETER GERSHON             | ManagemenFor     | For                    |
| 4.   | TO RE-ELECT JOHN PETTIGREW                | ManagemenFor     | For                    |
| 5.   | TO RE-ELECT ANDREW BONFIELD               | ManagemenFor     | For                    |
| 6.   | TO RE-ELECT DEAN SEAVERS                  | ManagemenFor     | For                    |
| 7.   | TO RE-ELECT NICOLA SHAW                   | ManagemenFor     | For                    |
| 8.   | TO RE-ELECT NORA MEAD BROWNELL            | ManagemenFor     | For                    |
| 9.   | TO RE-ELECT JONATHAN DAWSON               | ManagemenFor     | For                    |

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|     |   |              |     |
|-----|---|--------------|-----|
| 10. | TO ELECT PIERRE DUFOUR  | ManagemenFor | For |
| 11. | TO RE-ELECT THERESE<br>ESPERDY  | ManagemenFor | For |
| 12. | TO RE-ELECT PAUL GOLBY  | ManagemenFor | For |
| 13. | TO RE-ELECT MARK<br>WILLIAMSON  | ManagemenFor | For |
| 14. | TO APPOINT THE AUDITORS<br>DELOITTE LLP   | ManagemenFor | For |
| 15. | TO AUTHORISE THE DIRECTORS<br>TO SET THE AUDITORS'<br>REMUNERATION  | ManagemenFor | For |
| 16. | TO APPROVE THE DIRECTORS'<br>REMUNERATION POLICY  | ManagemenFor | For |
| 17. | TO APPROVE THE DIRECTORS'<br>REMUNERATION REPORT<br>EXCLUDING THE DIRECTORS'<br>REMUNERATION POLICY       | ManagemenFor | For |
| 18. | TO AUTHORISE THE COMPANY<br>TO MAKE POLITICAL<br>DONATIONS  | ManagemenFor | For |
| 19. | TO AUTHORISE THE DIRECTORS<br>TO ALLOT ORDINARY SHARES  | ManagemenFor | For |
| 20. | TO DISAPPLY PRE-EMPTION<br>RIGHTS (SPECIAL RESOLUTION)  | ManagemenFor | For |
| 21. | TO DISAPPLY PRE-EMPTION<br>RIGHTS FOR ACQUISITIONS<br>(SPECIAL RESOLUTION)                                | ManagemenFor | For |
| 22. | TO AUTHORISE THE COMPANY<br>TO PURCHASE ITS OWN<br>ORDINARY SHARES (SPECIAL<br>RESOLUTION)                | ManagemenFor | For |
| 23. | TO AUTHORISE THE DIRECTORS<br>TO HOLD GENERAL MEETINGS<br>ON 14 CLEAR DAYS NOTICE<br>(SPECIAL RESOLUTION) | ManagemenFor | For |

| Account Number | Account Name      | Internal Account | Ballot Custodian Shares | Unavailable Shares | Vote Date | Date Confirmed |             |
|----------------|-------------------|------------------|-------------------------|--------------------|-----------|----------------|-------------|
| 997AL47        | GLQ BNP<br>PLEDGE | 997A17           | STATE STREET<br>BANK    | 1,650              | 40,333    | 28-Jun-2017    | 24-Jul-2017 |

&  
TRUST  
CO

**AMERCO**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 023586100       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | UHAL            | <b>Meeting Date</b>       | 24-Aug-2017            |
| <b>ISIN</b>           | US0235861004    | <b>Agenda</b>             | 934658305 - Management |
| <b>Record Date</b>    | 26-Jun-2017     | <b>Holding Recon Date</b> | 26-Jun-2017            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 23-Aug-2017            |

**SEDOL(s)****Quick Code**

| <b>Item</b> | <b>Proposal</b>  | <b>Proposed<br/>by</b> | <b>Vote</b> | <b>For/Against<br/>Management</b> |
|-------------|--|------------------------|-------------|-----------------------------------|
| 1.          | DIRECTOR   | Management             |             |                                   |
|             | 1 EDWARD J. SHOEN  |                        | For         | For                               |
|             | 2 JAMES E. ACRIDGE   |                        | For         | For                               |
|             | 3 CHARLES J. BAYER   |                        | For         | For                               |
|             | 4 JOHN P. BROGAN   |                        | For         | For                               |
|             | 5 JOHN M. DODDS  |                        | For         | For                               |
|             | 6 JAMES J. GROGAN  |                        | For         | For                               |
|             | 7 KARL A. SCHMIDT  |                        | For         | For                               |
|             | 8 SAMUEL J. SHOEN  |                        | For         | For                               |
| 2.          | AN ADVISORY VOTE TO APPROVE THE COMPENSATION PAID TO THE COMPANY'S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT. | Management             | For         | For                               |
| 3.          | AN ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE COMPENSATION OF THE NAMED EXECUTIVE OFFICERS.                  | Management             | 5 Years     | For                               |
| 4.          | THE RATIFICATION OF THE APPOINTMENT OF BDO USA, LLP AS THE COMPANY'S INDEPENDENT REGISTERED                                      | Management             | For         | For                               |



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PUBLIC ACCOUNTING FIRM FOR  
THE FISCAL YEAR ENDING  
MARCH 31, 2018.

A PROPOSAL RECEIVED FROM  
COMPANY STOCKHOLDER  
PROPONENTS TO RATIFY AND  
AFFIRM THE DECISIONS AND  
ACTIONS TAKEN BY THE BOARD  
OF DIRECTORS AND EXECUTIVE  
OFFICERS OF THE COMPANY  
WITH RESPECT TO AMERCO, ITS  
SUBSIDIARIES, AND ITS  
VARIOUS CONSTITUENCIES FOR  
THE FISCAL YEAR ENDED  
MARCH 31, 2017.

5. Management For For

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL28        | GLQ            | 997AL28          | STATE STREET BANK & TRUST CO | 5,435         | 0                  | 15-Jul-2017 | 25-Aug-2017    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 5,100         | 0                  | 15-Jul-2017 | 25-Aug-2017    |

**VIASAT, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 92552V100       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | VSAT            | <b>Meeting Date</b>       | 07-Sep-2017            |
| <b>ISIN</b>           | US92552V1008    | <b>Agenda</b>             | 934661744 - Management |
| <b>Record Date</b>    | 14-Jul-2017     | <b>Holding Recon Date</b> | 14-Jul-2017            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 06-Sep-2017            |

**SEDOL(s)**

**Quick Code**

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
| 1.   | DIRECTOR | Management  |      |                        |

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- |   |               |     |     |
|---|---------------|-----|-----|
| 1 | MARK DANKBERG | For | For |
| 2 | VARSHA RAO    | For | For |
| 3 | HARVEY WHITE  | For | For |

- |    |  |            |                  |
|----|--|------------|------------------|
| 2. | RATIFICATION OF APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS VIASAT'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2018 | Management | For              |
| 3. | ADVISORY VOTE ON EXECUTIVE COMPENSATION  | Management | For              |
| 4. | ADVISORY VOTE ON THE FREQUENCY OF HOLDING FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION  | Management | Years<br>Against |
| 5. | AMENDMENT AND RESTATEMENT OF THE EMPLOYEE STOCK PURCHASE PLAN  | Management | For              |
| 6. | AMENDMENT AND RESTATEMENT OF THE 1996 EQUITY PARTICIPATION PLAN  | Management | For              |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 142           | 67,900             | 25-Jul-2017 | 08-Sep-2017    |

**ULVAC, INC.**

|                       |                   |                           |                        |
|-----------------------|-------------------|---------------------------|------------------------|
| <b>Security</b>       | J94048105         | <b>Meeting Type</b>       | Annual General Meeting |
| <b>Ticker Symbol</b>  |                   | <b>Meeting Date</b>       | 28-Sep-2017            |
| <b>ISIN</b>           | JP3126190002      | <b>Agenda</b>             | 708511298 - Management |
| <b>Record Date</b>    | 30-Jun-2017       | <b>Holding Recon Date</b> | 30-Jun-2017            |
| <b>City / Country</b> | KANAGA WA / Japan | <b>Vote Deadline Date</b> | 20-Sep-2017            |

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SEDOL(s) 6599483 - B3BK418 Quick Code 67280

| Item | Proposal  | Proposed<br>by | Vote       | For/Against<br>Management |
|------|---|----------------|------------|---------------------------|
|      | Please reference meeting materials.                     |                | Non-Voting |                           |
| 1    | Approve Appropriation of Surplus                        | Managemen      | For        | For                       |
| 2.1  | Appoint a Director Obinata, Hisaharu                    | Managemen      | For        | For                       |
| 2.2  | Appoint a Director Iwashita, Setsuo                     | Managemen      | For        | For                       |
| 2.3  | Appoint a Director Bo, Akinori                          | Managemen      | For        | For                       |
| 2.4  | Appoint a Director Motoyoshi,<br>Mitsuru                | Managemen      | For        | For                       |
| 2.5  | Appoint a Director Fujiyama, Junki                      | Managemen      | For        | For                       |
| 2.6  | Appoint a Director Mihayashi, Akira                     | Managemen      | For        | For                       |
| 2.7  | Appoint a Director Uchida, Norio                        | Managemen      | For        | For                       |
| 2.8  | Appoint a Director Ishida, Kozo                         | Managemen      | For        | For                       |
| 3    | Appoint a Corporate Auditor<br>Utsunomiya, Isao         | Managemen      | For        | For                       |
| 4    | Appoint a Substitute Corporate<br>Auditor Nonaka, Takao | Managemen      | For        | For                       |

| Account<br>Number | Account Name | Internal<br>Custodian<br>Account | Ballot<br>Shares | Unavailable<br>Shares | Vote Date   | Date<br>Confirmed |
|-------------------|--------------|----------------------------------|------------------|-----------------------|-------------|-------------------|
| AL28              | AL28         | STATE<br>STREET<br>BANK          | 24,400           | 0                     | 04-Sep-2017 | 27-Sep-2017       |

**ALIBABA GROUP HOLDING LIMITED**

|                       |                    |                               |                        |
|-----------------------|--------------------|-------------------------------|------------------------|
| <b>Security</b>       | 01609W102          | <b>Meeting Type</b>           | Annual                 |
| <b>Ticker Symbol</b>  | BABA               | <b>Meeting Date</b>           | 18-Oct-2017            |
| <b>ISIN</b>           | US01609W1027       | <b>Agenda</b>                 | 934675476 - Management |
| <b>Record Date</b>    | 18-Aug-2017        | <b>Holding Recon<br/>Date</b> | 18-Aug-2017            |
| <b>City / Country</b> | / United<br>States | <b>Vote Deadline<br/>Date</b> | 10-Oct-2017            |

SEDOL(s) Quick Code

| Item | Proposal | Proposed<br>by | Vote | For/Against<br>Management |
|------|----------|----------------|------|---------------------------|
| 1A.  |          | Managemen      | For  | For                       |

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ELECTION OF DIRECTOR: JACK YUN MA (TO SERVE FOR A THREE YEAR TERM OR UNTIL SUCH DIRECTOR'S SUCCESSOR IS ELECTED OR APPOINTED AND DULY QUALIFIED.)

1B. ELECTION OF DIRECTOR: MASAYOSHI SON ( TO SERVE FOR A THREE YEAR TERM OR UNTIL SUCH DIRECTOR'S SUCCESSOR IS ELECTED OR APPOINTED AND DULY QUALIFIED.)

Management For For

1C. ELECTION OF DIRECTOR: WALTER TEH MING KWAI (TO SERVE FOR A THREE YEAR TERM OR UNTIL SUCH DIRECTOR'S SUCCESSOR IS ELECTED OR APPOINTED AND DULY QUALIFIED.)

Management For For

2. RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY.

Management For For

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL28        | GLQ            | 997AL28          | STATE STREET BANK & TRUST CO | 500           | 0                  | 06-Sep-2017 | 12-Oct-2017    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 300           | 17,000             | 06-Sep-2017 | 12-Oct-2017    |

**LAM RESEARCH CORPORATION**

**Security** 512807108 **Meeting Type** Annual  
**Ticker Symbol** LRCX **Meeting Date** 08-Nov-2017

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|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>ISIN</b>           | US5128071082    | <b>Agenda</b>             | 934682433 - Management |
| <b>Record Date</b>    | 11-Sep-2017     | <b>Holding Recon Date</b> | 11-Sep-2017            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 07-Nov-2017            |

**SEDOL(s)**

**Quick Code**

| <b>Item</b> | <b>Proposal</b>   | <b>Proposed Vote by</b> | <b>For/Against Management</b> |
|-------------|---|-------------------------|-------------------------------|
| 1.          | DIRECTOR  | Management              |                               |
|             | 1 MARTIN B. ANSTICE   | For                     | For                           |
|             | 2 ERIC K. BRANDT  | For                     | For                           |
|             | 3 MICHAEL R. CANNON   | For                     | For                           |
|             | 4 YOUSSEF A. EL-MANSY   | For                     | For                           |
|             | 5 CHRISTINE A. HECKART  | For                     | For                           |
|             | 6 YOUNG BUM (YB) KOH  | For                     | For                           |
|             | 7 CATHERINE P. LEGO   | For                     | For                           |
|             | 8 STEPHEN G. NEWBERRY   | For                     | For                           |
|             | 9 ABHIJIT Y. TALWALKAR  | For                     | For                           |
|             | 10 LIH SHYNG TSAI   | For                     | For                           |
| 2.          | ADVISORY VOTE TO APPROVE THE COMPENSATION OF THE NAMED EXECUTIVE OFFICERS OF LAM RESEARCH, OR "SAY ON PAY."   | Management              | For                           |
| 3.          | ADVISORY VOTE TO APPROVE THE FREQUENCY OF HOLDING FUTURE STOCKHOLDER ADVISORY VOTES ON OUR NAMED EXECUTIVE OFFICER COMPENSATION, OR "SAY ON FREQUENCY." | Management              | Against                       |
| 4.          | RATIFICATION OF THE APPOINTMENT OF THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2018.  | Management              | For                           |
| 5.          | STOCKHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE ANNUAL MEETING, REGARDING  | Shareholder             | Against                       |

ANNUAL DISCLOSURE OF EEO-1  
DATA.

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 100           | 19,300             | 29-Sep-2017 | 09-Nov-2017    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61          | STATE STREET BANK & TRUST CO | 5,000         | 0                  | 29-Sep-2017 | 09-Nov-2017    |

**CHR. HANSEN HOLDING A/S**

|                       |   |                           |                        |
|-----------------------|---|---------------------------|------------------------|
| <b>Security</b>       | K1830B107                                       | <b>Meeting Type</b>       | Annual General Meeting |
| <b>Ticker Symbol</b>  |   | <b>Meeting Date</b>       | 28-Nov-2017            |
| <b>ISIN</b>           | DK0060227585                                    | <b>Agenda</b>             | 708711622 - Management |
| <b>Record Date</b>    | 21-Nov-2017                                     | <b>Holding Recon Date</b> | 21-Nov-2017            |
| <b>City / Country</b> | HORSHO LM / Denmark                             | <b>Vote Deadline Date</b> | 17-Nov-2017            |
| <b>SEDOL(s)</b>       | B3LL574 - B3SMD32 - B573M11 - B63NJ00 - BHZLC88 | <b>Quick Code</b>         |                        |

| Item | Proposal  | Proposed Vote by | For/Against Management |
|------|---|------------------|------------------------|
| CMMT | IN THE MAJORITY OF MEETINGS THE VOTES ARE CAST WITH THE REGISTRAR WHO WILL-FOLLOW CLIENT INSTRUCTIONS. IN A SMALL PERCENTAGE OF MEETINGS THERE IS NO-REGISTRAR AND CLIENTS VOTES MAY BE CAST BY THE CHAIRMAN OF THE BOARD OR A-BOARD MEMBER AS PROXY. CLIENTS CAN ONLY EXPECT | Non-Voting       |                        |

THEM TO ACCEPT  
 PRO-MANAGEMENT-VOTES. THE  
 ONLY WAY TO GUARANTEE  
 THAT ABSTAIN AND/OR  
 AGAINST VOTES  
 ARE-REPRESENTED AT THE  
 MEETING IS TO SEND YOUR  
 OWN REPRESENTATIVE OR  
 ATTEND THE-MEETING IN  
 PERSON. THE SUB CUSTODIAN  
 BANKS OFFER  
 REPRESENTATION SERVICES  
 FOR- AN ADDED FEE IF  
 REQUESTED. THANK YOU

CMMT PLEASE BE ADVISED THAT  
 SPLIT AND PARTIAL VOTING IS  
 NOT AUTHORISED FOR  
 A-BENEFICIAL OWNER IN THE  
 DANISH MARKET. PLEASE  
 CONTACT YOUR GLOBAL  
 CUSTODIAN-FOR FURTHER  
 INFORMATION. Non-Voting

CMMT IMPORTANT MARKET  
 PROCESSING REQUIREMENT: A  
 BENEFICIAL OWNER SIGNED  
 POWER OF- ATTORNEY (POA) IS  
 REQUIRED IN ORDER TO LODGE  
 AND EXECUTE YOUR VOTING-  
 INSTRUCTIONS IN THIS  
 MARKET. ABSENCE OF A POA,  
 MAY CAUSE YOUR  
 INSTRUCTIONS TO-BE  
 REJECTED. IF YOU HAVE ANY  
 QUESTIONS, PLEASE CONTACT  
 YOUR CLIENT SERVICE-  
 REPRESENTATIVE Non-Voting

CMMT PLEASE NOTE THAT  
 SHAREHOLDERS ARE ALLOWED  
 TO VOTE 'IN FAVOR' OR  
 'ABSTAIN'-ONLY FOR  
 RESOLUTION NUMBERS "6.A.A,  
 6.B.A TO 6.B.F AND 7.A". THANK  
 YOU. Non-Voting

1 RECEIVE REPORT OF BOARD Non-Voting

2 ACCEPT FINANCIAL  
 STATEMENTS AND STATUTORY  
 REPORTS ManagemenFor For

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|       |  |              |     |
|-------|--|--------------|-----|
| 3     | APPROVE ALLOCATION OF INCOME AND DIVIDENDS OF DKK 6.33 PER SHARE                                     | ManagemenFor | For |
| 4     | APPROVE REMUNERATION OF DIRECTORS  | ManagemenFor | For |
| 5.A   | APPROVE CREATION OF DKK 131.9 MILLION POOL OF CAPITAL WITHOUT PREEMPTIVE RIGHTS: ARTICLES 5.1 TO 5.4 | ManagemenFor | For |
| 5.B   | AUTHORIZE SHARE REPURCHASE PROGRAM   | ManagemenFor | For |
| 5.C   | AMEND ARTICLES RE: REMOVE AGE LIMIT FOR BOARD MEMBERS: ARTICLE 9.2                                   | ManagemenFor | For |
| 5.D   | APPROVE GUIDELINES FOR INCENTIVE-BASED COMPENSATION FOR EXECUTIVE MANAGEMENT AND BOARD               | ManagemenFor | For |
| 6.A.A | REELECT OLE ANDERSEN (CHAIRMAN) AS DIRECTOR  | ManagemenFor | For |
| 6.B.A | REELECT DOMINIQUE REINICHE AS DIRECTOR   | ManagemenFor | For |
| 6.B.B | ELECT JESPER BRANDGAARD AS NEW DIRECTOR  | ManagemenFor | For |
| 6.B.C | REELECT LUIS CANTARELL AS DIRECTOR   | ManagemenFor | For |
| 6.B.D | ELECT HEIDI KLEINBACH-SAUTER AS NEW DIRECTOR   | ManagemenFor | For |
| 6.B.E | REELECT KRISTIAN VILLUMSEN AS DIRECTOR   | ManagemenFor | For |
| 6.B.F | REELECT MARK WILSON AS DIRECTOR  | ManagemenFor | For |
| 7.A   | RATIFY PRICEWATERHOUSECOOPERS STATS AUTORISERET REVISIONSPARTNERSELSKAB AS AUDITORS                  | ManagemenFor | For |
| 8     | AUTHORIZE EDITORIAL CHANGES TO ADOPTED RESOLUTIONS IN CONNECTION                                     | ManagemenFor | For |



WITH REGISTRATION WITH  
DANISH AUTHORITIES

23 NOV 2017: PLEASE NOTE  
THAT THIS IS A REVISION DUE  
TO CHANGE IN NUMBERING-OF  
RESOLUTIONS AND  
MODIFICATION OF TEXT IN

CMMT RESOLUTION 7.A. IF YOU Non-Voting  
HAVE-ALREADY SENT IN YOUR  
VOTES, PLEASE DO NOT VOTE  
AGAIN UNLESS YOU DECIDE  
TO-AMEND YOUR ORIGINAL  
INSTRUCTIONS. THANK YOU.

| Account Number | Account Name | Internal Account | Ballot Custodian Shares | Unavailable Shares | Vote Date | Date Confirmed |             |
|----------------|--------------|------------------|-------------------------|--------------------|-----------|----------------|-------------|
| AL28           | AL28         |                  | STATE STREET BANK       | 11,804             | 0         | 03-Nov-2017    | 20-Nov-2017 |

#### MICROSOFT CORPORATION

|                       |                 |  |                           |                        |
|-----------------------|-----------------|--|---------------------------|------------------------|
| <b>Security</b>       | 594918104       |  | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | MSFT            |  | <b>Meeting Date</b>       | 29-Nov-2017            |
| <b>ISIN</b>           | US5949181045    |  | <b>Agenda</b>             | 934689514 - Management |
| <b>Record Date</b>    | 29-Sep-2017     |  | <b>Holding Recon Date</b> | 29-Sep-2017            |
| <b>City / Country</b> | / United States |  | <b>Vote Deadline Date</b> | 28-Nov-2017            |

#### SEDOL(s)

#### Quick Code

| Item | Proposal                                   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: WILLIAM H. GATES III | Managemen   | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR: REID G. HOFFMAN      | Managemen   | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR: HUGH F. JOHNSTON     | Managemen   | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR: TERI L. LIST-STOLL   | Managemen   | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR: SATYA NADELLA        | Managemen   | For  | For                    |

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|     |  |                  |         |
|-----|--|------------------|---------|
| 1F. | ELECTION OF DIRECTOR:<br>CHARLES H. NOSKI  | ManagemenFor     | For     |
| 1G. | ELECTION OF DIRECTOR:<br>HELMUT PANKE  | ManagemenFor     | For     |
| 1H. | ELECTION OF DIRECTOR:<br>SANDRA E. PETERSON  | ManagemenFor     | For     |
| 1I. | ELECTION OF DIRECTOR: PENNY<br>S. PRITZKER   | ManagemenFor     | For     |
| 1J. | ELECTION OF DIRECTOR:<br>CHARLES W. SCHARF   | ManagemenFor     | For     |
| 1K. | ELECTION OF DIRECTOR: ARNE<br>M. SORENSON  | ManagemenFor     | For     |
| 1L. | ELECTION OF DIRECTOR: JOHN<br>W. STANTON   | ManagemenFor     | For     |
| 1M. | ELECTION OF DIRECTOR: JOHN<br>W. THOMPSON  | ManagemenFor     | For     |
| 1N. | ELECTION OF DIRECTOR:<br>PADMASREE WARRIOR   | ManagemenFor     | For     |
| 2.  | ADVISORY VOTE TO APPROVE<br>NAMED EXECUTIVE OFFICER<br>COMPENSATION                                | ManagemenFor     | For     |
| 3.  | ADVISORY VOTE ON THE<br>FREQUENCY OF FUTURE<br>ADVISORY VOTES TO APPROVE<br>EXECUTIVE COMPENSATION | Managemenβ Years | Against |
| 4.  | RATIFICATION OF DELOITTE &<br>TOUCHE LLP AS OUR<br>INDEPENDENT AUDITOR FOR<br>FISCAL YEAR 2018     | ManagemenFor     | For     |
| 5.  | APPROVAL OF MATERIAL<br>TERMS OF THE PERFORMANCE<br>GOALS UNDER THE EXECUTIVE<br>INCENTIVE PLAN    | ManagemenFor     | For     |
| 6.  | APPROVAL OF THE MICROSOFT<br>CORPORATION 2017 STOCK<br>PLAN  | ManagemenFor     | For     |

| Account Number | Account Name | Internal Account | Ballot Custodian Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|------------------|-------------------------|--------------------|-------------|----------------|
| 997AL28        | GLQ          | 997AL28          | STATE STREET 15,900     | 0                  | 17-Oct-2017 | 30-Nov-2017    |

|         |                   |   |        |   |             |             |
|---------|-------------------|---|--------|---|-------------|-------------|
|         |                   | BANK<br>&<br>TRUST<br>CO                    |        |   |             |             |
| 997AL47 | GLQ BNP<br>PLEDGE | 997AL47                                     | 48,100 | 0 | 17-Oct-2017 | 30-Nov-2017 |
|         |                   | STATE<br>STREET<br>BANK<br>&<br>TRUST<br>CO |        |   |             |             |

**MICRON TECHNOLOGY, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 595112103       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | MU              | <b>Meeting Date</b>       | 17-Jan-2018            |
| <b>ISIN</b>           | US5951121038    | <b>Agenda</b>             | 934710345 - Management |
| <b>Record Date</b>    | 20-Nov-2017     | <b>Holding Recon Date</b> | 20-Nov-2017            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 16-Jan-2018            |

**SEDOL(s)**

**Quick Code**

| <b>Item</b> | <b>Proposal</b>   | <b>Proposed<br/>by</b> | <b>Vote</b> | <b>For/Against<br/>Management</b> |
|-------------|---|------------------------|-------------|-----------------------------------|
| 1.1         | ELECTION OF DIRECTOR:<br>ROBERT L. BAILEY   | Managemen              | For         | For                               |
| 1.2         | ELECTION OF DIRECTOR:<br>RICHARD M. BEYER   | Managemen              | For         | For                               |
| 1.3         | ELECTION OF DIRECTOR:<br>PATRICK J. BYRNE   | Managemen              | For         | For                               |
| 1.4         | ELECTION OF DIRECTOR:<br>MERCEDES JOHNSON   | Managemen              | For         | For                               |
| 1.5         | ELECTION OF DIRECTOR:<br>SANJAY MEHROTRA  | Managemen              | For         | For                               |
| 1.6         | ELECTION OF DIRECTOR:<br>LAWRENCE N. MONDRY   | Managemen              | For         | For                               |
| 1.7         | ELECTION OF DIRECTOR:<br>ROBERT E. SWITZ  | Managemen              | For         | For                               |
| 2.          | TO APPROVE OUR EMPLOYEE<br>STOCK PURCHASE PLAN WITH<br>33 MILLION SHARES RESERVED<br>FOR ISSUANCE THEREUNDER. | Managemen              | For         | For                               |
| 3.          |   | Managemen              | For         | For                               |

TO APPROVE THE MATERIAL TERMS OF THE PERFORMANCE GOALS UNDER OUR EXECUTIVE OFFICER PERFORMANCE INCENTIVE PLAN.

TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS

4. REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING AUGUST 30, 2018. Management For For

TO APPROVE A NON-BINDING RESOLUTION TO APPROVE EXECUTIVE COMPENSATION ... (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).

5. STATEMENT FOR FULL PROPOSAL). Management For For

TO APPROVE, IN A NON-BINDING VOTE, THE FREQUENCY (EVERY ONE, TWO OR THREE YEARS) WITH WHICH OUR SHAREHOLDERS WILL BE ENTITLED TO HAVE AN ADVISORY VOTE ON EXECUTIVE COMPENSATION.

6. Management 3 Years Against

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 21,000        | 11,200             | 08-Dec-2017 | 18-Jan-2018    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61          | STATE STREET BANK & TRUST CO | 10,000        | 0                  | 08-Dec-2017 | 18-Jan-2018    |

**D.R. HORTON, INC.**

|          |           |              |        |
|----------|-----------|--------------|--------|
| Security | 23331A109 | Meeting Type | Annual |
|----------|-----------|--------------|--------|

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|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Ticker Symbol</b>  | DHI             | <b>Meeting Date</b>       | 24-Jan-2018            |
| <b>ISIN</b>           | US23331A1097    | <b>Agenda</b>             | 934714595 - Management |
| <b>Record Date</b>    | 27-Nov-2017     | <b>Holding Recon Date</b> | 27-Nov-2017            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 23-Jan-2018            |

**SEDOL(s)** **Quick Code**

| <b>Item</b> | <b>Proposal</b>   | <b>Proposed by</b> | <b>Vote</b> | <b>For/Against Management</b> |
|-------------|---|--------------------|-------------|-------------------------------|
| 1A.         | ELECTION OF DIRECTOR: DONALD R. HORTON  | Managemen          | For         | For                           |
| 1B.         | ELECTION OF DIRECTOR: BARBARA K. ALLEN  | Managemen          | For         | For                           |
| 1C.         | ELECTION OF DIRECTOR: BRAD S. ANDERSON  | Managemen          | For         | For                           |
| 1D.         | ELECTION OF DIRECTOR: MICHAEL R. BUCHANAN   | Managemen          | For         | For                           |
| 1E.         | ELECTION OF DIRECTOR: MICHAEL W. HEWATT   | Managemen          | For         | For                           |
| 2.          | APPROVAL OF THE ADVISORY RESOLUTION ON EXECUTIVE COMPENSATION.  | Managemen          | For         | For                           |
| 3.          | APPROVAL OF THE ADVISORY VOTE AS TO THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.                       | Managemen          | β Years     | Against                       |
| 4.          | APPROVAL OF THE MATERIAL TERMS OF THE PERFORMANCE CRITERIA UNDER OUR 2017 INCENTIVE BONUS PLAN FOR SECTION 162(M) PURPOSES. | Managemen          | For         | For                           |
| 5.          | RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.                  | Managemen          | For         | For                           |

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Account</b> | <b>Custodian</b> | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|-------------------------|------------------|----------------------|---------------------------|------------------|-----------------------|
| 997AL47               |                     | 997AL47                 |                  | 121                  | 29,300                    | 20-Dec-2017      | 25-Jan-2018           |

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|         |                   |         |   |        |   |             |             |
|---------|-------------------|---------|---|--------|---|-------------|-------------|
|         | GLQ BNP<br>PLEDGE |         | STATE<br>STREET<br>BANK<br>&<br>TRUST<br>CO |        |   |             |             |
| 997AL61 | GLQ MS PLEDGE     | 997AL61 | STATE<br>STREET<br>BANK<br>&<br>TRUST<br>CO | 10,800 | 0 | 20-Dec-2017 | 25-Jan-2018 |

**QURATE RETAIL, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 53071M856       | <b>Meeting Type</b>       | Special                |
| <b>Ticker Symbol</b>  | LVNTA           | <b>Meeting Date</b>       | 02-Feb-2018            |
| <b>ISIN</b>           | US53071M8560    | <b>Agenda</b>             | 934717286 - Management |
| <b>Record Date</b>    | 04-Dec-2017     | <b>Holding Recon Date</b> | 04-Dec-2017            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 01-Feb-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | A proposal to approve the redemption by Liberty Interactive Corporation of each share of Series A Liberty Ventures common stock and Series B Liberty Ventures common stock in exchange for one share of GCI Liberty, Inc. Class A Common Stock and GCI Liberty, Inc. Class B Common Stock, respectively, following the ...(due to space limits, see proxy statement for full proposal). | Management  | For  | For                    |
| 2.   | A proposal to authorize the adjournment of the special meeting by Liberty Interactive Corporation to permit further solicitation of proxies, if necessary or appropriate, if sufficient votes are not represented at the special meeting to approve the other proposal to be presented at the special meeting.  | Management  | For  | For                    |

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| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 22,401        | 89,800             | 03-Jan-2018 | 05-Feb-2018    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61          | STATE STREET BANK & TRUST CO | 2,520         | 0                  | 03-Jan-2018 | 05-Feb-2018    |

**GOLUB CAPITAL BDC, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 38173M102       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | GBDC            | <b>Meeting Date</b>       | 06-Feb-2018            |
| <b>ISIN</b>           | US38173M1027    | <b>Agenda</b>             | 934715383 - Management |
| <b>Record Date</b>    | 12-Dec-2017     | <b>Holding Recon Date</b> | 12-Dec-2017            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 05-Feb-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A)  | election of class II director: John T. Baily  | Management  | For  | For                    |
| 1B)  | election of class II director: Kenneth F. Bernstein   | Management  | For  | For                    |
| 2)   | To ratify the selection of Ernst & Young LLP to serve as the Company's independent registered public accounting firm for the fiscal year ending September 30, 2018. | Management  | For  | For                    |

| Account Number | Account Name   | Internal Account | Custodian    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|--------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET | 155,809       | 0                  | 22-Dec-2017 | 07-Feb-2018    |

|         |               |         |                          |        |   |             |             |
|---------|---------------|---------|--------------------------|--------|---|-------------|-------------|
| 997AL61 | GLQ MS PLEDGE | 997AL61 | BANK<br>&<br>TRUST<br>CO | 30,000 | 0 | 22-Dec-2017 | 07-Feb-2018 |
|         |               |         | STATE<br>STREET          |        |   |             |             |
|         |               |         | BANK<br>&<br>TRUST<br>CO |        |   |             |             |

**LENNAR CORPORATION**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 526057104       | <b>Meeting Type</b>       | Special                |
| <b>Ticker Symbol</b>  | LEN             | <b>Meeting Date</b>       | 12-Feb-2018            |
| <b>ISIN</b>           | US5260571048    | <b>Agenda</b>             | 934719406 - Management |
| <b>Record Date</b>    | 04-Jan-2018     | <b>Holding Recon Date</b> | 04-Jan-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 09-Feb-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| <b>Item</b> | <b>Proposal</b>   | <b>Proposed<br/>by</b> | <b>Vote</b> | <b>For/Against<br/>Management</b> |
|-------------|---|------------------------|-------------|-----------------------------------|
| 1.          | Approval of the issuance of shares of Lennar's Class A and Class B common stock in connection with the merger of CalAtlantic Group, Inc. with a newly formed wholly- owned subsidiary of Lennar, as contemplated by an Agreement and Plan of Merger, dated as of October 29, 2017, by and among CalAtlantic Group, Inc., Lennar and Cheetah Cub Group Corp. | Managemen              | For         | For                               |
| 2.          | Approval of an amendment to Lennar's certificate of incorporation increasing the number of authorized shares of Lennar's Class A common stock from 300,000,000 shares to 400,000,000 shares.  | Managemen              | For         | For                               |
| 3.          | Approval of an adjournment of the Special Meeting of Stockholders, if necessary, to enable Lennar to solicit additional votes, if at the time of such meeting there are not sufficient votes to approve proposals 1 and 2.  | Managemen              | For         | For                               |



| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 100           | 17,900             | 11-Jan-2018 | 13-Feb-2018    |

**LENNAR CORPORATION**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 526057302       | <b>Meeting Type</b>       | Special                |
| <b>Ticker Symbol</b>  | LENB            | <b>Meeting Date</b>       | 12-Feb-2018            |
| <b>ISIN</b>           | US5260573028    | <b>Agenda</b>             | 934719406 - Management |
| <b>Record Date</b>    | 04-Jan-2018     | <b>Holding Recon Date</b> | 04-Jan-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 09-Feb-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal  | Proposed Vote by | For/Against Management |
|------|---|------------------|------------------------|
| 1.   | Approval of the issuance of shares of Lennar's Class A and Class B common stock in connection with the merger of CalAtlantic Group, Inc. with a newly formed wholly- owned subsidiary of Lennar, as contemplated by an Agreement and Plan of Merger, dated as of October 29, 2017, by and among CalAtlantic Group, Inc., Lennar and Cheetah Cub Group Corp. | Management       | For                    |
| 2.   | Approval of an amendment to Lennar's certificate of incorporation increasing the number of authorized shares of Lennar's Class A common stock from 300,000,000 shares to 400,000,000 shares.  | Management       | For                    |
| 3.   | Approval of an adjournment of the Special Meeting of Stockholders, if necessary, to enable Lennar to solicit additional votes, if at the time of such meeting there are not sufficient votes to approve proposals 1 and 2.  | Management       | For                    |

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| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 2             | 714                | 11-Jan-2018 | 13-Feb-2018    |

**APPLE INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 037833100       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | AAPL            | <b>Meeting Date</b>       | 13-Feb-2018            |
| <b>ISIN</b>           | US0378331005    | <b>Agenda</b>             | 934716068 - Management |
| <b>Record Date</b>    | 15-Dec-2017     | <b>Holding Recon Date</b> | 15-Dec-2017            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 12-Feb-2018            |

**SEDOL(s)**

**Quick Code**

| Item | Proposal   | Proposed Vote by | For/Against Management |
|------|--|------------------|------------------------|
| 1a.  | Election of director: James Bell   | ManagemenFor     | For                    |
| 1b.  | Election of director: Tim Cook   | ManagemenFor     | For                    |
| 1c.  | Election of director: Al Gore  | ManagemenFor     | For                    |
| 1d.  | Election of director: Bob Iger   | ManagemenFor     | For                    |
| 1e.  | Election of director: Andrea Jung  | ManagemenFor     | For                    |
| 1f.  | Election of director: Art Levinson   | ManagemenFor     | For                    |
| 1g.  | Election of director: Ron Sugar  | ManagemenFor     | For                    |
| 1h.  | Election of director: Sue Wagner   | ManagemenFor     | For                    |
| 2.   | Ratification of the appointment of Ernst & Young LLP as Apple's independent registered public accounting firm for 2018 | ManagemenFor     | For                    |
| 3.   | Advisory vote to approve executive compensation  | ManagemenFor     | For                    |
| 4.   | Approval of the amended and restated Apple Inc. Non- Employee Director Stock Plan                                      | ManagemenFor     | For                    |

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- |    |   |                     |     |
|----|---|---------------------|-----|
| 5. | A shareholder proposal entitled "Shareholder Proxy Access Amendments" | Shareholder Against | For |
| 6. | A shareholder proposal entitled "Human Rights Committee"              | Shareholder Against | For |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 80            | 17,700             | 03-Jan-2018 | 14-Feb-2018    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61          | STATE STREET BANK & TRUST CO | 4,500         | 0                  | 03-Jan-2018 | 14-Feb-2018    |

**GW PHARMACEUTICALS PLC**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 36197T103       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | GWPH            | <b>Meeting Date</b>       | 14-Mar-2018            |
| <b>ISIN</b>           | US36197T1034    | <b>Agenda</b>             | 934729003 - Management |
| <b>Record Date</b>    | 05-Feb-2018     | <b>Holding Recon Date</b> | 05-Feb-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 06-Mar-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| O1   | TO RECEIVE, CONSIDER AND ADOPT THE DIRECTORS' AND AUDITORS' REPORTS AND STATEMENT OF ACCOUNTS FOR THE YEAR ENDED 30 SEPTEMBER 2017 AND NOTE THAT THE DIRECTORS DO NOT RECOMMEND PAYMENT OF A DIVIDEND | Managemen   | For  |                        |
| O2   | TO APPROVE THE DIRECTORS'   | Managemen   | For  |                        |

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REMUNERATION REPORT

|     |   |              |
|-----|---|--------------|
| O3  | TO APPROVE THE DIRECTORS' REMUNERATION POLICY   | ManagemenFor |
| O4  | TO RE-ELECT JUSTIN GOVER AS A DIRECTOR  | ManagemenFor |
| O5  | TO ELECT CATHERINE MACKEY AS A DIRECTOR   | ManagemenFor |
| O6  | TO ELECT ALICIA SECOR AS A DIRECTOR   | ManagemenFor |
| O7  | TO ELECT WILLIAM WALDEGRAVE AS A DIRECTOR   | ManagemenFor |
| O8  | TO RE-APPOINT DELOITTE LLP AS AUDITOR   | ManagemenFor |
| O9  | To authorise the Directors to determine the Auditor's remuneration  | ManagemenFor |
| O10 | To authorise the Directors to allot shares pursuant to Section 551 of the Companies Act 2006 (the "2006 Act")             | ManagemenFor |
| S11 | Subject to the passing of Resolution 10, to authorise the ...(due to space limits, see proxy material for full proposal). | ManagemenFor |
| S12 | To adopt new articles of association of the Company in ...(due to space limits, see proxy material for full proposal).    | ManagemenFor |

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Account</b> | <b>Custodian</b>             | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|-------------------------|------------------------------|----------------------|---------------------------|------------------|-----------------------|
| 997AL28               | GLQ                 | 997AL28                 | STATE STREET BANK & TRUST CO | 900                  | 0                         | 16-Feb-2018      | 08-Mar-2018           |
| 997AL47               | GLQ BNP PLEDGE      | 997AL47                 | STATE STREET BANK & TRUST CO | 7,000                | 0                         | 16-Feb-2018      | 08-Mar-2018           |

**PING AN INSURANCE (GROUP) COMPANY OF CHINA, LTD.**

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|                       |   |                           |                               |
|-----------------------|---|---------------------------|-------------------------------|
| <b>Security</b>       | Y69790106   | <b>Meeting Type</b>       | ExtraOrdinary General Meeting |
| <b>Ticker Symbol</b>  |   | <b>Meeting Date</b>       | 19-Mar-2018                   |
| <b>ISIN</b>           | CNE1000003X6  | <b>Agenda</b>             | 708913404 - Management        |
| <b>Record Date</b>    | 14-Feb-2018   | <b>Holding Recon Date</b> | 14-Feb-2018                   |
| <b>City / Country</b> | SHENZHEN / China                                      | <b>Vote Deadline Date</b> | 13-Mar-2018                   |
| <b>SEDOL(s)</b>       | B01FLR7 - B01NLS2 -<br>B03NP99 - BD8NLC0 -<br>BP3RWZ1 | <b>Quick Code</b>         |                               |

| Item | Proposal  | Proposed Vote by | For/Against Management |
|------|---|------------------|------------------------|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br><a href="http://www.hkexnews.hk/listedco/listconews/sehk/2018/0129/LTN20180129397.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2018/0129/LTN20180129397.pdf</a> -AND-<br><a href="http://www.hkexnews.hk/listedco/listconews/sehk/2018/0129/LTN20180129431.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2018/0129/LTN20180129431.pdf</a> | Non-Voting       |                        |
| 1    | RESOLUTION REGARDING THE PROVISION OF ASSURED ENTITLEMENT TO THE H SHAREHOLDERS OF THE COMPANY ONLY FOR THE OVERSEAS LISTING OF PING AN HEALTHCARE AND TECHNOLOGY COMPANY LIMITED   | Management       | For                    |
| 2    | RESOLUTION REGARDING THE PROPOSED AMENDMENTS TO THE ARTICLES OF ASSOCIATION OF THE COMPANY  | Management       | For                    |

| Account Number | Account Name | Internal Account  | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|-------------------|---------------|--------------------|-------------|----------------|
| AL28           | AL28         | STATE STREET BANK | 118,700       | 0                  | 30-Jan-2018 | 15-Mar-2018    |

PING AN INSURANCE (GROUP) COMPANY OF CHINA, LTD.

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|                       |   |                           |                        |
|-----------------------|---|---------------------------|------------------------|
| <b>Security</b>       | Y69790106   | <b>Meeting Type</b>       | Class Meeting          |
| <b>Ticker Symbol</b>  |   | <b>Meeting Date</b>       | 19-Mar-2018            |
| <b>ISIN</b>           | CNE1000003X6  | <b>Agenda</b>             | 708913416 - Management |
| <b>Record Date</b>    | 14-Feb-2018   | <b>Holding Recon Date</b> | 14-Feb-2018            |
| <b>City / Country</b> | SHENZHEN / China                                      | <b>Vote Deadline Date</b> | 09-Mar-2018            |
| <b>SEDOL(s)</b>       | B01FLR7 - B01NLS2 -<br>B03NP99 - BD8NLC0 -<br>BP3RWZ1 | <b>Quick Code</b>         |                        |

| <b>Item</b> | <b>Proposal</b>  | <b>Proposed Vote by</b> | <b>For/Against Management</b> |
|-------------|--|-------------------------|-------------------------------|
| CMMT        | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br><a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2018/0129/LTN20180129464.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2018/0129/LTN20180129464.pdf</a> ,-<br><a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2018/0129/LTN20180129417.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2018/0129/LTN20180129417.pdf</a> | Non-Voting              |                               |
| 1           | RESOLUTION REGARDING THE PROVISION OF ASSURED ENTITLEMENT TO THE H SHAREHOLDERS OF THE COMPANY ONLY FOR THE OVERSEAS LISTING OF PING AN HEALTHCARE AND TECHNOLOGY COMPANY LIMITED  | Management              | For                           |

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Custodian Account</b> | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|-----------------------------------|----------------------|---------------------------|------------------|-----------------------|
| AL28                  | AL28                | STATE STREET BANK                 | 118,700              | 0                         | 30-Jan-2018      | 15-Mar-2018           |

**KKR INCOME OPPORTUNITIES FUND**

|                      |              |                     |                        |
|----------------------|--------------|---------------------|------------------------|
| <b>Security</b>      | 48249T106    | <b>Meeting Type</b> | Annual                 |
| <b>Ticker Symbol</b> | KIO          | <b>Meeting Date</b> | 22-Mar-2018            |
| <b>ISIN</b>          | US48249T1060 | <b>Agenda</b>       | 934729469 - Management |

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**Record Date** 02-Feb-2018 **Holding Recon Date** 02-Feb-2018

**City / Country** / United States **Vote Deadline Date** 21-Mar-2018

**SEDOL(s)** **Quick Code**

| <b>Item</b> | <b>Proposal</b>     | <b>Proposed by</b> | <b>Vote</b> | <b>For/Against Management</b> |
|-------------|---------------------|--------------------|-------------|-------------------------------|
| 1.          | DIRECTOR            | Management         |             |                               |
|             | 1 Michael E. Cahill |                    | For         | For                           |

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Account</b> | <b>Custodian</b>             | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|-------------------------|------------------------------|----------------------|---------------------------|------------------|-----------------------|
| 997AL28               | GLQ                 | 997AL28                 | STATE STREET BANK & TRUST CO | 43,100               | 0                         | 17-Feb-2018      | 23-Mar-2018           |

**SAMSUNG ELECTRONICS CO LTD, SUWON**

**Security** Y74718100 **Meeting Type** Annual General Meeting

**Ticker Symbol** **Meeting Date** 23-Mar-2018

**ISIN** KR7005930003 **Agenda** 708993072 - Management

**Record Date** 31-Dec-2017 **Holding Recon Date** 31-Dec-2017

**City / Country** SEOUL / Korea, Republic Of **Vote Deadline Date** 13-Mar-2018

**SEDOL(s)** 6771720 - B19VC15 - B74V052 **Quick Code**

| <b>Item</b> | <b>Proposal</b>                                | <b>Proposed by</b> | <b>Vote</b> | <b>For/Against Management</b> |
|-------------|--|--------------------|-------------|-------------------------------|
| 1           | APPROVAL OF FINANCIAL STATEMENTS               | Managemen          | For         | For                           |
| 2.1.1       | APPOINTMENT OF OUTSIDE DIRECTOR: KIM JONG HOON | Managemen          | For         | For                           |
| 2.1.2       | APPOINTMENT OF OUTSIDE DIRECTOR: KIM SUN WOOK  | Managemen          | For         | For                           |
| 2.1.3       | APPOINTMENT OF OUTSIDE                         | Managemen          | For         | For                           |

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DIRECTOR: PARK BYUNG KOOK

2.2.1 APPOINTMENT OF INSIDE DIRECTOR: LEE SANG HOON Management For For

2.2.2 APPOINTMENT OF INSIDE DIRECTOR: KIM KI NAM Management For For

2.2.3 APPOINTMENT OF INSIDE DIRECTOR: KIM HYUN SEOK Management For For

2.2.4 APPOINTMENT OF INSIDE DIRECTOR: KO DONG JIN Management For For

2.3 APPOINTMENT OF MEMBER OF AUDIT COMMITTEE: KIM SUN WOOK Management For For

3 APPROVAL OF REMUNERATION FOR DIRECTOR Management For For

4 STOCK SPLIT AND AMENDMENT OF ARTICLES OF INCORPORATION FOR STOCK SPLIT Management For For

CMMT 27 FEB 2018: THIS AGM IS RELATED TO THE CORPORATE EVENT OF STOCK SPLIT. THANK-YOU Non-Voting

CMMT 27 FEB 2018: PLEASE NOTE THAT THIS IS A REVISION DUE TO ADDITION OF COMMENT.-IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU-DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU Non-Voting

| Account Number | Account Name | Internal Account  | Ballot Custodian Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|-------------------|-------------------------|--------------------|-------------|----------------|
| AL28           | AL28         | STATE STREET BANK | 1,506                   | 0                  | 27-Feb-2018 | 15-Mar-2018    |

**BROADCOM LIMITED**

|                      |              |                     |                        |
|----------------------|--------------|---------------------|------------------------|
| <b>Security</b>      | Y09827109    | <b>Meeting Type</b> | Special                |
| <b>Ticker Symbol</b> | AVGO         | <b>Meeting Date</b> | 23-Mar-2018            |
| <b>ISIN</b>          | SG9999014823 | <b>Agenda</b>       | 934741148 - Management |



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|                       |             |                           |             |
|-----------------------|-------------|---------------------------|-------------|
| <b>Record Date</b>    | 05-Mar-2018 | <b>Holding Recon Date</b> | 05-Mar-2018 |
| <b>City / Country</b> | / Malaysia  | <b>Vote Deadline Date</b> | 21-Mar-2018 |
| <b>SEDOL(s)</b>       |             | <b>Quick Code</b>         |             |

| <b>Item</b> | <b>Proposal</b>   | <b>Proposed Vote by</b> | <b>For/Against Management</b> |
|-------------|---|-------------------------|-------------------------------|
| 1.          | To approve the scheme of arrangement under Singapore law among Broadcom, the shareholders of Broadcom and Broadcom Limited, a Delaware corporation, subject to approval of the High Court of the Republic of Singapore, as set forth in Broadcom's notice of, and proxy statement relating to, its Special Meeting. | Management              | For                           |

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Account</b> | <b>Custodian</b>             | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|-------------------------|------------------------------|----------------------|---------------------------|------------------|-----------------------|
| 997AL47               | GLQ BNP PLEDGE      | 997AL47                 | STATE STREET BANK & TRUST CO | 179                  | 8,400                     | 13-Mar-2018      | 23-Mar-2018           |

**JAPAN TOBACCO INC.**

|                       |   |                           |                        |
|-----------------------|---|---------------------------|------------------------|
| <b>Security</b>       | J27869106                                       | <b>Meeting Type</b>       | Annual General Meeting |
| <b>Ticker Symbol</b>  |   | <b>Meeting Date</b>       | 27-Mar-2018            |
| <b>ISIN</b>           | JP3726800000                                    | <b>Agenda</b>             | 708992400 - Management |
| <b>Record Date</b>    | 31-Dec-2017                                     | <b>Holding Recon Date</b> | 31-Dec-2017            |
| <b>City / Country</b> | TOKYO / Japan                                   | <b>Vote Deadline Date</b> | 19-Mar-2018            |
| <b>SEDOL(s)</b>       | 5754357 - 6474535 - B02H525 - B170KG5 - BHZL1B4 | <b>Quick Code</b>         | 29140                  |

| <b>Item</b> | <b>Proposal</b> | <b>Vote</b> |
|-------------|-----------------|-------------|
|-------------|-----------------|-------------|

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|     |  | <b>Proposed<br/>by</b> | <b>For/Against<br/>Management</b> |
|-----|--|------------------------|-----------------------------------|
|     | Please reference meeting materials.  | Non-Voting             |                                   |
| 1   | Approve Appropriation of Surplus   | Management             | For                               |
| 2   | Amend Articles to: Eliminate the Articles Related to Counselors and Advisors | Management             | For                               |
| 3.1 | Appoint a Director Tango, Yasutake   | Management             | For                               |
| 3.2 | Appoint a Director Terabatake, Masamichi                                     | Management             | For                               |
| 3.3 | Appoint a Director Iwai, Mutsuo  | Management             | For                               |
| 3.4 | Appoint a Director Minami, Naohiro   | Management             | For                               |
| 3.5 | Appoint a Director Hirowatari, Kiyohide                                      | Management             | For                               |
| 3.6 | Appoint a Director Koda, Main  | Management             | For                               |
| 3.7 | Appoint a Director Watanabe, Koichiro  | Management             | For                               |
| 4   | Appoint a Corporate Auditor Nagata, Ryoko                                    | Management             | For                               |
| 5   | Appoint a Substitute Corporate Auditor Masaki, Michio                        | Management             | For                               |

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Account</b> | <b>Custodian</b> | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|-------------------------|------------------|----------------------|---------------------------|------------------|-----------------------|
| AL28                  | AL28                | STATE STREET BANK       |                  | 32,400               | 0                         | 27-Feb-2018      | 26-Mar-2018           |

**WNS (HOLDINGS) LIMITED**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 92932M101       | <b>Meeting Type</b>       | Special                |
| <b>Ticker Symbol</b>  | WNS             | <b>Meeting Date</b>       | 30-Mar-2018            |
| <b>ISIN</b>           | US92932M1018    | <b>Agenda</b>             | 934731135 - Management |
| <b>Record Date</b>    | 16-Feb-2018     | <b>Holding Recon Date</b> | 16-Feb-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 21-Mar-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| <b>Item</b> | <b>Proposal</b> | <b>Vote</b> |
|-------------|-----------------|-------------|
|-------------|-----------------|-------------|

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|    |   | <b>Proposed<br/>by</b> | <b>For/Against<br/>Management</b> |
|----|---|------------------------|-----------------------------------|
| 1. | Approval to purchase American Depositary Shares ("ADSs"), each representing one ordinary share of the Company, effective from the date of adoption of this resolution and up to (and excluding) the date of the third anniversary of such date of adoption, subject to a minimum and maximum price and an aggregate limit on the ADSs to be purchased | Management             | For                               |

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Custodian Account</b>       | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|---|----------------------|---------------------------|------------------|-----------------------|
| 997AL28               | GLQ                 | STATE STREET BANK & TRUST CO<br>997AL28 | 3,700                | 0                         | 24-Feb-2018      | 23-Mar-2018           |
| 997AL47               | GLQ BNP PLEDGE      | STATE STREET BANK & TRUST CO<br>997AL47 | 16,200               | 0                         | 24-Feb-2018      | 23-Mar-2018           |

**BROADCOM LIMITED**

|                       |              |                           |                        |
|-----------------------|--------------|---------------------------|------------------------|
| <b>Security</b>       | Y09827109    | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | AVGO         | <b>Meeting Date</b>       | 04-Apr-2018            |
| <b>ISIN</b>           | SG9999014823 | <b>Agenda</b>             | 934729370 - Management |
| <b>Record Date</b>    | 12-Feb-2018  | <b>Holding Recon Date</b> | 12-Feb-2018            |
| <b>City / Country</b> | / Malaysia   | <b>Vote Deadline Date</b> | 29-Mar-2018            |
| <b>SEDOL(s)</b>       |              | <b>Quick Code</b>         |                        |

| <b>Item</b> | <b>Proposal</b>                       | <b>Proposed<br/>by</b> | <b>For/Against<br/>Management</b> |
|-------------|---------------------------------------|------------------------|-----------------------------------|
| 1A.         | Election of Director: Mr. Hock E. Tan | Management             | For                               |
| 1B.         |                                       | Management             | For                               |

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|     |   |              |     |
|-----|---|--------------|-----|
|     | Election of Director: Mr. James V. Diller   |              |     |
| 1C. | Election of Director: Ms. Gayla J. Delly  | ManagemenFor | For |
| 1D. | Election of Director: Mr. Lewis C. Eggebrecht   | ManagemenFor | For |
| 1E. | Election of Director: Mr. Kenneth Y. Hao  | ManagemenFor | For |
| 1F. | Election of Director: Mr. Eddy W. Hartenstein   | ManagemenFor | For |
| 1G. | Election of Director: Mr. Check Kian Low  | ManagemenFor | For |
| 1H. | Election of Director: Mr. Donald Macleod  | ManagemenFor | For |
| 1I. | Election of Director: Mr. Peter J. Marks  | ManagemenFor | For |
| 1J. | Election of Director: Dr. Henry Samueli   | ManagemenFor | For |
| 2.  | To approve the re-appointment of PricewaterhouseCoopers LLP as Broadcom's independent registered public accounting firm and independent Singapore auditor for the fiscal year ending November 4, 2018 and to authorize the Audit Committee to fix its remuneration, as set forth in Broadcom's notice of, and proxy statement relating to, its 2018 Annual General Meeting. | ManagemenFor | For |
| 3.  | To approve the general authorization for the directors of Broadcom to allot and issue shares in its capital, as set forth in Broadcom's notice of, and proxy statement relating to, its 2018 Annual General Meeting.  | ManagemenFor | For |
| 4.  | NON-BINDING, ADVISORY VOTE<br>To approve the compensation of Broadcom's named executive officers, as disclosed in "Compensation Discussion and Analysis" and in the compensation tables and accompanying narrative disclosure under "Executive Compensation" in Broadcom's proxy statement relating   | ManagemenFor | For |

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to its 2018 Annual General Meeting.

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 179           | 8,400              | 24-Feb-2018 | 03-Apr-2018    |

**SCHLUMBERGER LIMITED (SCHLUMBERGER N.V.)**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 806857108       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | SLB             | <b>Meeting Date</b>       | 04-Apr-2018            |
| <b>ISIN</b>           | AN8068571086    | <b>Agenda</b>             | 934735246 - Management |
| <b>Record Date</b>    | 07-Feb-2018     | <b>Holding Recon Date</b> | 07-Feb-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 03-Apr-2018            |

**SEDOL(s)**

**Quick Code**

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A.  | Election of Director: Peter L.S. Currie          | Managemen   | For  | For                    |
| 1B.  | Election of Director: Miguel M. Galuccio         | Managemen   | For  | For                    |
| 1C.  | Election of Director: V. Maureen Kempston Darkes | Managemen   | For  | For                    |
| 1D.  | Election of Director: Paal Kibsgaard             | Managemen   | For  | For                    |
| 1E.  | Election of Director: Nikolay Kudryavtsev        | Managemen   | For  | For                    |
| 1F.  | Election of Director: Helge Lund                 | Managemen   | For  | For                    |
| 1G.  | Election of Director: Michael E. Marks           | Managemen   | For  | For                    |
| 1H.  | Election of Director: Indra K. Nooyi             | Managemen   | For  | For                    |
| 1I.  | Election of Director: Lubna S. Olayan            | Managemen   | For  | For                    |
| 1J.  | Election of Director: Leo Rafael Reif            | Managemen   | For  | For                    |
| 1K.  | Election of Director: Henri Seydoux              | Managemen   | For  | For                    |
| 2.   |  | Managemen   | For  | For                    |

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To approve, on an advisory basis, the Company's executive compensation.

To report on the course of business during the year ended December 31, 2017; and approve our consolidated balance sheet as of December 31, 2017; our consolidated statement of income for the year ended December 31, 2017; and our Board of Directors' declarations of dividends in 2017, as reflected in our 2017 Annual Report to Stockholders.

3. Management For For

To ratify the appointment of PricewaterhouseCoopers LLP as independent auditors for 2018.

4. Management For For

To approve amended and restated French Sub Plan for purposes of qualification under French Law.

5. Management For For

| Account Number | Account Name  | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|---------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL28        | GLQ           | 997AL28          | STATE STREET BANK & TRUST CO | 27,800        | 0                  | 06-Mar-2018 | 05-Apr-2018    |
| 997AL61        | GLQ MS PLEDGE | 997AL61          | STATE STREET BANK & TRUST CO | 9,000         | 0                  | 06-Mar-2018 | 05-Apr-2018    |

**AIRBUS SE**

|                       |                         |                           |                          |
|-----------------------|-------------------------|---------------------------|--------------------------|
| <b>Security</b>       | N0280G100               | <b>Meeting Type</b>       | Ordinary General Meeting |
| <b>Ticker Symbol</b>  |                         | <b>Meeting Date</b>       | 11-Apr-2018              |
| <b>ISIN</b>           | NL0000235190            | <b>Agenda</b>             | 709018659 - Management   |
| <b>Record Date</b>    | 14-Mar-2018             | <b>Holding Recon Date</b> | 14-Mar-2018              |
| <b>City / Country</b> | AMSTERDAM / Netherlands | <b>Vote Deadline Date</b> | 23-Mar-2018              |
| <b>SEDOL(s)</b>       |                         | <b>Quick Code</b>         |                          |

4012250 - 4012346 -  
 4057273 - 7164426 -  
 B01DGJ8 - B043FH6 -  
 B16Q6Y4 - B87GTC1 -  
 BDC50T2 - BF444K0 -  
 BHZLF67

| <b>Item</b> | <b>Proposal</b>  | <b>Proposed<br/>by</b> | <b>Vote</b> | <b>For/Against<br/>Management</b> |
|-------------|--|------------------------|-------------|-----------------------------------|
| 1           | ADOPTION OF THE AUDITED ACCOUNTS FOR THE FINANCIAL YEAR 2017   | Management             | For         | For                               |
| 2           | APPROVE ALLOCATION OF INCOME AND DIVIDENDS OF EUR OF 1.50 PER SHARE  | Management             | For         | For                               |
| 3           | RELEASE FROM LIABILITY OF THE NON-EXECUTIVE MEMBERS OF THE BOARD OF DIRECTORS  | Management             | For         | For                               |
| 4           | RELEASE FROM LIABILITY OF THE EXECUTIVE MEMBER OF THE BOARD OF DIRECTORS   | Management             | For         | For                               |
| 5           | RENEWAL OF THE APPOINTMENT OF ERNST & YOUNG ACCOUNTANTS LLP AS AUDITOR FOR THE FINANCIAL YEAR 2018   | Management             | For         | For                               |
| 6           | RENEWAL OF THE APPOINTMENT OF MS. MARIA AMPARO MORALEDA MARTINEZ AS A NON- EXECUTIVE MEMBER OF THE BOARD OF DIRECTORS FOR A TERM OF THREE YEARS                      | Management             | For         | For                               |
| 7           | APPOINTMENT OF MR. VICTOR CHU AS A NON- EXECUTIVE MEMBER OF THE BOARD OF DIRECTORS FOR A TERM OF THREE YEARS IN REPLACEMENT OF SIR JOHN PARKER WHOSE MANDATE EXPIRES | Management             | For         | For                               |
| 8           | APPOINTMENT OF MR. JEAN-PIERRE CLAMADIEU AS A NON-EXECUTIVE MEMBER OF THE BOARD OF DIRECTORS FOR A TERM OF THREE YEARS IN  | Management             | For         | For                               |

|      |   |              |     |
|------|---|--------------|-----|
|      | REPLACEMENT OF MR. JEAN-CLAUDE TRICHET WHOSE MANDATE EXPIRES  |              |     |
| 9    | APPOINTMENT OF MR. RENE OBERMANN AS A NON-EXECUTIVE MEMBER OF THE BOARD OF DIRECTORS FOR A TERM OF THREE YEARS IN REPLACEMENT OF MR. HANS-PETER KEITEL WHOSE MANDATE EXPIRES  | ManagemenFor | For |
| 10   | DELEGATION TO THE BOARD OF DIRECTORS OF POWERS TO ISSUE SHARES, TO GRANT RIGHTS TO SUBSCRIBE FOR SHARES AND TO LIMIT OR EXCLUDE PREFERENTIAL SUBSCRIPTION RIGHTS OF EXISTING SHAREHOLDERS FOR THE PURPOSE OF EMPLOYEE SHARE OWNERSHIP PLANS AND SHARE-RELATED LONG-TERM INCENTIVE PLANS | ManagemenFor | For |
| 11   | DELEGATION TO THE BOARD OF DIRECTORS OF POWERS TO ISSUE SHARES, TO GRANT RIGHTS TO SUBSCRIBE FOR SHARES AND TO LIMIT OR EXCLUDE PREFERENTIAL SUBSCRIPTION RIGHTS OF EXISTING SHAREHOLDERS FOR THE PURPOSE OF FUNDING THE COMPANY AND ITS GROUP COMPANIES                                | ManagemenFor | For |
| 12   | RENEWAL OF THE AUTHORISATION FOR THE BOARD OF DIRECTORS TO REPURCHASE UP TO 10 % OF THE COMPANY'S ISSUED SHARE CAPITAL  | ManagemenFor | For |
| 13   | CANCELLATION OF SHARES REPURCHASED BY THE COMPANY   | ManagemenFor | For |
| CMMT | PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 886534 AS MEETING SHOULD-BE PROCESSED ONLY WITH   | Non-Voting   |     |



VOTABLE ITEMS. ALL VOTES RECEIVED ON THE PREVIOUS-MEETING WILL BE DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING-NOTICE. THANK YOU.

08 MAR 2018: PLEASE NOTE THAT THIS IS A REVISION DUE TO CHANGE IN MEETING-TYPE FROM AGM TO OGM. IF YOU HAVE ALREADY SENT IN YOUR VOTES FOR MID: 888594,-PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL-INSTRUCTIONS. THANK YOU.

CMMT

Non-Voting

| Account Number | Account Name | Internal Account  | Custodian | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|-------------|----------------|
| AL28           | AL28         | STATE STREET BANK |           | 50,027        | 0                  | 06-Mar-2018 | 26-Mar-2018    |

**LENNAR CORPORATION**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 526057104       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | LEN             | <b>Meeting Date</b>       | 11-Apr-2018            |
| <b>ISIN</b>           | US5260571048    | <b>Agenda</b>             | 934730917 - Management |
| <b>Record Date</b>    | 14-Feb-2018     | <b>Holding Recon Date</b> | 14-Feb-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 10-Apr-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal                  | Proposed by | Vote | For/Against Management |
|------|---------------------------|-------------|------|------------------------|
| 1.   | DIRECTOR                  | Management  |      |                        |
|      | 1 Irving Bolotin          |             | For  | For                    |
|      | 2 Steven L. Gerard        |             | For  | For                    |
|      | 3 Theron I. "Tig" Gilliam |             | For  | For                    |
|      | 4 Sherrill W. Hudson      |             | For  | For                    |
|      | 5 Sidney Lapidus          |             | For  | For                    |

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|    |                    |     |     |
|----|--------------------|-----|-----|
| 6  | Teri P. McClure    | For | For |
| 7  | Stuart Miller      | For | For |
| 8  | Armando Olivera    | For | For |
| 9  | Donna Shalala      | For | For |
| 10 | Scott Stowell      | For | For |
| 11 | Jeffrey Sonnenfeld | For | For |

|    |  |             |         |
|----|--|-------------|---------|
| 2. | Ratification of the appointment of Deloitte & Touche LLP as Lennar's independent registered public accounting firm for the fiscal year ending November 30, 2018. | Management  | For     |
| 3. | Approval, on an advisory basis, of the compensation of Lennar's named executive officers.  | Management  | For     |
| 4. | Approval of a stockholder proposal regarding our common stock voting structure.  | Shareholder | Against |
| 5. | Approval of a stockholder proposal regarding providing holders an annual right to convert a limited amount of Class B common stock into Class A common stock.    | Shareholder | Against |
| 6. | Approval of a stockholder proposal regarding a limit on director tenure.   | Shareholder | Against |

| Account Number | Account Name   | Internal Account | Ballot Custodian Shares               | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|---------------------------------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO<br>9,000 | 15,200             | 02-Mar-2018 | 12-Apr-2018    |

**CITIGROUP INC.**

|                      |              |                           |                        |
|----------------------|--------------|---------------------------|------------------------|
| <b>Security</b>      | 172967424    | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b> | C            | <b>Meeting Date</b>       | 24-Apr-2018            |
| <b>ISIN</b>          | US1729674242 | <b>Agenda</b>             | 934740401 - Management |
| <b>Record Date</b>   | 26-Feb-2018  | <b>Holding Recon Date</b> | 26-Feb-2018            |

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| City / Country | United States  | Vote Deadline Date | 23-Apr-2018            |
|----------------|--|--------------------|------------------------|
| SEDOL(s)       |  | Quick Code         |                        |
| Item           | Proposal   | Proposed Vote by   | For/Against Management |
| 1a.            | Election of Director: Michael L. Corbat  | ManagemenFor       | For                    |
| 1b.            | Election of Director: Ellen M. Costello  | ManagemenFor       | For                    |
| 1c.            | Election of Director: John C. Dugan  | ManagemenFor       | For                    |
| 1d.            | Election of Director: Duncan P. Hennes   | ManagemenFor       | For                    |
| 1e.            | Election of Director: Peter B. Henry   | ManagemenFor       | For                    |
| 1f.            | Election of Director: Franz B. Humer   | ManagemenFor       | For                    |
| 1g.            | Election of Director: S. Leslie Ireland  | ManagemenFor       | For                    |
| 1h.            | Election of Director: Renee J. James   | ManagemenFor       | For                    |
| 1i.            | Election of Director: Eugene M. McQuade  | ManagemenFor       | For                    |
| 1j.            | Election of Director: Michael E. O'Neill   | ManagemenFor       | For                    |
| 1k.            | Election of Director: Gary M. Reiner   | ManagemenFor       | For                    |
| 1l.            | Election of Director: Anthony M. Santomero   | ManagemenFor       | For                    |
| 1m.            | Election of Director: Diana L. Taylor  | ManagemenFor       | For                    |
| 1n.            | Election of Director: James S. Turley  | ManagemenFor       | For                    |
| 1o.            | Election of Director: Deborah C. Wright  | ManagemenFor       | For                    |
| 1p.            | Election of Director: Ernesto Zedillo Ponce de Leon  | ManagemenFor       | For                    |
| 2.             | Proposal to ratify the selection of KPMG LLP as Citi's independent registered public accounting firm for 2018. | ManagemenFor       | For                    |
| 3.             | Advisory vote to approve Citi's 2017 executive compensation.   | ManagemenFor       | For                    |
| 4.             | Approval of an amendment to the Citigroup 2014 Stock Incentive Plan authorizing additional shares.             | ManagemenFor       | For                    |

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- |     |  |                     |     |
|-----|--|---------------------|-----|
| 5.  | Stockholder proposal requesting a Human and Indigenous Peoples' Rights Policy.   | Shareholder Against | For |
| 6.  | Stockholder proposal requesting that our Board take the steps necessary to adopt cumulative voting.  | Shareholder Against | For |
| 7.  | Stockholder proposal requesting a report on lobbying and grassroots lobbying contributions.  | Shareholder Against | For |
| 8.  | Stockholder proposal requesting an amendment to Citi's proxy access bylaw provisions pertaining to the aggregation limit and the number of candidates.   | Shareholder Against | For |
| 9.  | Stockholder proposal requesting that the Board adopt a policy prohibiting the vesting of equity-based awards for senior executives due to a voluntary resignation to enter government service. | Shareholder Against | For |
| 10. | Stockholder proposal requesting that the Board amend Citi's bylaws to give holders in the aggregate of 15% of Citi's outstanding common stock the power to call a special meeting.             | Shareholder Against | For |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 219           | 69,300             | 15-Mar-2018 | 25-Apr-2018    |

**GALAPAGOS N V**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 36315X101       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | GLPG            | <b>Meeting Date</b>       | 24-Apr-2018            |
| <b>ISIN</b>           | US36315X1019    | <b>Agenda</b>             | 934768269 - Management |
| <b>Record Date</b>    | 20-Mar-2018     | <b>Holding Recon Date</b> | 20-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 13-Apr-2018            |

| SEDOL(s) |   | Quick Code                              |
|----------|---|---|
| Item     | Proposal  | Proposed Vote by For/Against Management |
| 2.       | Acknowledgement and approval of the non-consolidated annual accounts of the Company for the financial year ended on 31 December 2017 and approval of the allocation of the annual result as proposed by the board of directors. | Management For                          |
| 5.       | Acknowledgement and approval of the remuneration report.  | Management For                          |
| 6.       | Release from liability to be granted to the directors and the statutory auditor for the performance of their duties in the course of the financial year ended 31 December 2017.   | Management For                          |
| 7.1      | Re-appointment of Dr. Werner Cautreels as director of the Company.  | Management For                          |
| 7.2      | Re-appointment of Mr. Howard Rowe as director of the Company.   | Management For                          |
| 8.       | Remuneration of directors.  | Management For                          |
| 9.       | Offer of warrants.  | Management For                          |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 9,800         | 0                  | 03-Apr-2018 | 17-Apr-2018    |

**BANK OF AMERICA CORPORATION**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 060505104       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | BAC             | <b>Meeting Date</b>       | 25-Apr-2018            |
| <b>ISIN</b>           | US0605051046    | <b>Agenda</b>             | 934737163 - Management |
| <b>Record Date</b>    | 02-Mar-2018     | <b>Holding Recon Date</b> | 02-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 24-Apr-2018            |

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| SEDOL(s) |   | Quick Code     |                                       |
|----------|---|----------------|---------------------------------------|
| Item     | Proposal  | Proposed<br>by | Vote<br>For/<br>Against<br>Management |
| 1A.      | Election of Director: Sharon L. Allen   | Managemen      | For                                   |
| 1B.      | Election of Director: Susan S. Bies   | Managemen      | For                                   |
| 1C.      | Election of Director: Jack O. Bovender, Jr.   | Managemen      | For                                   |
| 1D.      | Election of Director: Frank P. Bramble, Sr.   | Managemen      | For                                   |
| 1E.      | Election of Director: Pierre J. P. de Weck  | Managemen      | For                                   |
| 1F.      | Election of Director: Arnold W. Donald  | Managemen      | For                                   |
| 1G.      | Election of Director: Linda P. Hudson   | Managemen      | For                                   |
| 1H.      | Election of Director: Monica C. Lozano  | Managemen      | For                                   |
| 1I.      | Election of Director: Thomas J. May   | Managemen      | For                                   |
| 1J.      | Election of Director: Brian T. Moynihan   | Managemen      | For                                   |
| 1K.      | Election of Director: Lionel L. Nowell, III   | Managemen      | For                                   |
| 1L.      | Election of Director: Michael D. White  | Managemen      | For                                   |
| 1M.      | Election of Director: Thomas D. Woods   | Managemen      | For                                   |
| 1N.      | Election of Director: R. David Yost   | Managemen      | For                                   |
| 1O.      | Election of Director: Maria T. Zuber  | Managemen      | For                                   |
| 2.       | Approving Our Executive Compensation (an Advisory, Non-binding "Say on Pay" Resolution) | Managemen      | For                                   |
| 3.       | Ratifying the Appointment of Our Independent Registered Public Accounting Firm for 2018 | Managemen      | For                                   |
| 4.       | Stockholder Proposal - Independent Board Chairman                                       | Shareholder    | Against                               |

| Account Number | Account Name | Internal Account | Ballot Custodian Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------------|--------------------|-----------|----------------|
|----------------|--------------|------------------|-------------------------|--------------------|-----------|----------------|

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|         |                   |         |   |     |         |             |             |
|---------|-------------------|---------|---|-----|---------|-------------|-------------|
| 997AL47 | GLQ BNP<br>PLEDGE | 997AL47 | STATE<br>STREET<br>BANK<br>&<br>TRUST<br>CO | 105 | 167,100 | 13-Mar-2018 | 26-Apr-2018 |
|---------|-------------------|---------|---|-----|---------|-------------|-------------|

**HALYARD HEALTH, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 40650V100       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | HYH             | <b>Meeting Date</b>       | 26-Apr-2018            |
| <b>ISIN</b>           | US40650V1008    | <b>Agenda</b>             | 934736870 - Management |
| <b>Record Date</b>    | 02-Mar-2018     | <b>Holding Recon Date</b> | 02-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 25-Apr-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal  | Proposed<br>Vote<br>by | For/Against<br>Management |
|------|---|------------------------|---------------------------|
| 1A.  | Election of Class I Director: William A. Hawkins  | ManagemenFor           | For                       |
| 1B.  | Election of Class I Director: Gary D. Blackford   | ManagemenFor           | For                       |
| 1C.  | Election of Class I Director: Patrick J. O'Leary  | ManagemenFor           | For                       |
| 2.   | Ratification of the selection of Deloitte & Touche LLP as the independent registered public accounting firm to audit the Company's 2018 financial statements. | ManagemenFor           | For                       |
| 3.   | Advisory vote to approve named executive officer compensation.  | ManagemenFor           | For                       |

| Account Number | Account Name      | Internal<br>Account | Custodian<br>Shares                         | Ballot<br>Shares | Unavailable<br>Shares | Vote Date   | Date<br>Confirmed |
|----------------|-------------------|---------------------|---|------------------|-----------------------|-------------|-------------------|
| 997AL47        | GLQ BNP<br>PLEDGE | 997AL47             | STATE<br>STREET<br>BANK<br>&<br>TRUST<br>CO | 17,500           | 0                     | 10-Mar-2018 | 27-Apr-2018       |

**PFIZER INC.**

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|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 717081103       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | PFE             | <b>Meeting Date</b>       | 26-Apr-2018            |
| <b>ISIN</b>           | US7170811035    | <b>Agenda</b>             | 934739256 - Management |
| <b>Record Date</b>    | 27-Feb-2018     | <b>Holding Recon Date</b> | 27-Feb-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 25-Apr-2018            |

**SEDOL(s)**

**Quick Code**

| <b>Item</b> | <b>Proposal</b>  | <b>Proposed<br/>by</b> | <b>Vote</b> | <b>For/Against<br/>Management</b> |
|-------------|--|------------------------|-------------|-----------------------------------|
| 1a.         | Election of Director: Dennis A. Ausiello   | Managemen              | For         | For                               |
| 1b.         | Election of Director: Ronald E. Blaylock   | Managemen              | For         | For                               |
| 1c.         | Election of Director: Albert Bourla  | Managemen              | For         | For                               |
| 1d.         | Election of Director: W. Don Cornwell  | Managemen              | For         | For                               |
| 1e.         | Election of Director: Joseph J. Echevarria   | Managemen              | For         | For                               |
| 1f.         | Election of Director: Helen H. Hobbs   | Managemen              | For         | For                               |
| 1g.         | Election of Director: James M. Kilts   | Managemen              | For         | For                               |
| 1h.         | Election of Director: Dan R. Littman   | Managemen              | For         | For                               |
| 1i.         | Election of Director: Shantanu Narayen   | Managemen              | For         | For                               |
| 1j.         | Election of Director: Suzanne Nora Johnson   | Managemen              | For         | For                               |
| 1k.         | Election of Director: Ian C. Read  | Managemen              | For         | For                               |
| 1l.         | Election of Director: James C. Smith   | Managemen              | For         | For                               |
| 2.          | Ratify the selection of KPMG LLP as independent registered public accounting firm for 2018 | Managemen              | For         | For                               |
| 3.          | 2018 Advisory approval of executive compensation   | Managemen              | For         | For                               |
| 4.          | Approval of the Pfizer Inc. French Sub-Plan under the 2014 Stock Plan                      | Managemen              | For         | For                               |
| 5.          | Shareholder proposal regarding right to act by written consent                             | Shareholder            | Against     | For                               |



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|----|--|---------------------|-----|
| 6. | Shareholder proposal regarding independent chair policy      | Shareholder Against | For |
| 7. | Shareholder proposal regarding report on lobbying activities | Shareholder Against | For |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 100           | 46,800             | 16-Mar-2018 | 27-Apr-2018    |

**TRI POINTE GROUP, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 87265H109       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | TPH             | <b>Meeting Date</b>       | 27-Apr-2018            |
| <b>ISIN</b>           | US87265H1095    | <b>Agenda</b>             | 934738913 - Management |
| <b>Record Date</b>    | 26-Feb-2018     | <b>Holding Recon Date</b> | 26-Feb-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 26-Apr-2018            |

**SEDOL(s)**

**Quick Code**

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A   | Election of Director: Douglas F. Bauer   | Managemen   | For  | For                    |
| 1B   | Election of Director: Lawrence B. Burrows  | Managemen   | For  | For                    |
| 1C   | Election of Director: Daniel S. Fulton   | Managemen   | For  | For                    |
| 1D   | Election of Director: Steven J. Gilbert  | Managemen   | For  | For                    |
| 1E   | Election of Director: Constance B. Moore   | Managemen   | For  | For                    |
| 1F   | Election of Director: Thomas B. Rogers   | Managemen   | For  | For                    |
| 2.   | Ratification of the appointment of Ernst & Young LLP as TRI Pointe Group, Inc.'s independent registered public accounting firm for the fiscal year ending December 31, 2018. | Managemen   | For  | For                    |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 900           | 28,400             | 17-Mar-2018 | 30-Apr-2018    |

**BRISTOL-MYERS SQUIBB COMPANY**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 110122108       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | BMY             | <b>Meeting Date</b>       | 01-May-2018            |
| <b>ISIN</b>           | US1101221083    | <b>Agenda</b>             | 934747354 - Management |
| <b>Record Date</b>    | 14-Mar-2018     | <b>Holding Recon Date</b> | 14-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 30-Apr-2018            |

**SEDOL(s)**

**Quick Code**

| Item | Proposal  | Proposed Vote by | For/Against Management |
|------|---|------------------|------------------------|
| 1A.  | Election of Director: P. J. Arduini                                       | ManagemenFor     | For                    |
| 1B.  | Election of Director: J. Baselga, M.D., Ph.D.                             | ManagemenFor     | For                    |
| 1C.  | Election of Director: R. J. Bertolini                                     | ManagemenFor     | For                    |
| 1D.  | Election of Director: G. Caforio, M.D.                                    | ManagemenFor     | For                    |
| 1E.  | Election of Director: M. W. Emmens  | ManagemenFor     | For                    |
| 1F.  | Election of Director: M. Grobstein  | ManagemenFor     | For                    |
| 1G.  | Election of Director: A. J. Lacy  | ManagemenFor     | For                    |
| 1H.  | Election of Director: D. C. Paliwal                                       | ManagemenFor     | For                    |
| 1I.  | Election of Director: T. R. Samuels                                       | ManagemenFor     | For                    |
| 1J.  | Election of Director: G. L. Storch  | ManagemenFor     | For                    |
| 1K.  | Election of Director: V. L. Sato, Ph.D.                                   | ManagemenFor     | For                    |
| 1L.  | Election of Director: K. H. Vousden, Ph.D.                                | ManagemenFor     | For                    |
| 2.   | Advisory vote to approve the compensation of our Named Executive Officers | ManagemenFor     | For                    |

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|    |  |             |         |
|----|--|-------------|---------|
| 3. | Ratification of the appointment of an independent registered public accounting firm  | Management  | For     |
| 4. | Shareholder Proposal on Annual Report Disclosing How Risks Related to Public Concern Over Drug Pricing Strategies are Incorporated into Incentive Compensation Plans | Shareholder | Against |
| 5. | Shareholder Proposal to Lower the Share Ownership Threshold to Call Special Shareholder Meetings   | Shareholder | Against |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 9,116         | 20,000             | 23-Mar-2018 | 02-May-2018    |

**CARVANA CO.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 146869102       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | CVNA            | <b>Meeting Date</b>       | 01-May-2018            |
| <b>ISIN</b>           | US1468691027    | <b>Agenda</b>             | 934749081 - Management |
| <b>Record Date</b>    | 12-Mar-2018     | <b>Holding Recon Date</b> | 12-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 30-Apr-2018            |

**SEDOL(s)**

**Quick Code**

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | DIRECTOR   | Management  |      |                        |
|      | 1 Ernie Garcia, III  |             | For  | For                    |
|      | 2 Ira Platt  |             | For  | For                    |
| 2.   | To ratify the selection of the Grant Thornton LLP as the Company's Independent Registered Public Accounting Firm for the fiscal year ending December 31, 2018. | Management  | For  | For                    |

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| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 165,500       | 0                  | 23-Mar-2018 | 02-May-2018    |

**STARWOOD PROPERTY TRUST, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 85571B105       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | STWD            | <b>Meeting Date</b>       | 02-May-2018            |
| <b>ISIN</b>           | US85571B1052    | <b>Agenda</b>             | 934750476 - Management |
| <b>Record Date</b>    | 12-Mar-2018     | <b>Holding Recon Date</b> | 12-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 01-May-2018            |

**SEDOL(s)**

**Quick Code**

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | DIRECTOR  | Management  |      |                        |
|      | 1 Richard D. Bronson  |             | For  | For                    |
|      | 2 Jeffrey G. Dishner  |             | For  | For                    |
|      | 3 Camille J. Douglas  |             | For  | For                    |
|      | 4 Solomon J. Kumin  |             | For  | For                    |
|      | 5 Barry S. Sternlicht   |             | For  | For                    |
|      | 6 Strauss Zelnick   |             | For  | For                    |
| 2.   | To approve, on an advisory basis, the Company's executive compensation as disclosed in the accompanying proxy statement.  | Management  | For  | For                    |
| 3.   | To ratify the Audit Committee's appointment of Deloitte & Touche LLP as the Company's independent registered public accounting firm for the calendar year ending December 31, 2018. | Management  | For  | For                    |

| Account | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date |
|---------|--------------|------------------|-----------|---------------|--------------------|-----------|------|
|---------|--------------|------------------|-----------|---------------|--------------------|-----------|------|

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| Number  | Account           | Shares  | Shares  | Confirmed |             |             |
|---------|-------------------|---------|---------|-----------|-------------|-------------|
| 997AL47 | GLQ BNP<br>PLEDGE | 997AL47 | 350,500 | 0         | 24-Mar-2018 | 03-May-2018 |

STATE  
STREET  
BANK  
&  
TRUST  
CO

**PHYSICIANS REALTY TRUST**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 71943U104       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | DOC             | <b>Meeting Date</b>       | 03-May-2018            |
| <b>ISIN</b>           | US71943U1043    | <b>Agenda</b>             | 934736337 - Management |
| <b>Record Date</b>    | 01-Mar-2018     | <b>Holding Recon Date</b> | 01-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 02-May-2018            |

**SEDOL(s)**

**Quick Code**

| Item | Proposal  | Proposed<br>by | Vote | For/Against<br>Management |
|------|---|----------------|------|---------------------------|
| 1.   | DIRECTOR  | Management     |      |                           |
|      | 1 John T. Thomas  |                | For  | For                       |
|      | 2 Tommy G. Thompson   |                | For  | For                       |
|      | 3 Stanton D. Anderson   |                | For  | For                       |
|      | 4 Mark A. Baumgartner   |                | For  | For                       |
|      | 5 Albert C. Black, Jr.  |                | For  | For                       |
|      | 6 William A. Ebinger MD   |                | For  | For                       |
|      | 7 Pamela J. Kessler   |                | For  | For                       |
|      | 8 Richard A. Weiss  |                | For  | For                       |
| 2.   | To ratify the appointment of Ernst & Young LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2018. | Management     | For  | For                       |
| 3.   | To approve, on an advisory basis, the compensation of the Company's named executive officers, as disclosed in the accompanying proxy statement.             | Management     | For  | For                       |

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| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 136,000       | 0                  | 23-Mar-2018 | 04-May-2018    |

**GCI LIBERTY, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 36164V305       | <b>Meeting Type</b>       | Special                |
| <b>Ticker Symbol</b>  | GLIBA           | <b>Meeting Date</b>       | 07-May-2018            |
| <b>ISIN</b>           | US36164V3050    | <b>Agenda</b>             | 934771278 - Management |
| <b>Record Date</b>    | 14-Mar-2018     | <b>Holding Recon Date</b> | 14-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 04-May-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | Approve the Agreement and Plan of Merger by and between GCI Liberty, Inc. and GCI Merger Sub, Inc., pursuant to which GCI Liberty, Inc. will merge with and into GCI Merger Sub, Inc., with GCI Merger Sub, Inc. (which shall be renamed GCI Liberty, Inc.) continuing as the surviving corporation and existing under the laws of the State of Delaware. | Management  | For  | For                    |
| 2.   | A proposal to authorize the adjournment of the special meeting by GCI liberty, inc. to permit further solicitation of proxies, if necessary or appropriate, if sufficient votes are not represented at the special meeting to approve the other proposal to be presented at the special meeting.  | Management  | For  | For                    |

| Account Number | Account Name   | Internal Account | Custodian    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|--------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET | 63,701        | 59,800             | 04-Apr-2018 | 08-May-2018    |

|         |               |         |                          |        |   |             |             |
|---------|---------------|---------|--------------------------|--------|---|-------------|-------------|
|         |               |         | BANK<br>&<br>TRUST<br>CO |        |   |             |             |
|         |               |         | STATE<br>STREET          |        |   |             |             |
| 997AL61 | GLQ MS PLEDGE | 997AL61 | BANK<br>&<br>TRUST<br>CO | 15,820 | 0 | 04-Apr-2018 | 08-May-2018 |

**BYD COMPANY LIMITED**

|                       |   |                           |                               |
|-----------------------|---|---------------------------|-------------------------------|
| <b>Security</b>       | Y1023R104   | <b>Meeting Type</b>       | ExtraOrdinary General Meeting |
| <b>Ticker Symbol</b>  |   | <b>Meeting Date</b>       | 09-May-2018                   |
| <b>ISIN</b>           | CNE100000296  | <b>Agenda</b>             | 709069593 - Management        |
| <b>Record Date</b>    | 06-Apr-2018   | <b>Holding Recon Date</b> | 06-Apr-2018                   |
| <b>City / Country</b> | SHENZHEN / China                                      | <b>Vote Deadline Date</b> | 30-Apr-2018                   |
| <b>SEDOL(s)</b>       | 6536651 - B01XKF2 -<br>B0WVS95 - BD8GJR0 -<br>BDDXWZ5 | <b>Quick Code</b>         |                               |

| <b>Item</b> | <b>Proposal</b>   | <b>Proposed Vote by</b> | <b>For/Against Management</b> |
|-------------|---|-------------------------|-------------------------------|
| CMMT        | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br>HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEW S/SEHK/2018/0318/LTN20180318017.PDF,-<br>HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEW S/SEHK/2018/0318/LTN20180318013.PDF-AND-<br>HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEW S/SEHK/2018/0318/LTN20180318011.PDF | Non-Voting              |                               |
| 1           | TO CONSIDER AND APPROVE THE ISSUANCE OF ASSET-BACKED SECURITIES (THE "ABS") OF NOT MORE THAN RMB10 BILLION  | Management              | For                           |
| 2           | TO CONSIDER AND APPROVE THE AUTHORISATION TO THE BOARD OF DIRECTORS OF THE  | Management              | For                           |

COMPANY (THE "BOARD") OR THE PERSON(S) AUTHORISED BY THE BOARD TO DEAL WITH ALL THE MATTERS IN RELATION TO THE ISSUANCE OF ABS

3 TO CONSIDER AND APPROVE THE AUTHORISATION TO THE BOARD TO DETERMINE THE PROPOSAL FOR THE ISSUANCE OF DEBT FINANCING INSTRUMENT(S) ManagementFor For

4 TO CONSIDER AND APPROVE THE RESOLUTION ON THE ADJUSTMENT TO AND OPTIMISATION OF THE SCOPE OF INVESTMENT PROJECT UNDER THE NON-PUBLIC ISSUANCE AND THE INTRODUCTION OF NEW IMPLEMENTATION ENTITIES ManagementFor For

| Account Number | Account Name | Internal Account  | Custodian | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|-------------|----------------|
| AL28           | AL28         | STATE STREET BANK |           | 242,100       | 0                  | 20-Mar-2018 | 04-May-2018    |

**KINDER MORGAN, INC.**

|                       |                 |  |                           |                        |
|-----------------------|-----------------|--|---------------------------|------------------------|
| <b>Security</b>       | 49456B101       |  | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | KMI             |  | <b>Meeting Date</b>       | 09-May-2018            |
| <b>ISIN</b>           | US49456B1017    |  | <b>Agenda</b>             | 934748990 - Management |
| <b>Record Date</b>    | 12-Mar-2018     |  | <b>Holding Recon Date</b> | 12-Mar-2018            |
| <b>City / Country</b> | / United States |  | <b>Vote Deadline Date</b> | 08-May-2018            |
| <b>SEDOL(s)</b>       |                 |  | <b>Quick Code</b>         |                        |

| Item | Proposal                                | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1a.  | Election of Director: Richard D. Kinder | Management  | For  | For                    |
| 1b.  | Election of Director: Steven J. Kean    | Management  | For  | For                    |
| 1c.  |   | Management  | For  | For                    |



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|     |   |                     |     |
|-----|---|---------------------|-----|
|     | Election of Director: Kimberly A. Dang  |                     |     |
| 1d. | Election of Director: Ted A. Gardner  | ManagemenFor        | For |
| 1e. | Election of Director: Anthony W. Hall, Jr.  | ManagemenFor        | For |
| 1f. | Election of Director: Gary L. Hultquist   | ManagemenFor        | For |
| 1g. | Election of Director: Ronald L. Kuehn, Jr.  | ManagemenFor        | For |
| 1h. | Election of Director: Deborah A. Macdonald  | ManagemenFor        | For |
| 1i. | Election of Director: Michael C. Morgan   | ManagemenFor        | For |
| 1j. | Election of Director: Arthur C. Reichstetter  | ManagemenFor        | For |
| 1k. | Election of Director: Fayez Sarofim   | ManagemenFor        | For |
| 1l. | Election of Director: C. Park Shaper  | ManagemenFor        | For |
| 1m. | Election of Director: William A. Smith  | ManagemenFor        | For |
| 1n. | Election of Director: Joel V. Staff   | ManagemenFor        | For |
| 1o. | Election of Director: Robert F. Vagt  | ManagemenFor        | For |
| 1p. | Election of Director: Perry M. Waughtal   | ManagemenFor        | For |
| 2.  | Ratification of the selection of PricewaterhouseCoopers LLP as our independent registered public accounting firm for 2018 | ManagemenFor        | For |
| 3.  | Approval, on an advisory basis, of the compensation of our named executive officers, as disclosed in the Proxy Statement  | ManagemenFor        | For |
| 4.  | Frequency with which we will hold an advisory vote on the compensation of our named executive officers                    | Managemenß Years    | For |
| 5.  | Stockholder proposal relating to a report on methane emissions  | Shareholder Against | For |
| 6.  | Stockholder proposal relating to an annual sustainability report  | Shareholder Against | For |
| 7.  | Stockholder proposal relating to an assessment of the long-term portfolio   | Shareholder Against | For |

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impacts of scenarios consistent with  
global climate change policies

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 39,700        | 216,300            | 30-Mar-2018 | 10-May-2018    |

**CARDIOME PHARMA CORP.**

|                       |              |                           |                            |
|-----------------------|--------------|---------------------------|----------------------------|
| <b>Security</b>       | 14159U301    | <b>Meeting Type</b>       | Annual and Special Meeting |
| <b>Ticker Symbol</b>  | CRME         | <b>Meeting Date</b>       | 09-May-2018                |
| <b>ISIN</b>           | CA14159U3010 | <b>Agenda</b>             | 934792400 - Management     |
| <b>Record Date</b>    | 02-Apr-2018  | <b>Holding Recon Date</b> | 02-Apr-2018                |
| <b>City / Country</b> | / Canada     | <b>Vote Deadline Date</b> | 04-May-2018                |
| <b>SEDOL(s)</b>       |              | <b>Quick Code</b>         |                            |

| Item | Proposal   | Proposed Vote by | For/Against Management |
|------|--|------------------|------------------------|
| 1    | DIRECTOR   | Management       |                        |
|      | 1 Richard M. Glickman  | For              | For                    |
|      | 2 W. James O'Shea  | For              | For                    |
|      | 3 William L. Hunter  | For              | For                    |
|      | 4 Mark H. Corrigan   | For              | For                    |
|      | 5 Arthur H. Willms   | For              | For                    |
|      | 6 Robert J. Meyer  | For              | For                    |
| 2    | Appointment of KPMG LLP, Chartered Professional Accountants as auditors of Cardiome Pharma Corp. for the ensuing year and authorizing the directors to fix their remuneration. | Management       | For                    |
| 3    | In respect of a special resolution (with or without amendment), the full text of which is set forth in Appendix A to the   | Management       | For                    |

management information circular of Cardiome Pharma Corp. dated April 6, 2018 (the "Circular"), approving an arrangement under section 192 of the Canada Business Corporations Act made in accordance with the arrangement agreement dated March 19, 2018 among Cardiome Pharma Corp., Correvio Pharma Corp. and Cipher Pharmaceuticals Inc., all as more particularly described in the Circular.

4 To consider, and if thought fit, approve, with or without amendment, an ordinary resolution to approve a stock option plan for Correvio Pharma Corp., as more particularly described in the Circular. Management For

5 To consider, and if thought fit, approve, with or without amendment, an ordinary resolution to approve a restricted share unit plan for Correvio Pharma Corp., as more particularly described in the Circular. Management For

| Account Number | Account Name   | Internal Account | Ballot Custodian Shares               | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|---------------------------------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO<br>7,000 | 348,500            | 19-Apr-2018 | 10-May-2018    |

**EXPRESS SCRIPTS HOLDING COMPANY**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 30219G108       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | ESRX            | <b>Meeting Date</b>       | 10-May-2018            |
| <b>ISIN</b>           | US30219G1085    | <b>Agenda</b>             | 934745716 - Management |
| <b>Record Date</b>    | 13-Mar-2018     | <b>Holding Recon Date</b> | 13-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 09-May-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |
| <b>Item</b>           | <b>Proposal</b> | <b>Vote</b>               |                        |

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|     |   | <b>Proposed<br/>by</b> | <b>For/Against<br/>Management</b> |
|-----|---|------------------------|-----------------------------------|
| 1a. | Election of Director: Maura C. Breen  | Management             | For                               |
| 1b. | Election of Director: William J. DeLaney  | Management             | For                               |
| 1c. | Election of Director: Elder Granger, MD, MG, USA (Retired)  | Management             | For                               |
| 1d. | Election of Director: Nicholas J. LaHowchic   | Management             | For                               |
| 1e. | Election of Director: Thomas P. Mac Mahon   | Management             | For                               |
| 1f. | Election of Director: Kathleen M. Mazzarella  | Management             | For                               |
| 1g. | Election of Director: Frank Mergenthaler  | Management             | For                               |
| 1h. | Election of Director: Woodrow A. Myers, Jr., MD   | Management             | For                               |
| 1i. | Election of Director: Roderick A. Palmore   | Management             | For                               |
| 1j. | Election of Director: George Paz  | Management             | For                               |
| 1k. | Election of Director: William L. Roper, MD, MPH   | Management             | For                               |
| 1l. | Election of Director: Seymour Sternberg   | Management             | For                               |
| 1m. | Election of Director: Timothy Wentworth   | Management             | For                               |
| 2.  | To ratify the appointment of PricewaterhouseCoopers LLP as the Company's independent registered public accountants for 2018.  | Management             | For                               |
| 3.  | To approve, by non-binding vote, the compensation of the Company's named executive officers.  | Management             | For                               |
| 4.  | Stockholder proposal requesting the Company to report annually to the Board and stockholders identifying whether there exists a gender pay-gap among the Company's employees and other related disclosures. | Shareholder            | Against                           |
| 5.  |   | Shareholder            | Against                           |

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Stockholder proposal requesting the Board annually review and publicly report on its cyber risk.

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 100           | 17,700             | 29-Mar-2018 | 11-May-2018    |

**PULTEGROUP, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 745867101       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | PHM             | <b>Meeting Date</b>       | 10-May-2018            |
| <b>ISIN</b>           | US7458671010    | <b>Agenda</b>             | 934758636 - Management |
| <b>Record Date</b>    | 16-Mar-2018     | <b>Holding Recon Date</b> | 16-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 09-May-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal                                  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1a.  | Election of Director: Brian P. Anderson   | Managemen   | For  | For                    |
| 1b.  | Election of Director: Bryce Blair         | Managemen   | For  | For                    |
| 1c.  | Election of Director: Richard W. Dreiling | Managemen   | For  | For                    |
| 1d.  | Election of Director: Thomas J. Folliard  | Managemen   | For  | For                    |
| 1e.  | Election of Director: Cheryl W. Grise     | Managemen   | For  | For                    |
| 1f.  | Election of Director: Andre J. Hawaux     | Managemen   | For  | For                    |
| 1g.  | Election of Director: Ryan R. Marshall    | Managemen   | For  | For                    |
| 1h.  | Election of Director: John R. Peshkin     | Managemen   | For  | For                    |
| 1i.  | Election of Director: Scott F. Powers     | Managemen   | For  | For                    |
| 1j.  | Election of Director: William J. Pulte    | Managemen   | For  | For                    |
| 2.   |   | Managemen   | For  | For                    |

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The ratification of the appointment of Ernst & Young LLP as our independent registered public accounting firm for 2018.

3. Say on Pay - An advisory vote to approve executive compensation. ManagemenFor For

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 100           | 27,500             | 29-Mar-2018 | 11-May-2018    |

**BOSTON SCIENTIFIC CORPORATION**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 101137107       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | BSX             | <b>Meeting Date</b>       | 10-May-2018            |
| <b>ISIN</b>           | US1011371077    | <b>Agenda</b>             | 934758751 - Management |
| <b>Record Date</b>    | 16-Mar-2018     | <b>Holding Recon Date</b> | 16-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 09-May-2018            |

**SEDOL(s)**

**Quick Code**

| Item | Proposal                                     | Proposed by  | Vote | For/Against Management |
|------|--|--------------|------|------------------------|
| 1a.  | Election of Director: Nelda J. Connors       | ManagemenFor |      | For                    |
| 1b.  | Election of Director: Charles J. Dockendorff | ManagemenFor |      | For                    |
| 1c.  | Election of Director: Yoshiaki Fujimori      | ManagemenFor |      | For                    |
| 1d.  | Election of Director: Donna A. James         | ManagemenFor |      | For                    |
| 1e.  | Election of Director: Edward J. Ludwig       | ManagemenFor |      | For                    |
| 1f.  | Election of Director: Stephen P. MacMillan   | ManagemenFor |      | For                    |
| 1g.  | Election of Director: Michael F. Mahoney     | ManagemenFor |      | For                    |

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- |     |   |            |     |
|-----|---|------------|-----|
| 1h. | Election of Director: David J. Roux   | Management | For |
| 1i. | Election of Director: John E. Sununu  | Management | For |
| 1j. | Election of Director: Ellen M. Zane   | Management | For |
| 2.  | To approve, on a non-binding, advisory basis, named executive officer compensation.   | Management | For |
| 3.  | To ratify the appointment of Ernst & Young LLP as our independent registered public accounting firm for the 2018 fiscal year. | Management | For |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 13,100        | 10,900             | 29-Mar-2018 | 11-May-2018    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61          | STATE STREET BANK & TRUST CO | 30,000        | 0                  | 29-Mar-2018 | 11-May-2018    |

**FAIRWAY ENERGY**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 30605H108       | <b>Meeting Type</b>       | Special                |
| <b>Ticker Symbol</b>  | FRWYZ           | <b>Meeting Date</b>       | 11-May-2018            |
| <b>ISIN</b>           | US30605H1086    | <b>Agenda</b>             | 934807756 - Management |
| <b>Record Date</b>    | 05-Apr-2018     | <b>Holding Recon Date</b> | 05-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 10-May-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal   | Proposed by | Vote    | For/Against Management |
|------|--|-------------|---------|------------------------|
| 1a.  | To remove the current director of the Board of Directors of Fairway Energy GP, LLC: Porter Bennett | Shareholder | Against | For                    |
| 1b.  |  | Shareholder | Against | For                    |

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|     |  |                     |     |     |
|-----|--|---------------------|-----|-----|
|     | To remove the current director of the Board of Directors of Fairway Energy GP, LLC: Christopher C. Hilgert |                     |     |     |
| 1c. | To remove the current director of the Board of Directors of Fairway Energy GP, LLC: J. Chris Jones         | Shareholder Against |     | For |
| 1d. | To remove the current director of the Board of Directors of Fairway Energy GP, LLC: Arthur L. Smith        | Shareholder Against |     | For |
| 1e. | To remove the current director of the Board of Directors of Fairway Energy GP, LLC: Nicholas S. Swyka, Jr. | Shareholder Against |     | For |
| 1f. | To remove the current director of the Board of Directors of Fairway Energy GP, LLC: William T. Wilson      | Shareholder Against |     | For |
| 1g. | To remove the current director of the Board of Directors of Fairway Energy GP, LLC: Jim P. Wise            | Shareholder Against |     | For |
| 2a. | Election of Member of Board of Directors of Fairway Energy GP, LLC: Porter Bennett                         | Management          | For | For |
| 2b. | Election of Member of Board of Directors of Fairway Energy GP, LLC: Christopher C. Hilgert                 | Management          | For | For |
| 2c. | Election of Member of Board of Directors of Fairway Energy GP, LLC: J. Chris Jones                         | Management          | For | For |
| 2d. | Election of Member of Board of Directors of Fairway Energy GP, LLC: Arthur L. Smith                        | Management          | For | For |
| 2e. | Election of Member of Board of Directors of Fairway Energy GP, LLC: Nicholas S. Swyka, Jr.                 | Management          | For | For |
| 2f. | Election of Member of Board of Directors of Fairway Energy GP, LLC: William T. Wilson                      | Management          | For | For |
| 2g. | Election of Member of Board of Directors of Fairway Energy GP, LLC: Jim P. Wise                            | Management          | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-----------|---------------|--------------------|-----------|----------------|
|----------------|--------------|------------------|-----------|---------------|--------------------|-----------|----------------|



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|         |     |         |   |         |   |             |             |
|---------|-----|---------|---|---------|---|-------------|-------------|
| 997AL28 | GLQ | 997AL28 | STATE<br>STREET<br>BANK<br>&<br>TRUST<br>CO | 217,600 | 0 | 27-Apr-2018 | 14-May-2018 |
|---------|-----|---------|---|---------|---|-------------|-------------|

**THE GABELLI EQUITY TRUST INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 362397101       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | GAB             | <b>Meeting Date</b>       | 14-May-2018            |
| <b>ISIN</b>           | US3623971013    | <b>Agenda</b>             | 934764867 - Management |
| <b>Record Date</b>    | 16-Mar-2018     | <b>Holding Recon Date</b> | 16-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 11-May-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal                | Proposed by | Vote | For/Against Management |
|------|-------------------------|-------------|------|------------------------|
| 1.   | DIRECTOR                |             |      |                        |
|      | 1 Michael J. Ferrantino |             | For  | For                    |

| Account Number | Account Name   | Internal Account | Custodian                                   | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|---|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE<br>STREET<br>BANK<br>&<br>TRUST<br>CO | 106,400       | 0                  | 31-Mar-2018 | 15-May-2018    |

**ARES CAPITAL CORPORATION**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 04010L103       | <b>Meeting Type</b>       | Special                |
| <b>Ticker Symbol</b>  | ARCC            | <b>Meeting Date</b>       | 14-May-2018            |
| <b>ISIN</b>           | US04010L1035    | <b>Agenda</b>             | 934768358 - Management |
| <b>Record Date</b>    | 16-Mar-2018     | <b>Holding Recon Date</b> | 16-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 11-May-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal | Vote |
|------|----------|------|
|------|----------|------|

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|   |   | <b>Proposed<br/>by</b> | <b>For/Against<br/>Management</b> |
|---|---|------------------------|-----------------------------------|
| 1 | To authorize the Company, with the approval of its board of directors, to sell or otherwise issue shares of its common stock at a price below its then current net asset value per share subject to the limitations set forth in the proxy statement for the special meeting of stockholders. | Management             | For                               |

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Account Custodian</b>       | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|---|----------------------|---------------------------|------------------|-----------------------|
| 997AL47               | GLQ BNP PLEDGE      | 997AL47<br>STATE STREET BANK & TRUST CO | 390,200              | 0                         | 03-Apr-2018      | 15-May-2018           |
| 997AL61               | GLQ MS PLEDGE       | 997AL61<br>STATE STREET BANK & TRUST CO | 15,600               | 0                         | 03-Apr-2018      | 15-May-2018           |

**ARES CAPITAL CORPORATION**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 04010L103       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | ARCC            | <b>Meeting Date</b>       | 14-May-2018            |
| <b>ISIN</b>           | US04010L1035    | <b>Agenda</b>             | 934771177 - Management |
| <b>Record Date</b>    | 16-Mar-2018     | <b>Holding Recon Date</b> | 16-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 11-May-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| <b>Item</b> | <b>Proposal</b>                                | <b>Proposed<br/>by</b> | <b>Vote</b> | <b>For/Against<br/>Management</b> |
|-------------|--|------------------------|-------------|-----------------------------------|
| 1a.         | Election of Class II Director: Steve Bartlett  | Management             | For         | For                               |
| 1b.         | Election of Class II Director: Robert L. Rosen | Management             | For         | For                               |
| 1c.         |  | Management             | For         | For                               |

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Election of Class II Director: Bennett Rosenthal

2. To ratify the selection of KPMG LLP as the Company's independent registered public accounting firm for the year ending December 31, 2018. ManagemenFor For

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 390,200       | 0                  | 04-Apr-2018 | 15-May-2018    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61          | STATE STREET BANK & TRUST CO | 15,600        | 0                  | 04-Apr-2018 | 15-May-2018    |

**FIRST REPUBLIC BANK**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 33616C100       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | FRC             | <b>Meeting Date</b>       | 15-May-2018            |
| <b>ISIN</b>           | US33616C1009    | <b>Agenda</b>             | 934753458 - Management |
| <b>Record Date</b>    | 16-Mar-2018     | <b>Holding Recon Date</b> | 16-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 14-May-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal                                       | Proposed Vote by | For/Against Management |
|------|--|------------------|------------------------|
| 1a.  | Election of Director: James H. Herbert, II     | ManagemenFor     | For                    |
| 1b.  | Election of Director: Katherine August-deWilde | ManagemenFor     | For                    |
| 1c.  | Election of Director: Thomas J. Barrack, Jr.   | ManagemenFor     | For                    |
| 1d.  | Election of Director: Frank J. Fahrenkopf, Jr. | ManagemenFor     | For                    |

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- |     |  |             |         |
|-----|--|-------------|---------|
| 1e. | Election of Director: L. Martin Gibbs  | Management  | For     |
| 1f. | Election of Director: Boris Groysberg  | Management  | For     |
| 1g. | Election of Director: Sandra R. Hernandez  | Management  | For     |
| 1h. | Election of Director: Pamela J. Joyner   | Management  | For     |
| 1i. | Election of Director: Reynold Levy   | Management  | For     |
| 1j. | Election of Director: Duncan L. Niederauer   | Management  | For     |
| 1k. | Election of Director: George G.C. Parker   | Management  | For     |
| 1l. | Election of Director: Cheryl Spielman  | Management  | For     |
| 2.  | To ratify the appointment of KPMG LLP as independent auditor of First Republic Bank for the fiscal year ended December 31, 2018.   | Management  | For     |
| 3.  | To approve, by advisory (non-binding) vote, the compensation of our executive officers (a "say on pay" vote).  | Management  | For     |
| 4.  | A shareholder proposal requesting that First Republic Bank prepare a diversity report to include specific additional disclosure relating to EEOC-defined metrics and details on related policies and programs. | Shareholder | Against |

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Account</b> | <b>Custodian</b>             | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|-------------------------|------------------------------|----------------------|---------------------------|------------------|-----------------------|
| 997AL47               | GLQ BNP PLEDGE      | 997AL47                 | STATE STREET BANK & TRUST CO | 5,100                | 0                         | 03-Apr-2018      | 16-May-2018           |
| 997AL61               | GLQ MS PLEDGE       | 997AL61                 | STATE STREET BANK & TRUST CO | 10,000               | 0                         | 03-Apr-2018      | 16-May-2018           |

JPMORGAN CHASE & CO.

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|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 46625H100       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | JPM             | <b>Meeting Date</b>       | 15-May-2018            |
| <b>ISIN</b>           | US46625H1005    | <b>Agenda</b>             | 934764463 - Management |
| <b>Record Date</b>    | 16-Mar-2018     | <b>Holding Recon Date</b> | 16-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 14-May-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| <b>Item</b> | <b>Proposal</b>  | <b>Proposed<br/>by</b> | <b>Vote</b> | <b>For/Against<br/>Management</b> |
|-------------|--|------------------------|-------------|-----------------------------------|
| 1a.         | Election of Director: Linda B. Bammann   | Managemen              | For         | For                               |
| 1b.         | Election of Director: James A. Bell  | Managemen              | For         | For                               |
| 1c.         | Election of Director: Stephen B. Burke   | Managemen              | For         | For                               |
| 1d.         | Election of Director: Todd A. Combs  | Managemen              | For         | For                               |
| 1e.         | Election of Director: James S. Crown   | Managemen              | For         | For                               |
| 1f.         | Election of Director: James Dimon  | Managemen              | For         | For                               |
| 1g.         | Election of Director: Timothy P. Flynn   | Managemen              | For         | For                               |
| 1h.         | Election of Director: Mellody Hobson   | Managemen              | For         | For                               |
| 1i.         | Election of Director: Laban P. Jackson Jr.                                       | Managemen              | For         | For                               |
| 1j.         | Election of Director: Michael A. Neal  | Managemen              | For         | For                               |
| 1k.         | Election of Director: Lee R. Raymond   | Managemen              | For         | For                               |
| 1l.         | Election of Director: William C. Weldon  | Managemen              | For         | For                               |
| 2.          | Ratification of special meeting provisions in the Firm's By- Laws                | Managemen              | For         | For                               |
| 3.          | Advisory resolution to approve executive compensation                            | Managemen              | For         | For                               |
| 4.          | Approval of Amended and Restated Long-Term Incentive Plan effective May 15, 2018 | Managemen              | For         | For                               |
| 5.          | Ratification of independent registered public accounting firm                    | Managemen              | For         | For                               |
| 6.          | Independent Board chairman   | Shareholder            | Against     | For                               |
| 7.          | Vesting for government service   | Shareholder            | Against     | For                               |

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- |    |  |                     |     |
|----|--|---------------------|-----|
| 8. | Proposal to report on investments tied to genocide | Shareholder Against | For |
| 9. | Cumulative Voting                                  | Shareholder Against | For |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 100           | 48,000             | 06-Apr-2018 | 16-May-2018    |

**ARBOR REALTY TRUST, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 038923108       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | ABR             | <b>Meeting Date</b>       | 15-May-2018            |
| <b>ISIN</b>           | US0389231087    | <b>Agenda</b>             | 934785467 - Management |
| <b>Record Date</b>    | 02-Apr-2018     | <b>Holding Recon Date</b> | 02-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 14-May-2018            |

**SEDOL(s)**

**Quick Code**

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | DIRECTOR  | Management  |      |                        |
|      | 1 William Helmreich   |             | For  | For                    |
|      | 2 William C. Green  |             | For  | For                    |
| 2.   | Ratification of the appointment of Ernst & Young LLP as the independent registered public accounting firm of Arbor Realty Trust, Inc. for fiscal year 2018. | Management  | For  | For                    |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 172,300       | 0                  | 12-Apr-2018 | 16-May-2018    |

CO

**TENCENT HOLDINGS LIMITED**

|                       |  |                           |                        |
|-----------------------|--|---------------------------|------------------------|
| <b>Security</b>       | G87572163  | <b>Meeting Type</b>       | Annual General Meeting |
| <b>Ticker Symbol</b>  |  | <b>Meeting Date</b>       | 16-May-2018            |
| <b>ISIN</b>           | KYG875721634   | <b>Agenda</b>             | 709223553 - Management |
| <b>Record Date</b>    | 10-May-2018  | <b>Holding Recon Date</b> | 10-May-2018            |
| <b>City / Country</b> | HONG KONG / Cayman Islands   | <b>Vote Deadline Date</b> | 09-May-2018            |
| <b>SEDOL(s)</b>       | BD8NG70 - BDDXGP3<br>- BMMV2K8 -<br>BMN9869 - BMNDJT1 -<br>BP3RXY7 | <b>Quick Code</b>         |                        |

| <b>Item</b> | <b>Proposal</b>  | <b>Proposed Vote by</b> | <b>For/Against Management</b> |
|-------------|--|-------------------------|-------------------------------|
| CMMT        | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br>HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEW S/SEHK/2018/0410/LTN20180410937.PDF-AND-<br>HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEW S/SEHK/2018/0410/LTN20180410939.PDF | Non-Voting              |                               |
| CMMT        | PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING   | Non-Voting              |                               |
| 1           | TO RECEIVE AND CONSIDER THE AUDITED FINANCIAL STATEMENTS, THE DIRECTORS' REPORT AND THE INDEPENDENT AUDITOR'S REPORT FOR THE YEAR ENDED 31 DECEMBER 2017   | ManagemenFor            | For                           |
| 2           | TO DECLARE A FINAL DIVIDEND  | ManagemenFor            | For                           |
| 3.A         | TO RE-ELECT MR LI DONG SHENG AS DIRECTOR   | ManagemenFor            | For                           |
| 3.B         | TO RE-ELECT MR IAIN  | ManagemenFor            | For                           |

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FERGUSON BRUCE AS  
DIRECTOR

3.C TO AUTHORISE THE BOARD OF DIRECTORS TO FIX THE DIRECTORS' REMUNERATION ManagementFor For

4 APPROVE PRICEWATERHOUSECOOPERS AS AUDITOR AND AUTHORIZE BOARD TO FIX THEIR REMUNERATION ManagementFor For

5 TO GRANT A GENERAL MANDATE TO THE DIRECTORS TO ISSUE NEW SHARES ManagementFor For

6 TO GRANT A GENERAL MANDATE TO THE DIRECTORS TO REPURCHASE SHARES ManagementFor For

CMMT PLEASE NOTE THAT RESOLUTION 7 IS CONDITIONAL UPON PASSING OF RESOLUTION NO 5-AND 6. THANK YOU Non-Voting

7 TO EXTEND THE GENERAL MANDATE TO ISSUE NEW SHARES BY ADDING THE NUMBER OF SHARES REPURCHASED ManagementFor For

CMMT 16 APR 2018: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION OF THE-TEXT IN RESOLUTION 4. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT-VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU. Non-Voting

| Account Number | Account Name | Internal Account  | Custodian | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|-------------|----------------|
| AL28           | AL28         | STATE STREET BANK |           | 13,400        | 0                  | 12-Apr-2018 | 10-May-2018    |

**ALIGN TECHNOLOGY, INC.**

|                      |           |                     |             |
|----------------------|-----------|---------------------|-------------|
| <b>Security</b>      | 016255101 | <b>Meeting Type</b> | Annual      |
| <b>Ticker Symbol</b> | ALGN      | <b>Meeting Date</b> | 16-May-2018 |



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|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>ISIN</b>           | US0162551016    | <b>Agenda</b>             | 934756567 - Management |
| <b>Record Date</b>    | 21-Mar-2018     | <b>Holding Recon Date</b> | 21-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 15-May-2018            |

**SEDOL(s)** **Quick Code**

| <b>Item</b> | <b>Proposal</b>  | <b>Proposed by</b> | <b>Vote</b> | <b>For/Against Management</b> |
|-------------|--|--------------------|-------------|-------------------------------|
| 1a.         | Election of Director: Kevin J. Dallas  | Management         | For         | For                           |
| 1b.         | Election of Director: Joseph M. Hogan  | Management         | For         | For                           |
| 1c.         | Election of Director: Joseph Lacob   | Management         | For         | For                           |
| 1d.         | Election of Director: C. Raymond Larkin, Jr.   | Management         | For         | For                           |
| 1e.         | Election of Director: George J. Morrow   | Management         | For         | For                           |
| 1f.         | Election of Director: Thomas M. Prescott   | Management         | For         | For                           |
| 1g.         | Election of Director: Andrea L. Saia   | Management         | For         | For                           |
| 1h.         | Election of Director: Greg J. Santora  | Management         | For         | For                           |
| 1i.         | Election of Director: Susan E. Siegel  | Management         | For         | For                           |
| 1j.         | Election of Director: Warren S. Thaler   | Management         | For         | For                           |
| 2.          | Proposal to ratify the appointment of PricewaterhouseCoopers LLP as Align Technology, Inc.'s independent registered public accountants for the fiscal year ending December 31, 2018. | Management         | For         | For                           |
| 3.          | ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION.   | Management         | For         | For                           |

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Account</b> | <b>Custodian</b>             | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|-------------------------|------------------------------|----------------------|---------------------------|------------------|-----------------------|
| 997AL47               | GLQ BNP PLEDGE      | 997AL47                 | STATE STREET BANK & TRUST CO | 1,550                | 2,900                     | 07-Apr-2018      | 17-May-2018           |

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**WAYFAIR INC**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 94419L101       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | W               | <b>Meeting Date</b>       | 16-May-2018            |
| <b>ISIN</b>           | US94419L1017    | <b>Agenda</b>             | 934760225 - Management |
| <b>Record Date</b>    | 21-Mar-2018     | <b>Holding Recon Date</b> | 21-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 15-May-2018            |

**SEDOL(s)**

**Quick Code**

| <b>Item</b> | <b>Proposal</b>   | <b>Proposed by</b> | <b>Vote</b> | <b>For/Against Management</b> |
|-------------|---|--------------------|-------------|-------------------------------|
| 1a.         | Election of Director: Niraj Shah  | Managemen          | For         | For                           |
| 1b.         | Election of Director: Steven Conine   | Managemen          | For         | For                           |
| 1c.         | Election of Director: Julie Bradley   | Managemen          | For         | For                           |
| 1d.         | Election of Director: Robert Gamgort  | Managemen          | For         | For                           |
| 1e.         | Election of Director: Michael Kumin   | Managemen          | For         | For                           |
| 1f.         | Election of Director: James Miller  | Managemen          | For         | For                           |
| 1g.         | Election of Director: Jeffrey Naylor  | Managemen          | For         | For                           |
| 1h.         | Election of Director: Romero Rodriguez  | Managemen          | For         | For                           |
| 2.          | To ratify the appointment of Ernst & Young LLP as the Corporation's independent registered public accountants for the fiscal year ending December 31, 2018. | Managemen          | For         | For                           |

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Account</b> | <b>Custodian</b>             | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|-------------------------|------------------------------|----------------------|---------------------------|------------------|-----------------------|
| 997AL47               | GLQ BNP PLEDGE      | 997AL47                 | STATE STREET BANK & TRUST CO | 100                  | 10,900                    | 05-Apr-2018      | 17-May-2018           |

**COUNTRY GARDEN HOLDINGS COMPANY LIMITED**

|                      |           |                     |                        |
|----------------------|-----------|---------------------|------------------------|
| <b>Security</b>      | G24524103 | <b>Meeting Type</b> | Annual General Meeting |
| <b>Ticker Symbol</b> |           | <b>Meeting Date</b> | 17-May-2018            |

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|                       |   |                           |                        |
|-----------------------|---|---------------------------|------------------------|
| <b>ISIN</b>           | KYG245241032  | <b>Agenda</b>             | 709260448 - Management |
| <b>Record Date</b>    | 11-May-2018   | <b>Holding Recon Date</b> | 11-May-2018            |
| <b>City / Country</b> | HONG KONG / Cayman Islands                            | <b>Vote Deadline Date</b> | 07-May-2018            |
| <b>SEDOL(s)</b>       | B1VKYN6 - B1W6YY4<br>- B1WGSJ7 -<br>BD8NKW3 - BP3RTG1 | <b>Quick Code</b>         |                        |

| <b>Item</b> | <b>Proposal</b>   | <b>Proposed Vote by</b> | <b>For/Against Management</b> |
|-------------|---|-------------------------|-------------------------------|
| CMMT        | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br><a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2018/0413/LTN20180413461.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2018/0413/LTN20180413461.pdf</a> -AND-<br><a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2018/0413/LTN20180413423.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2018/0413/LTN20180413423.pdf</a> | Non-Voting              |                               |
| CMMT        | PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING  | Non-Voting              |                               |
| 1           | TO RECEIVE AND ADOPT THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS, THE REPORT OF THE DIRECTORS AND THE INDEPENDENT AUDITOR'S REPORT FOR THE YEAR ENDED 31 DECEMBER 2017  | Management              | For                           |
| 2           | TO DECLARE A FINAL DIVIDEND OF RMB24.95 CENTS PER SHARE FOR THE YEAR ENDED 31 DECEMBER 2017   | Management              | For                           |
| 3A1         | TO RE-ELECT MR. YEUNG KWOK YEUNG AS AN EXECUTIVE DIRECTOR OF THE COMPANY  | Management              | For                           |
| 3A2         | TO RE-ELECT MS. YANG ZIYING AS AN EXECUTIVE DIRECTOR OF THE COMPANY   | Management              | For                           |

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|     |   |            |     |
|-----|---|------------|-----|
| 3A3 | TO RE-ELECT MR. YANG ZHICHENG AS AN EXECUTIVE DIRECTOR OF THE COMPANY   | Management | For |
| 3A4 | TO RE-ELECT MR. TONG WUI TUNG AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR OF THE COMPANY   | Management | For |
| 3A5 | TO RE-ELECT MR. HUANG HONGYAN AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR OF THE COMPANY   | Management | For |
| 3.B | TO AUTHORIZE THE BOARD OF DIRECTORS OF THE COMPANY TO FIX THE DIRECTORS' REMUNERATION OF THE COMPANY  | Management | For |
| 4   | TO RE-APPOINT PRICEWATERHOUSECOOPERS AS AUDITOR OF THE COMPANY AND AUTHORIZE THE BOARD OF DIRECTORS OF THE COMPANY TO FIX THEIR REMUNERATION  | Management | For |
| 5   | TO GRANT A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO ISSUE NEW SHARES NOT EXCEEDING 20% OF THE ISSUED SHARES OF THE COMPANY  | Management | For |
| 6   | TO GRANT A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO BUY BACK SHARES NOT EXCEEDING 10% OF THE ISSUED SHARES OF THE COMPANY   | Management | For |
| 7   | TO EXTEND THE GENERAL MANDATE TO BE GRANTED TO THE DIRECTORS OF THE COMPANY TO ISSUE NEW SHARES OF THE COMPANY BY ADDING TO IT THE NUMBER OF SHARES BOUGHT BACK UNDER THE GENERAL MANDATE TO BUY BACK SHARES OF THE COMPANY | Management | For |
| 8   | TO APPROVE THE ADOPTION OF  | Management | For |

THE NEW ARTICLES OF  
ASSOCIATION OF THE  
COMPANY

| Account Number | Account Name | Internal Account  | Custodian | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|-------------|----------------|
| AL28           | AL28         | STATE STREET BANK |           | 953,000       | 0                  | 14-Apr-2018 | 11-May-2018    |

**COUNTRY GARDEN HOLDINGS COMPANY LIMITED**

|                       |   |                           |                               |
|-----------------------|---|---------------------------|-------------------------------|
| <b>Security</b>       | G24524103   | <b>Meeting Type</b>       | ExtraOrdinary General Meeting |
| <b>Ticker Symbol</b>  |   | <b>Meeting Date</b>       | 17-May-2018                   |
| <b>ISIN</b>           | KYG245241032  | <b>Agenda</b>             | 709355881 - Management        |
| <b>Record Date</b>    | 11-May-2018   | <b>Holding Recon Date</b> | 11-May-2018                   |
| <b>City / Country</b> | HONG KONG / Cayman Islands                            | <b>Vote Deadline Date</b> | 07-May-2018                   |
| <b>SEDOL(s)</b>       | B1VKYN6 - B1W6YY4<br>- B1WGSJ7 -<br>BD8NKW3 - BP3RTG1 | <b>Quick Code</b>         |                               |

| Item | Proposal   | Proposed by | Vote       | For/Against Management |
|------|--|-------------|------------|------------------------|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br>HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEW S/SEHK/2018/0427/LTN20180427840.PDF-AND-<br>HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEW S/SEHK/2018/0427/LTN20180427986.PDF |             | Non-Voting |                        |
| CMMT | PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- RESOLUTION 1 AND 2, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING  |             | Non-Voting |                        |
| 1    | TO APPROVE THE CGS SHARE OPTION SCHEME (AS DEFINED   | Managemen   | For        | For                    |

IN THE CIRCULAR OF THE  
COMPANY DATED 30 APRIL 2018)

2 TO APPROVE THE GRANT OF  
SHARE OPTIONS TO MR. MO BIN  
UNDER THE CGS SHARE OPTION  
SCHEME ManagementFor For

| Account Number | Account Name | Internal Account  | Custodian | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|-------------|----------------|
| AL28           | AL28         | STATE STREET BANK |           | 953,000       | 0                  | 28-Apr-2018 | 11-May-2018    |

**COMMUNITY HEALTHCARE TRUST INCORPORATED**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 20369C106       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | CHCT            | <b>Meeting Date</b>       | 17-May-2018            |
| <b>ISIN</b>           | US20369C1062    | <b>Agenda</b>             | 934766885 - Management |
| <b>Record Date</b>    | 16-Mar-2018     | <b>Holding Recon Date</b> | 16-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 16-May-2018            |

**SEDOL(s)** **Quick Code**

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | DIRECTOR   | Management  |      |                        |
|      | 1 Alan Gardner   |             | For  | For                    |
|      | 2 Claire Gulmi   |             | For  | For                    |
|      | 3 Robert Hensley   |             | For  | For                    |
|      | 4 Lawrence Van Horn  |             | For  | For                    |
|      | 5 Timothy Wallace  |             | For  | For                    |
| 2.   | To ratify the appointment of BDO USA, LLP as the Company's independent registered public accountants for 2018. | Management  | For  | For                    |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|------------------|-----------|---------------|--------------------|-------------|----------------|
| 997AL47        |              | 997AL47          |           | 45,800        | 0                  | 03-Apr-2018 | 18-May-2018    |

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GLQ BNP  
PLEDGE  
STATE  
STREET  
BANK  
&  
TRUST  
CO

**TPG SPECIALTY LENDING, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 87265K102       | <b>Meeting Type</b>       | Special                |
| <b>Ticker Symbol</b>  | TSLX            | <b>Meeting Date</b>       | 17-May-2018            |
| <b>ISIN</b>           | US87265K1025    | <b>Agenda</b>             | 934771038 - Management |
| <b>Record Date</b>    | 19-Mar-2018     | <b>Holding Recon Date</b> | 19-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 16-May-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| <b>Item</b> | <b>Proposal</b> | <b>Proposed Vote by</b> | <b>For/Against Management</b> |
|-------------|-----------------|-------------------------|-------------------------------|
|-------------|-----------------|-------------------------|-------------------------------|

|    |  |            |     |
|----|--|------------|-----|
| 1. | To approve a proposal to authorize the Company to sell or otherwise issue shares of its common stock at a price below its then-current net asset value per share in one or more offerings, in each case subject to the approval of its board of directors and subject to the conditions set forth in the accompanying proxy statement. | Management | For |
|----|--|------------|-----|

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Account</b> | <b>Custodian</b>             | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|-------------------------|------------------------------|----------------------|---------------------------|------------------|-----------------------|
| 997AL47               | GLQ BNP PLEDGE      | 997AL47                 | STATE STREET BANK & TRUST CO | 49,500               | 0                         | 04-Apr-2018      | 18-May-2018           |

**TPG SPECIALTY LENDING, INC.**

|                      |              |                     |                        |
|----------------------|--------------|---------------------|------------------------|
| <b>Security</b>      | 87265K102    | <b>Meeting Type</b> | Annual                 |
| <b>Ticker Symbol</b> | TSLX         | <b>Meeting Date</b> | 17-May-2018            |
| <b>ISIN</b>          | US87265K1025 | <b>Agenda</b>       | 934771317 - Management |
| <b>Record Date</b>   | 19-Mar-2018  |                     | 19-Mar-2018            |

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|                       |   |               |                           |             |
|-----------------------|---|---------------|---------------------------|-------------|
| <b>City / Country</b> | / | United States | <b>Holding Recon Date</b> |             |
|                       |   |               | <b>Vote Deadline Date</b> | 16-May-2018 |

|                 |                   |
|-----------------|-------------------|
| <b>SEDOL(s)</b> | <b>Quick Code</b> |
|-----------------|-------------------|

| <b>Item</b> | <b>Proposal</b>  | <b>Proposed by</b> | <b>Vote</b> | <b>For/Against Management</b> |
|-------------|--|--------------------|-------------|-------------------------------|
| 1.          | DIRECTOR   | Management         |             |                               |
|             | 1 Richard Higginbotham   |                    | For         | For                           |
|             | 2 Ronald Tanemura  |                    | For         | For                           |
| 2.          | To ratify the selection of KPMG LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2018. | Management         | For         | For                           |

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Account</b> | <b>Custodian</b>             | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|-------------------------|------------------------------|----------------------|---------------------------|------------------|-----------------------|
| 997AL47               | GLQ BNP PLEDGE      | 997AL47                 | STATE STREET BANK & TRUST CO | 49,500               | 0                         | 04-Apr-2018      | 18-May-2018           |

**VERTEX PHARMACEUTICALS INCORPORATED**

|                       |              |                           |                           |             |
|-----------------------|--------------|---------------------------|---------------------------|-------------|
| <b>Security</b>       | 92532F100    | <b>Meeting Type</b>       | Annual                    |             |
| <b>Ticker Symbol</b>  | VRTX         | <b>Meeting Date</b>       | 17-May-2018               |             |
| <b>ISIN</b>           | US92532F1003 | <b>Agenda</b>             | 934800574 - Management    |             |
| <b>Record Date</b>    | 29-Mar-2018  | <b>Holding Recon Date</b> | 29-Mar-2018               |             |
| <b>City / Country</b> | /            | United States             | <b>Vote Deadline Date</b> | 16-May-2018 |

|                 |                   |
|-----------------|-------------------|
| <b>SEDOL(s)</b> | <b>Quick Code</b> |
|-----------------|-------------------|

| <b>Item</b> | <b>Proposal</b>                                  | <b>Proposed by</b> | <b>Vote</b> | <b>For/Against Management</b> |
|-------------|--|--------------------|-------------|-------------------------------|
| 1.1         | Election of Class I Director: Sangeeta N. Bhatia | Management         | For         | For                           |
| 1.2         | Election of Class I Director: Jeffrey            | Management         | For         | For                           |



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M. Leiden

- |     |   |                     |     |
|-----|---|---------------------|-----|
| 1.3 | Election of Class I Director: Bruce I. Sachs  | ManagemenFor        | For |
| 2.  | Amendments to our charter and by-laws to eliminate supermajority provisions.  | ManagemenFor        | For |
| 3.  | Amendment and restatement of our 2013 Stock and Option Plan, to among other things, increase the number of shares available under the plan by 8.0 million shares. | ManagemenFor        | For |
| 4.  | Ratification of Ernst & Young LLP as our Independent Registered Public Accounting firm for the year ending December 31, 2018.                                     | ManagemenFor        | For |
| 5.  | Advisory vote on named executive officer compensation.  | ManagemenFor        | For |
| 6.  | Shareholder proposal, if properly presented at the meeting, requesting that we prepare a report on the risks to us of rising drug prices.                         | Shareholder Against | For |
| 7.  | Shareholder proposal, if properly presented at the meeting, requesting that we prepare a report on our policies and activities with respect to lobbying.          | Shareholder Against | For |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 4,450         | 2,900              | 24-Apr-2018 | 18-May-2018    |

**AMGEN INC.**

|                      |              |                           |                        |
|----------------------|--------------|---------------------------|------------------------|
| <b>Security</b>      | 031162100    | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b> | AMGN         | <b>Meeting Date</b>       | 22-May-2018            |
| <b>ISIN</b>          | US0311621009 | <b>Agenda</b>             | 934775101 - Management |
| <b>Record Date</b>   | 23-Mar-2018  | <b>Holding Recon Date</b> | 23-Mar-2018            |

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| City / Country | United States   | Vote Deadline Date  | 21-May-2018            |
|----------------|---|---------------------|------------------------|
| SEDOL(s)       |   | Quick Code          |                        |
| Item           | Proposal  | Proposed Vote by    | For/Against Management |
| 1a.            | Election of Director: Dr. Wanda M. Austin   | Management For      | For                    |
| 1b.            | Election of Director: Mr. Robert A. Bradway   | Management For      | For                    |
| 1c.            | Election of Director: Dr. Brian J. Druker   | Management For      | For                    |
| 1d.            | Election of Director: Mr. Robert A. Eckert  | Management For      | For                    |
| 1e.            | Election of Director: Mr. Greg C. Garland   | Management For      | For                    |
| 1f.            | Election of Director: Mr. Fred Hassan   | Management For      | For                    |
| 1g.            | Election of Director: Dr. Rebecca M. Henderson  | Management For      | For                    |
| 1h.            | Election of Director: Mr. Frank C. Herringer  | Management For      | For                    |
| 1i.            | Election of Director: Mr. Charles M. Holley, Jr.  | Management For      | For                    |
| 1j.            | Election of Director: Dr. Tyler Jacks   | Management For      | For                    |
| 1k.            | Election of Director: Ms. Ellen J. Kullman  | Management For      | For                    |
| 1l.            | Election of Director: Dr. Ronald D. Sugar   | Management For      | For                    |
| 1m.            | Election of Director: Dr. R. Sanders Williams   | Management For      | For                    |
| 2.             | Advisory vote to approve our executive compensation.  | Management For      | For                    |
| 3.             | To ratify the selection of Ernst & Young LLP as our independent registered public accountants for the fiscal year ending December 31, 2018.   | Management For      | For                    |
| 4.             | Stockholder proposal for an annual report on the extent to which risks related to public concern over drug pricing strategies are integrated into our executive incentive compensation. | Shareholder Against | For                    |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 100           | 7,600              | 12-Apr-2018 | 23-May-2018    |

**PING AN INSURANCE (GROUP) COMPANY OF CHINA, LTD.**

|                       |   |                           |                        |
|-----------------------|---|---------------------------|------------------------|
| <b>Security</b>       | Y69790106                                       | <b>Meeting Type</b>       | Annual General Meeting |
| <b>Ticker Symbol</b>  |   | <b>Meeting Date</b>       | 23-May-2018            |
| <b>ISIN</b>           | CNE1000003X6                                    | <b>Agenda</b>             | 709365577 - Management |
| <b>Record Date</b>    | 20-Apr-2018                                     | <b>Holding Recon Date</b> | 20-Apr-2018            |
| <b>City / Country</b> | SHENZHEN / China                                | <b>Vote Deadline Date</b> | 14-May-2018            |
| <b>SEDOL(s)</b>       | B01FLR7 - B01NLS2 - B03NP99 - BD8NLC0 - BP3RWZ1 | <b>Quick Code</b>         |                        |

| Item | Proposal  | Proposed Vote by | For/Against Management |
|------|---|------------------|------------------------|
| CMMT | PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 898423 DUE TO ADDITION OF-RESOLUTIONS 10 AND 11. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE-DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU | Non-Voting       |                        |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEWS/SEHK/2018/0403/LTN201804031156.PDF,  | Non-Voting       |                        |
| 1    | TO CONSIDER AND APPROVE THE REPORT OF THE BOARD OF DIRECTORS OF THE COMPANY   | Management       | For                    |

FOR THE YEAR 2017

|     |   |              |     |
|-----|---|--------------|-----|
| 2   | TO CONSIDER AND APPROVE<br>THE REPORT OF THE<br>SUPERVISORY COMMITTEE OF<br>THE COMPANY FOR THE YEAR<br>2017  | ManagemenFor | For |
| 3   | TO CONSIDER AND APPROVE<br>THE ANNUAL REPORT OF THE<br>COMPANY FOR THE YEAR 2017<br>AND ITS SUMMARY   | ManagemenFor | For |
| 4   | TO CONSIDER AND APPROVE<br>THE REPORT OF FINAL<br>ACCOUNTS OF THE COMPANY<br>FOR THE YEAR 2017 INCLUDING<br>THE AUDIT REPORT AND<br>AUDITED FINANCIAL<br>STATEMENTS OF THE COMPANY<br>FOR THE YEAR 2017   | ManagemenFor | For |
| 5   | TO CONSIDER AND APPROVE<br>THE PROFIT DISTRIBUTION<br>PLAN OF THE COMPANY FOR<br>THE YEAR 2017 AND THE<br>PROPOSED DISTRIBUTION OF<br>FINAL DIVIDENDS   | ManagemenFor | For |
| 6   | TO CONSIDER AND APPROVE<br>THE RESOLUTION REGARDING<br>THE APPOINTMENT OF<br>AUDITORS OF THE COMPANY<br>FOR THE YEAR 2018, RE-<br>APPOINTING<br>PRICEWATERHOUSECOOPERS<br>ZHONG TIAN LLP AS THE PRC<br>AUDITOR AND<br>PRICEWATERHOUSECOOPERS<br>AS THE  | ManagemenFor | For |
| 7.1 | INTERNATIONAL AUDITOR OF<br>THE COMPANY TO HOLD OFFICE<br>UNTIL THE CONCLUSION OF THE<br>NEXT ANNUAL GENERAL<br>MEETING AND AUTHORIZING<br>THE BOARD TO RE-AUTHORIZE<br>THE MANAGEMENT OF THE<br>COMPANY TO FIX THEIR<br>REMUNERATION<br><br>TO CONSIDER AND APPROVE<br>THE RE-ELECTION OF MR. MA | ManagemenFor | For |

|     |   |              |     |
|-----|---|--------------|-----|
|     | MINGZHE AS AN EXECUTIVE<br>DIRECTOR OF THE COMPANY TO<br>HOLD OFFICE UNTIL THE<br>EXPIRY OF THE TERM OF THE<br>11TH SESSION OF THE BOARD  |              |     |
| 7.2 | TO CONSIDER AND APPROVE<br>THE RE-ELECTION OF MR. SUN<br>JIANYI AS AN EXECUTIVE<br>DIRECTOR OF THE COMPANY TO<br>HOLD OFFICE UNTIL THE<br>EXPIRY OF THE TERM OF THE<br>11TH SESSION OF THE BOARD    | ManagemenFor | For |
| 7.3 | TO CONSIDER AND APPROVE<br>THE RE-ELECTION OF MR. REN<br>HUICHUAN AS AN EXECUTIVE<br>DIRECTOR OF THE COMPANY TO<br>HOLD OFFICE UNTIL THE<br>EXPIRY OF THE TERM OF THE<br>11TH SESSION OF THE BOARD  | ManagemenFor | For |
| 7.4 | TO CONSIDER AND APPROVE<br>THE RE-ELECTION OF MR. YAO<br>JASON BO AS AN EXECUTIVE<br>DIRECTOR OF THE COMPANY TO<br>HOLD OFFICE UNTIL THE<br>EXPIRY OF THE TERM OF THE<br>11TH SESSION OF THE BOARD  | ManagemenFor | For |
| 7.5 | TO CONSIDER AND APPROVE<br>THE RE-ELECTION OF MR. LEE<br>YUANSIONG AS AN EXECUTIVE<br>DIRECTOR OF THE COMPANY TO<br>HOLD OFFICE UNTIL THE<br>EXPIRY OF THE TERM OF THE<br>11TH SESSION OF THE BOARD | ManagemenFor | For |
| 7.6 | TO CONSIDER AND APPROVE<br>THE RE-ELECTION OF MS. CAI<br>FANGFANG AS AN EXECUTIVE<br>DIRECTOR OF THE COMPANY TO<br>HOLD OFFICE UNTIL THE<br>EXPIRY OF THE TERM OF THE<br>11TH SESSION OF THE BOARD  | ManagemenFor | For |
| 7.7 | TO CONSIDER AND APPROVE<br>THE RE-ELECTION OF MR.<br>SOOPAKIJ CHEARAVANONT AS<br>A NON- EXECUTIVE DIRECTOR<br>OF THE COMPANY TO HOLD<br>OFFICE UNTIL THE EXPIRY OF<br>THE TERM OF THE 11TH SESSION  | ManagemenFor | For |

## OF THE BOARD

|      |  |              |     |
|------|--|--------------|-----|
| 7.8  | <p>TO CONSIDER AND APPROVE<br/>THE RE-ELECTION OF MR. YANG<br/>XIAOPING AS A<br/>NON-EXECUTIVE DIRECTOR OF<br/>THE COMPANY TO HOLD OFFICE<br/>UNTIL THE EXPIRY OF THE<br/>TERM OF THE 11TH SESSION OF<br/>THE BOARD</p>                    | ManagemenFor | For |
| 7.9  | <p>TO CONSIDER AND APPROVE<br/>THE ELECTION OF MR. WANG<br/>YONGJIAN AS A<br/>NON-EXECUTIVE DIRECTOR OF<br/>THE COMPANY TO HOLD OFFICE<br/>UNTIL THE EXPIRY OF THE<br/>TERM OF THE 11TH SESSION OF<br/>THE BOARD</p>                       | ManagemenFor | For |
| 7.10 | <p>TO CONSIDER AND APPROVE<br/>THE RE-ELECTION OF MR. LIU<br/>CHONG AS A NON-EXECUTIVE<br/>DIRECTOR OF THE COMPANY TO<br/>HOLD OFFICE UNTIL THE<br/>EXPIRY OF THE TERM OF THE<br/>11TH SESSION OF THE BOARD</p>                            | ManagemenFor | For |
| 7.11 | <p>TO CONSIDER AND APPROVE<br/>THE RE-ELECTION OF MR. YIP<br/>DICKY PETER AS AN<br/>INDEPENDENT NON- EXECUTIVE<br/>DIRECTOR OF THE COMPANY TO<br/>HOLD OFFICE UNTIL THE<br/>EXPIRY OF THE TERM OF THE<br/>11TH SESSION OF THE BOARD</p>    | ManagemenFor | For |
| 7.12 | <p>TO CONSIDER AND APPROVE<br/>THE RE-ELECTION OF MR. WONG<br/>OSCAR SAI HUNG AS AN<br/>INDEPENDENT NON-EXECUTIVE<br/>DIRECTOR OF THE COMPANY TO<br/>HOLD OFFICE UNTIL THE<br/>EXPIRY OF THE TERM OF THE<br/>11TH SESSION OF THE BOARD</p> | ManagemenFor | For |
| 7.13 | <p>TO CONSIDER AND APPROVE<br/>THE RE-ELECTION OF MR. SUN<br/>DONGDONG AS AN<br/>INDEPENDENT NON- EXECUTIVE<br/>DIRECTOR OF THE COMPANY TO<br/>HOLD OFFICE UNTIL THE<br/>EXPIRY OF THE TERM OF THE</p>                                     | ManagemenFor | For |

11TH SESSION OF THE BOARD

|      |   |              |     |
|------|---|--------------|-----|
| 7.14 | <p>TO CONSIDER AND APPROVE THE RE-ELECTION OF MR. GE MING AS AN INDEPENDENT NON- EXECUTIVE DIRECTOR OF THE COMPANY TO HOLD OFFICE UNTIL THE EXPIRY OF THE TERM OF THE 11TH SESSION OF THE BOARD</p>                       | ManagemenFor | For |
| 7.15 | <p>TO CONSIDER AND APPROVE THE RE-ELECTION OF MR. OUYANG HUI AS AN INDEPENDENT NON- EXECUTIVE DIRECTOR OF THE COMPANY TO HOLD OFFICE UNTIL THE EXPIRY OF THE TERM OF THE 11TH SESSION OF THE BOARD</p>                    | ManagemenFor | For |
| 8.1  | <p>TO CONSIDER AND APPROVE THE RE-ELECTION OF MR. GU LIJI AS AN INDEPENDENT SUPERVISOR OF THE COMPANY TO HOLD OFFICE UNTIL THE EXPIRY OF THE TERM OF THE 9TH SESSION OF THE SUPERVISORY COMMITTEE</p>                     | ManagemenFor | For |
| 8.2  | <p>TO CONSIDER AND APPROVE THE RE-ELECTION OF MR. HUANG BAOKUI AS AN INDEPENDENT SUPERVISOR OF THE COMPANY TO HOLD NO OFFICE UNTIL THE EXPIRY OF THE TERM OF THE 9TH SESSION OF THE SUPERVISORY COMMITTEE</p>             | ManagemenFor | For |
| 8.3  | <p>TO CONSIDER AND APPROVE THE RE-ELECTION OF MS. ZHANG WANGJIN AS A SHAREHOLDER REPRESENTATIVE SUPERVISOR OF THE COMPANY TO HOLD OFFICE UNTIL THE EXPIRY OF THE TERM OF THE 9TH SESSION OF THE SUPERVISORY COMMITTEE</p> | ManagemenFor | For |
| 9    | <p>TO CONSIDER AND APPROVE THE RESOLUTION REGARDING THE PROPOSED GRANT OF THE</p>   | ManagemenFor | For |

GENERAL MANDATE BY THE  
GENERAL MEETING TO THE  
BOARD TO ISSUE H SHARES, I.E.  
THE GRANT OF A GENERAL  
MANDATE TO THE BOARD TO  
ALLOT, ISSUE AND DEAL WITH  
ADDITIONAL H SHARES NOT  
EXCEEDING 20% OF THE  
AGGREGATE

NOMINAL AMOUNT OF THE H  
SHARES OF THE COMPANY IN  
ISSUE, REPRESENTING UP TO  
LIMIT OF 8.15% OF THE TOTAL  
NUMBER OF SHARES OF THE  
COMPANY IN ISSUE, AT A  
DISCOUNT (IF ANY) OF NO MORE  
THAN 10% (RATHER THAN 20%  
AS LIMITED UNDER THE RULES  
GOVERNING THE LISTING OF  
SECURITIES ON THE STOCK  
EXCHANGE OF HONG KONG  
LIMITED) TO THE BENCHMARK  
PRICE (AS DEFINED IN THE  
MATERIALS FOR THE  
COMPANY'S 2017 ANNUAL  
GENERAL MEETING) AND  
AUTHORIZE THE BOARD TO  
MAKE CORRESPONDING  
AMENDMENTS TO THE  
ARTICLES OF ASSOCIATION OF  
THE COMPANY AS IT THINKS FIT  
SO AS TO REFLECT THE NEW  
CAPITAL STRUCTURE UPON THE  
ALLOTMENT OR ISSUANCE OF H  
SHARES

|    |   |              |     |
|----|---|--------------|-----|
| 10 | TO CONSIDER AND APPROVE<br>THE RESOLUTION REGARDING<br>THE 30TH ANNIVERSARY<br>SPECIAL DIVIDEND OF THE<br>COMPANY | ManagemenFor | For |
|----|---|--------------|-----|

|    |  |              |     |
|----|--|--------------|-----|
| 11 | TO CONSIDER AND APPROVE<br>THE RESOLUTION REGARDING<br>THE SHANGHAI JAHWA EQUITY<br>INCENTIVE SCHEME | ManagemenFor | For |
|----|--|--------------|-----|

| Account<br>Number | Account Name | Internal<br>Account | Custodian<br>Ballot<br>Shares | Unavailable<br>Shares | Vote Date | Date<br>Confirmed |
|-------------------|--------------|---------------------|-------------------------------|-----------------------|-----------|-------------------|
|-------------------|--------------|---------------------|-------------------------------|-----------------------|-----------|-------------------|



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|      |      |                                 |   |             |             |
|------|------|---------------------------------|---|-------------|-------------|
| AL28 | AL28 | STATE<br>STREET 145,200<br>BANK | 0 | 01-May-2018 | 17-May-2018 |
|------|------|---------------------------------|---|-------------|-------------|

**SERVICE CORPORATION INTERNATIONAL**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 817565104       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | SCI             | <b>Meeting Date</b>       | 23-May-2018            |
| <b>ISIN</b>           | US8175651046    | <b>Agenda</b>             | 934762142 - Management |
| <b>Record Date</b>    | 26-Mar-2018     | <b>Holding Recon Date</b> | 26-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 22-May-2018            |

**SEDOL(s)**

**Quick Code**

| <b>Item</b> | <b>Proposal</b>   | <b>Proposed Vote by</b> | <b>For/Against Management</b> |
|-------------|---|-------------------------|-------------------------------|
| 1.          | DIRECTOR  | Management              |                               |
|             | 1 Anthony L. Coelho   | For                     | For                           |
|             | 2 Jakki L. Haussler   | For                     | For                           |
|             | 3 Sara Martinez Tucker  | For                     | For                           |
|             | 4 Marcus A. Watts   | For                     | For                           |
|             | 5 Edward E. Williams  | For                     | For                           |
| 2.          | To approve the selection of PricewaterhouseCoopers LLP as the Company's independent registered public accounting firm for fiscal year ending December 31, 2018. | ManagementFor           | For                           |
| 3.          | To approve, by advisory vote, named executive officer compensation.   | ManagementFor           | For                           |
| 4.          | To approve the declassification of the Board of Directors.  | ManagementFor           | For                           |
| 5.          | To approve the elimination of certain supermajority vote requirements in our restated articles of incorporation and bylaws.                                     | ManagementFor           | For                           |
| 6.          | To approve the reduction of the supermajority vote requirement to approve business combinations with interested shareholders.                                   | ManagementFor           | For                           |
| 7.          | To approve the shareholder proposal   | Shareholder Against     | For                           |

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to require independent board chairman.

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 100           | 22,900             | 13-Apr-2018 | 24-May-2018    |

**ILLUMINA, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 452327109       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | ILMN            | <b>Meeting Date</b>       | 23-May-2018            |
| <b>ISIN</b>           | US4523271090    | <b>Agenda</b>             | 934776696 - Management |
| <b>Record Date</b>    | 29-Mar-2018     | <b>Holding Recon Date</b> | 29-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 22-May-2018            |

**SEDOL(s)**

**Quick Code**

| Item | Proposal  | Proposed by | Vote    | For/Against Management |
|------|---|-------------|---------|------------------------|
| 1a.  | Election of Director: Jay T. Flatley  | Management  | For     | For                    |
| 1b.  | Election of Director: John W. Thompson  | Management  | For     | For                    |
| 1c.  | Election of Director: Gary S. Guthart, Ph.D.  | Management  | For     | For                    |
| 2.   | To ratify the appointment of Ernst & Young LLP as our independent registered public accounting firm for the fiscal year ending December 30, 2018. | Management  | For     | For                    |
| 3.   | To approve, on an advisory basis, the compensation of the named executive officers as disclosed in the Proxy Statement.                           | Management  | For     | For                    |
| 4.   | To approve, on an advisory basis, a stockholder proposal to elect each director annually.   | Shareholder | Against | For                    |

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| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 150           | 6,000              | 07-Apr-2018 | 24-May-2018    |

**MORGAN STANLEY**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 617446448       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | MS              | <b>Meeting Date</b>       | 24-May-2018            |
| <b>ISIN</b>           | US6174464486    | <b>Agenda</b>             | 934776901 - Management |
| <b>Record Date</b>    | 26-Mar-2018     | <b>Holding Recon Date</b> | 26-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 23-May-2018            |

**SEDOL(s)**

**Quick Code**

| Item | Proposal                                   | Proposed Vote by | For/Against Management |
|------|--|------------------|------------------------|
| 1a.  | Election of Director: Elizabeth Corley     | ManagemenFor     | For                    |
| 1b.  | Election of Director: Alistair Darling     | ManagemenFor     | For                    |
| 1c.  | Election of Director: Thomas H. Glocer     | ManagemenFor     | For                    |
| 1d.  | Election of Director: James P. Gorman      | ManagemenFor     | For                    |
| 1e.  | Election of Director: Robert H. Herz       | ManagemenFor     | For                    |
| 1f.  | Election of Director: Nobuyuki Hirano      | ManagemenFor     | For                    |
| 1g.  | Election of Director: Jami Miscik          | ManagemenFor     | For                    |
| 1h.  | Election of Director: Dennis M. Nally      | ManagemenFor     | For                    |
| 1i.  | Election of Director: Hutham S. Olayan     | ManagemenFor     | For                    |
| 1j.  | Election of Director: Ryosuke Tamakoshi    | ManagemenFor     | For                    |
| 1k.  | Election of Director: Perry M. Traquina    | ManagemenFor     | For                    |
| 1l.  | Election of Director: Rayford Wilkins, Jr. | ManagemenFor     | For                    |
| 2.   |  | ManagemenFor     | For                    |

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To ratify the appointment of Deloitte & Touche LLP as independent auditor

3. To approve the compensation of executives as disclosed in the proxy statement (non-binding advisory vote) Management For

4. Shareholder proposal regarding a policy to prohibit vesting of deferred equity awards for senior executives who resign to enter government service Shareholder Against For

| Account Number | Account Name  | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|---------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL61        | GLQ MS PLEDGE | 997AL61          | STATE STREET BANK & TRUST CO | 15,200        | 0                  | 07-Apr-2018 | 25-May-2018    |

**AMAZON.COM, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 023135106       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | AMZN            | <b>Meeting Date</b>       | 30-May-2018            |
| <b>ISIN</b>           | US0231351067    | <b>Agenda</b>             | 934793224 - Management |
| <b>Record Date</b>    | 05-Apr-2018     | <b>Holding Recon Date</b> | 05-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 29-May-2018            |

**SEDOL(s)**

**Quick Code**

| Item | Proposal                                     | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1a.  | Election of Director: Jeffrey P. Bezos       | Management  | For  | For                    |
| 1b.  | Election of Director: Tom A. Alberg          | Management  | For  | For                    |
| 1c.  | Election of Director: Jamie S. Gorelick      | Management  | For  | For                    |
| 1d.  | Election of Director: Daniel P. Huttenlocher | Management  | For  | For                    |
| 1e.  | Election of Director: Judith A. McGrath      | Management  | For  | For                    |
| 1f.  |  | Management  | For  | For                    |

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Election of Director: Jonathan J. Rubinstein

1g. Election of Director: Thomas O. Ryder                      Management For                      For

1h. Election of Director: Patricia Q. Stonesifer                      Management For                      For

1i. Election of Director: Wendell P. Weeks                      Management For                      For

2. RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS                      Management For                      For

3. ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION                      Management For                      For

4. SHAREHOLDER PROPOSAL REGARDING DIVERSE BOARD CANDIDATES                      Shareholder Against                      For

5. SHAREHOLDER PROPOSAL REGARDING A POLICY TO REQUIRE AN INDEPENDENT BOARD CHAIR                      Shareholder Against                      For

6. SHAREHOLDER PROPOSAL REGARDING VOTE- COUNTING PRACTICES FOR SHAREHOLDER PROPOSALS                      Shareholder Against                      For

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 175           | 3,600              | 20-Apr-2018 | 31-May-2018    |

**GLOBAL MEDICAL REIT INC.**

|                      |              |                           |                        |
|----------------------|--------------|---------------------------|------------------------|
| <b>Security</b>      | 37954A204    | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b> | GMRE         | <b>Meeting Date</b>       | 30-May-2018            |
| <b>ISIN</b>          | US37954A2042 | <b>Agenda</b>             | 934794048 - Management |
| <b>Record Date</b>   | 04-Apr-2018  | <b>Holding Recon Date</b> | 04-Apr-2018            |

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City / Country / United States / Vote Deadline Date 29-May-2018

SEDOL(s) Quick Code

| Item | Proposal   | Proposed by | Vote    | For/Against Management |
|------|--|-------------|---------|------------------------|
| 1.   | DIRECTOR   | Management  |         |                        |
|      | 1 Jeffrey Busch  |             | For     | For                    |
|      | 2 Henry Cole   |             | For     | For                    |
|      | 3 Matthew L. Cypher, Ph.D  |             | For     | For                    |
|      | 4 Zhang Jingguo  |             | For     | For                    |
|      | 5 Ronald Marston   |             | For     | For                    |
|      | 6 Dr. Roscoe Moore   |             | For     | For                    |
|      | 7 Zhang Huiqi  |             | For     | For                    |
|      | 8 Lori Beth Wittman  |             | For     | For                    |
| 2.   | Advisory vote to approve the compensation of the Company's named executive officers.   | Management  | For     | For                    |
| 3.   | Advisory vote on the frequency of the advisory vote on the compensation of the Company's named executive officers.                                   | Management  | 3 Years | Against                |
| 4.   | To ratify the appointment of MaloneBailey, LLP as the Company's independent registered public accounting firm for the year ending December 31, 2018. | Management  | For     | For                    |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 121,000       | 0                  | 21-Apr-2018 | 31-May-2018    |

**CRISPR THERAPEUTICS AG**

Security H17182108 Meeting Type Annual  
 Ticker Symbol CRSP Meeting Date 30-May-2018

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|                       |               |                           |                        |
|-----------------------|---------------|---------------------------|------------------------|
| <b>ISIN</b>           | CH0334081137  | <b>Agenda</b>             | 934795420 - Management |
| <b>Record Date</b>    | 02-Apr-2018   | <b>Holding Recon Date</b> | 02-Apr-2018            |
| <b>City / Country</b> | / Switzerland | <b>Vote Deadline Date</b> | 29-May-2018            |
| <b>SEDOL(s)</b>       |               | <b>Quick Code</b>         |                        |

| <b>Item</b> | <b>Proposal</b>  | <b>Proposed<br/>by</b> | <b>Vote</b> | <b>For/Against<br/>Management</b> |
|-------------|--|------------------------|-------------|-----------------------------------|
| 1.          | The approval of the annual report, the consolidated financial statements and the statutory financial statements of the Company for the year ended December 31, 2017. | Management             | For         | For                               |
| 2.          | The approval of the appropriation of financial results.  | Management             | For         | For                               |
| 3.          | The discharge of the members of the Board of Directors and Executive Committee.  | Management             | For         | For                               |
| 4a.         | Re-election of the member to the Board of Director: Rodger Novak, M.D. (as member and Chairman)  | Management             | For         | For                               |
| 4b.         | Election of the member to the Board of Director: Samarth Kulkarni, Ph.D.   | Management             | For         | For                               |
| 4c.         | Re-election of the member to the Board of Director: Bradley Bolzon, Ph.D.  | Management             | For         | For                               |
| 4d.         | Re-election of the member to the Board of Director: Ali Behbahani, M.D.  | Management             | For         | For                               |
| 4e.         | Re-election of the member to the Board of Director: Pablo Cagnoni, M.D.  | Management             | For         | For                               |
| 4f.         | Re-election of the member to the Board of Director: Kurt von Emster  | Management             | For         | For                               |
| 4g.         | Re-election of the member to the Board of Director: Simeon J. George, M.D.   | Management             | For         | For                               |
| 4h.         | Re-election of the member to the Board of Director: Thomas Woiwode, Ph.D.  | Management             | For         | For                               |

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|     |   |            |     |
|-----|---|------------|-----|
| 5a. | Re-election of the member of the Compensation Committee: Thomas Woiwode, Ph.D.  | Management | For |
| 5b. | Re-election of the member of the Compensation Committee: Pablo Cagnoni, M.D.  | Management | For |
| 5c. | Re-election of the member of the Compensation Committee: Simeon J. George, M.D.   | Management | For |
| 6a. | Binding vote on total non-performance-related compensation for members of the Board of Directors from the 2018 Annual General Meeting to the 2019 annual general meeting of shareholders. | Management | For |
| 6b. | Binding vote on equity for members of the Board of Directors from the 2018 Annual General Meeting to the 2019 annual general meeting of shareholders.                                     | Management | For |
| 6c. | Binding vote on total non-performance-related compensation for members of the Executive Committee from July 1, 2018 to June 30, 2019.   | Management | For |
| 6d. | Binding vote on total variable compensation for members of the Executive Committee for the current year ending December 31, 2018.   | Management | For |
| 6e. | Binding vote on equity for members of the Executive Committee from the 2018 Annual General Meeting to the 2019 annual general meeting of shareholders.                                    | Management | For |
| 7.  | The approval of an increase in the Conditional Share Capital for Employee Benefit Plans.  | Management | For |
| 8.  | The approval of the CRISPR Therapeutics AG 2018 Stock Option and Incentive Plan.  | Management | For |
| 9.  | The approval of increasing the maximum number of authorized share capital and extending the date by   | Management | For |



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which the Board of Directors may increase authorized share capital.

- |     |  |            |     |
|-----|--|------------|-----|
| 10. | The re-election of the independent voting rights representative. | Management | For |
| 11. | The re-election of the auditors.                                 | Management | For |

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL28        | GLQ            | 997AL28<br>STATE STREET BANK & TRUST CO | 49,700        | 0                  | 19-Apr-2018 | 31-May-2018    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 167           | 36,300             | 19-Apr-2018 | 31-May-2018    |

**GTT COMMUNICATIONS, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 362393100       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | GTT             | <b>Meeting Date</b>       | 30-May-2018            |
| <b>ISIN</b>           | US3623931009    | <b>Agenda</b>             | 934816717 - Management |
| <b>Record Date</b>    | 02-Apr-2018     | <b>Holding Recon Date</b> | 02-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 29-May-2018            |

**SEDOL(s)**

**Quick Code**

| Item | Proposal | Proposed by            | Vote | For/Against Management |
|------|----------|------------------------|------|------------------------|
| 1.   | DIRECTOR | Management             |      |                        |
|      | 1        | Richard D. Calder, Jr. | For  | For                    |
|      | 2        | H. Brian Thompson      | For  | For                    |
|      | 3        | S. Joseph Bruno        | For  | For                    |
|      | 4        | Rhodric C. Hackman     | For  | For                    |
|      | 5        | Howard E. Janzen       | For  | For                    |
|      | 6        | Nick Adamo             | For  | For                    |

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|    |   |   |            |     |     |
|----|---|---|------------|-----|-----|
|    | 7 | Theodore B. Smith, III  |            | For | For |
|    | 8 | Elizabeth Satin   |            | For | For |
|    | 9 | Julius Erving   |            | For | For |
| 2. |   | Proposal to approve the non-binding advisory resolution relating to the compensation of our named executive officers.   | Management | For | For |
| 3. |   | Proposal to approve, for purposes of Section 312.03 of the New York Stock Exchange Listed Company Manual, the issuance of the shares of common stock issuable upon the conversion of the Series A Preferred Stock.                                      | Management | For | For |
| 4. |   | Proposal to approve the 2018 Stock Option and Incentive Plan.   | Management | For | For |
| 5. |   | Ratification of the appointment of CohnReznick LLP as our independent registered public accounting firm for current fiscal year ending December 31, 2018.   | Management | For | For |
| 6. |   | Proposal to approve and authorize any adjournments of the Annual Meeting, if necessary or appropriate, to permit the solicitation of additional proxies if there are not sufficient votes at the time of the Annual Meeting to adopt Proposal number 3. | Management | For | For |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL28        | GLQ            | 997AL28          | STATE STREET BANK & TRUST CO | 2,000         | 0                  | 04-May-2018 | 31-May-2018    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 21,100        | 0                  | 04-May-2018 | 31-May-2018    |

LOGMEIN, INC

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|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 54142L109       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | LOGM            | <b>Meeting Date</b>       | 31-May-2018            |
| <b>ISIN</b>           | US54142L1098    | <b>Agenda</b>             | 934777864 - Management |
| <b>Record Date</b>    | 02-Apr-2018     | <b>Holding Recon Date</b> | 02-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 30-May-2018            |

**SEDOL(s)**

**Quick Code**

| <b>Item</b> | <b>Proposal</b>   | <b>Proposed by</b> | <b>Vote</b> | <b>For/Against Management</b> |
|-------------|---|--------------------|-------------|-------------------------------|
| 1A          | Election of Class III Director: Michael K. Simon  | Management         | For         | For                           |
| 1B          | Election of Class III Director: Edwin J. Gillis   | Management         | For         | For                           |
| 1C          | Election of Class III Director: Sara C. Andrews   | Management         | For         | For                           |
| 2.          | Ratification of appointment of Deloitte & Touche LLP as independent registered public accounting firm for fiscal year ending December 31, 2018. | Management         | For         | For                           |
| 3.          | Advisory vote for the approval of the Company's executive compensation.   | Management         | For         | For                           |

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Account</b> | <b>Custodian</b>             | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|-------------------------|------------------------------|----------------------|---------------------------|------------------|-----------------------|
| 997AL47               | GLQ BNP PLEDGE      | 997AL47                 | STATE STREET BANK & TRUST CO | 1,300                | 21,600                    | 14-Apr-2018      | 01-Jun-2018           |

**FACEBOOK, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 30303M102       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | FB              | <b>Meeting Date</b>       | 31-May-2018            |
| <b>ISIN</b>           | US30303M1027    | <b>Agenda</b>             | 934793034 - Management |
| <b>Record Date</b>    | 06-Apr-2018     | <b>Holding Recon Date</b> | 06-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline</b>      | 30-May-2018            |

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| SEDOL(s) | States   | Date        | Quick Code                  |
|----------|--|-------------|-----------------------------|
| Item     | Proposal   | Proposed by | Vote For/Against Management |
| 1.       | DIRECTOR   | Management  |                             |
|          | 1 Marc L. Andreessen   |             | For For                     |
|          | 2 Erskine B. Bowles  |             | For For                     |
|          | 3 Kenneth I. Chenault  |             | For For                     |
|          | 4 S. D. Desmond-Hellmann   |             | For For                     |
|          | 5 Reed Hastings  |             | For For                     |
|          | 6 Jan Koum   |             | For For                     |
|          | 7 Sheryl K. Sandberg   |             | For For                     |
|          | 8 Peter A. Thiel   |             | For For                     |
|          | 9 Mark Zuckerberg  |             | For For                     |
| 2.       | To ratify the appointment of Ernst & Young LLP as Facebook, Inc.'s independent registered public accounting firm for the fiscal year ending December 31, 2018. | Management  | For For                     |
| 3.       | A stockholder proposal regarding change in stockholder voting.   | Shareholder | Against For                 |
| 4.       | A stockholder proposal regarding a risk oversight committee.   | Shareholder | Against For                 |
| 5.       | A stockholder proposal regarding simple majority vote.   | Shareholder | Against For                 |
| 6.       | A stockholder proposal regarding a content governance report.  | Shareholder | Against For                 |
| 7.       | A stockholder proposal regarding median pay by gender.   | Shareholder | Against For                 |
| 8.       | A stockholder proposal regarding tax principles.   | Shareholder | Against For                 |

| Account Number | Account Name   | Internal Account | Custodian         | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AIST          | STATE STREET BANK | 2,285         | 7,500              | 18-Apr-2018 | 01-Jun-2018    |

|         |               |   |     |   |             |             |
|---------|---------------|---|-----|---|-------------|-------------|
| 997AL61 | GLQ MS PLEDGE | 997AL61   | 690 | 0 | 18-Apr-2018 | 01-Jun-2018 |
|         |               | &<br>TRUST<br>CO<br><br>STATE<br>STREET<br>BANK<br>&<br>TRUST<br>CO |     |   |             |             |

**TELADOC, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 87918A105       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | TDOC            | <b>Meeting Date</b>       | 31-May-2018            |
| <b>ISIN</b>           | US87918A1051    | <b>Agenda</b>             | 934793058 - Management |
| <b>Record Date</b>    | 06-Apr-2018     | <b>Holding Recon Date</b> | 06-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 30-May-2018            |

**SEDOL(s)**

**Quick Code**

| <b>Item</b> | <b>Proposal</b>   | <b>Proposed Vote by</b> | <b>For/Against Management</b> |
|-------------|---|-------------------------|-------------------------------|
| 1.          | Approve an amendment to Teladoc's Certificate of Incorporation to increase the number of authorized shares of common stock. | Management For          | For                           |
| 2.          | DIRECTOR  | Management              |                               |
|             | 1 Ms. Helen Darling   | For                     | For                           |
|             | 2 Mr. William H. Frist MD   | For                     | For                           |
|             | 3 Mr. Michael Goldstein   | For                     | For                           |
|             | 4 Mr. Jason Gorevic   | For                     | For                           |
|             | 5 Mr. Brian McAndrews   | For                     | For                           |
|             | 6 Mr. Thomas G. McKinley  | For                     | For                           |
|             | 7 Mr. Arneek Multani  | For                     | For                           |
|             | 8 Mr. Kenneth H. Paulus   | For                     | For                           |
|             | 9 Mr. David Shedlarz  | For                     | For                           |
|             | 10 Mr. David B. Snow, Jr.   | For                     | For                           |
| 3.          | Approve, on an advisory basis, the compensation of Teladoc's named  | Management For          | For                           |

executive officers.

4. Approve, on an advisory basis, the frequency of future advisory votes on the compensation of Teladoc's named executive officers. Management Years Against

5. Ratify the appointment of Ernst & Young LLP as Teladoc's independent registered public accounting firm for the fiscal year ending December 31, 2018. Management For

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 18,500        | 50,300             | 21-Apr-2018 | 01-Jun-2018    |

**LIBERTY ALL-STAR EQUITY FUND**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 530158104       | <b>Meeting Type</b>       | Special                |
| <b>Ticker Symbol</b>  | USA             | <b>Meeting Date</b>       | 31-May-2018            |
| <b>ISIN</b>           | US5301581048    | <b>Agenda</b>             | 934799620 - Management |
| <b>Record Date</b>    | 02-Mar-2018     | <b>Holding Recon Date</b> | 02-Mar-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 30-May-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | To approve new fund management agreements between each Fund and ALPS Advisors.   | Management  | For  | For                    |
| 2c.  | To approve new portfolio management agreements among each Fund, ALPS Advisors and each of the current portfolio managers of the Funds, as follows: Sustainable Growth Advisers, LP | Management  | For  | For                    |
| 2d.  | To approve new portfolio management agreements among each Fund, ALPS   | Management  | For  | For                    |

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Advisors and each of the current portfolio managers of the Funds, as follows: Aristotle Capital Management, LLC

2e. To approve new portfolio management agreements: Macquarie Investment Management Management For For

2f. To approve new portfolio management agreements among each Fund, ALPS Advisors and each of the current portfolio managers of the Funds, as follows: Pzena Investment Management, LLC Management For For

2g. To approve new portfolio management agreements among each Fund, ALPS Advisors and each of the current portfolio managers of the Funds, as follows: TCW Investment Management Company Management For For

3. To approve a new portfolio management agreement among each Fund, ALPS Advisors and Sustainable Growth Advisers, LP, a current portfolio manager of the Funds. Management For For

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 102,400       | 0                  | 21-Apr-2018 | 01-Jun-2018    |

**SIENNA BIOPHARMACEUTICALS, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 82622H108       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | SNNA            | <b>Meeting Date</b>       | 01-Jun-2018            |
| <b>ISIN</b>           | US82622H1086    | <b>Agenda</b>             | 934791268 - Management |
| <b>Record Date</b>    | 10-Apr-2018     | <b>Holding Recon Date</b> | 10-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 31-May-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

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| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | DIRECTOR  |             |      |                        |
|      | 1 F.C. Beddingfield   |             | For  | For                    |
|      | 2 Todd Harris   |             | For  | For                    |
| 2.   | Ratification of the appointment of Ernst & Young LLP as the Company's independent registered public accounting firm for its fiscal year ending December 31, 2018. | Management  | For  | For                    |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL28        | GLQ            | 997AL28          | STATE STREET BANK & TRUST CO | 19,144        | 0                  | 20-Apr-2018 | 04-Jun-2018    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 33,256        | 0                  | 20-Apr-2018 | 04-Jun-2018    |

**APELLIS PHARMACEUTICALS INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 03753U106       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | APLS            | <b>Meeting Date</b>       | 04-Jun-2018            |
| <b>ISIN</b>           | US03753U1060    | <b>Agenda</b>             | 934798755 - Management |
| <b>Record Date</b>    | 10-Apr-2018     | <b>Holding Recon Date</b> | 10-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 01-Jun-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal               | Proposed by | Vote | For/Against Management |
|------|------------------------|-------------|------|------------------------|
| 1.   | DIRECTOR               |             |      |                        |
|      | 1 Stephanie M. O'Brien |             | For  | For                    |
| 2.   |                        | Management  | For  | For                    |



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To ratify the appointment of Ernst & Young LLP as the Company's independent registered public accounting firm for its fiscal year ending December 31, 2018.

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 27,600        | 0                  | 26-Apr-2018 | 05-Jun-2018    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61          | STATE STREET BANK & TRUST CO | 26,100        | 0                  | 26-Apr-2018 | 05-Jun-2018    |

**LADDER CAPITAL CORP**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 505743104       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | LADR            | <b>Meeting Date</b>       | 05-Jun-2018            |
| <b>ISIN</b>           | US5057431042    | <b>Agenda</b>             | 934787497 - Management |
| <b>Record Date</b>    | 09-Apr-2018     | <b>Holding Recon Date</b> | 09-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 04-Jun-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal   | Proposed by | Vote    | For/Against Management |
|------|--|-------------|---------|------------------------|
| 1.   | Reelection of Douglas Durst to the Board of Directors.   | Management  | For     | For                    |
| 2.   | Ratification of the appointment of PricewaterhouseCoopers LLP as our independent registered public accounting firm for 2018. | Management  | For     | For                    |
| 3.   | Approval of a non-binding, advisory resolution to approve our executive compensation ("Say on Pay").                         | Management  | For     | For                    |
| 4.   |  | Management  | 3 Years | For                    |

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Approval of a non-binding, advisory resolution on the frequency of future advisory stockholder votes to approve executive compensation ("Say on Frequency").

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 87,779        | 0                  | 27-Apr-2018 | 06-Jun-2018    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61          | STATE STREET BANK & TRUST CO | 30,000        | 0                  | 27-Apr-2018 | 06-Jun-2018    |

**BIOMARIN PHARMACEUTICAL INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 09061G101       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | BMRN            | <b>Meeting Date</b>       | 05-Jun-2018            |
| <b>ISIN</b>           | US09061G1013    | <b>Agenda</b>             | 934791129 - Management |
| <b>Record Date</b>    | 09-Apr-2018     | <b>Holding Recon Date</b> | 09-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 04-Jun-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal                | Proposed by | Vote | For/Against Management |
|------|-------------------------|-------------|------|------------------------|
| 1.   | DIRECTOR                | Management  |      |                        |
|      | 1 Jean-Jacques Bienaime |             | For  | For                    |
|      | 2 Willard Dere          |             | For  | For                    |
|      | 3 Michael Grey          |             | For  | For                    |
|      | 4 Elaine J. Heron       |             | For  | For                    |
|      | 5 Robert J. Hombach     |             | For  | For                    |
|      | 6 V. Bryan Lawlis       |             | For  | For                    |

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|    |                  |     |     |
|----|------------------|-----|-----|
| 7  | Alan J. Lewis    | For | For |
| 8  | Richard A. Meier | For | For |
| 9  | David E.I. Pyott | For | For |
| 10 | Dennis J. Slamon | For | For |

2. To ratify the selection of KPMG LLP as the independent registered public accounting firm for BioMarin for the fiscal year ending December 31, 2018. Management For For

3. To approve, on an advisory basis, the compensation of the Company's Named Executive Officers as disclosed in the Proxy Statement. Management For For

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 3,700         | 3,700              | 26-Apr-2018 | 06-Jun-2018    |

**COGNIZANT TECHNOLOGY SOLUTIONS CORP.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 192446102       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | CTSH            | <b>Meeting Date</b>       | 05-Jun-2018            |
| <b>ISIN</b>           | US1924461023    | <b>Agenda</b>             | 934795141 - Management |
| <b>Record Date</b>    | 09-Apr-2018     | <b>Holding Recon Date</b> | 09-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 04-Jun-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal                                      | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1a.  | Election of Director: Zein Abdalla            | Management  | For  | For                    |
| 1b.  | Election of Director: Betsy S. Atkins         | Management  | For  | For                    |
| 1c.  | Election of Director: Maureen Breakiron-Evans | Management  | For  | For                    |
| 1d.  | Election of Director: Jonathan Chadwick       | Management  | For  | For                    |

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|     |   |                     |     |
|-----|---|---------------------|-----|
| 1e. | Election of Director: John M. Dineen  | Management          | For |
| 1f. | Election of Director: Francisco D'Souza   | Management          | For |
| 1g. | Election of Director: John N. Fox, Jr.  | Management          | For |
| 1h. | Election of Director: John E. Klein   | Management          | For |
| 1i. | Election of Director: Leo S. Mackay, Jr.  | Management          | For |
| 1j. | Election of Director: Michael Patsalos-Fox  | Management          | For |
| 1k. | Election of Director: Joseph M. Velli   | Management          | For |
| 2.  | Approve, on an advisory (non-binding) basis, the compensation of the Company's named executive officers.  | Management          | For |
| 3.  | Ratify the appointment of PricewaterhouseCoopers LLP as the Company's independent registered public accounting firm for the year ending December 31, 2018.  | Management          | For |
| 4.  | Approve an amendment and restatement of the Company's 2004 Employee Stock Purchase Plan.  | Management          | For |
| 5a. | Approve the proposal to eliminate the supermajority voting requirements in the Company's Certificate of Incorporation with respect to: Amending the Company's By-laws.  | Management          | For |
| 5b. | Approve the proposal to eliminate the supermajority voting requirements in the Company's Certificate of Incorporation with respect to: Removing directors.  | Management          | For |
| 5c. | Approve the proposal to eliminate the supermajority voting requirements in the Company's Certificate of Incorporation with respect to: Amending certain provisions of the Company's Certificate of Incorporation. | Management          | For |
| 6.  | Stockholder proposal requesting that the Board of Directors take the steps necessary to permit stockholder action by written consent.   | Shareholder Against | For |

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7. Stockholder proposal requesting that the Board of Directors take the steps necessary to lower the ownership threshold for stockholders to call a special meeting.
- Shareholder Against      For

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 4,800         | 7,900              | 21-Apr-2018 | 06-Jun-2018    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61          | STATE STREET BANK & TRUST CO | 800           | 0                  | 21-Apr-2018 | 06-Jun-2018    |

**CHINA LIFE INSURANCE COMPANY LIMITED**

|                       |   |                           |                        |
|-----------------------|---|---------------------------|------------------------|
| <b>Security</b>       | Y1477R204                                       | <b>Meeting Type</b>       | Annual General Meeting |
| <b>Ticker Symbol</b>  |   | <b>Meeting Date</b>       | 06-Jun-2018            |
| <b>ISIN</b>           | CNE1000002L3                                    | <b>Agenda</b>             | 709513914 - Management |
| <b>Record Date</b>    | 04-May-2018                                     | <b>Holding Recon Date</b> | 04-May-2018            |
| <b>City / Country</b> | BEIJING / China                                 | <b>Vote Deadline Date</b> | 31-May-2018            |
| <b>SEDOL(s)</b>       | 6718976 - B02W3K4 - B06KKF8 - BD8NLW0 - BP3RS75 | <b>Quick Code</b>         |                        |

| Item | Proposal   | Proposed Vote by | For/Against Management |
|------|--|------------------|------------------------|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br><a href="http://www.hkexnews.hk/listedco/listconews/sehk/2018/0411/LTN20180411439.pdf">HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEW S/SEHK/2018/0411/LTN20180411439.PDF,-</a><br><a href="http://www.hkexnews.hk/listedco/listconews/sehk/2018/0517/LTN20180517255.pdf">HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEW S/SEHK/2018/0517/LTN20180517255.PDF-AND-</a> | Non-Voting       |                        |

[HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEW  
S/SEHK/2018/0517/LTN20180517251.PDF](http://www.hkexnews.hk/listedco/listconews/sehk/2018/0517/LTN20180517251.pdf)

|   |  |            |     |
|---|--|------------|-----|
|   | PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 899818 DUE TO ADDITION OF- RESOLUTION 23. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU | Non-Voting |     |
| 1 | TO CONSIDER AND APPROVE THE REPORT OF THE BOARD OF DIRECTORS OF THE COMPANY FOR THE YEAR 2017  | Management | For |
| 2 | TO CONSIDER AND APPROVE THE REPORT OF THE SUPERVISORY COMMITTEE OF THE COMPANY FOR THE YEAR 2017   | Management | For |
| 3 | TO CONSIDER AND APPROVE THE FINANCIAL REPORT OF THE COMPANY FOR THE YEAR 2017  | Management | For |
| 4 | TO CONSIDER AND APPROVE THE PROFIT DISTRIBUTION PLAN OF THE COMPANY FOR THE YEAR 2017: FINAL DIVIDEND OF RMB0.40 PER SHARE   | Management | For |
| 5 | TO CONSIDER AND APPROVE THE REMUNERATION OF THE DIRECTORS AND SUPERVISORS OF THE COMPANY   | Management | For |
| 6 | TO CONSIDER AND APPROVE THE ELECTION OF MR. YANG MINGSHENG AS AN EXECUTIVE DIRECTOR OF THE SIXTH SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY  | Management | For |
| 7 | TO CONSIDER AND APPROVE THE ELECTION OF MR. LIN DAIREN AS AN EXECUTIVE DIRECTOR OF THE SIXTH SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY  | Management | For |

|    |   |              |     |
|----|---|--------------|-----|
| 8  | TO CONSIDER AND APPROVE THE ELECTION OF MR. XU HENGPING AS AN EXECUTIVE DIRECTOR OF THE SIXTH SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY              | ManagemenFor | For |
| 9  | TO CONSIDER AND APPROVE THE ELECTION OF MR. XU HAIFENG AS AN EXECUTIVE DIRECTOR OF THE SIXTH SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY               | ManagemenFor | For |
| 10 | TO CONSIDER AND APPROVE THE ELECTION OF MR. YUAN CHANGQING AS A NON-EXECUTIVE DIRECTOR OF THE SIXTH SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY        | ManagemenFor | For |
| 11 | TO CONSIDER AND APPROVE THE ELECTION OF MR. LIU HUIMIN AS A NON-EXECUTIVE DIRECTOR OF THE SIXTH SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY            | ManagemenFor | For |
| 12 | TO CONSIDER AND APPROVE THE ELECTION OF MR. YIN ZHAOJUN AS A NON-EXECUTIVE DIRECTOR OF THE SIXTH SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY           | ManagemenFor | For |
| 13 | TO CONSIDER AND APPROVE THE ELECTION OF MR. SU HENGXUAN AS A NON-EXECUTIVE DIRECTOR OF THE SIXTH SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY           | ManagemenFor | For |
| 14 | TO CONSIDER AND APPROVE THE ELECTION OF MR. CHANG TSO TUNG STEPHEN AS AN INDEPENDENT DIRECTOR OF THE SIXTH SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY | ManagemenFor | For |
| 15 |   | ManagemenFor | For |

|    |   |              |     |
|----|---|--------------|-----|
|    | TO CONSIDER AND APPROVE<br>THE ELECTION OF MR.<br>ROBINSON DRAKE PIKE AS AN<br>INDEPENDENT DIRECTOR OF<br>THE SIXTH SESSION OF THE<br>BOARD OF DIRECTORS OF THE<br>COMPANY                  |              |     |
| 16 | TO CONSIDER AND APPROVE<br>THE ELECTION OF MR. TANG<br>XIN AS AN INDEPENDENT<br>DIRECTOR OF THE SIXTH<br>SESSION OF THE BOARD OF<br>DIRECTORS OF THE COMPANY                                | ManagemenFor | For |
| 17 | TO CONSIDER AND APPROVE<br>THE ELECTION OF MS. LEUNG<br>OI-SIE ELSIE AS AN<br>INDEPENDENT DIRECTOR OF<br>THE SIXTH SESSION OF THE<br>BOARD OF DIRECTORS OF THE<br>COMPANY                   | ManagemenFor | For |
| 18 | TO CONSIDER AND APPROVE<br>THE ELECTION OF MR. JIA<br>YUZENG AS A NON-EMPLOYEE<br>REPRESENTATIVE SUPERVISOR<br>OF THE SIXTH SESSION OF THE<br>SUPERVISORY COMMITTEE OF<br>THE COMPANY       | ManagemenFor | For |
| 19 | TO CONSIDER AND APPROVE<br>THE ELECTION OF MR. SHI<br>XIANGMING AS A<br>NON-EMPLOYEE<br>REPRESENTATIVE SUPERVISOR<br>OF THE SIXTH SESSION OF THE<br>SUPERVISORY COMMITTEE OF<br>THE COMPANY | ManagemenFor | For |
| 20 | TO CONSIDER AND APPROVE<br>THE ELECTION OF MR. LUO<br>ZHAOHUI AS A NON-EMPLOYEE<br>REPRESENTATIVE SUPERVISOR<br>OF THE SIXTH SESSION OF THE<br>SUPERVISORY COMMITTEE OF<br>THE COMPANY      | ManagemenFor | For |
| 21 | TO CONSIDER AND APPROVE<br>THE REMUNERATION OF<br>AUDITORS OF THE COMPANY<br>FOR THE YEAR 2017 AND THE<br>APPOINTMENT OF AUDITORS OF  | ManagemenFor | For |



THE COMPANY FOR THE YEAR  
2018: ERNST & YOUNG HUA  
MING LLP

22 TO GRANT A GENERAL  
MANDATE TO THE BOARD OF  
DIRECTORS OF THE COMPANY  
TO ALLOT, ISSUE AND DEAL  
WITH NEW H SHARES OF THE  
COMPANY OF AN AMOUNT OF  
NOT MORE THAN 20% OF THE H  
SHARES IN ISSUE AS AT THE  
DATE OF PASSING OF THIS  
SPECIAL RESOLUTION

Management For For

23 TO CONSIDER AND APPROVE  
THE ENTRUSTED INVESTMENT  
AND MANAGEMENT  
AGREEMENT FOR ALTERNATIVE  
INVESTMENTS WITH  
INSURANCE FUNDS PROPOSED  
TO BE ENTERED INTO BETWEEN  
THE COMPANY AND CHINA LIFE  
INVESTMENT HOLDING  
COMPANY LIMITED, THE  
TRANSACTIONS  
CONTEMPLATED THEREUNDER  
AND THE ANNUAL CAPS FOR  
THE THREE YEARS ENDING 31  
DECEMBER 2021 RELATING  
THERETO

Management For For

| Account Number | Account Name | Internal Account  | Custodian | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|-------------|----------------|
| AL28           | AL28         | STATE STREET BANK |           | 284,000       | 0                  | 18-May-2018 | 01-Jun-2018    |

**TCG BDC INC.**

|                       |                 |  |                           |                        |
|-----------------------|-----------------|--|---------------------------|------------------------|
| <b>Security</b>       | 872280102       |  | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | CGBD            |  | <b>Meeting Date</b>       | 06-Jun-2018            |
| <b>ISIN</b>           | US8722801029    |  | <b>Agenda</b>             | 934807251 - Management |
| <b>Record Date</b>    | 23-Apr-2018     |  | <b>Holding Recon Date</b> | 23-Apr-2018            |
| <b>City / Country</b> | / United States |  | <b>Vote Deadline Date</b> | 05-Jun-2018            |

| SEDOL(s) |  | Quick Code     |                                   |
|----------|--|----------------|-----------------------------------|
| Item     | Proposal   | Proposed<br>by | Vote<br>For/Against<br>Management |
| 1)       | DIRECTOR   | Management     |                                   |
|          | 1 Eliot P.S. Merrill   |                | For For                           |
|          | 2 Nigel D.T. Andrews   |                | For For                           |
| 2)       | To ratify the selection of Ernst & Young LLP as the independent registered public accounting firm for the fiscal year ending December 31, 2018.  | Management     | For For                           |
| 3)       | To consider and vote upon a proposal to approve the application of a minimum asset coverage ratio of 150% to the Company, which would permit the Company to double the maximum amount of leverage that it is permitted to incur. | Management     | For For                           |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 100           | 62,400             | 28-Apr-2018 | 07-Jun-2018    |

**CLOVIS ONCOLOGY, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 189464100       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | CLVS            | <b>Meeting Date</b>       | 07-Jun-2018            |
| <b>ISIN</b>           | US1894641000    | <b>Agenda</b>             | 934803013 - Management |
| <b>Record Date</b>    | 09-Apr-2018     | <b>Holding Recon Date</b> | 09-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 06-Jun-2018            |

| SEDOL(s) |          | Quick Code     |                                   |
|----------|----------|----------------|-----------------------------------|
| Item     | Proposal | Proposed<br>by | Vote<br>For/Against<br>Management |
| 1.       | DIRECTOR | Management     |                                   |

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|    |  |            |       |         |
|----|--|------------|-------|---------|
| 1  | Patrick J. Mahaffy   |            | For   | For     |
| 2  | M. James Barrett, Ph.D.  |            | For   | For     |
| 3  | Thorlef Spickschen   |            | For   | For     |
| 2. | Approval and ratification of our Non-Employee Director Compensation Policy.  | Management | For   | For     |
| 3. | Approval of an advisory proposal on compensation of the Company's named executive officers, as disclosed in the attached proxy statement.                              | Management | For   | For     |
| 4. | Approval of an advisory proposal on the preferred frequency of the stockholder vote on the compensation of the Company's named executive officers.                     | Management | Years | Against |
| 5. | Ratification of the appointment of Ernst & Young LLP as the independent registered public accounting firm of the Company for the fiscal year ending December 31, 2018. | Management | For   | For     |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 100           | 17,000             | 28-Apr-2018 | 08-Jun-2018    |

**LONGFOR PROPERTIES CO. LTD.**

|                       |   |                           |                        |
|-----------------------|---|---------------------------|------------------------|
| <b>Security</b>       | G5635P109                                       | <b>Meeting Type</b>       | Annual General Meeting |
| <b>Ticker Symbol</b>  |   | <b>Meeting Date</b>       | 08-Jun-2018            |
| <b>ISIN</b>           | KYG5635P1090                                    | <b>Agenda</b>             | 709344256 - Management |
| <b>Record Date</b>    | 04-Jun-2018                                     | <b>Holding Recon Date</b> | 04-Jun-2018            |
| <b>City / Country</b> | HONG KONG / Cayman Islands                      | <b>Vote Deadline Date</b> | 01-Jun-2018            |
| <b>SEDOL(s)</b>       | B42PLN0 - B56KLY9 - BD8NH77 - BP3RW84 - BZ77XY3 | <b>Quick Code</b>         |                        |

| Item | Proposal   | Proposed<br>Vote<br>by | For/Against<br>Management |
|------|--|------------------------|---------------------------|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br>HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEWS/SEHK/2018/0425/LTN201804251203.PDF-AND-HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEWS/SEHK/2018/0425/LTN201804251230.PDF | Non-Voting             |                           |
| CMMT | PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING   | Non-Voting             |                           |
| 1    | TO RECEIVE AND CONSIDER THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS AND THE REPORT OF THE DIRECTORS AND THE INDEPENDENT AUDITOR'S REPORT FOR THE YEAR ENDED 31 DECEMBER 2017   | Management             | For                       |
| 2.A  | TO DECLARE A FINAL DIVIDEND OF RMB0.473 PER SHARE FOR THE YEAR ENDED 31 DECEMBER 2017  | Management             | For                       |
| 2.B  | TO DECLARE A SPECIAL DIVIDEND OF RMB0.085 PER SHARE FOR THE 25TH ANNIVERSARY OF THE COMPANY  | Management             | For                       |
| 3.1  | TO RE-ELECT MR. ZHAO YI AS DIRECTOR  | Management             | For                       |
| 3.2  | TO RE-ELECT MR. FREDERICK PETER CHURCHOUSE AS DIRECTOR   | Management             | For                       |
| 3.3  | TO RE-ELECT MR. CHAN CHI ON, DEREK AS DIRECTOR   | Management             | For                       |
| 3.4  | TO AUTHORISE THE BOARD OF DIRECTORS TO FIX THE DIRECTORS' REMUNERATION   | Management             | For                       |

|   |  |              |     |
|---|--|--------------|-----|
| 4 | <p>TO RE-APPOINT DELOITTE<br/>TOUCHE TOHMATSU AS<br/>AUDITORS AND TO AUTHORISE<br/>THE BOARD OF DIRECTORS TO<br/>FIX THE AUDITORS'<br/>REMUNERATION</p>  | ManagemenFor | For |
| 5 | <p>TO GIVE A GENERAL MANDATE<br/>TO THE DIRECTORS TO ISSUE<br/>NEW SHARES OF THE COMPANY</p>   | ManagemenFor | For |
| 6 | <p>TO GIVE A GENERAL MANDATE<br/>TO THE DIRECTORS TO<br/>REPURCHASE SHARES OF THE<br/>COMPANY</p>  | ManagemenFor | For |
| 7 | <p>TO EXTEND THE GENERAL<br/>MANDATE TO BE GIVEN TO THE<br/>DIRECTORS TO ISSUE SHARES</p>  | ManagemenFor | For |
| 8 | <p>THAT SUBJECT TO AND<br/>CONDITIONAL UPON THE<br/>APPROVAL OF THE REGISTRAR<br/>OF COMPANIES IN THE CAYMAN<br/>ISLANDS, THE ENGLISH NAME<br/>OF THE COMPANY BE CHANGED<br/>FROM "LONGFOR PROPERTIES<br/>CO. LTD." TO "LONGFOR GROUP<br/>HOLDINGS LIMITED" AND THE<br/>DUAL FOREIGN NAME IN<br/>CHINESE OF THE COMPANY BE<br/>CHANGED FROM ( AS SPECIFIED<br/>) TO (AS SPECIFIED ) (THE<br/>"CHANGE OF COMPANY NAME")<br/>WITH EFFECT FROM THE DATE<br/>ON WHICH THE CERTIFICATE OF<br/>INCORPORATION ON CHANGE<br/>OF NAME IS ISSUED BY THE<br/>REGISTRAR OF COMPANIES IN<br/>THE CAYMAN ISLANDS, AND<br/>THAT ANY ONE OR MORE OF<br/>THE DIRECTORS OR THE<br/>COMPANY SECRETARY OF THE<br/>COMPANY BE AND ARE HEREBY<br/>AUTHORISED TO DO ALL SUCH<br/>ACTS, DEEDS AND THINGS AND<br/>EXECUTE ALL DOCUMENTS AS<br/>HE/SHE/THEY CONSIDER<br/>NECESSARY, DESIRABLE OR<br/>EXPEDIENT TO IMPLEMENT<br/>AND/OR GIVE EFFECT TO THE<br/>CHANGE OF COMPANY NAME</p> | ManagemenFor | For |

AND THE RELATED CHANGE OF  
THE ENGLISH AND CHINESE  
STOCK SHORT NAMES FOR  
TRADING IN THE SHARES OF  
THE COMPANY AND TO ATTEND  
TO ANY NECESSARY  
REGISTRATION AND/OR FILING  
FOR AND ON BEHALF OF THE  
COMPANY

| Account Number | Account Name | Internal Account | Custodian         | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| AL28           | AL28         |                  | STATE STREET BANK | 426,000       | 0                  | 27-Apr-2018 | 04-Jun-2018    |

**CREDIT ACCEPTANCE CORPORATION**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 225310101       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | CACC            | <b>Meeting Date</b>       | 08-Jun-2018            |
| <b>ISIN</b>           | US2253101016    | <b>Agenda</b>             | 934799391 - Management |
| <b>Record Date</b>    | 12-Apr-2018     | <b>Holding Recon Date</b> | 12-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 07-Jun-2018            |

**SEDOL(s)**

**Quick Code**

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | DIRECTOR   | Management  |      |                        |
|      | 1 Glenda J. Flanagan   |             | For  | For                    |
|      | 2 Brett A. Roberts   |             | For  | For                    |
|      | 3 Thomas N. Tryforos   |             | For  | For                    |
|      | 4 Scott J. Vassalluzzo   |             | For  | For                    |
| 2.   | Advisory vote to approve named executive officer compensation.   | Management  | For  | For                    |
| 3.   | Ratification of the selection of Grant Thornton LLP as Credit Acceptance Corporation's independent registered public accounting firm for 2018. | Management  | For  | For                    |

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| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL28        | GLQ            | 997AL28          | STATE STREET BANK & TRUST CO | 800           | 0                  | 27-Apr-2018 | 11-Jun-2018    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 120           | 4,100              | 27-Apr-2018 | 11-Jun-2018    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61          | STATE STREET BANK & TRUST CO | 2,000         | 0                  | 27-Apr-2018 | 11-Jun-2018    |

**SALESFORCE.COM, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 79466L302       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | CRM             | <b>Meeting Date</b>       | 12-Jun-2018            |
| <b>ISIN</b>           | US79466L3024    | <b>Agenda</b>             | 934814939 - Management |
| <b>Record Date</b>    | 18-Apr-2018     | <b>Holding Recon Date</b> | 18-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 11-Jun-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal                                | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1a.  | Election of Director: Marc Benioff      | Managemen   | For  | For                    |
| 1b.  | Election of Director: Keith Block       | Managemen   | For  | For                    |
| 1c.  | Election of Director: Craig Conway      | Managemen   | For  | For                    |
| 1d.  | Election of Director: Alan Hassenfeld   | Managemen   | For  | For                    |
| 1e.  | Election of Director: Neelie Kroes      | Managemen   | For  | For                    |
| 1f.  | Election of Director: Colin Powell      | Managemen   | For  | For                    |
| 1g.  | Election of Director: Sanford Robertson | Managemen   | For  | For                    |

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- |     |   |             |         |
|-----|---|-------------|---------|
| 1h. | Election of Director: John V. Roos  | Management  | For     |
| 1i. | Election of Director: Bernard Tyson   | Management  | For     |
| 1j. | Election of Director: Robin Washington  | Management  | For     |
| 1k. | Election of Director: Maynard Webb  | Management  | For     |
| 1l. | Election of Director: Susan Wojcicki  | Management  | For     |
| 2.  | Amendment and restatement of our Certificate of Incorporation to allow stockholders to request special meetings of the stockholders.                            | Management  | For     |
| 3.  | Amendment and restatement of our 2013 Equity Incentive Plan to, among other things, increase the number of shares authorized for issuance by 40 million shares. | Management  | For     |
| 4.  | Ratification of the appointment of Ernst & Young LLP as our independent registered public accounting firm for the fiscal year ending January 31, 2019.          | Management  | For     |
| 5.  | An advisory vote to approve the fiscal 2018 compensation of our named executive officers.   | Management  | For     |
| 6.  | A stockholder proposal requesting the elimination of supermajority voting requirements.   | Shareholder | Against |
| 7.  | A stockholder proposal requesting a report on Salesforce's criteria for investing in, operating in and withdrawing from high-risk regions.                      | Shareholder | Against |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 3,200         | 0                  | 03-May-2018 | 13-Jun-2018    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61          | STATE STREET BANK            | 2,800         | 0                  | 03-May-2018 | 13-Jun-2018    |



&  
TRUST  
CO

**ZOOPLUS AG, UNTERFOEHRING**

|                       |   |                           |                        |
|-----------------------|---|---------------------------|------------------------|
| <b>Security</b>       | D9866J108   | <b>Meeting Type</b>       | Annual General Meeting |
| <b>Ticker Symbol</b>  |   | <b>Meeting Date</b>       | 13-Jun-2018            |
| <b>ISIN</b>           | DE0005111702  | <b>Agenda</b>             | 709336324 - Management |
| <b>Record Date</b>    | 22-May-2018   | <b>Holding Recon Date</b> | 22-May-2018            |
| <b>City / Country</b> | MUENCHEN / Germany                                    | <b>Vote Deadline Date</b> | 05-Jun-2018            |
| <b>SEDOL(s)</b>       | B2R9XL5 - B44JJB4 -<br>B540B74 - BDQZMW5<br>- BHZKW18 | <b>Quick Code</b>         |                        |

| <b>Item</b> | <b>Proposal</b>  | <b>Proposed<br/>Vote<br/>by</b> | <b>For/Against<br/>Management</b> |
|-------------|--|---------------------------------|-----------------------------------|
| CMMT        | ACCORDING TO GERMAN LAW, IN CASE OF SPECIFIC CONFLICTS OF INTEREST IN- CONNECTION WITH SPECIFIC ITEMS OF THE AGENDA FOR THE GENERAL MEETING YOU ARE- NOT ENTITLED TO EXERCISE YOUR VOTING RIGHTS. FURTHER, YOUR VOTING RIGHT MIGHT-BE EXCLUDED WHEN YOUR SHARE IN VOTING RIGHTS HAS REACHED CERTAIN THRESHOLDS-AND YOU HAVE NOT COMPLIED WITH ANY OF YOUR MANDATORY VOTING RIGHTS-NOTIFICATIONS PURSUANT TO THE GERMAN SECURITIES TRADING ACT (WPHG). FOR-QUESTIONS IN THIS REGARD PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE-FOR CLARIFICATION. IF YOU DO NOT HAVE ANY INDICATION REGARDING SUCH CONFLICT-OF INTEREST, OR ANOTHER EXCLUSION FROM VOTING, | Non-Voting                      |                                   |

PLEASE SUBMIT YOUR VOTE AS-USUAL. THANK YOU

PLEASE NOTE THAT THE TRUE RECORD DATE FOR THIS MEETING IS 23 MAY 2018,-WHEREAS THE MEETING HAS BEEN SETUP USING THE

CMMT ACTUAL RECORD DATE - 1 Non-Voting  
BUSINESS-DAY. THIS IS DONE TO ENSURE THAT ALL POSITIONS REPORTED ARE IN CONCURRENCE-WITH THE GERMAN LAW. THANK YOU

COUNTER PROPOSALS MAY BE SUBMITTED UNTIL 29.05.2018. FURTHER INFORMATION ON-COUNTER PROPOSALS CAN BE FOUND DIRECTLY ON THE ISSUER'S WEBSITE (PLEASE REFER-TO THE MATERIAL URL SECTION OF THE APPLICATION).

CMMT IF YOU WISH TO ACT ON Non-Voting  
THESE-ITEMS, YOU WILL NEED TO REQUEST A MEETING ATTEND AND VOTE YOUR SHARES-DIRECTLY AT THE COMPANY'S MEETING. COUNTER PROPOSALS CANNOT BE REFLECTED IN-THE BALLOT ON PROXYEDGE

PRESENTATION OF THE ADOPTED ANNUAL FINANCIAL STATEMENTS AND THE APPROVED- CONSOLIDATED FINANCIAL STATEMENT FOR THE 2017 FINANCIAL YEAR; THE MANAGEMENT- REPORTS FOR THE COMPANY AND THE GROUP FOR THE 2017 FINANCIAL YEAR; THE REPORT-OF

1 Non-Voting  
THE SUPERVISORY BOARD FOR THE 2017 FINANCIAL YEAR, AND THE EXPLANATORY-REPORT OF THE MANAGEMENT BOARD ON THE DISCLOSURES PURSUANT TO SECTIONS 289A-(1) AND 315A (1) OF THE GERMAN COMMERCIAL CODE

(HANDELSGESETZBUCH - HGB)

|   |   |            |     |
|---|---|------------|-----|
| 2 | <p>APPROPRIATION OF RETAINED PROFITS FOR THE 2017 FINANCIAL YEAR: EUR 2,856,498.50 RECORDED IN THE COMPANY'S FINANCIAL STATEMENTS AS OF DECEMBER 31, 2017 BE CARRIED FORWARD</p>  | Management | For |
| 3 | <p>RESOLUTION ON THE DISCHARGE OF THE MANAGEMENT BOARD FOR THE 2017 FINANCIAL YEAR</p>  | Management | For |
| 4 | <p>RESOLUTION ON THE DISCHARGE OF THE SUPERVISORY BOARD FOR THE 2017 FINANCIAL YEAR</p>   | Management | For |
| 5 | <p>ELECTION OF THE AUDITOR OF THE ANNUAL FINANCIAL STATEMENTS AND CONSOLIDATED FINANCIAL STATEMENTS FOR THE 2018 FINANCIAL YEAR: PRICEWATERHOUSECOOPERS GMBH WIRTSCHAFTSPRUFUNGSGESELLSCHAFT, FRANKFURT/MAIN</p>  | Management | For |
| 6 | <p>RESOLUTION ON THE AUTHORIZATION TO GRANT SUBSCRIPTION RIGHTS TO MEMBERS OF THE MANAGEMENT BOARD OF ZOPLUS AG AND MANAGEMENT BODIES OF AFFILIATED COMPANIES IN GERMANY AND ABROAD, SELECTED EXECUTIVES AND EMPLOYEES OF ZOPLUS AG AND AFFILIATED COMPANIES IN GERMANY AND ABROAD (2018 STOCK OPTION PROGRAM), AS WELL AS TO CREATE CONDITIONAL CAPITAL 2018/I AND AMEND THE ARTICLES OF ASSOCIATION</p> | Management | For |
| 7 | <p>RESOLUTION ON THE CANCELLATION OF CONDITIONAL CAPITAL 2010/I</p>   | Management | For |

8 RESOLUTION ON THE CREATION OF NEW CONDITIONAL CAPITAL 2018/II AND AUTHORIZATION OF THE MANAGEMENT BOARD TO ISSUE CONVERTIBLE BONDS / BONDS WITH WARRANTS EXCLUDING SHAREHOLDERS SUBSCRIPTION RIGHTS AND AMEND THE ARTICLES OF ASSOCIATION

ManagemenFor For

| Account Number | Account Name | Internal Account  | Ballot Custodian Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|-------------------|-------------------------|--------------------|-------------|----------------|
| AL28           | AL28         | STATE STREET BANK | 6,862                   | 0                  | 26-Apr-2018 | 05-Jun-2018    |

**KEYENCE CORPORATION**

|                       |                             |                           |                        |
|-----------------------|-----------------------------|---------------------------|------------------------|
| <b>Security</b>       | J32491102                   | <b>Meeting Type</b>       | Annual General Meeting |
| <b>Ticker Symbol</b>  |                             | <b>Meeting Date</b>       | 13-Jun-2018            |
| <b>ISIN</b>           | JP3236200006                | <b>Agenda</b>             | 709542953 - Management |
| <b>Record Date</b>    | 20-Mar-2018                 | <b>Holding Recon Date</b> | 20-Mar-2018            |
| <b>City / Country</b> | OSAKA / Japan               | <b>Vote Deadline Date</b> | 05-Jun-2018            |
| <b>SEDOL(s)</b>       | 5998735 - 6490995 - B02HPZ8 | <b>Quick Code</b>         | 68610                  |

| Item | Proposal                               | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1    | Approve Appropriation of Surplus       | Managemen   | For  | For                    |
| 2.1  | Appoint a Director Takizaki, Takemitsu | Managemen   | For  | For                    |
| 2.2  | Appoint a Director Yamamoto, Akinori   | Managemen   | For  | For                    |
| 2.3  | Appoint a Director Kimura, Keiichi     | Managemen   | For  | For                    |
| 2.4  | Appoint a Director Ideno, Tomohide     | Managemen   | For  | For                    |
| 2.5  | Appoint a Director Yamaguchi, Akiji    | Managemen   | For  | For                    |
| 2.6  | Appoint a Director Miki, Masayuki      | Managemen   | For  | For                    |

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|     |   |            |     |
|-----|---|------------|-----|
| 2.7 | Appoint a Director Kanzawa, Akira                         | Management | For |
| 2.8 | Appoint a Director Fujimoto, Masato                       | Management | For |
| 2.9 | Appoint a Director Tanabe, Yoichi                         | Management | For |
| 3   | Appoint a Substitute Corporate Auditor Yamamoto, Masaharu | Management | For |

| Account Number | Account Name | Internal Account | Custodian         | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| AL28           | AL28         |                  | STATE STREET BANK | 2,300         | 0                  | 26-May-2018 | 05-Jun-2018    |

**CELGENE CORPORATION**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 151020104       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | CELG            | <b>Meeting Date</b>       | 13-Jun-2018            |
| <b>ISIN</b>           | US1510201049    | <b>Agenda</b>             | 934805637 - Management |
| <b>Record Date</b>    | 19-Apr-2018     | <b>Holding Recon Date</b> | 19-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 12-Jun-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal                  | Proposed by | Vote | For/Against Management |
|------|---------------------------|-------------|------|------------------------|
| 1.   | DIRECTOR                  | Management  |      |                        |
|      | 1 Mark J. Alles           |             | For  | For                    |
|      | 2 R W Barker, D.Phil, OBE |             | For  | For                    |
|      | 3 Hans E. Bishop          |             | For  | For                    |
|      | 4 Michael W. Bonney       |             | For  | For                    |
|      | 5 Michael D. Casey        |             | For  | For                    |
|      | 6 Carrie S. Cox           |             | For  | For                    |
|      | 7 Michael A. Friedman, MD |             | For  | For                    |
|      | 8 Julia A. Haller, M.D.   |             | For  | For                    |
|      | 9 P. A. Hemingway Hall    |             | For  | For                    |
|      | 10 James J. Loughlin      |             | For  | For                    |
|      | 11 Ernest Mario, Ph.D.    |             | For  | For                    |

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- |    |  |             |         |     |
|----|--|-------------|---------|-----|
|    | 12 John H. Weiland   |             | For     | For |
| 2. | Ratification of the appointment of KPMG LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2018.   | Management  | For     | For |
| 3. | Approval, by non-binding vote, of executive compensation of the Company's named executive officers.  | Management  | For     | For |
| 4. | Advisory vote on stockholder proposal to request the Company's Board of Directors to amend the Company's proxy access by-law provision to eliminate the limit on the number of stockholders that can aggregate their shares to achieve the holding requirement for nomination of directors, described in more detail in the proxy statement. | Shareholder | Against | For |
| 5. | Advisory vote on stockholder proposal to request the Company's Board of Directors to adopt a policy and amend the Company's governing documents to require that the Chairman of the Board be an independent member, described in more detail in the proxy statement.   | Shareholder | Against | For |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 100           | 13,100             | 01-May-2018 | 14-Jun-2018    |

**MONOLITHIC POWER SYSTEMS, INC.**

|                       |              |                           |                        |
|-----------------------|--------------|---------------------------|------------------------|
| <b>Security</b>       | 609839105    | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | MPWR         | <b>Meeting Date</b>       | 14-Jun-2018            |
| <b>ISIN</b>           | US6098391054 | <b>Agenda</b>             | 934810575 - Management |
| <b>Record Date</b>    | 17-Apr-2018  | <b>Holding Recon Date</b> | 17-Apr-2018            |
| <b>City / Country</b> | /            |                           | 13-Jun-2018            |

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| SEDOL(s) | United States  | Vote Deadline Date | Quick Code                  |
|----------|--|--------------------|-----------------------------|
| Item     | Proposal   | Proposed by        | Vote For/Against Management |
| 1.       | DIRECTOR   | Management         |                             |
|          | 1 Eugen Elmiger  | For                | For                         |
|          | 2 Jeff Zhou  | For                | For                         |
| 2.       | Ratify the appointment of Deloitte & Touche LLP as our independent registered public accounting firm for the fiscal year ending December 31, 2018. | Management         | For                         |
| 3.       | Approve, on an advisory basis, the executive compensation.   | Management         | For                         |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 12,300        | 0                  | 05-May-2018 | 15-Jun-2018    |

**INTRA-CELLULAR THERAPIES INC**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 46116X101       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | ITCI            | <b>Meeting Date</b>       | 18-Jun-2018            |
| <b>ISIN</b>           | US46116X1019    | <b>Agenda</b>             | 934813379 - Management |
| <b>Record Date</b>    | 26-Apr-2018     | <b>Holding Recon Date</b> | 26-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 15-Jun-2018            |

| SEDOL(s) | United States             | Vote Deadline Date | Quick Code                  |
|----------|---------------------------|--------------------|-----------------------------|
| Item     | Proposal                  | Proposed by        | Vote For/Against Management |
| 1.       | DIRECTOR                  | Management         |                             |
|          | 1 Christopher Alafi, Ph.D | For                | For                         |
|          | 2 Joel S. Marcus          | For                | For                         |

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2. To approve the Intra-Cellular Therapies, Inc. 2018 Equity Incentive Plan. Management For For
3. To ratify the appointment of Ernst & Young LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2018. Management For For
4. To approve by an advisory vote the compensation of our named executive officers, as disclosed in the proxy statement. Management For For

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL28        | GLQ            | 997AL28          | STATE STREET BANK & TRUST CO | 7,500         | 0                  | 05-May-2018 | 19-Jun-2018    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 69,300        | 0                  | 05-May-2018 | 19-Jun-2018    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61          | STATE STREET BANK & TRUST CO | 5,300         | 0                  | 05-May-2018 | 19-Jun-2018    |

**SERVICENOW, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 81762P102       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | NOW             | <b>Meeting Date</b>       | 19-Jun-2018            |
| <b>ISIN</b>           | US81762P1021    | <b>Agenda</b>             | 934814472 - Management |
| <b>Record Date</b>    | 20-Apr-2018     | <b>Holding Recon Date</b> | 20-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 18-Jun-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |



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| Item | Proposal  | Proposed<br>by | Vote | For/Against<br>Management |
|------|---|----------------|------|---------------------------|
| 1a.  | Election of Director: Susan L. Bostrom  | Management     | For  | For                       |
| 1b.  | Election of Director: Jonathan C. Chadwick  | Management     | For  | For                       |
| 1c.  | Election of Director: Frederic B. Luddy   | Management     | For  | For                       |
| 1d.  | Election of Director: Jeffrey A. Miller   | Management     | For  | For                       |
| 2.   | To approve, on an advisory basis, the compensation of our Named Executive Officers.                       | Management     | For  | For                       |
| 3.   | Ratification of PricewaterhouseCoopers LLP as the independent registered public accounting firm for 2018. | Management     | For  | For                       |
| 4.   | To amend our 2012 Equity Incentive Plan to include a limit on non-employee director compensation.         | Management     | For  | For                       |

| Account Number | Account Name      | Internal<br>Account | Custodian                                   | Ballot<br>Shares | Unavailable<br>Shares | Vote Date   | Date<br>Confirmed |
|----------------|-------------------|---------------------|---|------------------|-----------------------|-------------|-------------------|
| 997AL28        | GLQ               | 997AL28             | STATE<br>STREET<br>BANK<br>&<br>TRUST<br>CO | 2,400            | 0                     | 03-May-2018 | 20-Jun-2018       |
| 997AL47        | GLQ BNP<br>PLEDGE | 997AL47             | STATE<br>STREET<br>BANK<br>&<br>TRUST<br>CO | 110              | 13,500                | 03-May-2018 | 20-Jun-2018       |

**BLACKSTONE MORTGAGE TRUST, INC**

|                      |              |                               |                        |
|----------------------|--------------|-------------------------------|------------------------|
| <b>Security</b>      | 09257W100    | <b>Meeting Type</b>           | Annual                 |
| <b>Ticker Symbol</b> | BXMT         | <b>Meeting Date</b>           | 20-Jun-2018            |
| <b>ISIN</b>          | US09257W1009 | <b>Agenda</b>                 | 934800079 - Management |
| <b>Record Date</b>   | 13-Apr-2018  | <b>Holding Recon<br/>Date</b> | 13-Apr-2018            |

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City / Country / United States / Vote Deadline Date 19-Jun-2018

SEDOL(s) Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | DIRECTOR   | Management  |      |                        |
|      | 1 Michael B. Nash  |             | For  | For                    |
|      | 2 Stephen D. Plavin  |             | For  | For                    |
|      | 3 Leonard W. Cotton  |             | For  | For                    |
|      | 4 Thomas E. Dobrowski  |             | For  | For                    |
|      | 5 Martin L. Edelman  |             | For  | For                    |
|      | 6 Henry N. Nassau  |             | For  | For                    |
|      | 7 Jonathan L. Pollack  |             | For  | For                    |
|      | 8 Lynne B. Sagalyn   |             | For  | For                    |
| 2.   | Ratify the appointment of Deloitte & Touche LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2018. | Management  | For  | For                    |
| 3.   | Advisory Vote on Executive Compensation: To approve in a non-binding, advisory vote, the compensation paid to our named executive officers.                  | Management  | For  | For                    |
| 4.   | Approve the Blackstone Mortgage Trust, Inc. 2018 Stock Incentive Plan.   | Management  | For  | For                    |
| 5.   | Approve the Blackstone Mortgage Trust, Inc. 2018 Manager Incentive Plan.   | Management  | For  | For                    |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 210,100       | 0                  | 24-Apr-2018 | 21-Jun-2018    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61          |                              | 10,800        | 0                  | 24-Apr-2018 | 21-Jun-2018    |

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STATE  
STREET  
BANK  
&  
TRUST  
CO

**BOX INC**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 10316T104       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | BOX             | <b>Meeting Date</b>       | 20-Jun-2018            |
| <b>ISIN</b>           | US10316T1043    | <b>Agenda</b>             | 934818329 - Management |
| <b>Record Date</b>    | 27-Apr-2018     | <b>Holding Recon Date</b> | 27-Apr-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 19-Jun-2018            |

**SEDOL(s)**

**Quick Code**

| <b>Item</b> | <b>Proposal</b>  | <b>Proposed by</b> | <b>Vote</b> | <b>For/Against Management</b> |
|-------------|--|--------------------|-------------|-------------------------------|
| 1.          | DIRECTOR   | Management         |             |                               |
|             | 1 Dana Evan  |                    | For         | For                           |
|             | 2 Steven Krausz  |                    | For         | For                           |
|             | 3 Aaron Levie  |                    | For         | For                           |
| 2.          | To approve, on an advisory basis, the compensation of our named executive officers.  | Management         | For         | For                           |
| 3.          | Ratification of the appointment of Ernst & Young LLP as our independent registered public accounting firm for our fiscal year ending January 31, 2019. | Management         | For         | For                           |

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Account</b> | <b>Custodian</b>             | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|-------------------------|------------------------------|----------------------|---------------------------|------------------|-----------------------|
| 997AL47               | GLQ BNP PLEDGE      | 997AL47                 | STATE STREET BANK & TRUST CO | 33,700               | 0                         | 09-May-2018      | 21-Jun-2018           |
| 997AL61               | GLQ MS PLEDGE       | 997AL61                 | STATE STREET                 | 12,400               | 0                         | 09-May-2018      | 21-Jun-2018           |

BANK  
&  
TRUST  
CO

**GCI LIBERTY, INC.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | 36164V305       | <b>Meeting Type</b>       | Annual                 |
| <b>Ticker Symbol</b>  | GLIBA           | <b>Meeting Date</b>       | 25-Jun-2018            |
| <b>ISIN</b>           | US36164V3050    | <b>Agenda</b>             | 934834551 - Management |
| <b>Record Date</b>    | 14-May-2018     | <b>Holding Recon Date</b> | 14-May-2018            |
| <b>City / Country</b> | / United States | <b>Vote Deadline Date</b> | 22-Jun-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| <b>Item</b> | <b>Proposal</b>  | <b>Proposed by</b> | <b>Vote</b> | <b>For/Against Management</b> |
|-------------|--|--------------------|-------------|-------------------------------|
| 1.          | DIRECTOR   | Management         |             |                               |
|             | 1 John C. Malone   |                    | For         | For                           |
|             | 2 Gregory B. Maffei  |                    | For         | For                           |
|             | 3 Ronald A. Duncan   |                    | For         | For                           |
|             | 4 Gregg L. Engles  |                    | For         | For                           |
|             | 5 Donne F. Fisher  |                    | For         | For                           |
|             | 6 Richard R. Green   |                    | For         | For                           |
|             | 7 Sue Ann Hamilton   |                    | For         | For                           |
| 2.          | A proposal to ratify the selection of KPMG LLP as our independent auditors for the fiscal year ending December 31, 2018. | Management         | For         | For                           |
| 3.          | A proposal to adopt the GCI Liberty, Inc. 2018 Omnibus Incentive Plan.   | Management         | For         | For                           |

| <b>Account Number</b> | <b>Account Name</b> | <b>Internal Account</b> | <b>Custodian</b>             | <b>Ballot Shares</b> | <b>Unavailable Shares</b> | <b>Vote Date</b> | <b>Date Confirmed</b> |
|-----------------------|---------------------|-------------------------|------------------------------|----------------------|---------------------------|------------------|-----------------------|
| 997AL47               | GLQ BNP PLEDGE      | 997AL47                 | STATE STREET BANK & TRUST CO | 37,021               | 123,400                   | 25-May-2018      | 26-Jun-2018           |

**POSTAL SAVINGS BANK OF CHINA CO., LTD.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | ADPV37746       | <b>Meeting Type</b>       | Annual General Meeting |
| <b>Ticker Symbol</b>  |                 | <b>Meeting Date</b>       | 28-Jun-2018            |
| <b>ISIN</b>           | CNE1000029W3    | <b>Agenda</b>             | 709483995 - Management |
| <b>Record Date</b>    | 28-May-2018     | <b>Holding Recon Date</b> | 28-May-2018            |
| <b>City / Country</b> | BEIJING / China | <b>Vote Deadline Date</b> | 22-Jun-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| <b>Item</b> | <b>Proposal</b>   | <b>Proposed Vote by</b> | <b>For/Against Management</b> |
|-------------|---|-------------------------|-------------------------------|
| CMMT        | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br><a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2018/0514/LTN20180514043.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2018/0514/LTN20180514043.pdf</a> -AND-<br><a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2018/0514/LTN20180514033.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2018/0514/LTN20180514033.pdf</a> | Non-Voting              |                               |
| 1           | TO CONSIDER AND APPROVE THE 2017 WORK REPORT OF THE BOARD OF DIRECTORS  | ManagemenFor            | For                           |
| 2           | TO CONSIDER AND APPROVE THE 2017 WORK REPORT OF THE BOARD OF SUPERVISORS  | ManagemenFor            | For                           |
| 3           | TO CONSIDER AND APPROVE THE FINAL FINANCIAL ACCOUNTS FOR 2017   | ManagemenFor            | For                           |
| 4           | TO CONSIDER AND APPROVE THE PROFIT DISTRIBUTION PLAN FOR 2017: RMB1.471 PER TEN SHARES (TAX INCLUSIVE).   | ManagemenFor            | For                           |
| 5           | TO CONSIDER AND APPROVE THE BUDGET PLAN OF FIXED ASSETS INVESTMENT FOR 2018   | ManagemenFor            | For                           |
| 6           | TO CONSIDER AND APPROVE THE APPOINTMENT OF ACCOUNTING FIRMS FOR 2018  | ManagemenFor            | For                           |
| 7           | TO CONSIDER AND APPROVE THE RE-ELECTION OF MR. LI   | ManagemenFor            | For                           |

GUOHUA AS A NON-EXECUTIVE  
DIRECTOR

8 TO CONSIDER AND APPROVE  
REMUNERATION SETTLEMENT  
PLAN FOR DIRECTORS AND  
SUPERVISORS FOR 2016 Management For

9 TO CONSIDER AND APPROVE  
THE GENERAL MANDATE BY  
THE SHAREHOLDERS' MEETING  
TO THE BOARD OF DIRECTORS  
ON SHARE ISSUANCE Management For

10 TO CONSIDER AND APPROVE  
THE EXTENSION OF THE VALID  
PERIOD OF THE PLAN FOR  
INITIAL PUBLIC OFFERING AND  
LISTING OF A SHARES Management For

11 TO CONSIDER AND APPROVE  
THE EXTENSION OF THE VALID  
PERIOD OF THE  
AUTHORIZATION TO DEAL WITH  
SPECIFIC MATTERS IN RESPECT  
OF INITIAL PUBLIC OFFERING  
AND LISTING OF A SHARES Management For

| Account Number | Account Name | Internal Account  | Custodian | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|-------------|----------------|
| AL28           | AL28         | STATE STREET BANK |           | 1,677,000     | 0                  | 15-May-2018 | 25-Jun-2018    |

**POSTAL SAVINGS BANK OF CHINA CO., LTD.**

|                       |                 |                           |                        |
|-----------------------|-----------------|---------------------------|------------------------|
| <b>Security</b>       | ADPV37746       | <b>Meeting Type</b>       | Class Meeting          |
| <b>Ticker Symbol</b>  |                 | <b>Meeting Date</b>       | 28-Jun-2018            |
| <b>ISIN</b>           | CNE1000029W3    | <b>Agenda</b>             | 709484000 - Management |
| <b>Record Date</b>    | 28-May-2018     | <b>Holding Recon Date</b> | 28-May-2018            |
| <b>City / Country</b> | BEIJING / China | <b>Vote Deadline Date</b> | 22-Jun-2018            |
| <b>SEDOL(s)</b>       |                 | <b>Quick Code</b>         |                        |

| Item | Proposal | Proposed Vote by | For/Against Management |
|------|----------|------------------|------------------------|
|------|----------|------------------|------------------------|

PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-  
 CMMT HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEW Non-Voting  
 S/SEHK/2018/0514/LTN20180514039.PDF-AND-  
 HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEW  
 S/SEHK/2018/0514/LTN20180514049.PDF

1 TO CONSIDER AND APPROVE THE EXTENSION OF THE VALID PERIOD OF THE PLAN FOR INITIAL PUBLIC OFFERING AND LISTING OF A SHARES  
 Management For

2 TO CONSIDER AND APPROVE THE EXTENSION OF THE VALID PERIOD OF THE AUTHORIZATION TO DEAL WITH SPECIFIC MATTERS IN RESPECT OF INITIAL PUBLIC OFFERING AND LISTING OF A SHARES  
 Management For

| Account Number | Account Name | Internal Account  | Custodian | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|-------------|----------------|
| AL28           | AL28         | STATE STREET BANK |           | 1,677,000     | 0                  | 15-May-2018 | 25-Jun-2018    |

**PANASONIC CORPORATION**

|                       |  |                           |                        |
|-----------------------|--|---------------------------|------------------------|
| <b>Security</b>       | J6354Y104  | <b>Meeting Type</b>       | Annual General Meeting |
| <b>Ticker Symbol</b>  |  | <b>Meeting Date</b>       | 28-Jun-2018            |
| <b>ISIN</b>           | JP3866800000   | <b>Agenda</b>             | 709579378 - Management |
| <b>Record Date</b>    | 31-Mar-2018  | <b>Holding Recon Date</b> | 31-Mar-2018            |
| <b>City / Country</b> | OSAKA / Japan  | <b>Vote Deadline Date</b> | 20-Jun-2018            |
| <b>SEDOL(s)</b>       | 4572431 - 4572851 -<br>5485323 - 6572707 -<br>B02HTD4 - B125TL3 -<br>BJ04QS4 | <b>Quick Code</b>         | 67520                  |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
|------|----------|-------------|------|------------------------|

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|      |   |            |     |
|------|---|------------|-----|
|      | Please reference meeting materials.           | Non-Voting |     |
| 1.1  | Appoint a Director Nagae, Shusaku             | Management | For |
| 1.2  | Appoint a Director Matsushita, Masayuki       | Management | For |
| 1.3  | Appoint a Director Tsuga, Kazuhiro            | Management | For |
| 1.4  | Appoint a Director Ito, Yoshio                | Management | For |
| 1.5  | Appoint a Director Sato, Mototsugu            | Management | For |
| 1.6  | Appoint a Director Higuchi, Yasuyuki          | Management | For |
| 1.7  | Appoint a Director Oku, Masayuki              | Management | For |
| 1.8  | Appoint a Director Tsutsui, Yoshinobu         | Management | For |
| 1.9  | Appoint a Director Ota, Hiroko                | Management | For |
| 1.10 | Appoint a Director Toyama, Kazuhiko           | Management | For |
| 1.11 | Appoint a Director Umeda, Hirokazu            | Management | For |
| 1.12 | Appoint a Director Laurence W. Bates          | Management | For |
| 2.1  | Appoint a Corporate Auditor Sato, Yoshio      | Management | For |
| 2.2  | Appoint a Corporate Auditor Kinoshita, Toshio | Management | For |

| Account Number | Account Name | Internal Account  | Ballot Custodian Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|-------------------|-------------------------|--------------------|-------------|----------------|
| AL28           | AL28         | STATE STREET BANK | 152,900                 | 0                  | 04-Jun-2018 | 27-Jun-2018    |

**NINTENDO CO.,LTD.**

|                       |   |                           |                        |
|-----------------------|---|---------------------------|------------------------|
| <b>Security</b>       | J51699106   | <b>Meeting Type</b>       | Annual General Meeting |
| <b>Ticker Symbol</b>  |   | <b>Meeting Date</b>       | 28-Jun-2018            |
| <b>ISIN</b>           | JP3756600007  | <b>Agenda</b>             | 709587060 - Management |
| <b>Record Date</b>    | 31-Mar-2018   | <b>Holding Recon Date</b> | 31-Mar-2018            |
| <b>City / Country</b> | KYOTO / Japan   | <b>Vote Deadline Date</b> | 20-Jun-2018            |
| <b>SEDOL(s)</b>       | 5334209 - 6639550 - B02JMD1 - B0ZGTW7 - BDSCVX8 - BRTM8H8 | <b>Quick Code</b>         | 79740                  |



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| Item | Proposal  | Proposed<br>Vote<br>by | For/Against<br>Management |
|------|---|------------------------|---------------------------|
|      | Please reference meeting materials.   | Non-Voting             |                           |
| 1    | Approve Appropriation of Surplus  | Management             | For                       |
| 2.1  | Appoint a Director except as Supervisory Committee Members Miyamoto, Shigeru  | Management             | For                       |
| 2.2  | Appoint a Director except as Supervisory Committee Members Takahashi, Shinya  | Management             | For                       |
| 2.3  | Appoint a Director except as Supervisory Committee Members Furukawa, Shuntaro | Management             | For                       |
| 2.4  | Appoint a Director except as Supervisory Committee Members Shiota, Ko         | Management             | For                       |
| 2.5  | Appoint a Director except as Supervisory Committee Members Shibata, Satoru    | Management             | For                       |
| 3.1  | Appoint a Director as Supervisory Committee Members Noguchi, Naoki            | Management             | For                       |
| 3.2  | Appoint a Director as Supervisory Committee Members Mizutani, Naoki           | Management             | For                       |
| 3.3  | Appoint a Director as Supervisory Committee Members Umeyama, Katsuhiko        | Management             | For                       |
| 3.4  | Appoint a Director as Supervisory Committee Members Yamazaki, Masao           | Management             | For                       |

| Account Number | Account Name | Internal<br>Account     | Ballot<br>Custodian<br>Shares | Unavailable<br>Shares | Vote Date   | Date<br>Confirmed |
|----------------|--------------|-------------------------|-------------------------------|-----------------------|-------------|-------------------|
| AL28           | AL28         | STATE<br>STREET<br>BANK | 3,819                         | 0                     | 06-Jun-2018 | 27-Jun-2018       |

**SIGNATURE**

Pursuant to the requirements of the Investment Company Act of 1940, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

**Clough Global Equity  
Fund**

By: /s/ Edmund J. Burke  
**Edmund J. Burke**  
**President**

Date: August 17, 2018