GHESQUIERE DAVID W.

Form 4

March 05, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations

may continue. See Instruction

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

NanoString Technologies Inc

3. Date of Earliest Transaction

(Print or Type Responses)

1(b).

(City)

1. Name and Address of Reporting Person * GHESQUIERE DAVID W.

(Last) (First)

(Middle)

(Zip)

530 FAIRVIEW AVENUE N

(Street)

(State)

03/01/2018

(Month/Day/Year)

[NSTG]

4. If Amendment, Date Original Filed(Month/Day/Year)

SEATTLE, WA 98109

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer Symbol

(Check all applicable)

Director 10% Owner X_ Officer (give title _Other (specify below)

SVP, Corporate & Business Dev

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

of

OMB

Number:

Expires:

response...

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

					-	· -	•	-
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock (1)	03/01/2018(2)	A	1,663 (3)	A	\$ 5.644 (3)	21,231	D	
Common Stock	03/02/2018	M	5,000	A	<u>(4)</u>	26,231	D	
Common Stock	03/02/2018	F	1,194 (5)	D	\$ 6.47	25,037	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Transaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(4)</u>	03/02/2018(2)		M	5,000	<u>(6)</u>	<u>(6)</u>	Common Stock	5,000	\$

Reporting Owners

	Relationships			
Reporting Owner Name / Address	· · · · · · · · · · · · · · · · · · ·			

Director 10% Owner Officer Other

GHESQUIERE DAVID W. 530 FAIRVIEW AVENUE N SEATTLE, WA 98109

SVP, Corporate & Business Dev

Signatures

/s/ Shannon Atchison, Attorney-in-fact

03/05/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person is voluntarily reporting the acquisition of shares of the issuer's common stock pursuant to the Employee Stock (1) Purchase Plan ("ESPP") for the ESPP Purchase Period (as defined in the ESPP) of September 1, 2017 through March 1, 2018. This transaction is also exempt under Rule 16b-3(c).
- (2) The Purchase Period ended March 1, 2018 and is the first of two Purchase Periods comprising the Offering Period (as defined in the ESPP) that began September 1, 2017.
- (3) In accordance with the ESPP, these shares were purchased based on 85% of the closing price of the issuer's common stock on March 1, 2018.
- (4) Restricted stock units ("RSUs") convert into Common Stock on a one-for-one basis.
- (5) The reported shares were withheld to cover the reporting person's tax liability in connection with the vesting of RSUs. The shares were not issued to or sold by the reporting person.

Reporting Owners 2

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(6) On February 9, 2015, the reporting person was granted 15,000 RSUs, vesting in three equal installments beginning on the first market trading day following the anniversary of March 1, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.